

Branching in Representation Theory

Lecture 3. Multiplicity in Branching of the Restriction

Toshiyuki Kobayashi

The Graduate School of Mathematical Sciences

The University of Tokyo

<http://www.ms.u-tokyo.ac.jp/~toshi/>

Minicourses: branching problems and symmetry-breaking

Thematic trimester Representation Theory and Noncommutative Geometry

Organizers: Alexandre Afgoustidis, Anne-Marie Aubert, Pierre Clare, Haluk Şengün

Institut Henri Poincaré, Paris, France, 17 January 2025

A Program: Stage ABC for Branching Problem

Wednesday

Lecture 2. Discrete Decomposability and Admissible Restriction

Stage A.

Abstract Feature of Restriction

- spectrum: discrete or continuous?/ support?
- multiplicities: infinite, finite, bounded, or one, ...?

Stage B.

Branching Laws

- (irreducible) decomposition of representations

Stage C.

Construction of SBOs/HOs

SBO ... Symmetry Breaking Operator

HO ... Holographic Operator

- decomposition of vectors

A Program: Stage ABC for Branching Problem

Today

Lecture 3. Multiplicity in Branching of the Restriction

Stage A.

Abstract Feature of Restriction

- spectrum: discrete or continuous?/ support?
- multiplicities: infinite, finite, bounded, or one, ... ?

Stage B.

Branching Laws

- (irreducible) decomposition of representations

Stage C.

Construction of SBOs/HOs

SBO ... Symmetry Breaking Operator

HO ... Holographic Operator

- decomposition of vectors

Notation

Throughout this talk, G : real reductive Lie gp

Ex.

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

Notation

Throughout this talk, G : real reductive Lie gp

Ex.

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

\Downarrow more general

$G \supset H$: reductive symmetric pair

$$GL(n, \mathbb{R}) \supset O(p, n - p)$$

Notation

Throughout this talk, G : real reductive Lie gp

Ex.

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

↓ more general

$G \supset H$: reductive symmetric pair

$$GL(n, \mathbb{R}) \supset O(p, n - p)$$

↓ more general

$G \supset G'$: real reductive subgp

$$GL(n_1 + n_2 + n_3, \mathbb{R}) \\ \supset GL(n_1, \mathbb{R}) \times GL(n_2, \mathbb{R}) \times GL(n_3, \mathbb{R})$$

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

Notation

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

\Downarrow more general

$G \supset H$: reductive symmetric pair

$$GL(n, \mathbb{R}) \supset O(p, n - p)$$

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

● $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)

Notation

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

- $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)
- $G \downarrow K$ **finite** (Harish-Chandra's admissibility thm)

Notation

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

- $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)
- $G \downarrow K$ finite (Harish-Chandra's admissibility thm)
- $L^2((G_1 \times G_1)/G_1)$
0 or 1 (Harish-Chandra)

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

- $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)
- $G \downarrow K$ finite (Harish-Chandra's admissibility thm)
- $L^2((G_1 \times G_1)/G_1)$
0 or 1 (Harish-Chandra)
- $L^2(G/H)$ uniformly bounded

Notation

$G \supset K$: max compact subgp

$$GL(n, \mathbb{R}) \supset O(n)$$

↓ more general

$G \supset H$: reductive symmetric pair

$$GL(n, \mathbb{R}) \supset O(p, n-p)$$

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

- $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)
- $G \downarrow K$ finite (Harish-Chandra's admissibility thm)
- $L^2(G/H)$ **uniformly bounded**
- $G \downarrow H$ $\underbrace{\text{can be } \infty}_{\text{(usually) bad feature}}$ $\underbrace{\text{but } \dots}_{\text{(unexpectedly) nice feature}}$

Notation

$G \supset H$: reductive symmetric pair

Definition of “Multiplicity” in the branching

Various inequivalent definition of multiplicities can be considered for the branching of the restriction $G \downarrow G'$

- Dimension of $\text{Hom}_{G'}(\Pi|_{G'}, \pi)$ (Symmetry Breaking Operators), or
 - Dimension of $\text{Hom}_{G'}(\pi, \Pi|_{G'})$ (Holographic Operators).
 - in the category of (\mathfrak{g}, K) -modules,
 - in the category of unitary representations,
 - in the category of smooth representations,
- ...

