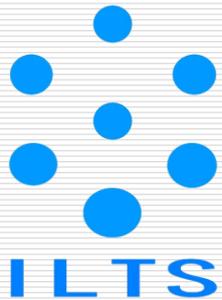


Direct TEM observation of nucleation processes in a solution

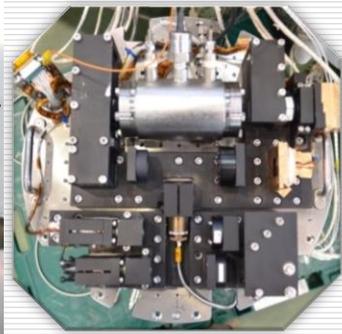
Yuki Kimura

Institute of Low Temperature Science,
Hokkaido University

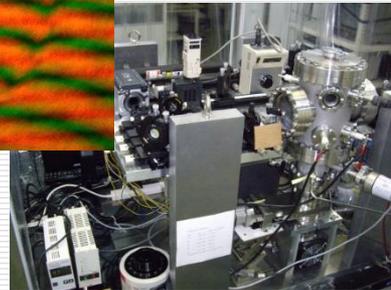


My resent works

Nucleation is key!

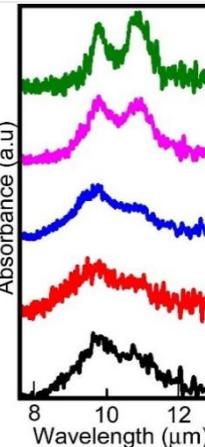


Kimura et al.
Science Adv., 3
(2017) e1601992.

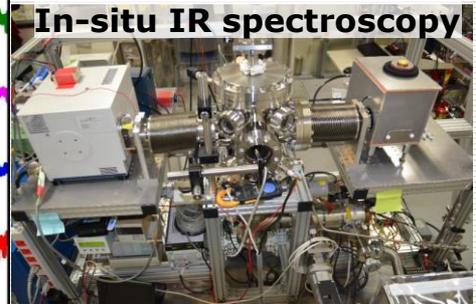


Kimura et al. *Crystal Growth & Design*, 12 (2012) 3278.

Signal of nucleation



In-situ IR spectroscopy



Ishizuka, Kimura et al., *Chemistry of Materials*, 28 (2016) 8732.

Protein

Dying star

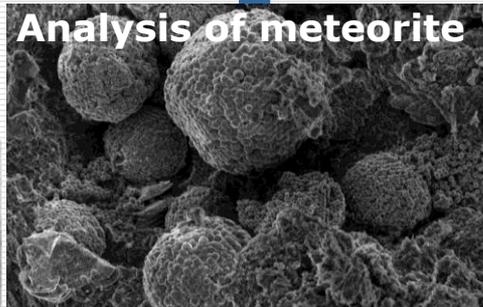
Lunar base

Food

Drug

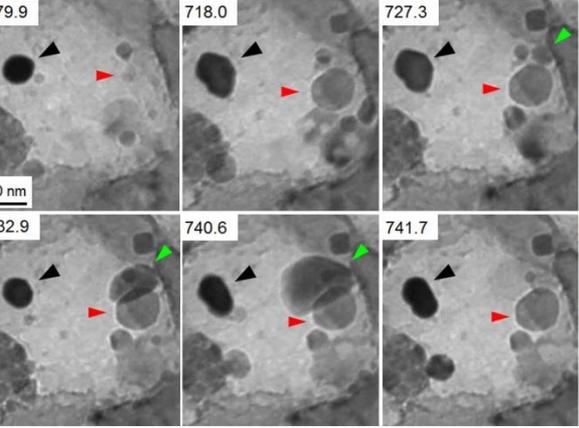
Bio-mineralization

Star formation region



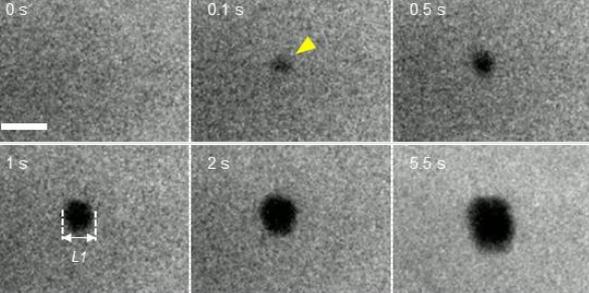
Kimura et al. *Nature Communications*, 4 (2013) 2649.

Dissolution process by TEM



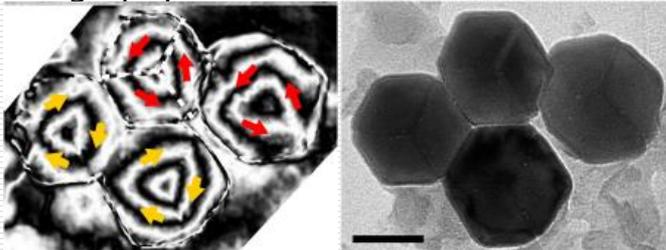
Kimura et al. *JACS* 136 (2014) 1762.

Nucleation process by TEM



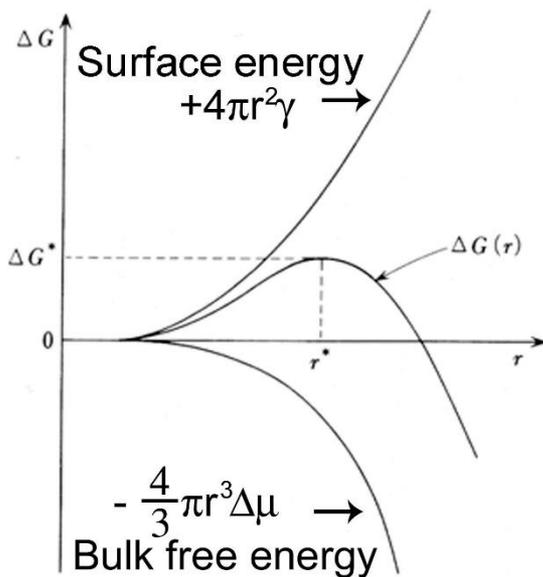
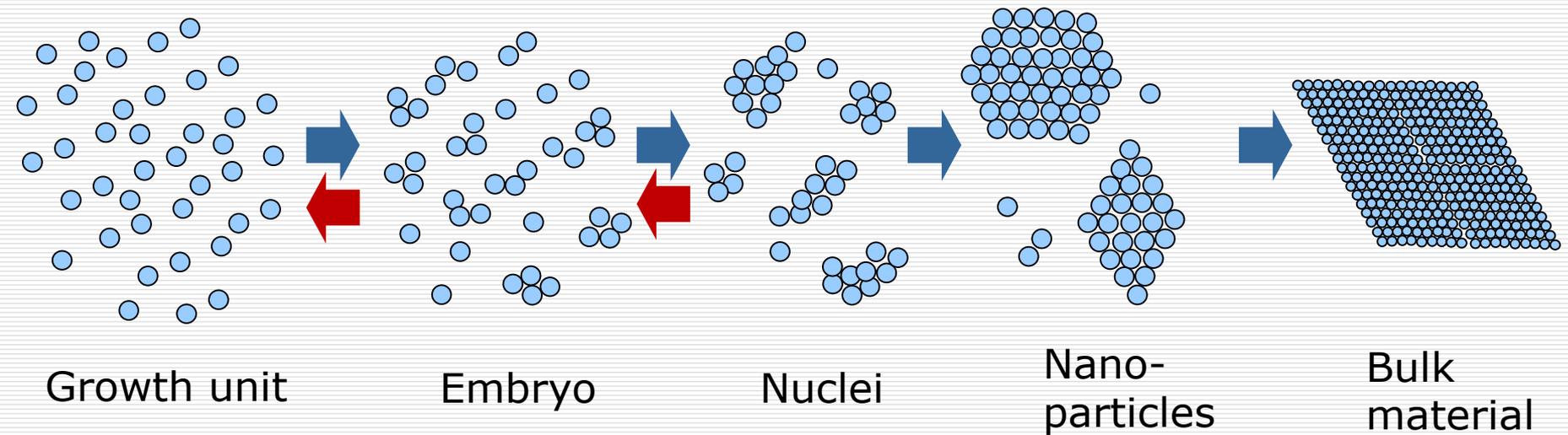
Yamazaki, Kimura et al., *PNAS* 114 (2017) 2154.

Magnetic remnant by electron holography TEM



Kimura et al. *Nature Communications*, 4 (2013) 2649.

Steps in Nucleation



Size of critical nuclei " r^* " and changing of Gibbs free energy " ΔG^* " for nucleation

In the **classical view**, nucleation is a **simple** process; single growth unit attaches to an n -mer to be an $(n+1)$ -mer, which process progresses sequentially from a formation of dimer.

Nevertheless, we cannot explain a real process and predict results of crystallization.

Motivation

Nucleation theories give us nucleation rates, J , but having large difference with that by experiments or MD simulations.

$$\frac{J_{theory}}{J_{(experiment \text{ or } MD)}} = \text{Several orders (H}_2\text{O, Methanol) to 20 orders (Ar)}$$

Why?

