

# **Mathematical Approaches to Kobayashi–Warren–Carter type models of grain boundary motions**

**Speaker:** Shirakawa, Ken (Chiba Univ., Japan)

**Based on jointworks with:**

Watanabe, Hiroshi (Oita Univ., Japan)

Moll, Salvador (Univ. Valencia, Spain)

Yamazaki, Noriaki (Kanagawa Univ., Japan)

“Mathematical Aspects of Surface and Interface Dynamics 14”, FMSP Tutorial Symposium /  
Symposium on Mathematics for Various Disciplines 19, Oct. 26 (2017), Tokyo, Japan

## 0. Contents of this talk

### 1. Kobayashi–Warren–Carter model of grain boundary motion

**Keywords:** Derivation method of Kobayashi–Warren–Carter model, physical background, settings and assumptions

### 2. Mathematical approach when $\nu > 0$ (regular case)

**Keywords:** Direct subdifferential approach / extended gradient formalism, mathematical results [Ito–Kenmochi–Yamazaki](2008–2011), anisotropic model [Moll–S.–Watanabe](2017)

### 3. Mathematical approach when $\nu = 0$ (singular case)

**Keywords:** weighted total variation, variational formulation, mathematical results [Moll, S., Watanabe, Yamazaki](2012–), time-discretization approach

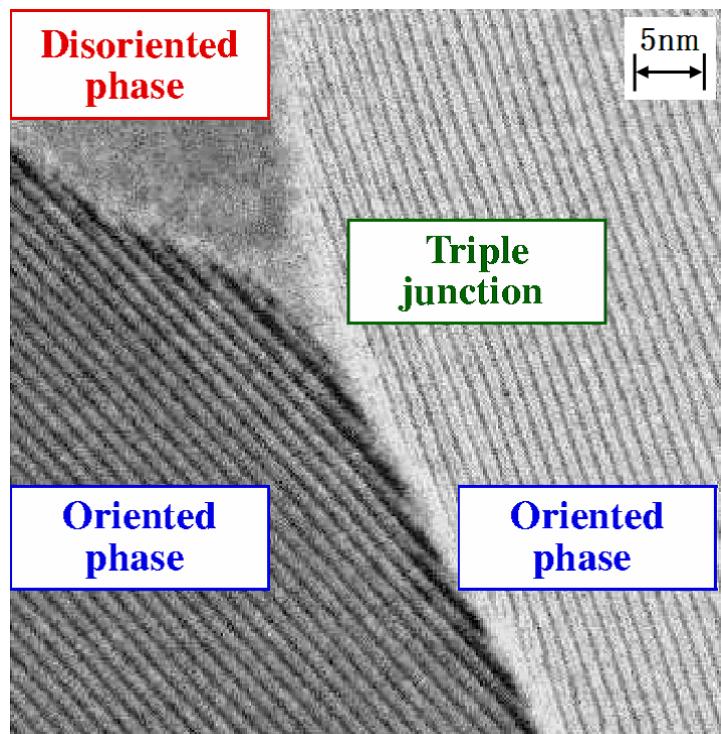
### 4. Problems in future

**Keywords:** Structural observations (for steady-state, in time-evolution), further advanced issues (anisotropic singular model, uniqueness)

# 1. Kobayashi–Warren–Carter model of grain boundary motion

**Situation:** in a time-interval  $(0, \infty)$ , a spatial domain  $\Omega \subset \mathbb{R}^2$  is occupied by a polycrystal (e.g. Ceramics).

**Target:** the movement of **grain boundaries**, i.e. grain boundary motions



**Kobayashi–Warren–Carter model.**

[K.–W.–C.](2000) Physica D

System of parabolic equations in  $Q := (0, \infty) \times \Omega$ , described by:

- $\varpi = \begin{bmatrix} \eta \cos \theta \\ \eta \sin \theta \end{bmatrix}$  mean orientation,

- $\eta = \eta(t, x)$ ,  $(t, x) \in Q$ ,  
orientation order,  $0 \leq \eta \leq 1$ ,

$$\left\{ \begin{array}{l} \eta = 1 \iff \text{oriented}, \\ \eta = 0 \iff \text{disoriented}, \\ \text{otherwise} \iff \text{intermediate}, \end{array} \right.$$

- $\theta = \theta(t, x)$ ,  $(t, x) \in Q$ ,  
orientation angle.

← Micrograph ( $\text{Si}_3\text{N}_4$ ): UBE Scientific Analysis Laboratory (<http://www.ube-ind.co.jp/usal/>)

## 1.1. Derivation of the model

### Gradient flow of the free-energy

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \mathcal{F}_\nu(\eta, \theta) := \Psi_0(\eta) + \Phi_\nu(\eta; \theta) \quad \text{Interfacial energy}$$

$$- \eta \mapsto \Psi_0(\eta) := \frac{1}{2} \int_{\Omega} |D\eta|^2 dx + \int_{\Omega} G(\eta) dx$$

$$- [\eta, \theta] \mapsto \Phi_\nu(\eta; \theta) := \int_{\Omega} \alpha(\eta) |D\theta| dx + \frac{\nu^2}{2} \int_{\Omega} |D\theta|^2 dx$$