Various definitions of “multiplicities” for the restriction

$G \supset G'$ reductive Lie groups

$$\Pi \in \widehat{G}, \quad \pi \in \widehat{G'}$$

$$\dim \operatorname{Hom}_{(\mathfrak{g}', K')}(\pi_{K'}, \Pi_K|_{(\mathfrak{g}', K')}) \quad (\text{holographic op})$$

\wedge

$$\dim \operatorname{Hom}_{G'}(\pi, \Pi|_{G'}) \quad (\text{holographic op})$$

\parallel

$$\dim \operatorname{Hom}_{G'}(\Pi|_{G'}, \pi) \quad (\text{symmetry breaking op})$$

\wedge

$$\dim \operatorname{Hom}_{G'}(\Pi^\infty|_{G'}, \pi^\infty) \quad (\text{symmetry breaking op})$$

Various definitions of “multiplicities” for the restriction

$G \supset G'$ reductive Lie groups

$$\Pi \in \widehat{G}, \quad \pi \in \widehat{G'}$$

$\dim \operatorname{Hom}_{(\mathfrak{g}', K')}(\pi_{K'}, \Pi_K|_{(\mathfrak{g}', K')})$ (holographic op)

$\wedge \downarrow$

$\dim \operatorname{Hom}_{G'}(\pi, \Pi|_{G'})$ (holographic op)

\parallel

$\dim \operatorname{Hom}_{G'}(\Pi|_{G'}, \pi)$ (symmetry breaking op)

$\wedge \downarrow$

$\dim \operatorname{Hom}_{G'}(\Pi^\infty|_{G'}, \pi^\infty)$ (symmetry breaking op)

Non-unitary representation and smooth representations

G : Lie group

V : complete, locally convex top. space (e.g., Banach space)

(π, V) : continuous representation of G , that is,

$$G \times V \rightarrow V, \quad (g, u) \mapsto \pi(g)u \text{ is } \underline{\text{continuous}}$$

u is a smooth vector $\Leftrightarrow G \rightarrow V, g \mapsto \pi(g)u$ is of C^∞ -class

$$\rightsquigarrow V^\infty := \{\text{smooth vectors}\} \underset{\text{dense}}{\subset} V.$$

Definition (π^∞, V^∞) is called the smooth representation of G .

We note that V^∞ is endowed with Fréchet topology.

Example $G = SU(1, 1) (\simeq SL(2, \mathbb{R}))$

$$V := L^p(S^1) \quad (1 \leq p \leq \infty)$$

For $g^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SU(1, 1)$, a principal series representation π_λ is defined by

$$(\pi_\lambda(g)f)(z) := |cz + d|^\lambda f\left(\frac{az + b}{cz + d}\right).$$

$$\Rightarrow \begin{cases} L^p(S^1) \neq L^{p'}(S^1) & \text{if } p \neq p', \\ (L^p(S^1))^\infty & \text{does not depend on } 1 \leq p \leq \infty. \end{cases}$$

(It is isomorphic to $C^\infty(S^1)$.)

What does this mean?

$\text{Irr}(G)$ in the admissible smooth category

G : real reductive Lie group

V : Banach space

(π, V) : Irreducible, K -admissible, continuous representation of G



Fact (π^∞, V^∞) does not depend on the original topology on V .

Definition $\text{Irr}(G) := \{(\pi^\infty, V^\infty)\} / \sim$.

(Irreducible objects in the Casselman–Wallach category)

Multiplicity of the restriction $\Pi|_{G'}$ including non-unitary case

G : real reductive Lie group

$\text{Irr}(G)$: irreducible, smooth, admissible reps

$$\widehat{G}_{\text{unitary dual}} \hookrightarrow \text{Irr}(G), \quad \Pi \mapsto \Pi^\infty.$$

$G \supset G'$: real reductive groups

Definition (multiplicity) For $\Pi \in \text{Irr}(G)$ and $\pi \in \text{Irr}(G')$, we set

$$\text{Hom}_{G'}(\Pi|_{G'}, \pi) := \{\text{symmetry breaking operators}\}$$

$$[\Pi|_{G'} : \pi] := \dim_{\mathbb{C}} \text{Hom}_{G'}(\Pi|_{G'}, \pi) \in \mathbb{N} \cup \{\infty\}$$

Various definitions of “multiplicities” for the restriction

$G \supset G'$ reductive Lie groups

$$\Pi \in \widehat{G}, \quad \pi \in \widehat{G'}$$

$\dim \operatorname{Hom}_{(\mathfrak{g}', K')}(\pi_{K'}, \Pi_K|_{(\mathfrak{g}', K')})$ (holographic op)

\wedge

$\dim \operatorname{Hom}_{G'}(\pi, \Pi|_{G'})$ (holographic op)

\parallel

$\dim \operatorname{Hom}_{G'}(\Pi|_{G'}, \pi)$ (symmetry breaking op)

\wedge

$\dim \operatorname{Hom}_{G'}(\Pi^\infty|_{G'}, \pi^\infty)$ (symmetry breaking op)

Spherical vs real spherical

$G_{\mathbb{C}}$ complex reductive $\curvearrowright X_{\mathbb{C}}$ complex manifold (connected)

Definition $X_{\mathbb{C}}$ is spherical if a Borel subgroup B of $G_{\mathbb{C}}$ has an open orbit in $X_{\mathbb{C}}$.

G real reductive $\curvearrowright X$ C^{∞} manifold (connected)

Definition (TK– '89) We say X is real spherical if a minimal parabolic P of G has an open orbit in X .