- Limitation of the theories
- Heterogeneous nucleation
- Multistep nucleation

We don't know why nucleation rates are so different.

Steps in early stages of crystallization

by dissolution & precipitation or solid-solid phase transition

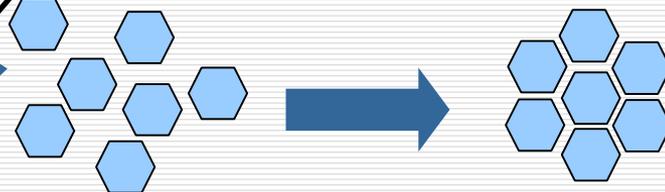
from a dense liquid phase or by dehydration of hydrated amorphous particle

Direct Nucleation



Nucleation processes always passes through the size of meso-scale. I believe this makes one of difficulties to understand nucleation.

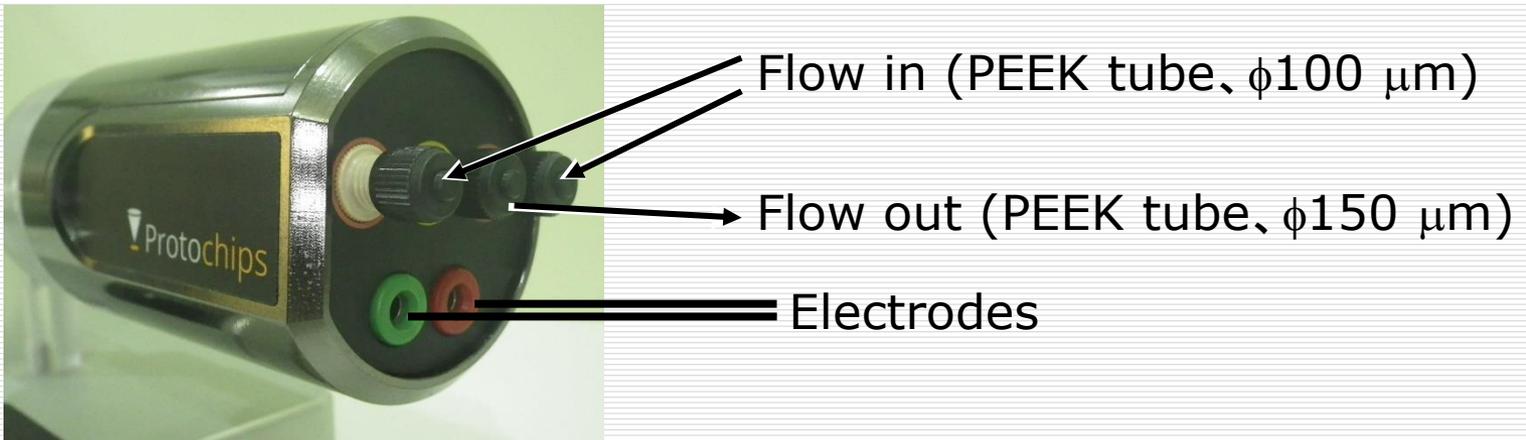
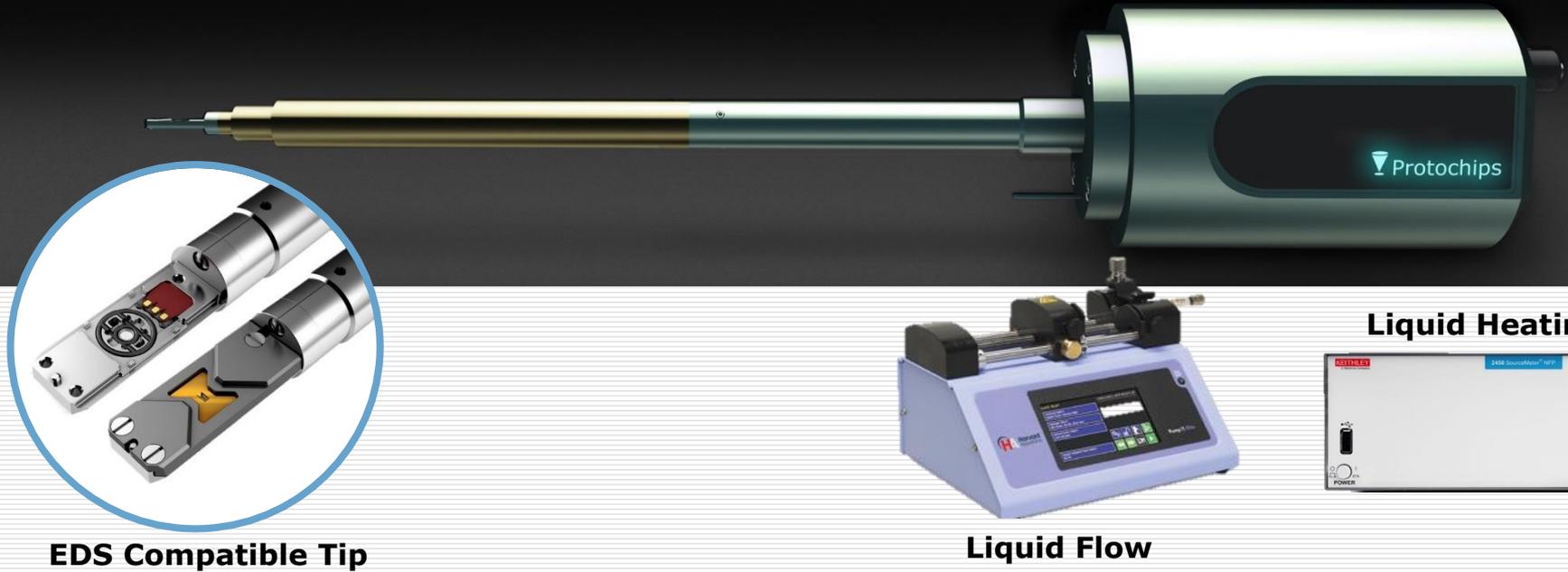
Particle mediated growth



Aggregation of primary nuclei

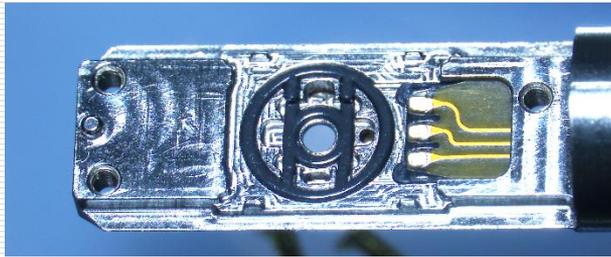
Methods

□ Poseidon holder (Protochips Inc.)

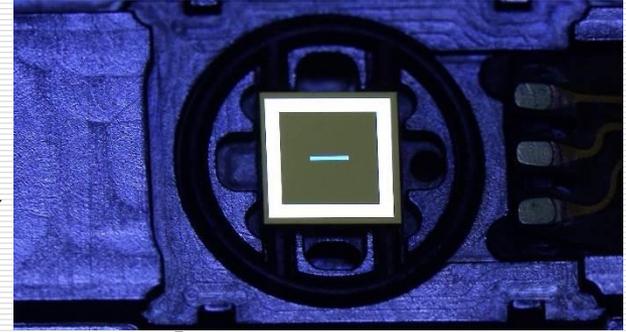


Methods

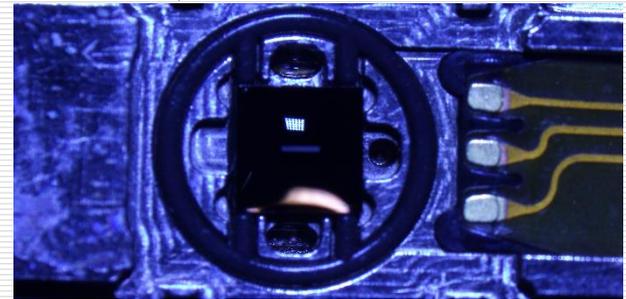
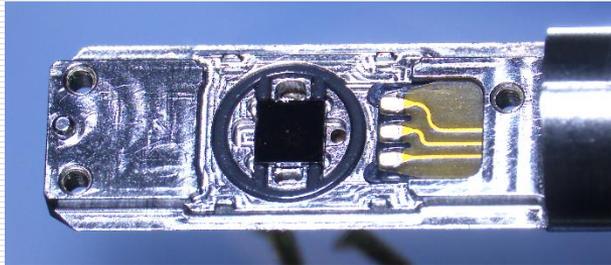
□ Preparation sequences of a liquid cell