Total variation

Relaxation

Note that:  $\nu = 0 \implies D(\mathcal{F}_\nu) = H^1(\Omega) \times BV(\Omega) \cap L^2(\Omega)$

**Kobayashi–Warren–Carter model (KWC) <sub>$\nu$</sub> :**

$$\left\{ \begin{array}{l} -\eta_t = \nabla_\eta \mathcal{F}_\nu(\eta, \theta) \text{ in } Q, \\ -\alpha_0(\eta) \theta_t = \nabla_\theta \mathcal{F}_\nu(\eta, \theta) \text{ in } Q, \end{array} \right. \quad \text{(B.C.)+(I.C.)}$$

- $\nu \geq 0$ : given small const.
- $\alpha_0 = \alpha_0(\eta) > 0, \alpha = \alpha(\eta) > 0$ : mobilities
- $0 \leq G = G(\eta)$ : potential function for the range-constraint  $0 \leq \eta \leq 1$

## Assumptions.

- (A0)  $\nu \geq 0$ : const.,  $\Omega \subset \mathbb{R}^N$ : b.d.d. domain ( $N \in \mathbb{N}$ ),  $\Gamma := \partial\Omega$ : smooth
- (A1)  $0 \leq G \in C^3(\mathbb{R})$ ,  $g = G' \in C^2(\mathbb{R})$  s.t.  $g' > 0$  on  $[0, 1]$  and  $g(1) = 0$
- (A2)  $\alpha_0 \in C^1(\mathbb{R})$ ,  $\alpha \in C^2(\mathbb{R})$  convex,  $\alpha'(0) = 0$ ,  $\delta_* := \inf \alpha_0(\mathbb{R}) \cup \alpha(\mathbb{R}) > 0$
- (A3)  $[\eta_0, \theta_0]$  belongs to a subclass  $D_\nu \subset D(\mathcal{F}_\nu)$ , where

$$D_\nu := \left\{ [\tilde{\eta}, \tilde{\theta}] \in D(\mathcal{F}_\nu) \mid \begin{array}{l} \tilde{\eta} \in H^1(\Omega), 0 \leq \tilde{\eta} \leq 1 \text{ a.e. on } \Omega, \\ \tilde{\theta} \in BV(\Omega) \cap L^\infty(\Omega) \text{ and } \nu \tilde{\theta} \in H^1(\Omega) \end{array} \right\}$$

Typical choices, cf. [Kobayashi–Warren–Carter](2000).

$$\alpha_0(\eta) = \alpha(\eta) = \frac{\eta^2}{2} + \delta_*, \quad G(\eta) = \frac{(\eta - 1)^2}{2}, \quad g(\eta) = \eta - 1, \quad \forall \eta \in \mathbb{R}$$

- †. The presences of the constants  $\nu$  and  $\delta_*$  were not supposed in the original model
- ‡. Red conditions are to lead to the range constraint  $0 \leq \eta \leq 1$ , and this range constraint enables us to suppose Lipschitz continuities for  $\alpha_0, \alpha, g$ , without loss of generality

## 2. Mathematical approach when $\nu > 0$

**System (KWC) <sub>$\nu$</sub>  with “Neumann-zero B.C.” for  $\theta$ :**

$$\left\{ \begin{array}{l} \eta_t - \Delta\eta + g(\eta) + \alpha'(\eta)|D\theta| = 0, \quad \text{in } Q, \\ \alpha_0(\eta)\theta_t - \operatorname{div}\left(\alpha(\eta)\frac{D\theta}{|D\theta|} + \nu^2 D\theta\right) = 0, \quad \text{in } Q, \\ D\eta \cdot n_{\partial\Omega} = 0, \quad (\alpha(\eta)\frac{D\theta}{|D\theta|} + \nu^2 D\theta) \cdot n_{\partial\Omega} = 0, \quad \text{on } \Sigma := (0, T) \times \partial\Omega, \\ \eta(0, x) = \eta_0(x), \quad \theta(0, x) = \theta_0(x), \quad x \in \Omega. \end{array} \right.$$

◇ Corresponding interfacial energy (Neumann-zero B.C. for  $\theta$ )

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \Phi_\nu(\eta; \theta) := \begin{cases} \int_\Omega \left( \alpha(\eta)|D\theta| + \frac{\nu^2}{2}|D\theta|^2 \right) dx, \\ \quad \text{if } \theta \in H^1(\Omega), \\ \infty, \quad \text{otherwise.} \end{cases}$$

**Note that:** by the range constraint  $0 \leq \eta \leq 1$ ,  $\alpha'(\eta)|D\theta|$  can be  $L^2$ -function, and  $-\operatorname{div}\left(\alpha(\eta)\frac{D\theta}{|D\theta|} + \nu^2 D\theta\right) \approx \partial\Phi_\nu(\eta; \theta)$ , where  $\partial\Phi_\nu(\eta; \theta)$  is the  $L^2$ -subdifferential of  $\Phi_\nu(\eta; \theta)$  with respect to  $\theta$