- in search of a broader framework for global analysis on homogeneous spaces than the usual (e.g. group manifolds, reductive symmetric spaces)

Example of spherical spaces

$G_{\mathbb{C}}$ complex reductive $\curvearrowright X_{\mathbb{C}}$ complex manifold (connected)

Definition $X_{\mathbb{C}}$ is spherical if a Borel subgroup B of $G_{\mathbb{C}}$ has an open orbit $X_{\mathbb{C}}$.

1. When G is a simple compact Lie group, $X_{\mathbb{C}}$ is $G_{\mathbb{C}}$ -spherical if $X = G/H$ is a symmetric space. There are also a few number of non-symmetric, reductive, spherical spaces such as $SO(2n+1, \mathbb{C})/GL(n, \mathbb{C})$, $G_{2, \mathbb{C}}/SL(3, \mathbb{C})$, ... (classified by Krämer*).
2. (Triple space) When $G_{\mathbb{C}}$ is a complex simple Lie group, $(G_{\mathbb{C}} \times G_{\mathbb{C}} \times G_{\mathbb{C}})/\text{diag } G_{\mathbb{C}}$ is spherical if and only if $\mathfrak{g}_{\mathbb{C}} = \mathfrak{sl}(2, \mathbb{C})^{**}$.

* M. Krämer, Arch Math (1976).

Example of real spherical spaces

G real reductive \curvearrowright X manifold (connected)

Definition (TK– '89) We say X is real spherical if a minimal parabolic P of G has an open orbit X .

1. When G is compact, a minimal parabolic subgroup is the whole group G , hence any homogeneous space $X = G/H$ is real spherical.

2. (Triple space)* For a non-compact simple Lie group G ,

$$(G \times G \times G) / \text{diag } G$$

is real spherical if and only if $\mathfrak{g} \simeq \mathfrak{so}(n, 1)$.

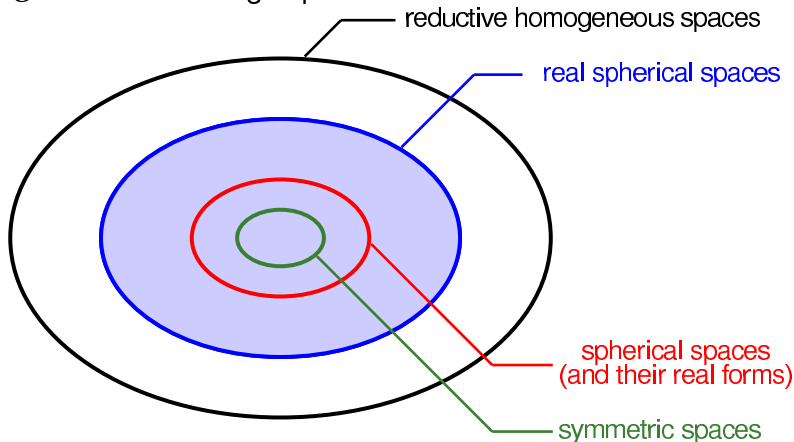
cf. Kazhdan's property (T) fails if $\mathfrak{g} \simeq \mathfrak{so}(n, 1)$ or $\mathfrak{su}(n, 1)$.

* T. Kobayashi, Introduction to Real Spherical Space, Proc. Number Theory, (1995), pp. 22–41.

Reductive homogeneous space G/H

G : real reductive groups

G' : reductive subgroup



Spherical vs real spherical

$G_{\mathbb{C}}$ complex reductive $\supset H_{\mathbb{C}}$ complex subgroup

Definition $G_{\mathbb{C}}/H_{\mathbb{C}}$ is spherical if a Borel subgroup B of $G_{\mathbb{C}}$ has an open orbit in $G_{\mathbb{C}}/H_{\mathbb{C}}$.

G real reductive $\supset H$ subgroup

Definition (TK–'89) We say G/H is real spherical if a minimal parabolic P of G has an open orbit in G/H .

G/H symmetric space $\not\Rightarrow G_{\mathbb{C}}/H_{\mathbb{C}}$ spherical $\not\Rightarrow G/H$ real spherical

Spherical vs real spherical

$G_{\mathbb{C}}$ complex reductive $\supset H_{\mathbb{C}}$ complex subgroup

Definition $G_{\mathbb{C}}/H_{\mathbb{C}}$ is spherical if a Borel subgroup B of $G_{\mathbb{C}}$ has an open orbit in $G_{\mathbb{C}}/H_{\mathbb{C}}$.

$\iff \#(B \backslash G_{\mathbb{C}}/H_{\mathbb{C}}) < \infty$ (Brion, Vinberg) (~ 1986)

G real reductive $\supset H$ subgroup

Definition (TK-'89) We say G/H is real spherical if a minimal parabolic P of G has an open orbit in G/H .