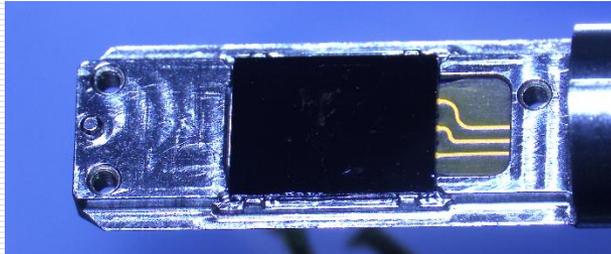
Enlarged, tilted



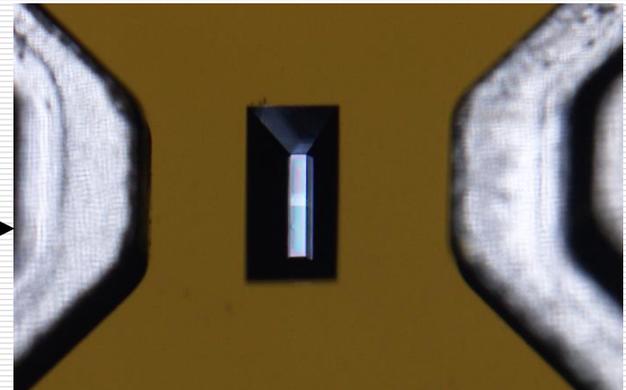
Drop a solution
($\sim 0.5 - 1 \mu\text{L}$)



Covered by a
large E-chip

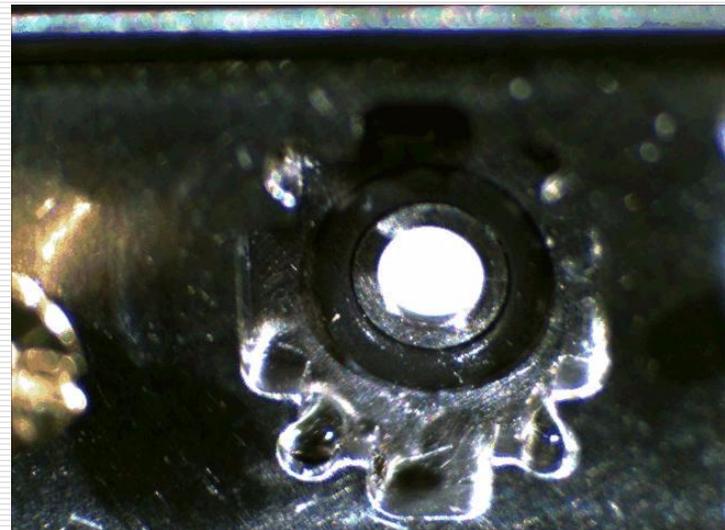
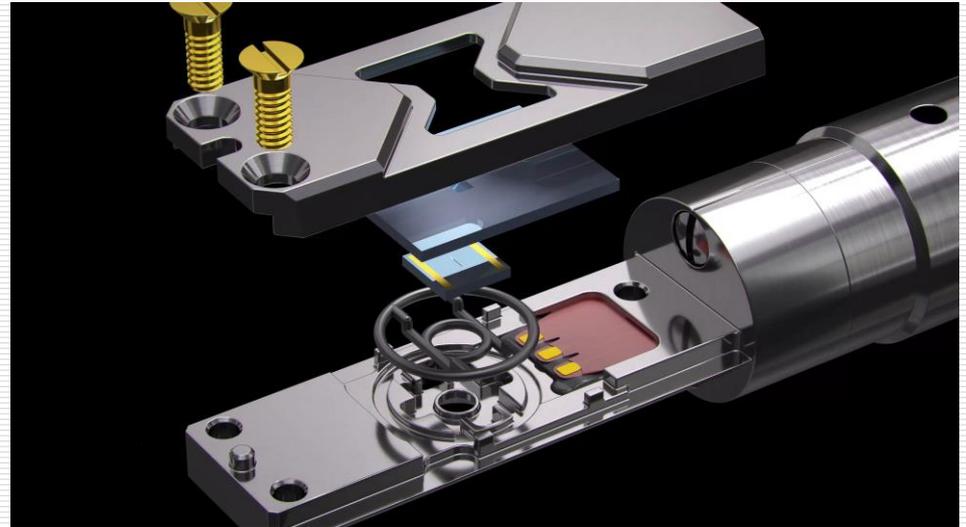
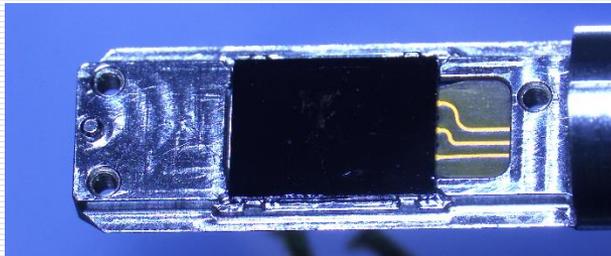
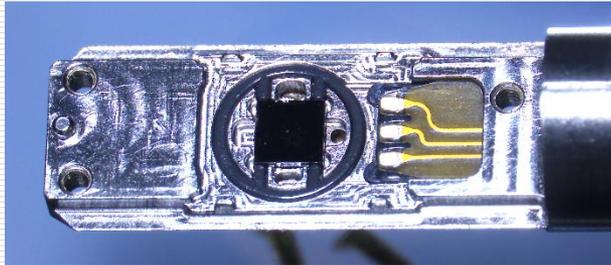
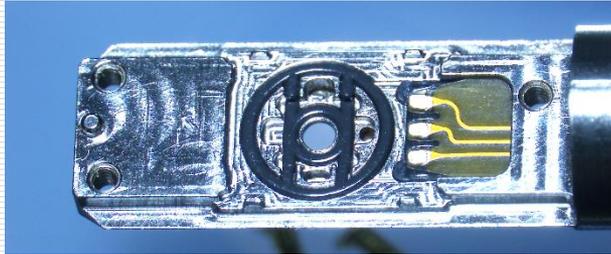


Enlarged, tilted



Methods

□ Preparation sequences of a liquid cell

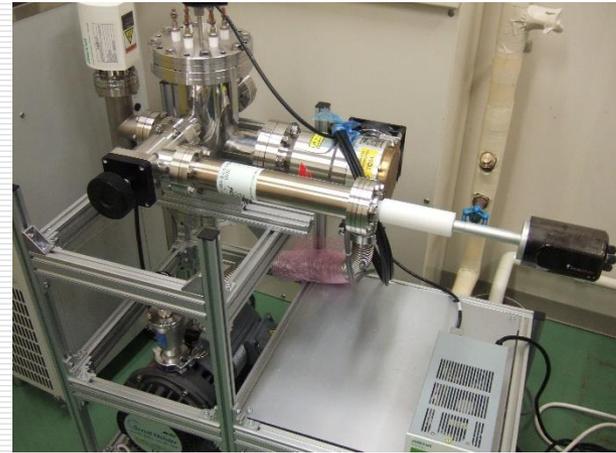


Final amount of a solution in a liquid cell with 500 nm spacer is ~ 2 nL.

Methods

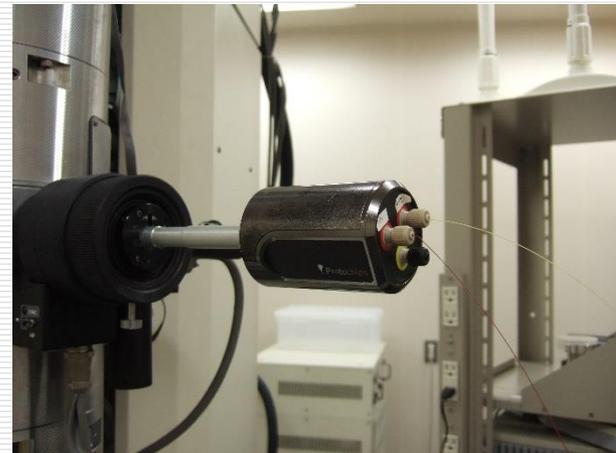
□ Preparation sequences of a liquid cell