## 2. Mathematical approach when $\nu > 0$

**System  $(KWC)_\nu$  with “Dirichlet-zero B.C.” for  $\theta$ :**

$$\begin{cases} \eta_t - \Delta\eta + g(\eta) + \alpha'(\eta)|D\theta| = 0, & \text{in } Q, \\ \alpha_0(\eta)\theta_t - \operatorname{div}\left(\alpha(\eta)\frac{D\theta}{|D\theta|} + \nu^2 D\theta\right) = 0, & \text{in } Q, \\ D\eta \cdot n_{\partial\Omega} = 0, \quad \theta = 0, & \text{on } \Sigma := (0, T) \times \partial\Omega, \\ \eta(0, x) = \eta_0(x), \quad \theta(0, x) = \theta_0(x), & x \in \Omega. \end{cases}$$

◇ Corresponding interfacial energy (Dirichlet-zero B.C. for  $\theta$ )

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \Phi_\nu(\eta; \theta) := \begin{cases} \int_\Omega \left( \alpha(\eta)|D\theta| + \frac{\nu^2}{2}|D\theta|^2 \right) dx, \\ \quad \text{if } \theta \in H_0^1(\Omega), \text{ i.e. we suppose } \theta = 0 \text{ on } \partial\Omega, \\ \infty, \quad \text{otherwise.} \end{cases}$$

**Note that:** by the range constraint  $0 \leq \eta \leq 1$ ,  $\alpha'(\eta)|D\theta|$  can be  $L^2$ -function, and  $-\operatorname{div}\left(\alpha(\eta)\frac{D\theta}{|D\theta|} + \nu^2 D\theta\right) \approx \partial\Phi_\nu(\eta; \theta)$ , where  $\partial\Phi_\nu(\eta; \theta)$  is the  $L^2$ -subdifferential of  $\Phi_\nu(\eta; \theta)$  with respect to  $\theta$

## 2.1. Direct subdifferential-approach to $(\text{KWC})_\nu$ when $\nu > 0$

Vectorial variable  $v \in H$  on a Hilbert space  $H$ :

$$H := L^2(\Omega)^2 \text{ and } v := [\eta, \theta] \in H$$

Operator  $\mathcal{A} : H \rightarrow L^2(\Omega)^{2 \times 2}$  of mobility:

$$v = [\eta, \theta] \in H \mapsto \mathcal{A}(v) := \begin{bmatrix} 1 & 0 \\ 0 & \alpha_0(\eta) \end{bmatrix}$$

“Total convex energy”  $\mathcal{J}_\nu : H \rightarrow [0, \infty]$  with the subdifferential  $\partial \mathcal{J}_\nu \subset H^2$ :

$$v = [\eta, \theta] \in D(\mathcal{F}_\nu) \subset H \mapsto \mathcal{J}_\nu(v) := \frac{1}{2} \int_{\Omega} \left[ |D\eta|^2 + \left( \nu |D\theta| + \frac{1}{\nu} \alpha(\eta) \right)^2 \right] dx$$

Lipschitz perturbation  $\mathcal{G} : H \rightarrow H$ :

$$v = [\eta, \theta] \in H \mapsto \mathcal{G}(v) := {}^t \left[ g(\eta) - \frac{1}{\nu} \alpha(\eta) \alpha'(\eta), 0 \right]$$

**Reformulation of  $(\text{KWC})_\nu$  by a doubly-nonlinear evolution equation on  $H$ :**

$$(\text{E})_\nu \quad \mathcal{A}(v(t))v'(t) + \partial \mathcal{J}_\nu(v(t)) + \mathcal{G}(v(t)) \ni 0 \text{ in } H, \quad t > 0$$

- †. The general theories of [Brézis, Barbu](1972–) are available for the existence result and the uniqueness when  $\alpha_0 \equiv \text{Const.}$
- ‡. The direct subdifferential approach is NOT available when  $\nu = 0$

## 2.2. Mathematical results when $\nu > 0$ , cf. [Ito–Kenmochi–Yamazaki](2008–2011)

For simplicity, we suppose the **Dirichlet-zero B.C.** for  $\theta$

**Theorem I (Solvability, energy-dissipation and large-time behavior)** Under (A0)–(A3) with  $\nu > 0$ , the system  $(\text{KWC})_\nu$  admits a solution  $[\eta, \theta]$ , defined as follows.

(S1) <sub>$\nu$</sub>   $[\eta, \theta] \in W_{\text{loc}}^{1,2}([0, \infty); L^2(\Omega)^2) \cap L_{\text{loc}}^\infty([0, \infty); H^1(\Omega) \times H_0^1(\Omega))$ ;  
 $0 \leq \eta(t) \leq 1$  a.e. in  $\Omega$  and  $|\theta(t)|_{L^\infty(\Omega)} \leq |\theta_0|_{L^\infty(\Omega)}$ ,  $\forall t \geq 0$ ;  
 $[\eta(0), \theta(0)] = [\eta_0, \theta_0] \in D_\nu$ , in  $L^2(\Omega)^2$

(S2) <sub>$\nu$</sub>   $[\eta, \theta]$  solves the following variational inequalities:

$$\begin{aligned} & \int_{\Omega} (\eta_t(t) + g(\eta(t)) + \alpha'(\eta(t))|D\theta(t)|)\varphi \, dx + \int_{\Omega} D\eta(t) \cdot D\varphi \, dx = 0, \\ & \int_{\Omega} \alpha_0(\eta(t))\theta_t(t)(\theta(t) - \psi) \, dx + \nu^2 \int_{\Omega} D\theta(t) \cdot D(\theta(t) - \psi) \, dx \\ & \quad + \int_{\Omega} \alpha(\eta(t))|D\theta(t)| \, dx \leq \int_{\Omega} \alpha(\eta(t))|D\psi| \, dx, \\ & \quad \forall \varphi \in H^1(\Omega), \psi \in H_0^1(\Omega) \text{ a.e. } t > 0 \end{aligned}$$