$\iff \#(P \backslash G/H) < \infty$ (Kimelfeld, Matsuki, Bien) (~ 1990 s)

G/H symmetric space $\not\Rightarrow G_{\mathbb{C}}/H_{\mathbb{C}}$ spherical $\not\Rightarrow G/H$ real spherical

Finite multiplicity criterion for restriction $G \downarrow G'$

$G \supset G'$: algebraic real reductive Lie groups

Theorem 1* (i) and (ii) are equivalent.

(i) (Finite multiplicity property)

$$\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$$

for any $\pi \in \operatorname{Irr}(G)$ and $\tau \in \operatorname{Irr}(G')$.

(ii) $(G \times G')/\operatorname{diag}(G')$ is real spherical.

* T. Kobayashi–T. Oshima, “Finite multiplicity theorems for induction and restriction”, Adv. Math., (2013), 921–943;

T. Kobayashi, Sintani functions, real spherical manifolds, . . . , Perspective Math., (2014).

Finite multiplicity criterion for restriction $G \downarrow G'$

$G \supset G'$: algebraic real reductive Lie groups

Theorem 1* (i) and (ii) are equivalent.

(i) (Finite multiplicity property)

$$\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$$

for any $\pi \in \operatorname{Irr}(G)$ and $\tau \in \operatorname{Irr}(G')$.

(ii) $(G \times G')/\operatorname{diag}(G')$ is real spherical.

$$(ii) \Leftrightarrow \exists \text{ open } P'_{\min}\text{-orbit on } G/P_{\min}$$

Classification Theory

Theorem 2 (Classification)* Let (G, G') be a reductive symmetric pair.

Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

* T. Kobayashi, Introduction to Real Spherical Space, (1995); T. Kobayashi–T. Matsuki, Transf. Group, (2014).

Classification Theory

Theorem 2 (Classification)* Let (G, G') be a reductive symmetric pair.

Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

(A-1) (Trivial case) $\mathfrak{g} = \mathfrak{g}'$

← Bruhat decomposition

(A-2) (Abelian case) $\mathfrak{g} = \mathbb{R}$

(A-3) (Compact case) G is compact

(A-4) (Riemannian symmetric pair) $G' = K$

← Iwasawa decomposition

* T. Kobayashi, Introduction to Real Spherical Space, (1995); T. Kobayashi–T. Matsuki, Transf. Group, (2014).

Classification Theory

Theorem 2 (Classification)* Let (G, G') be a reductive symmetric pair.

Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

(B-1) $(\mathfrak{sl}(n+1, \mathbb{C}), \mathfrak{gl}(n, \mathbb{C}))$ ($n \geq 2$).

(B-2) $(\mathfrak{o}(n+1, \mathbb{C}), \mathfrak{o}(n, \mathbb{C}))$ ($n \geq 2$).

(B-3) $(\mathfrak{sl}(n+1, \mathbb{R}), \mathfrak{gl}(n, \mathbb{R}))$ ($n \geq 1$).

(B-4) $(\mathfrak{su}(p+1, q), \mathfrak{u}(p, q))$ ($p+q \geq 1$).

(B-5) $(\mathfrak{o}(p+1, q), \mathfrak{o}(p, q))$ ($p+q \geq 2$).

* T. Kobayashi, Introduction to Real Spherical Space, (1995); T. Kobayashi–T. Matsuki, Transf. Group, (2014).

Classification Theory

Theorem (Classification)* Let (G, G') be a reductive symmetric pair. Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

(C) $\text{rank}_{\mathbb{R}} G' = 1$

(neither necessary nor sufficient; but fairly large families)

Classification Theory

Theorem (Classification)* Let (G, G') be a reductive symmetric pair. Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

(C-1) $(\mathfrak{o}(n, 1) + \mathfrak{o}(n, 1), \text{diag } \mathfrak{o}(n, 1)) \quad (n \geq 2).$

(C-2) $(\mathfrak{o}(p + q, 1), \mathfrak{o}(p) + \mathfrak{o}(q, 1)) \quad (p + q \geq 2).$

(C-3) $(\mathfrak{su}(p + q, 1), \mathfrak{s}(\mathfrak{u}(p) + \mathfrak{u}(q, 1))) \quad (p + q \geq 1).$

(C-4) $(\mathfrak{sp}(p + q, 1), \mathfrak{sp}(p) + \mathfrak{sp}(q, 1)) \quad (p + q \geq 1).$

(C-5) $(\mathfrak{f}_{4(-20)}, \mathfrak{o}(8, 1)).$

(C-6) $(\mathfrak{o}(2n, 2), \mathfrak{u}(n, 1)).$

Classification Theory

Theorem (Classification)* Let (G, G') be a reductive symmetric pair. Then, (ii) \Leftrightarrow (iii).

(ii) $(G \times G')/\text{diag}(G')$ is real spherical.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(B) (Strong Gelfand pairs and real forms)

(C) (Split rank one case)

(D) (Other cases)

(D-1) $(\mathfrak{su}^*(2n+2), \mathfrak{su}(2) + \mathfrak{su}^*(2n) + \mathbb{R})$ ($n \geq 1$).