Check a leakage

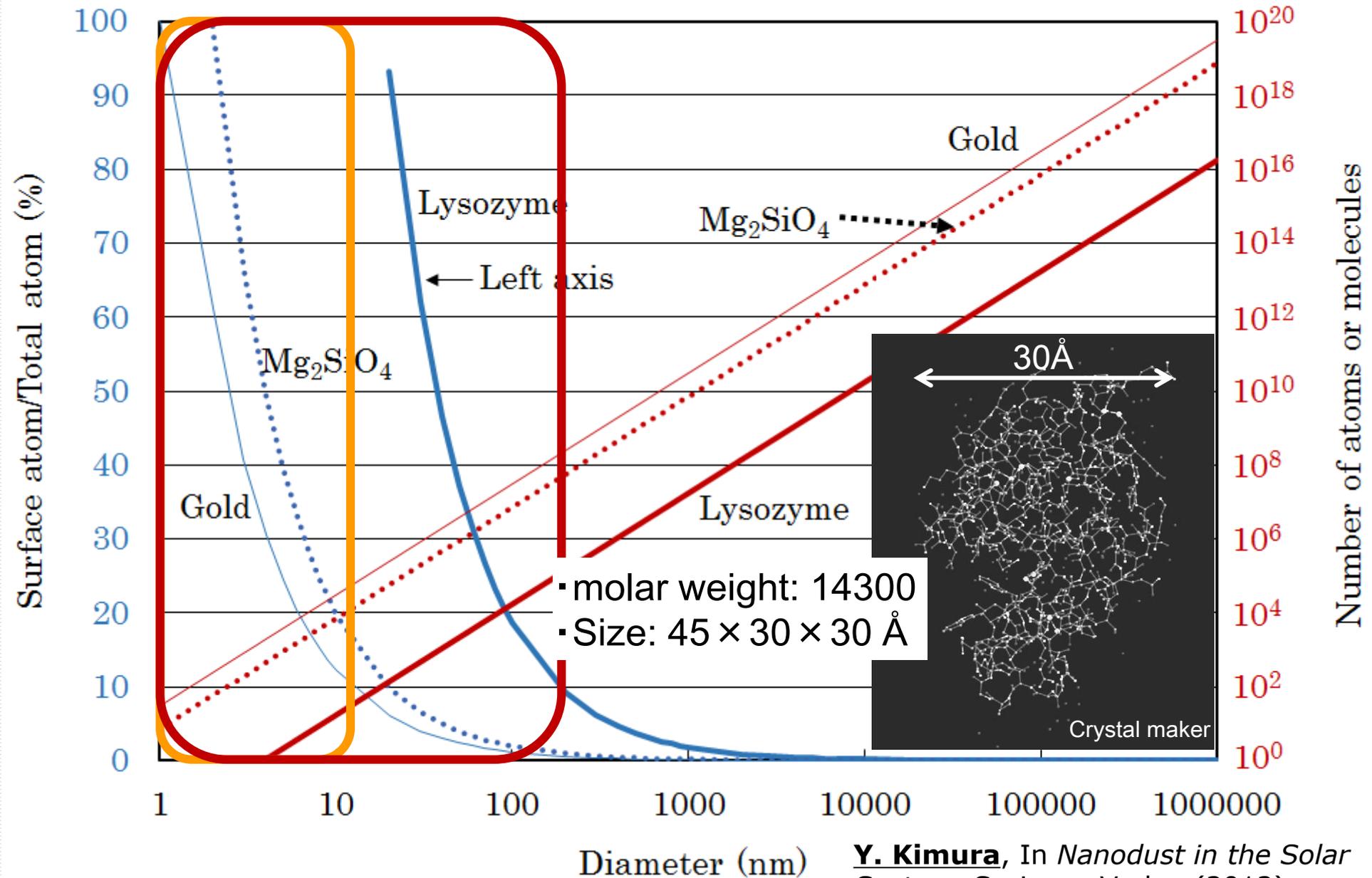


Introduced into a TEM

In case of flow, PEEK tube is connected with a syringe pump



Relation of Size & surface to volume ratio

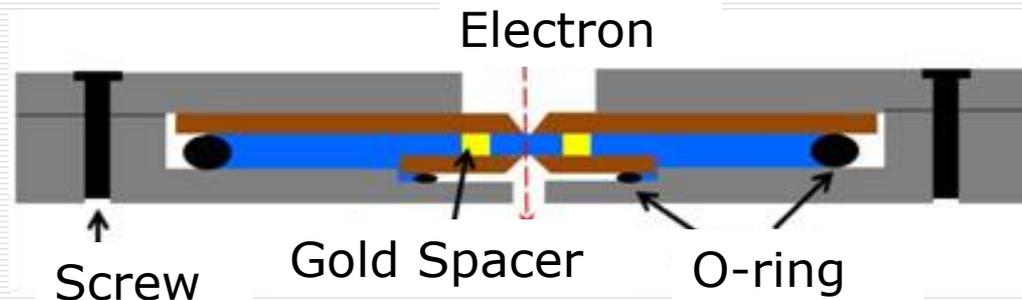
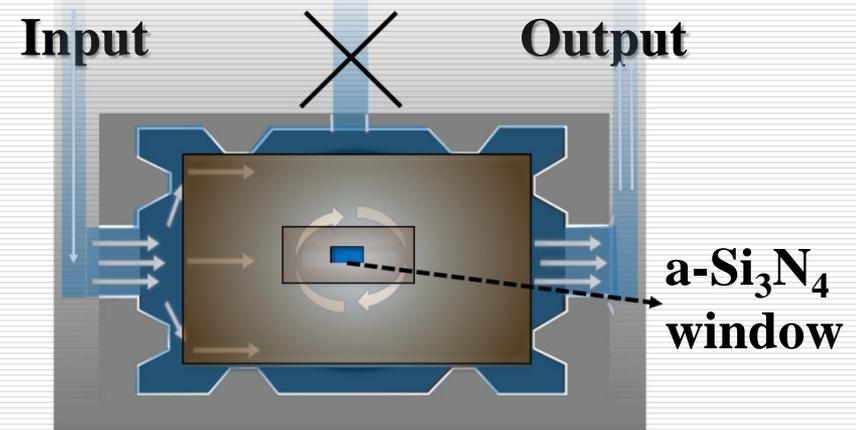


Methods

Protein sample: Hen egg white lysozyme
Crystallized using NaCl as a precipitant in sodium acetate buffer solution (pH = 4.5).

Lysozyme 15 [mg ml⁻¹]
NaCl 50 [mg ml⁻¹]

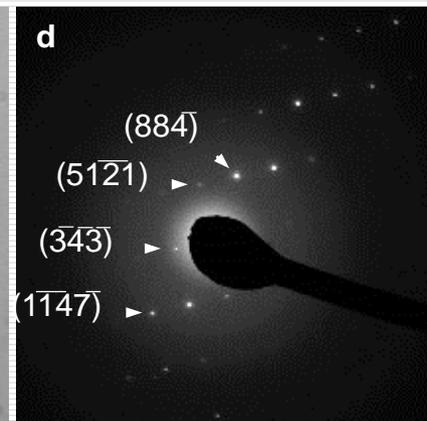
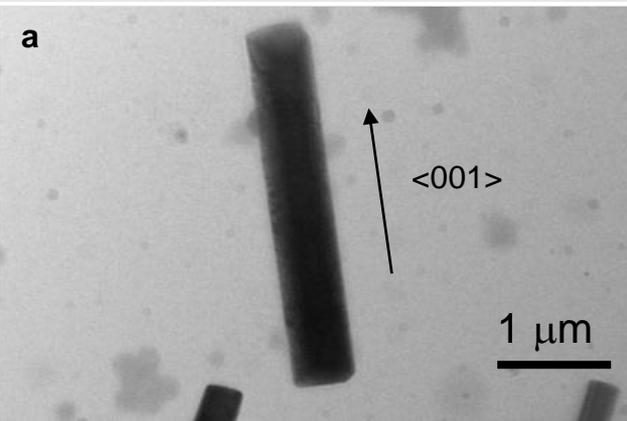
Solution was continuously flowed in the cell.



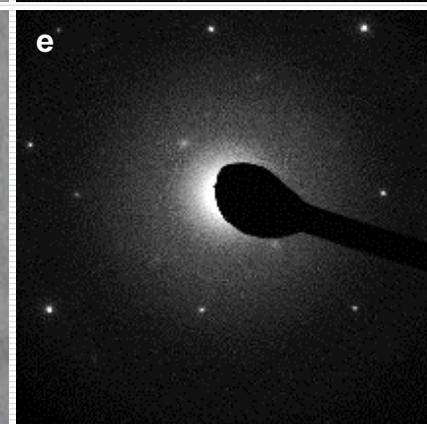
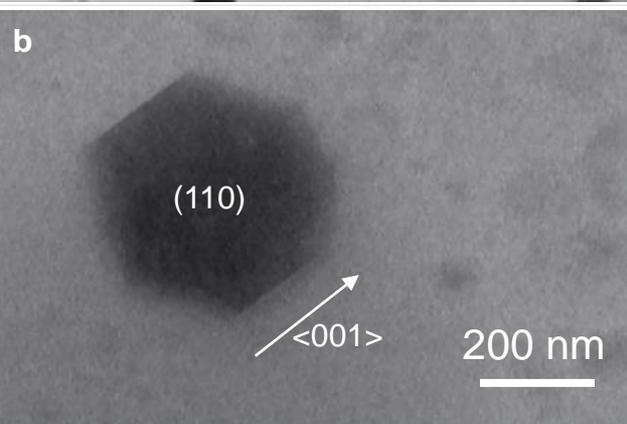
Hitachi H-8100
Accelerating V: 200kV
Electron Gun: LaB₆
Cam: AMT XR-611B
(10.5 mega-pixel)

● Thickness of the liquid layer is 150-500 nm.