*to be continued ...*

... rest of the statement

(S3) $_{\nu}$  (Energy dissipation)  $\mathcal{F}_{\nu}(\eta(\cdot), \theta(\cdot))$  is **absolutely continuous** in time, and

$$|\eta_t(t)|_{L^2(\Omega)}^2 + |\sqrt{\alpha_0(\eta(t))}\theta_t(t)|_{L^2(\Omega)}^2 + \frac{d}{dt}\mathcal{F}_{\nu}(\eta(t), \theta(t)) = 0, \text{ a.e. } t > 0.$$

Moreover, the following convergence holds in the **large-time**.

$$[\eta(t), \theta(t)] \rightarrow [1, 0] \text{ in } L^2(\Omega)^2 \text{ as } t \rightarrow \infty$$

In particular, if  $\alpha_0 \equiv \text{Const.}$ , then the solution  $[\eta, \theta]$  is **unique**.

†. The convergent point  $[1, 0]$  is the **(unique)** solution to the steady-state problem  $(S_{\infty})_{\nu}$

$(S_{\infty})_{\nu}$ :

$$\begin{cases} -\Delta\eta_{\infty} + g(\eta_{\infty}) + \alpha'(\eta_{\infty})|D\theta_{\infty}| = 0 \text{ in } \Omega, \text{ with Neumann-zero B.C.,} \\ -\operatorname{div} \left( \alpha(\eta_{\infty}) \frac{D\theta_{\infty}}{|D\theta_{\infty}|} + \nu^2 D\theta_{\infty} \right) = 0, \text{ with Dirichlet-zero B.C.} \end{cases}$$

## ◇ Relevant previous works

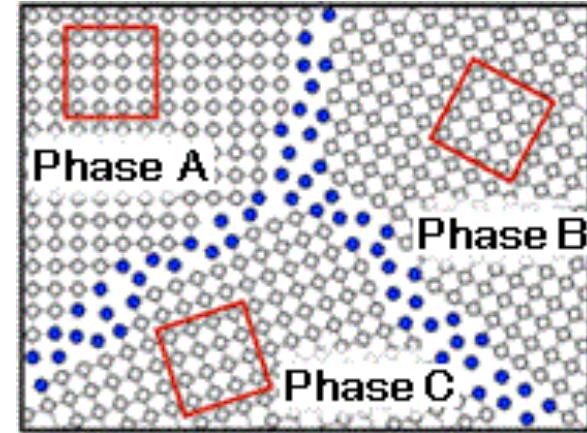
#1) **Neumann-zero B.C. for  $\theta$ :** [Moll, S., Watanabe, Yamazaki](2012–)

#2) **Inhomogeneous Dirichlet B.C. for  $\theta$ :** [Moll, S., Watanabe](2016–2017)

### #3) Anisotropic system (A-KWC) <sub>$\nu$</sub> , cf. [Moll-S.-Watanabe](2016–2017):

$$\left\{ \begin{array}{l} \eta_t - \Delta \eta + g(\eta) + \alpha'(\eta) \gamma(R(\theta) D\theta) = 0, \quad \text{in } Q, \\ \alpha_0(\eta) \theta_t - \operatorname{div} \left( \alpha(\eta) R(-\theta) \partial \gamma(R(\theta) D\theta) + \nu D\theta \right) \\ \quad + \alpha(\eta) \partial \gamma(R(\theta) D\theta) \cdot R(\theta + \frac{\pi}{2}) D\theta \ni 0 \text{ in } Q, \\ (\text{B.C.}) + (\text{I.C.}) \end{array} \right.$$

- $\Omega \subset \mathbb{R}^2$ : b.d.d. domain
- $\partial \gamma$ : subdifferential of an anisotropic norm  
 $0 \leq \gamma \in W^{1,\infty}(\mathbb{R}^2)$
- $R(\vartheta) := \begin{bmatrix} \cos \vartheta & \sin \vartheta \\ -\sin \vartheta & \cos \vartheta \end{bmatrix}, \quad \forall \vartheta \in \mathbb{R}$   
 (rotation angle)



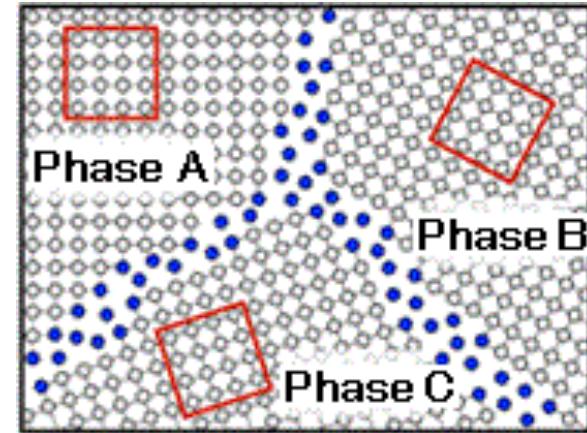
#### Anisotropic interfacial energy:

$$[\eta, \theta] \in H^1(\Omega)^2 \mapsto \Phi_\nu(\eta; \theta) := \int_{\Omega} \alpha(\eta) \gamma(R(\theta) D\theta) dx + \frac{\nu^2}{2} \int_{\Omega} |D\theta|^2 dx$$