(D-2) $(\mathfrak{o}^*(2n+2), \mathfrak{o}(2) + \mathfrak{o}^*(2n))$ ($n \geq 1$).

(D-3) $(\mathfrak{sp}(p+1, q), \mathfrak{sp}(p, q) + \mathfrak{sp}(1))$.

(D-4) $(\mathfrak{e}_{6(-26)}, \mathfrak{so}(9, 1) + \mathbb{R})$.

* TK, Introduction to Real Spherical Space, (1995); TK-T. Matsuki, Transf. Group, (2014), Dynkin Volume.

Classification of finite-multiplicity restriction

Corollary 1 Let (G, G') be a reductive symmetric pair. Then, (i) \Leftrightarrow (iii).

(i) $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$ for any $\pi \in \widehat{G}_{\operatorname{adm}}$ and any $\tau \in \widehat{G'}_{\operatorname{adm}}$.

(iii) $(\mathfrak{g}, \mathfrak{g}')$ is a direct sum of the following pairs

(A) (Easy case)

(A-1) $\mathfrak{g} = \mathfrak{g}'$

(A-2) $\mathfrak{g} = \mathbb{R}$

(A-3) G is compact

(A-4) $G' = K$

(C) (Split rank one case)

(C-1) $(\mathfrak{o}(n, 1) + \mathfrak{o}(n, 1), \operatorname{diag} \mathfrak{o}(n, 1))$ ($n \geq 2$).

(C-2) $(\mathfrak{o}(p + q, 1), \mathfrak{o}(p) + \mathfrak{o}(q, 1))$ ($p + q \geq 2$).

(C-3) $(\mathfrak{su}(p + q, 1), \mathfrak{s}(\mathfrak{u}(p) + \mathfrak{u}(q, 1)))$ ($p + q \geq 1$).

(C-4) $(\mathfrak{sp}(p + q, 1), \mathfrak{sp}(p) + \mathfrak{sp}(q, 1))$ ($p + q \geq 1$).

(C-5) $(\mathfrak{f}_{4(-20)}, \mathfrak{o}(8, 1))$.

(C-6) $(\mathfrak{o}(2n, 2), \mathfrak{u}(n, 1))$.

(B) (Strong Gelfand pairs and real forms)

(B-1) $(\mathfrak{sl}(n + 1, \mathbb{C}), \mathfrak{gl}(n, \mathbb{C}))$ ($n \geq 2$).

(B-2) $(\mathfrak{o}(n + 1, \mathbb{C}), \mathfrak{o}(n, \mathbb{C}))$ ($n \geq 2$).

(B-3) $(\mathfrak{sl}(n + 1, \mathbb{R}), \mathfrak{gl}(n, \mathbb{R}))$ ($n \geq 1$).

(B-4) $(\mathfrak{su}(p + 1, q), \mathfrak{u}(p, q))$ ($p + q \geq 1$).

(B-5) $(\mathfrak{o}(p + 1, q), \mathfrak{o}(p, q))$ ($p + q \geq 2$).

(D) (Other cases)

(D-1) $(\mathfrak{su}^*(2n + 2), \mathfrak{su}(2) + \mathfrak{su}^*(2n) + \mathbb{R})$ ($n \geq 1$).

(D-2) $(\mathfrak{o}^*(2n + 2), \mathfrak{o}(2) + \mathfrak{o}^*(2n))$ ($n \geq 1$).

(D-3) $(\mathfrak{sp}(p + 1, q), \mathfrak{sp}(p, q) + \mathfrak{sp}(1))$.

(D-4) $(\mathfrak{e}_{6(-26)}, \mathfrak{so}(9, 1) + \mathbb{R})$.

Restriction $G \downarrow G'$ with uniformly bounded multiplicity property

Theorem 3 (Uniformly bounded multiplicity criterion)

For a pair $G \supset G'$ of real reductive groups, (i) \Leftrightarrow (ii) (also (ii)' or (ii)'').

- (i) (Rep) $\sup_{\Pi \in \text{Irr}(G)} \sup_{\pi \in \text{Irr}(G')} [\Pi|_{G'} : \pi] < \infty$.
- (ii) (Geometry) $(G_{\mathbb{C}} \times G'_{\mathbb{C}}) / \text{diag}(G'_{\mathbb{C}})$ is spherical.
- (ii)' (Ring) The ring $U(\mathfrak{g}_{\mathbb{C}})^{G'_{\mathbb{C}}}$ is commutative.
- (ii)'' (Ring) The ring $U(\mathfrak{g}_{\mathbb{C}})^{G'_{\mathbb{C}}}$ is a polynomial ring.

- The equivalence (i) \Leftrightarrow (ii) is proved in (TK–T. Oshima)*.
- A stronger estimate for (ii) \Rightarrow (i), namely, multiplicity-free theorem holds for most of (not all of) the cases (Sun–Zhu)**.
- Classification for (ii): $(\mathfrak{g}_{\mathbb{C}}, \mathfrak{g}'_{\mathbb{C}})$ is $(\mathfrak{sl}(n, \mathbb{C}), \mathfrak{gl}(n-1, \mathbb{C}))$, $(\mathfrak{so}(n, \mathbb{C}), \mathfrak{so}(n-1, \mathbb{C}))$, or up to direct product, abelian factors, or automorphisms (Kostant, Krämer).