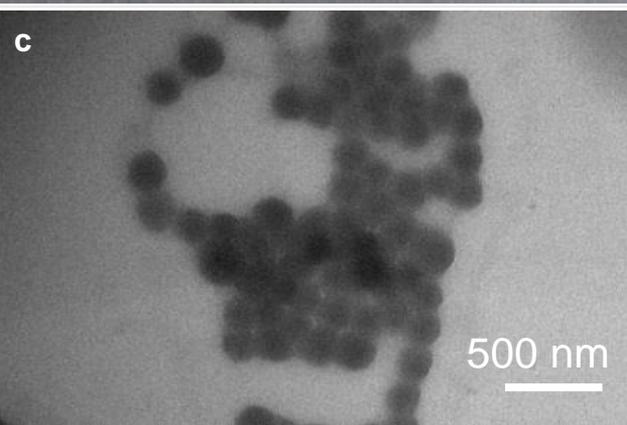
TEM images of protein particles



a: An elongated orthorhombic lysozyme crystal. The crystal zone axis is $[10\ 3\ -14]$.



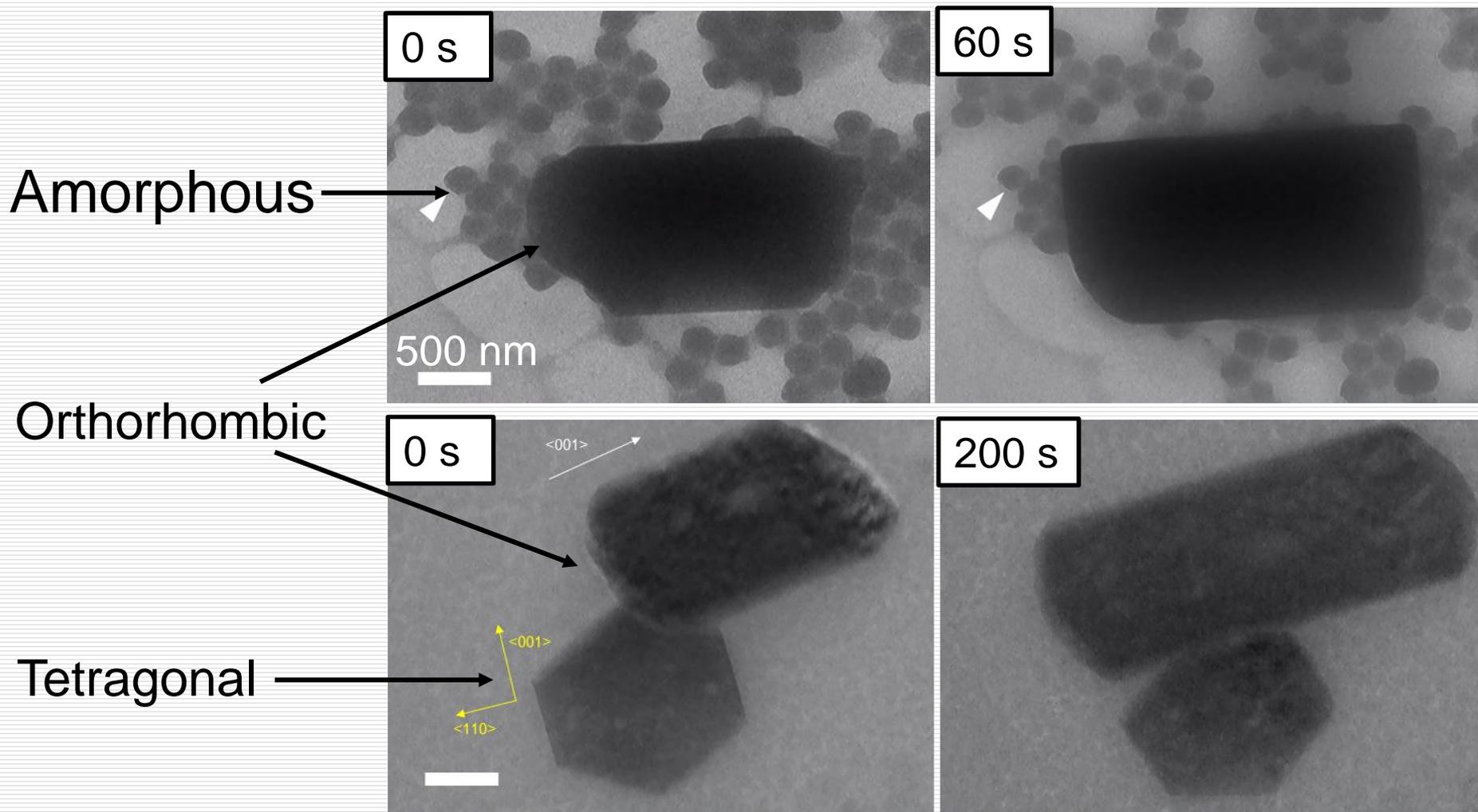
b: A tetragonal lysozyme crystal viewed from its (110) face. The crystal zone axis is $[1\ 1\ 0]$.



c: Cluster of spherical amorphous particles.

Thickness of E-chips spacer: $500\ \text{nm}$

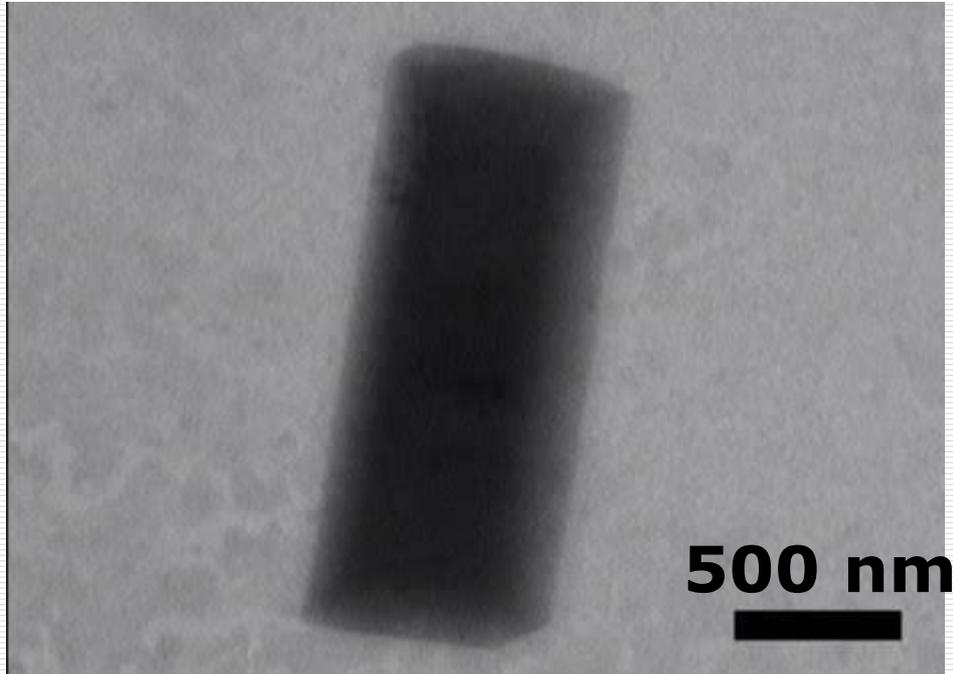
Growth process of orthorhombic crystal



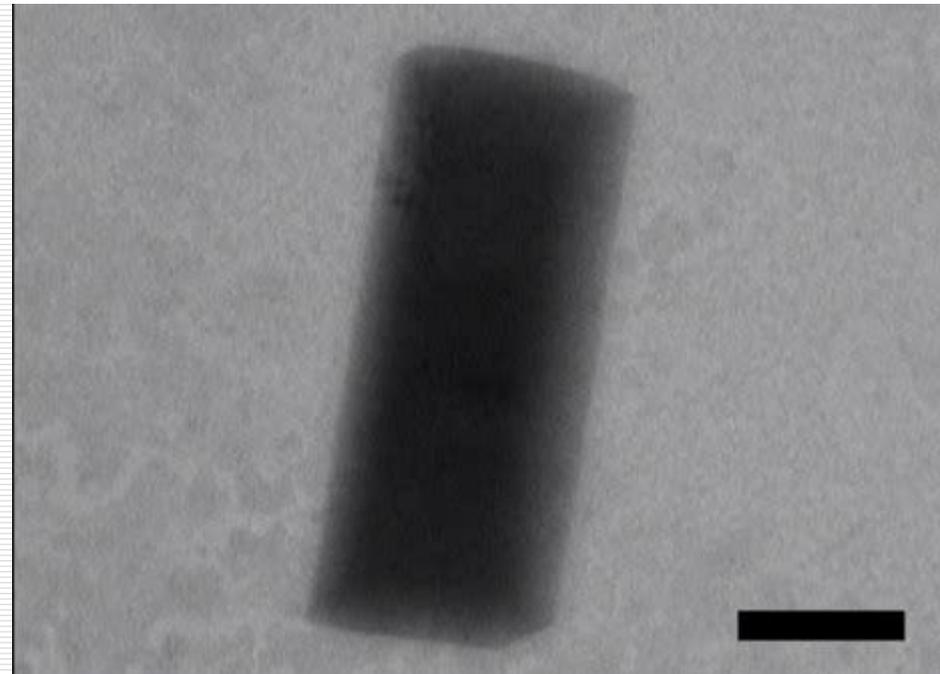
Amorphous and tetragonal particles are incorporated into most stable orthorhombic crystals via dissolution rather than attachment or fusion.