### #3) Anisotropic system $(A\text{-KWC})_\nu$ , cf. [Moll–S.–Watanabe](2016–2017):

$$\left\{ \begin{array}{l} \eta_t - \Delta \eta + g(\eta) + \alpha'(\eta) \gamma(R(\theta) D\theta) = 0, \quad \text{in } Q, \\ \alpha_0(\eta) \theta_t - \operatorname{div} \left( \alpha(\eta) R(-\theta) \partial \gamma(R(\theta) D\theta) + \nu D\theta \right) \\ \quad + \alpha(\eta) \partial \gamma(R(\theta) D\theta) \cdot R(\theta + \frac{\pi}{2}) D\theta \ni 0 \text{ in } Q, \\ (\text{B.C.}) + (\text{I.C.}) \end{array} \right.$$

- $\Omega \subset \mathbb{R}^2$ : b.d.d. domain
- $\partial \gamma$ : subdifferential of an anisotropic norm  
 $0 \leq \gamma \in W^{1,\infty}(\mathbb{R}^2)$
- $R(\vartheta) := \begin{bmatrix} \cos \vartheta & \sin \vartheta \\ -\sin \vartheta & \cos \vartheta \end{bmatrix}, \quad \forall \vartheta \in \mathbb{R}$   
 (rotation angle)



Note that:

Due to the difference of  $\gamma$  and  $|\cdot|$ , we can NOT apply the direct subdifferential-approach for  $(A\text{-KWC})_\nu$ , and we need another approach based on the mathematical analysis when  $\nu = 0$

### 3. Mathematical approach when $\nu = 0$

System  $(\mathbf{KWC})_0$  with “Neumann-zero B.C.” for  $\theta$ :

$$\left\{ \begin{array}{l} \eta_t - \Delta \eta + g(\eta) + \alpha'(\eta)|D\theta| = 0, \quad \text{in } Q, \\ \alpha_0(\eta)\theta_t - \operatorname{div} \left( \alpha(\eta) \frac{D\theta}{|D\theta|} \right) = 0, \quad \text{in } Q, \\ D\eta \cdot n_{\partial\Omega} = 0, \quad (\alpha(\eta) \frac{D\theta}{|D\theta|}) \cdot n_{\partial\Omega} = 0, \quad \text{on } \Sigma := (0, T) \times \partial\Omega, \\ \eta(0, x) = \eta_0(x), \quad \theta(0, x) = \theta_0(x), \quad x \in \Omega. \end{array} \right.$$

◇ Corresponding interfacial energy (Neumann-zero B.C. for  $\theta$ )

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \Phi_0(\eta; \theta) := \begin{cases} \int_{\Omega} \alpha(\eta)|D\theta| \text{ (weighted total variation),} \\ \quad \text{if } \eta \in H^1(\Omega) \cap L^\infty(\Omega) \text{ and } \theta \in BV(\Omega), \\ \infty, \quad \text{otherwise.} \end{cases}$$

**Mathematical focus:**  $\theta \in BV(\Omega) \implies |D\theta|: \text{measure (not function)}$

(MF1) Meaningful mathematical expression of  $\alpha(\eta)|D\theta|$ ,  $\alpha'(\eta)|D\theta|$ , e.t.c.,  
i.e. the expressions of weighted total variations

### 3. Mathematical approach when $\nu = 0$

System  $(\text{KWC})_0$  with “Dirichlet-zero B.C.” for  $\theta$ :

$$\left\{ \begin{array}{l} \eta_t - \Delta \eta + g(\eta) + \alpha'(\eta)|D\theta| = 0, \quad \text{in } Q, \\ \alpha_0(\eta)\theta_t - \operatorname{div} \left( \alpha(\eta) \frac{D\theta}{|D\theta|} + \nu^2 D\theta \right) = 0, \quad \text{in } Q, \\ D\eta \cdot n_{\partial\Omega} = 0, \quad \theta = 0, \quad \text{on } \Sigma := (0, T) \times \partial\Omega, \\ \eta(0, x) = \eta_0(x), \quad \theta(0, x) = \theta_0(x), \quad x \in \Omega. \end{array} \right.$$

◇ Expected interfacial energy (Dirichlet-zero B.C. for  $\theta$ )

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \tilde{\Phi}_0(\eta; \theta) := \begin{cases} \int_{\Omega} \alpha(\eta)|D\theta| & \text{if } \eta \in H^1(\Omega) \cap L^\infty(\Omega), \\ & \theta \in BV(\Omega) \text{ and } \theta = 0 \text{ on } \partial\Omega, \\ \infty, & \text{otherwise.} \end{cases}$$