* T. Kobayashi–T. Oshima, “Finite multiplicity theorems for induction and restriction”, Adv. Math., (2013), 921–943.

** Sun–Zhu, “Multiplicity one theorems: the Archimedean case”, Ann. of Math., (2012), 23–44.

Good Control of Restriction $G \downarrow G'$

Theorem B (Uniformly bounded multiplicity criterion)

For a pair $G \supset G'$ of real reductive groups, (i) \Leftrightarrow (ii) (also (ii)' or (ii)'').

- (i) (Rep) $\sup_{\Pi \in \text{Irr}(G)} \sup_{\pi \in \text{Irr}(G')} [\Pi|_{G'} : \pi] < \infty.$
- (ii) (Geometry) $(G_{\mathbb{C}} \times G'_{\mathbb{C}}) / \text{diag}(G'_{\mathbb{C}})$ is spherical.
- (ii)' (Ring) The ring $U(\mathfrak{g}_{\mathbb{C}})^{G'_{\mathbb{C}}}$ is commutative.
- (ii)'' (Ring) The ring $U(\mathfrak{g}_{\mathbb{C}})^{G'_{\mathbb{C}}}$ is a polynomial ring.

Geometry

$$G_{\mathbb{C}} \times G'_{\mathbb{C}} / \text{diag}(G'_{\mathbb{C}})$$



$$U(\mathfrak{g}_{\mathbb{C}})^{G'_{\mathbb{C}}}$$

Algebra

Representation

$$\Pi|_{G'}$$



Tri-linear invariant forms

G : a simple Lie group

Corollary 3 (Finite multiplicity) Equivalent on \mathfrak{g} :

- (1) For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$
- (1)' For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2, \pi_3) < \infty$
- (2) $\mathfrak{g} \simeq \mathfrak{o}(n, 1) \quad (n \geq 2)$

Tri-linear invariant forms

G : a simple Lie group

Corollary 3 (Finite multiplicity) Equivalent on \mathfrak{g} :

- (1) For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$
- (1)' For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2, \pi_3) < \infty$
- (2) $\mathfrak{g} \simeq \mathfrak{o}(n, 1)$ ($n \geq 2$)

Cf. For $\mathfrak{g} = \mathfrak{su}(n, 1)$ ($n \geq 2$), $\mathfrak{sp}(n, 1)$, $\mathfrak{f}_{4(-20)}$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) = \infty$
for some π_1, π_2 and π_3 .

Tri-linear invariant forms

G : a simple Lie group

Corollary 3 (Finite multiplicity) Equivalent on \mathfrak{g} :

- (1) For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$
- (1)' For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2, \pi_3) < \infty$
- (2) $\mathfrak{g} \simeq \mathfrak{o}(n, 1) \quad (n \geq 2)$

Corollary 4 (Uniformly bounded multiplicity)

$$\sup_{\pi_1, \pi_2, \pi_3 \in \text{Irr}(G)} \dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$$

$$\iff \mathfrak{g} \simeq \mathfrak{o}(2, 1) \text{ or } \mathfrak{o}(3, 1)$$

Tri-linear invariant forms

G : a simple Lie group

Corollary 3 (Finite multiplicity) Equivalent on \mathfrak{g} :

- (1) For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$
- (1)' For any triple of irred reps π_1, π_2 , and $\pi_3 \in \text{Irr}(G)$
 $\dim \text{Hom}_G(\pi_1 \otimes \pi_2, \pi_3) < \infty$
- (2) $\mathfrak{g} \simeq \mathfrak{o}(n, 1)$ ($n \geq 2$)

Corollary 4 (Uniformly bounded multiplicity)

$$\sup_{\pi_1, \pi_2, \pi_3 \in \text{Irr}(G)} \dim \text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C}) < \infty$$
$$\iff \mathfrak{g} \simeq \mathfrak{o}(2, 1) \text{ or } \mathfrak{o}(3, 1)$$

- Pukánszky, Williams, Repka (Decomposition of $\pi_1 \otimes \pi_2$ for $SL(2, \mathbb{R})$) Note: $\mathfrak{sl}(2, \mathbb{R}) \simeq \mathfrak{o}(2, 1)$
- Bernstein–Rezhikov integral ([Clerc–K–Ørsted–Pevzner 2011](#))
 $\text{Hom}_G(\pi_1 \otimes \pi_2 \otimes \pi_3, \mathbb{C})$ for $G = O(n, 1)$

Symmetry breaking operators

A nice framework of branching $G \downarrow G'$ in the noncompact case:

- Discrete decomposability of the restriction $\pi|_{G'}$
- Finiteness/boundedness of $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau)$

‡ expect a simple and detailed study

Analysis of Branching Problems

Multiplicities

$$G/K$$

$$G \downarrow K$$

$$G/H$$

$$G \downarrow H$$

multiplicities

- $L^2(G/K)$ 0 or 1 (Cartan '29, Gelfand '50)
- $G \downarrow K$ finite (Harish-Chandra's admissibility thm)
- $L^2(G/H)$ uniformly bounded
- $G \downarrow H$ can be ∞ but ...
(usually) bad feature (unexpectedly) nice feature

Notation

$G \supset H$: reductive symmetric pair

Bounded multiplicity theorem for Π with small GK dim.