In-situ observation of growing crystal

Initial



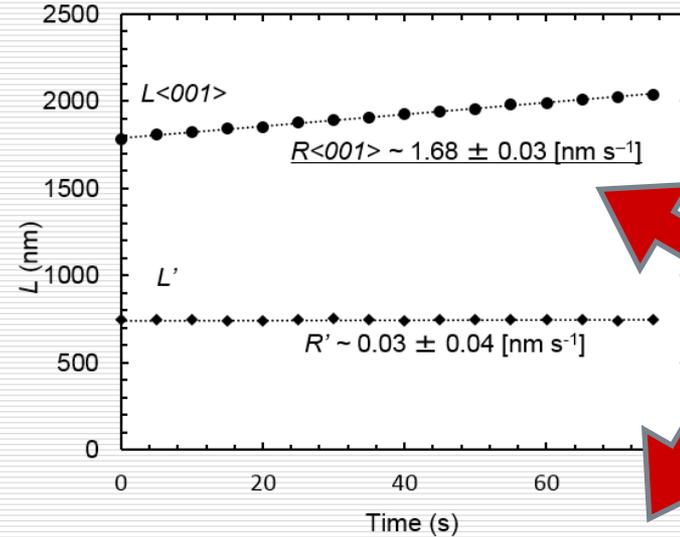
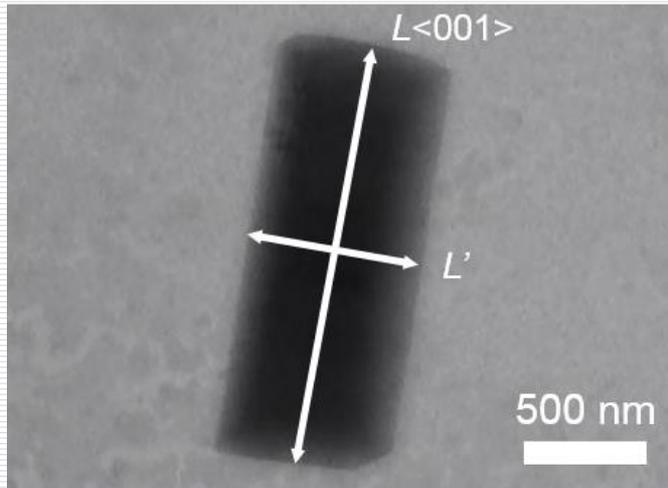
Video



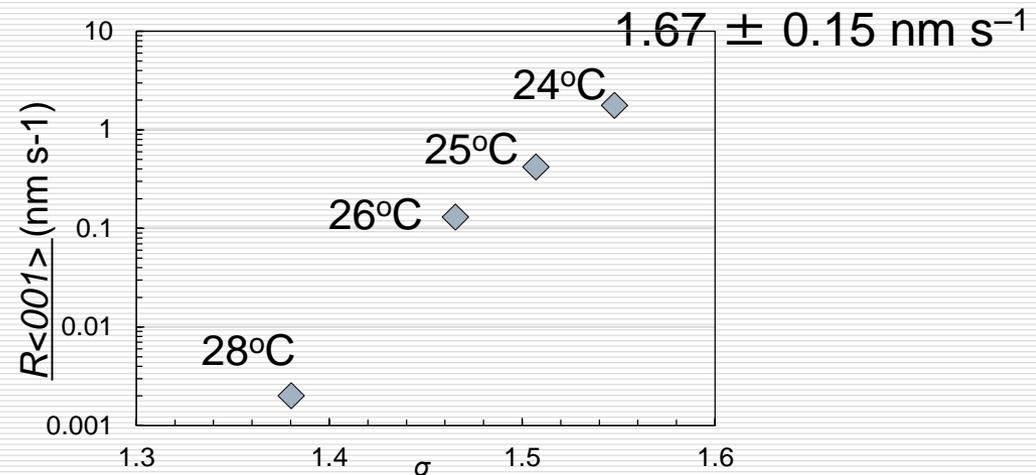
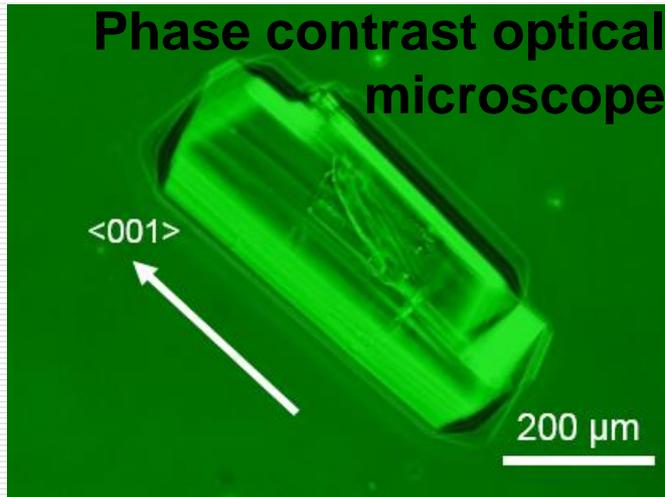
Very small growth rates ($\sim 1-2$ nm/s) of the growing crystal can be determined in relatively shorter time (< 10 s).

In-situ TEM observation of an orthorhombic lysozyme crystal. Play speed of the video is 5 times faster.

Comparison of growth rates at 24°C



Same value

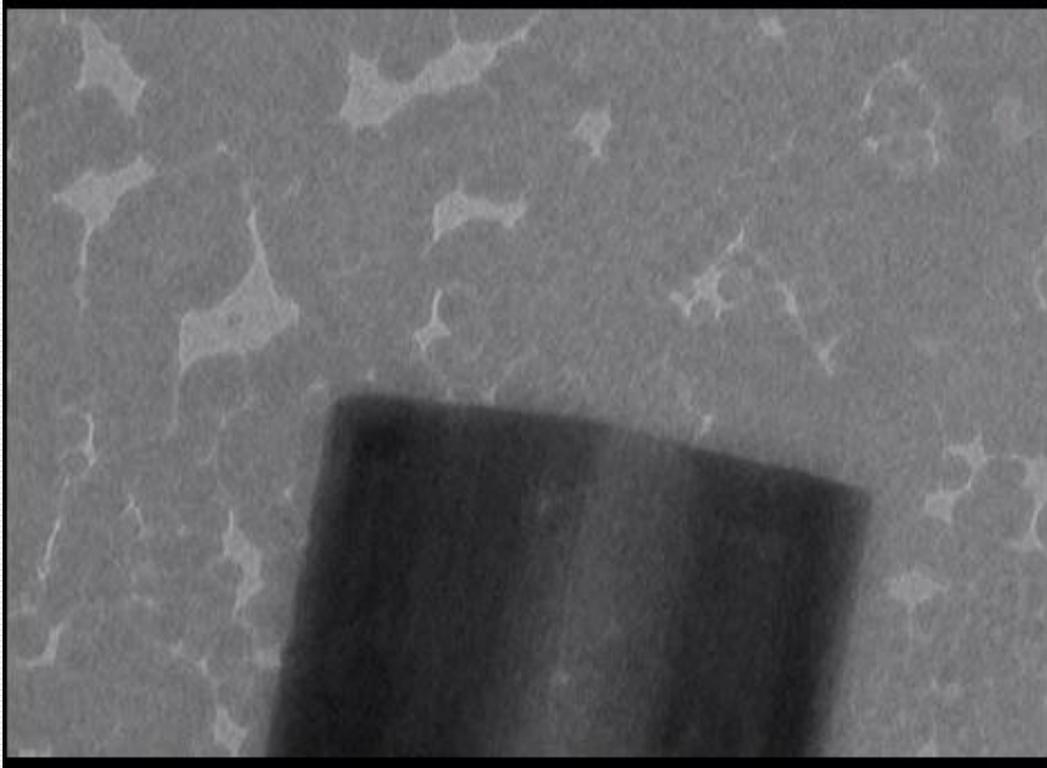


Growth rates of lysozyme crystals as a function of supersaturation $\sigma = \ln(C/C_e)$ (C : lysozyme concentration, C_e : solubility at certain temperature) measured under optical microscopy. There is the threshold of electron dose not to affect the crystallization.

Yamazaki, **Kimura** *et al.*, *PNAS* 114 (2017) 2154.