**Mathematical focus:**  $\theta \in BV(\Omega)$  may have jumps bet. values on  $\Omega$  and  $\partial\Omega$

⇒ the B.C. “ $\theta = 0$  on  $\partial\Omega$ ” is meaningless in mathematics

### 3. Mathematical approach when $\nu = 0$

System  $(\mathbf{KWC})_0$  with “Dirichlet-zero B.C.” for  $\theta$ :

$$\left\{ \begin{array}{l} \eta_t - \Delta \eta + g(\eta) + \alpha'(\eta)|D\theta| = 0, \quad \text{in } Q, \\ \alpha_0(\eta)\theta_t - \operatorname{div} \left( \alpha(\eta) \frac{D\theta}{|D\theta|} + \nu^2 D\theta \right) = 0, \quad \text{in } Q, \\ D\eta \cdot n_{\partial\Omega} = 0, \quad \theta = 0, \quad \text{on } \Sigma := (0, T) \times \partial\Omega, \\ \eta(0, x) = \eta_0(x), \quad \theta(0, x) = \theta_0(x), \quad x \in \Omega. \end{array} \right.$$

◇ Expected interfacial energy (Dirichlet-zero B.C. for  $\theta$ )

$$[\eta, \theta] \in L^2(\Omega)^2 \mapsto \tilde{\Phi}_0(\eta; \theta) := \begin{cases} \int_{\Omega} \alpha(\eta)|D\theta| & \text{if } \eta \in H^1(\Omega) \cap L^\infty(\Omega), \\ & \theta \in BV(\Omega) \text{ and } \theta = 0 \text{ on } \partial\Omega, \\ \infty, & \text{otherwise.} \end{cases}$$

**Mathematical focus:** the expected energy  $\tilde{\Phi}_0$  is NOT lower semi-continuous

**(MF2)** The exact formulation of the interfaical energy “ $\Phi_0(\eta; \theta)$ ” for the Dirichlet-zero B.C. for  $\theta$

### 3.1. Preliminaries for $(\text{KWC})_0$ with Dirichlet-zero B.C. for $\theta$

(MF1) **Weighted total variation, cf. [Amar, Bellettini, de Cicco, Fusco](1994)**

$\forall \beta \in H^1(\Omega) \cap L^\infty(\Omega), \theta \in BV(\Omega) \cap L^2(\Omega)$ , the weighted total variation  $[\beta|D\theta|]$  is a finite Radon measure on  $\Omega$ , s.t.

$$\int_{\Omega} d[\beta|D\theta|] = \int_{\Omega} \beta^*|D\theta| \text{ (integral of } \beta^* \text{ w.r.t. } |D\theta|)$$

where  $\beta^*$  is the precise expression of  $\beta$ ,  $\mathcal{H}^{N-1}$ -a.e. in  $\Omega$

**Proposition 3.1 (Continuous dependence), cf. [Moll–S.](2014)** If:

$$\begin{cases} \beta, \rho \in H^1(\Omega) \cap L^\infty(\Omega), \{\beta_n, \rho_n\}_{n=1}^\infty \subset H^1(\Omega) \cap L^\infty(\Omega), \\ \theta \in BV(\Omega) \cap L^2(\Omega), \{\theta_n\}_{n=1}^\infty \subset BV(\Omega) \cap L^2(\Omega) \\ \beta_n \rightarrow \beta, \rho_n \rightarrow \rho \text{ in } L^2(\Omega), \text{ weakly in } H^1(\Omega), \text{ as } n \rightarrow \infty, \\ \beta_n \geq \exists \delta_\beta > 0 \text{ on } \Omega, \forall n \in \mathbb{N}, \text{ and } \theta_n \rightarrow \theta \text{ in } L^2(\Omega), \text{ as } n \rightarrow \infty \end{cases}$$

then it holds that:

$$\int_{\Omega} d[\beta_n|D\theta_n|] \rightarrow \int_{\Omega} d[\beta|D\theta|] \implies \int_{\Omega} d[\rho_n|D\theta_n|] \rightarrow \int_{\Omega} d[\rho|D\theta|], \text{ as } n \rightarrow \infty$$

## (MF2) Dirichlet-zero B.C. for $\theta$ , cf. [Andreu–Ballester–Caselles–Mazón](2001)

$\forall \beta \in H^1(\Omega) \cap L^\infty(\Omega)$ ,  $\theta \in BV(\Omega) \cap L^2(\Omega)$ , let  $[\beta|D\theta]_0$  be a measure, defined as:

$$\int_B d[\beta|D\theta]_0 := \int_B [\beta^*]_0^{\text{ex}} |D[\theta]_0^{\text{ex}}| = \int_{B \cap \Omega} \beta^* |D\theta| + \int_{B \cap \partial\Omega} \beta |\theta| d\mathcal{H}^{N-1}, \forall B \subset \mathbb{R}^N: \text{Borel}$$

where  $[\cdot]_0^{\text{ex}}$  is the zero-extension of a function

### Interfacial energy under Dirichlet-zero B.C. for $\theta$ :

$$\Phi_0(\eta; \theta) := \int_{\bar{\Omega}} d[\alpha(\eta)|D\theta]_0 = \int_{\Omega} \alpha(\eta^*) |D\theta| + \int_{\partial\Omega} \alpha(\eta) |\theta| d\mathcal{H}^{N-1}$$