Let G be a 1-connected real non-compact semisimple Lie group.

Theorem 5 (K–, 23)* There exist $C \equiv C(G) > 0$ and an infinite-dim'l irreducible rep Π of G such that

$$\sup_{\pi \in \text{Irr}(H)} [\Pi|_H : \pi] \leq C$$

for *all* reductive symmetric pairs $G \supset H$.

* T. Kobayashi, Bounded multiplicity branching for symmetry pairs, J. Lie Theory, (2023) pp. 305–328.

Bounded multiplicity theorem for Π with small GK dim.

Theorem 6 (K–, 2022)* Suppose that $\mathfrak{g}_{\mathbb{C}}$ is simple.

If the associated variety of $\Pi \in \text{Irr}(G)$ is the minimal nilpotent orbit in $\mathfrak{g}_{\mathbb{C}}^*$, then $\exists C > 0$ such that

$$\sup_{\pi \in \text{Irr}(H)} [\Pi|_H : \pi] \leq C$$

for **all** reductive symmetric pairs (G, H) .

* T. Kobayashi, Multiplicity in restricting minimal representations, PROMS, (2022).

Bounded multiplicity theorem for Π with small GK dim

Theorem 6 (K–, 2022)* Suppose that $\mathfrak{g}_{\mathbb{C}}$ is simple.

If the associated variety of $\Pi \in \text{Irr}(G)$ is the minimal nilpotent orbit in $\mathfrak{g}_{\mathbb{C}}^*$, then $\exists C > 0$ such that

$$\sup_{\pi \in \text{Irr}(H)} [\Pi|_H : \pi] \leq C$$

for all reductive symmetric pairs (G, H) .

Example** (KØ 2003; Lecture 2 of Bent Ørsted, this morning)

$(G, G') = (\text{Conformal group}, \text{“Isometry group”})$ for $X = S^{p-1} \times S^{q-1}$.

$\Pi = \text{Ker}(\widetilde{\Delta})$, $\widetilde{\Delta}$ is the Yamabe operator on X ($p + q$ even).

\rightsquigarrow The restriction for $O(p, q) \downarrow O(p', q') \times O(p'', q'')$ has a uniform bounded multiplicity.

* T. Kobayashi, Multiplicity in restricting minimal representations, PROMS, (2022).

** T. Kobayashi–B. Ørsted, Analysis on minimal Reps, I, II, III, Adv. Math. (2003).

Symmetry breaking operators

A nice framework of branching $G \downarrow G'$ in the noncompact case:

Stage A

- Discrete decomposability of the restriction $\pi|_{G'}$
- Finiteness/boundedness of $\dim \text{Hom}_{G'}(\pi|_{G'}, \tau)$

⋎ expect a simple and detailed study

Stage B

Stage C

...

Analysis of Branching Problems

A Program: Stage ABC for Branching Problem

Stage A

Abstract Feature of Restriction

- spectrum: discrete or continuous?/ support?
- multiplicities: infinite, finite, bounded, or one, ...?

Stage B

Branching Laws

- (irreducible) decomposition of representations

Stage C

Construction of SBOs/HOs

- SBO ... Symmetry Breaking Operator
- HO ... Holographic Operator
- decomposition of vectors

Construction and classification of SBOs for $G \downarrow G'$

Assumption Suppose the pair $G \supset G'$ satisfies
 $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$ ($\forall \pi \in \operatorname{Irr}(G), \forall \tau \in \operatorname{Irr}(G')$).

Construction and classification of SBOs for $G \downarrow G'$

Assumption Suppose the pair $G \supset G'$ satisfies
 $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$ ($\forall \pi \in \operatorname{Irr}(G), \forall \tau \in \operatorname{Irr}(G')$).

General Problem*

Construct and classify SBOs between principal series reps,

$$T: \operatorname{Ind}_P^G(V) \rightarrow \operatorname{Ind}_{P'}^{G'}(W)$$

for finite dimensional $V \in \operatorname{Irr}(P)$ and $W \in \operatorname{Irr}(P')$

- Special case \dots when T is a local operator
 \Rightarrow This is an important and challenging case**.