Dissolution of a lysozyme crystal

□ Increasing flux of electron



10 × faster

Sample : Orthorhombic
lysozyme crystal

E-chips : Flow

Spacer : 500 nm

Flow rate: 2 μ L/min

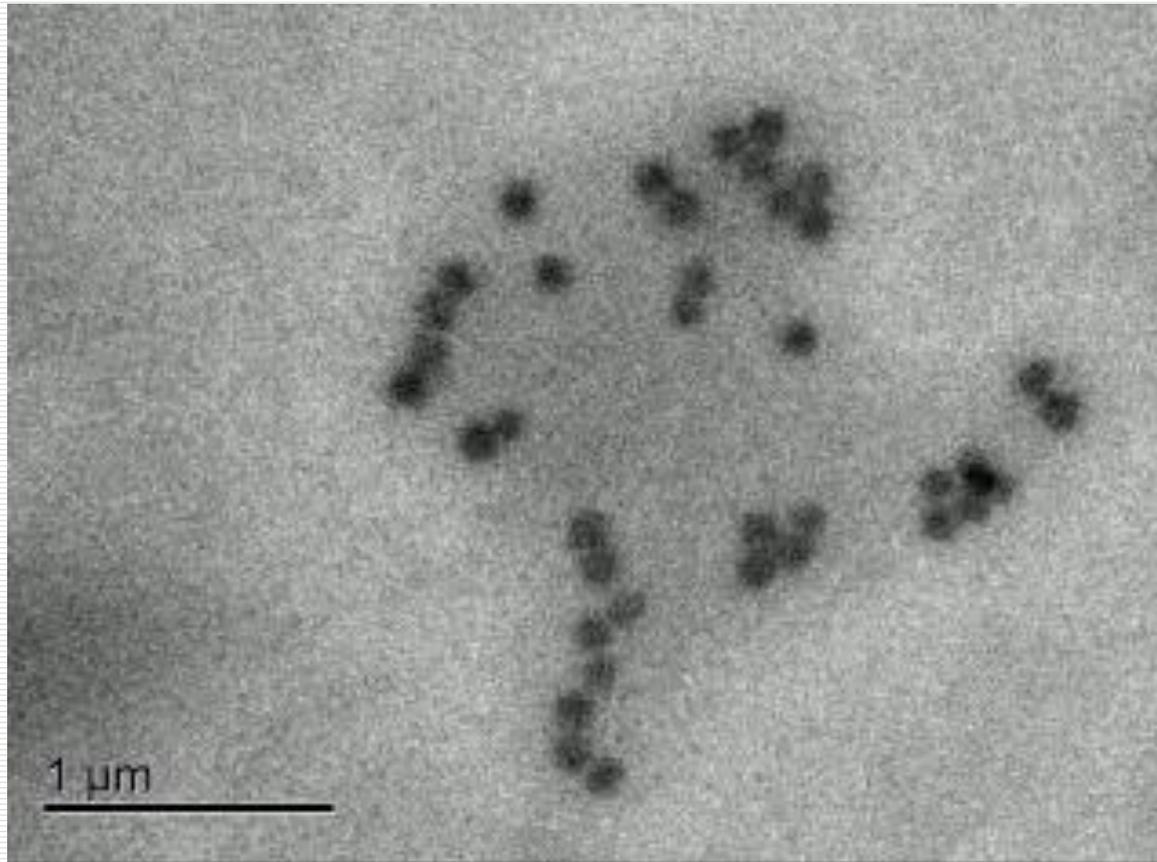
TEM : H-8100

Acc. V : 200 kV

E. Gun : LaB₆

**There is a threshold of electron flux,
which can be neglected to discuss
crystallization of lysozyme protein**

At the moments of crystallization

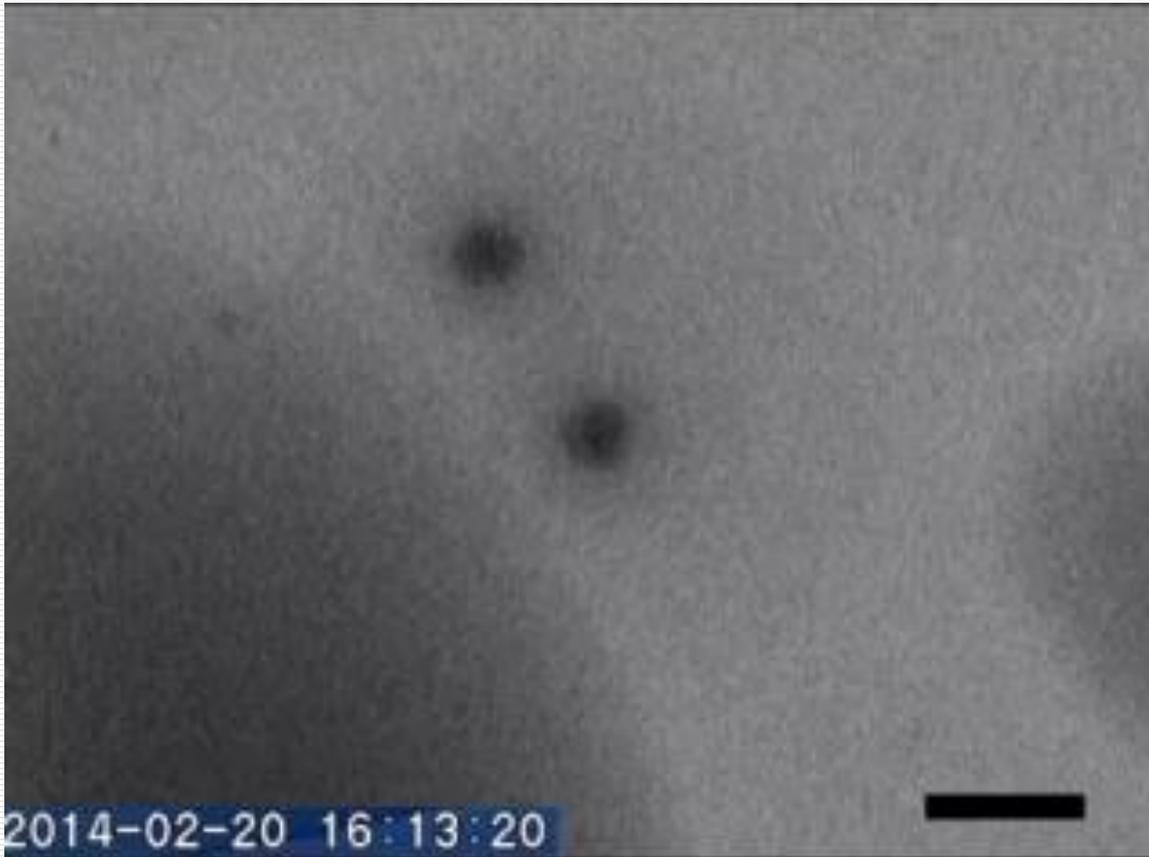


Real time

Sample : Lysozyme crystal
E-chips : Flow
Spacer : 150 nm
Flow rate: 2 μ L/min
TEM : HF-3300
Acc. V : 300 kV
E. Gun : Field emission

Orthorhombic lysozyme crystal nucleated separately from the amorphous particles. The amorphous particles did not transferred into a crystal.

At the moments of crystallization



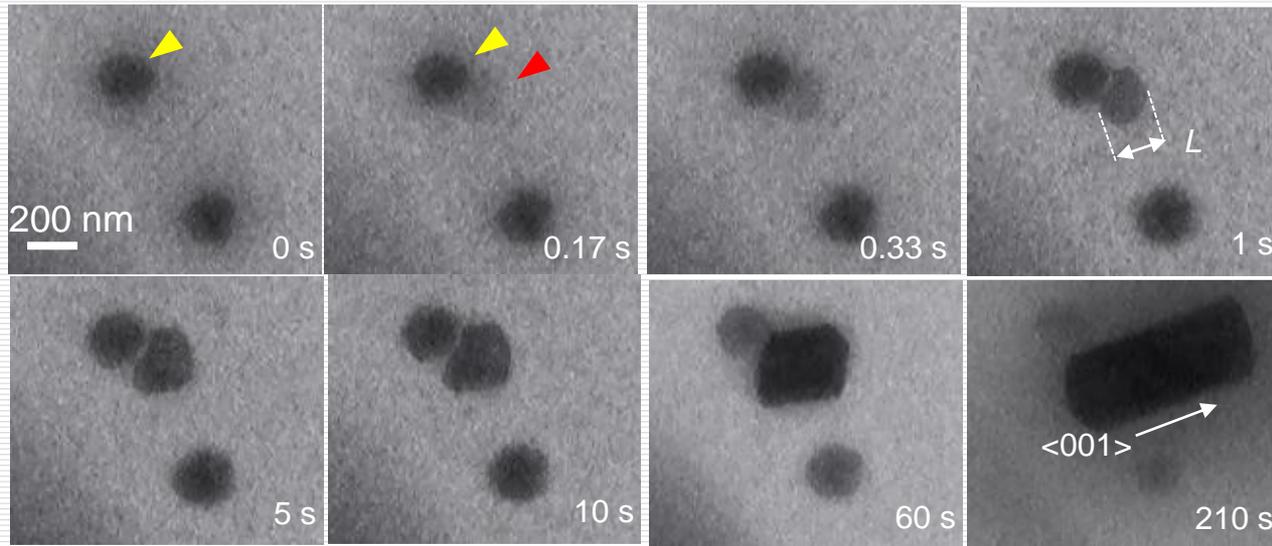
2 × faster

Sample : Lysozyme crystal
E-chips : Flow
Spacer : 500 nm
Flow rate: 2 μ L/min
TEM : H-8100
Acc. V : 200 kV
E. Gun : LaB₆