### ◆ Dirichlet type B.C. derived from the subdifferential $\partial\Phi_0(\eta; \cdot)$ (1st variation)

$$-\alpha(\eta) \frac{D\theta}{|D\theta|} \cdot n_\Gamma \in \alpha(\eta) \text{Sgn}(\theta) \iff \theta \in (\text{Sgn})^{-1}\left(-\frac{D\theta}{|D\theta|} \cdot n_\Gamma\right)$$

$$\begin{cases} \theta = 0, & \text{if } \frac{D\theta}{|D\theta|} \cdot n_\Gamma \in (-1, 1), \\ \theta \leq 0, & \text{if } \frac{D\theta}{|D\theta|} \cdot n_\Gamma = 1, \\ \theta \geq 0, & \text{if } \frac{D\theta}{|D\theta|} \cdot n_\Gamma = -1, \end{cases} \quad \text{a.e. on } \Gamma$$

where  $(\text{Sgn})^{-1}$  is the inverse of the signal-function  $\text{Sgn}$  (set-valued)

### 3.2. Mathematical results when $\nu = 0$ , cf. [Moll, S., Watanabe, Yamazaki](2012–)

For simplicity, we suppose the **Dirichlet-zero B.C.** for  $\theta$

**Theorem II (Solvability, energy-dissipation and large-time behavior)** Under (A0)–(A3) with  $\nu = 0$ , the system (KWC)<sub>0</sub> admits a solution  $[\eta, \theta]$ , defined as follows.

(S1)<sub>0</sub>  $\eta \in W_{\text{loc}}^{1,2}([0, \infty); L^2(\Omega)) \cap L_{\text{loc}}^\infty([0, \infty); H^1(\Omega))$ ,  $\eta(0) = \eta_0$  in  $L^2(\Omega)$ ;  
 $\theta \in W_{\text{loc}}^{1,2}([0, \infty); L^2(\Omega))$ ,  $|D\theta(\cdot)|(\Omega) \in L_{\text{loc}}^\infty([0, \infty))$ ,  $\theta(0) = \theta_0$  in  $L^2(\Omega)$ ;  
 $0 \leq \eta(t) \leq 1$  a.e. in  $\Omega$  and  $|\theta(t)|_{L^\infty(\Omega)} \leq |\theta_0|_{L^\infty(\Omega)}$ ,  $\forall t \geq 0$ ;

(S2)<sub>0</sub>  $[\eta, \theta]$  solves the following variational inequalities:

$$\int_{\Omega} (\eta_t(t) + g(\eta(t))) \varphi \, dx + \int_{\Omega} D\eta(t) \cdot D\varphi \, dx + \int_{\overline{\Omega}} d[\varphi \alpha'(\eta(t)) |D\theta(t)|]_0 = 0,$$

$$\int_{\Omega} \alpha_0(\eta(t)) \theta_t(t) (\theta(t) - \psi) \, dx + \int_{\overline{\Omega}} d[\alpha(\eta(t)) |D\theta(t)|]_0 \leq \int_{\overline{\Omega}} d[\alpha(\eta(t)) |D\psi|]_0,$$

$$\forall \varphi \in H^1(\Omega) \cap L^\infty(\Omega), \psi \in BV(\Omega) \cap L^2(\Omega) \text{ a.e. } t > 0$$

*to be continued ...*

... rest of the statement

(S3)<sub>0</sub> (Energy dissipation)  $\mathcal{F}_0(\eta(\cdot), \theta(\cdot))$  is **BV-local function** in time, and

$$\begin{aligned} & \int_s^t \left( |\eta_t(t)|_{L^2(\Omega)}^2 + |\sqrt{\alpha_0(\eta(t))}\theta_t(t)|_{L^2(\Omega)}^2 \right) dt + \mathcal{F}_0(\eta(t), \theta(t)) \\ & \leq \mathcal{F}_0(\eta(s), \theta(s)), \text{ a.e. } 0 < s \leq t < \infty \text{ (including } s = 0) \end{aligned}$$

Moreover, the following convergence holds in the **large-time**.

$$[\eta(t), \theta(t)] \rightarrow [1, 0] \text{ in } L^2(\Omega)^2 \text{ as } t \rightarrow \infty$$

- †<sub>1</sub>. When  $\nu = 0$ , there is **no uniqueness result**, yet
- †<sub>2</sub>. The convergent point **[1, 0]** is the **(unique)** solution to the steady-state problem **(S<sub>∞</sub>)<sub>0</sub>**

**(S<sub>∞</sub>)<sub>0</sub>:**

$$\begin{cases} -\Delta\eta_\infty + g(\eta_\infty) + \alpha'(\eta_\infty)|D\theta_\infty| = 0 \text{ in } \Omega, \text{ with Neumann-zero B.C.,} \\ -\operatorname{div} \left( \alpha(\eta_\infty) \frac{D\theta_\infty}{|D\theta_\infty|} \right) = 0, \text{ with Dirichlet-zero B.C.} \end{cases}$$

- †<sub>3</sub>. In general, the solution to **(S<sub>∞</sub>)<sub>0</sub>** is **NOT unique** when the Dirichlet boundary source for  $\theta$  is **inhomogeneous**