* T. Kobayashi–B. Spohn, (Memoirs of AMS 2015, Lect. Notes in Math., 2018),

** Rankin, Cohen, Juhl (conformal geometry 2009), K–Kubo–Pevzner (2016), \dots

Construction and classification of SBOs for $G \downarrow G'$

Assumption Suppose the pair $G \supset G'$ satisfies $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$ ($\forall \pi \in \operatorname{Irr}(G), \forall \tau \in \operatorname{Irr}(G')$).

General Problem*

Construct and classify SBOs between principal series reps,

$$T: \operatorname{Ind}_P^G(V) \rightarrow \operatorname{Ind}_{P'}^{G'}(W)$$

for finite dimensional $V \in \operatorname{Irr}(P)$ and $W \in \operatorname{Irr}(P')$

Assumption

\Longleftrightarrow
Theorem 1*

$$\#(P'_{\min} \backslash G/P_{\min}) < \infty$$

* T. Kobayashi–B. Speth, (Memoirs of AMS 2015, Lect. Notes in Math., 2018),

** Rankin, Cohen, Juhl (conformal geometry 2009), K–Kubo–Pevzner (2016), ...

Construction and classification of SBOs for $G \downarrow G'$

Assumption Suppose the pair $G \supset G'$ satisfies $\dim \operatorname{Hom}_{G'}(\pi|_{G'}, \tau) < \infty$ ($\forall \pi \in \operatorname{Irr}(G), \forall \tau \in \operatorname{Irr}(G')$).

General Problem*

Construct and classify SBOs between principal series reps,

$$T: \operatorname{Ind}_P^G(V) \rightarrow \operatorname{Ind}_{P'}^{G'}(W)$$

for finite dimensional $V \in \operatorname{Irr}(P)$ and $W \in \operatorname{Irr}(P')$

Assumption

\iff
Theorem

$$\#(P'_{\min} \backslash G/P_{\min}) < \infty \implies \#(P' \backslash G/P) < \infty$$

* T. Kobayashi–B. Speth, (Memoirs of AMS 2015, Lect. Notes in Math., 2018),

** Rankin, Cohen, Juhl (conformal geometry 2009), K–Kubo–Pevzner (2016), ...

Geometric invariants of SBOs for $G \downarrow G' \cdots \text{Supp } K_T \subset P' \backslash G/P$

The distribution kernel K_T of a symmetry breaking operator (SBO)

$$T: \text{Ind}_P^G(V) \rightarrow \text{Ind}_{P'}^{G'}(W)$$

is a P' -invariant distribution on G/P

$\rightsquigarrow \text{Supp } K_T \cdots$ a closed P' -invariant subset in G/P

$$\begin{array}{ccc} \text{Hom}_{G'}(\text{Ind}_P^G(V), \text{Ind}_{P'}^{G'}(W)) & \rightarrow & \{\text{Closed subsets of } P' \backslash G/P\} \\ T & \mapsto & \text{Supp } K_T \end{array}$$

Geometric invariants of SBOs for $G \downarrow G' \cdots \text{Supp } K_T \subset P' \backslash G/P$

The distribution kernel K_T of a symmetry breaking operator (SBO)

$$T: \text{Ind}_P^G(V) \rightarrow \text{Ind}_{P'}^{G'}(W)$$

is a P' -invariant distribution on G/P

$\rightsquigarrow \text{Supp } K_T \cdots$ a closed P' -invariant subset in G/P

$$\begin{array}{ccc} \text{Hom}_{G'}(\text{Ind}_P^G(V), \text{Ind}_{P'}^{G'}(W)) & \rightarrow & \{\text{Closed subsets of } P' \backslash G/P\} \\ T & \mapsto & \text{Supp } K_T \end{array}$$

T is a **differential SBO** iff $\text{Supp } K_T$ is a singleton.

\updownarrow opposite extremal case

We say T is **regular SBO** if $\text{Supp } K_T$ contains inner points in G/P .

Strategy: Induction by the closure relation of $P' \backslash G/P$.

Geometric invariants of SBOs for $G \downarrow G' \cdots \text{Supp } K_T \subset P' \backslash G/P$

The distribution kernel K_T of a symmetry breaking operator (SBO)

$$T: \text{Ind}_P^G(V) \rightarrow \text{Ind}_{P'}^{G'}(W)$$

is a P' -invariant distribution on G/P

$\rightsquigarrow \text{Supp } K_T \cdots$ a closed P' -invariant subset in G/P

$$\begin{array}{ccc} \text{Hom}_{G'}(\text{Ind}_P^G(V), \text{Ind}_{P'}^{G'}(W)) & \rightarrow & \{\text{Closed subsets of } P' \backslash G/P\} \\ T & \mapsto & \text{Supp } K_T \end{array}$$

T is a **differential SBO** iff $\text{Supp } K_T$ is a singleton.

\updownarrow opposite extremal case

We say T is **regular SBO** if $\text{Supp } K_T$ contains inner points in G/P .

Strategy: Induction by the closure relation of $P' \backslash G/P$.

Point $\sharp(P' \backslash G/P) < \infty$ from finite-multiplicity assumption

Thank you very much!

Branching in Representation Theory