Scale bar: 500 nm

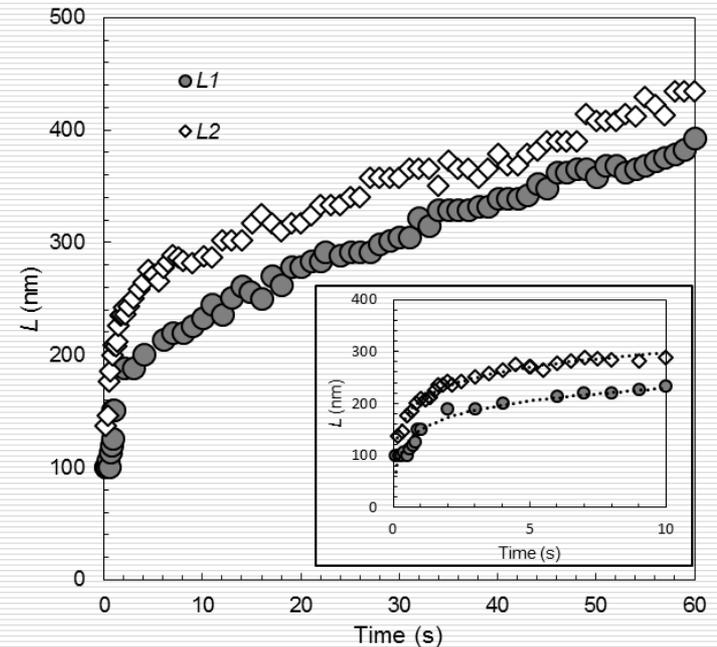
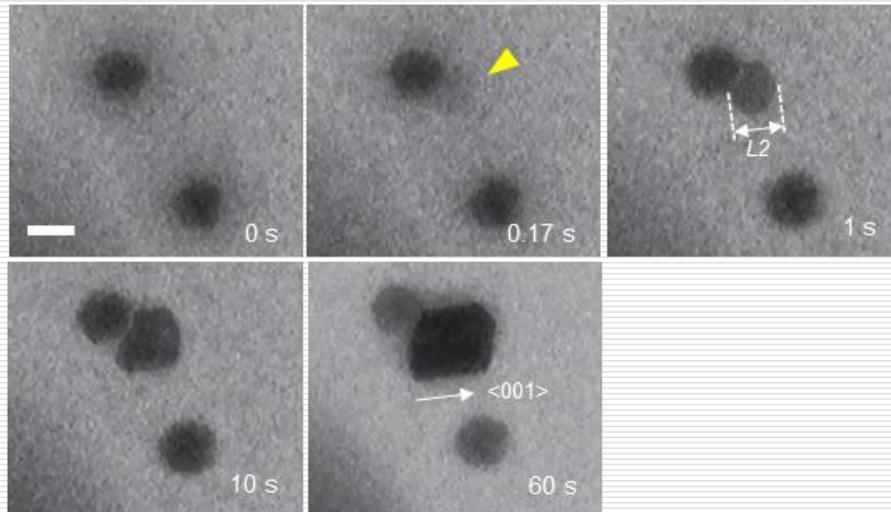
Orthorhombic lysozyme crystal nucleated on the amorphous particle.
The amorphous particles did not incorporated into the crystal.

Crystallization of protein (Pattern A)



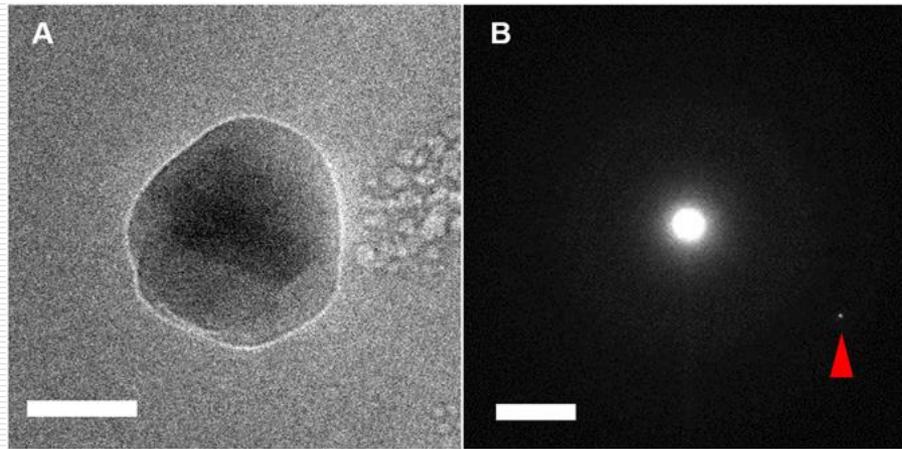
Still micrographs of the nucleation processes as observed by TEM. There are two amorphous particles at 0 s. The yellow triangles indicate a pre-existing amorphous particle. The red triangle shows a spherical particle that nucleated at 0.17 s near the amorphous particle indicated by the yellow triangle. This nucleated particle grew and transformed into an orthorhombic lysozyme crystal.

Nucleation process of lysozyme crystals



The role of amorphous phases in the nucleation of orthorhombic lysozyme crystals. (**Left**) Time resolved *in situ* TEM images. A spherical cluster, indicated with a yellow arrowhead, forms at 0.17 s near an amorphous solid particle (ASP) and transforms into an orthorhombic crystal. The scale bar is 200 nm. (**Right**) The size evolutions of particles reveal decreasing, on the average, growth rates. (Inset) Zoom-in of times 0 – 10 s. Dotted lines are logarithmic fits to each data set.

Nucleation process of lysozyme crystals



Frozen particle with a crystal in its center observed by cryo-TEM.

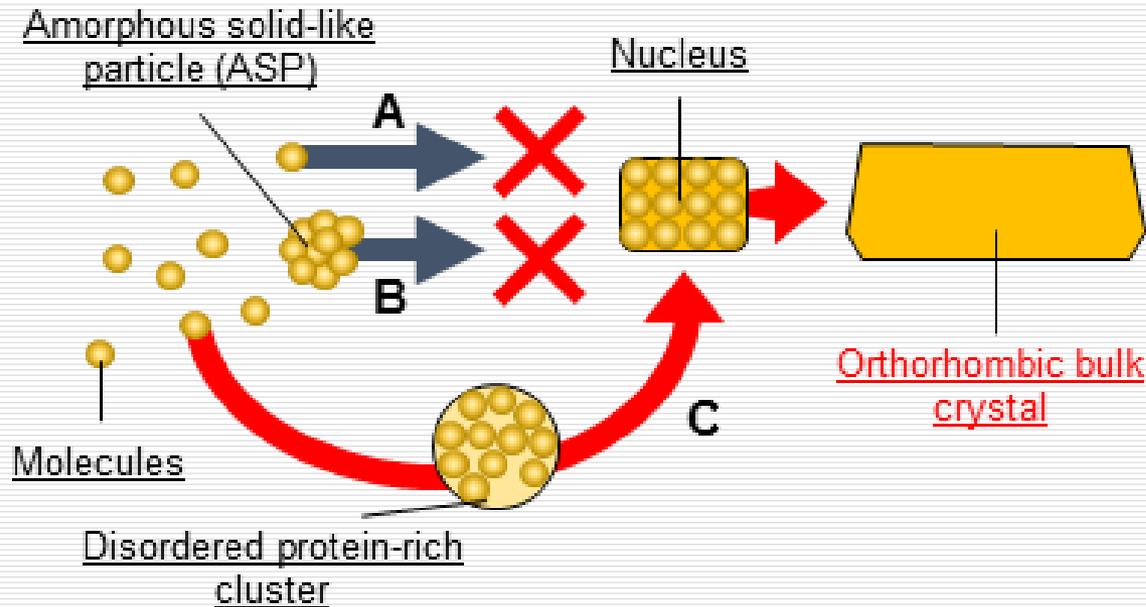
(A) The particle has a different contrast than the faceted faces, indicating a crystalline structure.

(Scale bar: 50 nm)

(B) The corresponding diffraction pattern of the particle shows a Laue spot (indicated by a triangle).

(Scale bar: 2 nm^{-1})

Nucleation process of lysozyme crystals



Schematic of the nucleation pathway of lysozyme crystals.

Crystals are not directly nucleated by assembly of molecules from the bulk solution (**A**) or transformation of the ASP (**B**). Instead, orthorhombic crystal nucleate within disordered protein-rich clusters (**C**), which heterogeneously nucleate on the surface of the ASPs or the container walls.

Summary

- ❑ Competition is an actual event before nucleation.
- ❑ Amorphous protein particles with 150-200 nm in size is not dense liquid, but solid, and not precursor of lysozyme crystal.
- ❑ Lysozyme crystals seem to be nucleated via dense liquid phase.
- ❑ Even in protein, physical properties of nanoparticles may play important roles in nucleation processes.

Acknowledgements

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