### 3.3. Proof: Mathematical approach when $\nu = 0$

**Keypoint:** time-discretization for regular systems  $(\text{KWC})_\nu$  when  $\nu > 0$

**Approximating problem  $(\text{AP})_h^\nu$  with  $\nu > 0$  and time-step  $h > 0$ :**

$$\begin{cases} \frac{\eta_i^\nu - \eta_{i-1}^\nu}{h} - \Delta_N \eta_i^\nu + g(\eta_i^\nu) + \alpha'(\eta_i^\nu) |D\theta_{i-1}^\nu| = 0 \text{ in } L^2(\Omega), \\ \alpha_0(\eta_i^\nu) \frac{\theta_i^\nu - \theta_{i-1}^\nu}{h} + \partial \Phi_\nu(\eta_i^\nu; \theta_i^\nu) \ni 0 \text{ in } L^2(\Omega), \quad i = 1, 2, 3, \dots \end{cases} \quad \begin{array}{l} (\text{ap.1}) \\ (\text{ap.2}) \end{array}$$

- $\Delta_N$ : operator of Laplacian with Neumann-zero B.C.
- $\{[\eta_0^\nu, \theta_\nu]\}_{\nu>0} \subset H^1(\Omega)^2$ : approximating sequence of  $[\eta_0, \theta_0] \in D_0 \subset H^1(\Omega) \times BV(\Omega)$

**Key-Lemma (Energy-estimate).** There exists  $h_* \in (0, 1]$ , and for any  $\nu > 0$  and any  $h \in (0, h_*]$ , it follows that:

$$\begin{aligned} & \frac{1}{2h} |\eta_i^\nu - \eta_{i-1}^\nu|_{L^2(\Omega)}^2 + \frac{1}{2h} |\sqrt{\alpha_0(\eta_i^\nu)} (\theta_i^\nu - \theta_{i-1}^\nu)|_{L^2(\Omega)}^2 \\ & + \mathcal{F}_\nu(\eta_i^\nu, \theta_i^\nu) \leq \mathcal{F}_\nu(\eta_{i-1}^\nu, \theta_{i-1}^\nu), \quad i = 1, 2, 3, \dots \end{aligned} \quad (\text{ap.3})$$

†. **Analytic methods:** theories of compactness (Ascoli type), theory of  $\Gamma$ -convergence (as  $h, \nu \rightarrow 0, t \rightarrow \infty$ , e.t.c.)

## 4. Problems in future

### (I) Structural observations for steady-states

- Keypoint:** • one-dimensional case  
• radial symmetric cases  
• other various structures

### (II) Structural observations in time-evolution

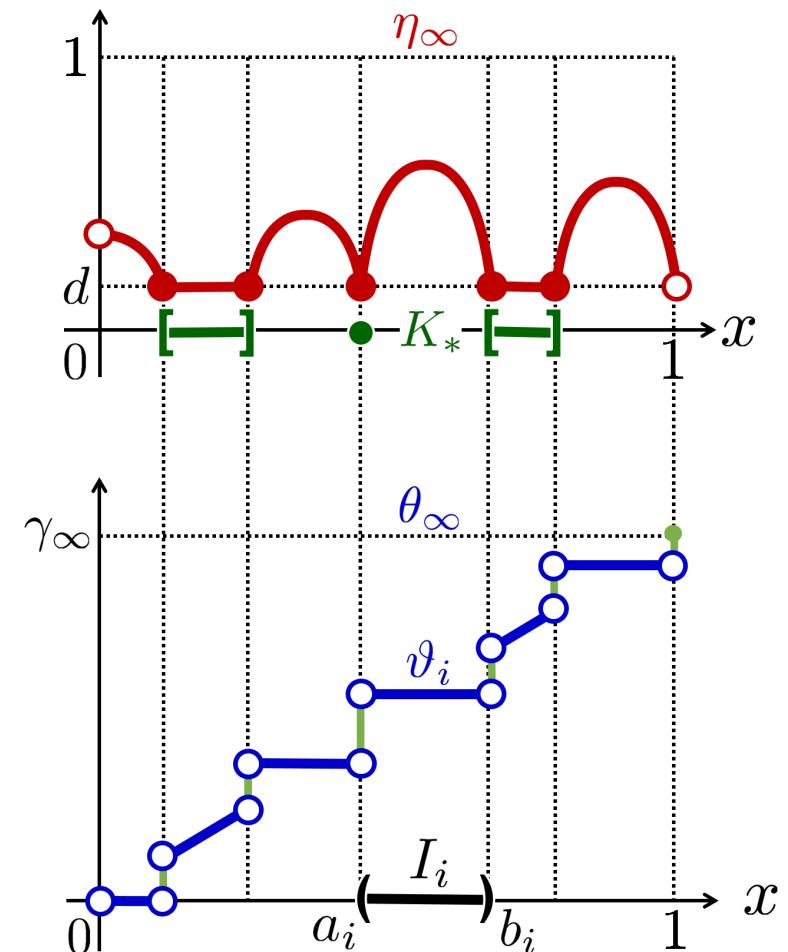
- Keypoints:** • previous works kindred to our study, e.g. [Andreu–Caselles–Mazón](2004), [Bellettini–Caselles–Novaga](2002), [Kobayashi–Giga](1999), [Giga–Giga–Kobayashi](2001), [Giga–Giga](2010), [Moll](2005–), [Rybka–Mucha](2000–), [S.](2000–) e.t.c.

### (III) Anisotropic model when $\nu = 0$

- Status:** • No advance, yet

### (IV) Uniqueness

- Status:** • No advance, yet



Example of a 1D steady-state