

## Galois representation in arithmetic geometry.

1. Local-global in arithmetic.

"An  $\ell$ -adic representation is described by its  $L$ -function".

An analogy between algebraic curve and  $\text{Spec } \mathbb{Z}$ .

function field $K$	:	$\mathbb{Q}$
closed points	:	prime numbers and infinite places
$K \subset$ local field $K_x$	:	$\mathbb{Q} \subset p$ -adic field $\mathbb{Q}_p$ and $\mathbb{R} = \mathbb{Q}_\infty$

Two features:

Each point  $p$  is a "circle" since the fundamental group  $\text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p)$  of  $\text{Spec } \mathbb{F}_p$  is a pro-cyclic group generated by the Frobenius.

A global representation is uniquely determined by the local data by the Chebotarev density theorem: The Frobenius conjugacy classes form a dense open subset of the global Galois group.

$\ell$ -adic representations.

A continuous representation  $G_{\mathbb{Q}} = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_n(\mathbb{C})$  has open kernel and finite image. Not useful to study arithmetic geometry. An  $\ell$ -adic representation is a continuous representation  $G_{\mathbb{Q}} = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_n(\mathbb{Q}_\ell)$  unramified outside finite set of prime numbers, where  $\ell$  denotes a prime number. Except finitely many primes, eigenpolynomials

$$\det(1 - \varphi_p t : V) \in \mathbb{Q}_\ell[t]$$

is defined. Consequence of the Chebotarev density theorem: upto semi-simplification, an  $\ell$ -adic representation  $V$  is determined by the local  $L$ -factors  $L_p(V, t) = \det(1 - \varphi_p t : V) \in \mathbb{Q}_\ell[t]$  at primes  $p$  where  $V$  is unramified. In most cases,  $\det(1 - \varphi_p t : V)$  is in  $\mathbb{Q}[t]$  and is independent of  $\ell$ , i.e.  $\ell$ -adic representation is a member of a compatible system.  $L$ -function of  $V$ :

$$L(V, s) = \prod_p L_p(V, p^{-s})^{-1}.$$

Example 1.  $E$  elliptic curve over  $\mathbb{Q}$  e.g.  $E = X_0(11)$  defined by the equation  $y^2 = 4x^3 - 4x^2 - 40x - 79$ .  $T_\ell E = \varprojlim_n E[\ell^n](\bar{\mathbb{Q}})$ .  $T_\ell E$  is an  $\ell$ -adic representation of  $G_{\mathbb{Q}}$ . If one forget the  $G_{\mathbb{Q}}$ -action, it is isomorphic to  $\mathbb{Z}_\ell^2$  as a module. For a prime number  $p$  prime to the discriminant of  $E$ ,

$$\det(1 - \varphi_p t : T_\ell E) = 1 - a_p(E)t + pt^2$$

where  $a_p(E)$  is an integer defined by  $\#E(\mathbb{F}_p) = 1 - a_p(E) + p$ .

$$L(E, s) = \prod_p (1 - a_p(E)p^{-s} + p^{1-2s})^{-1}.$$

Example 2.  $f(\tau) = \sum_{n=1}^{\infty} a_n(f)q^n$  ( $q = \exp 2\pi\sqrt{-1}\tau$ ) normalized eigen cusp form of weight 2 with trivial character that is an eigenvector for every Hecke operator e.g.  $f_{11}(\tau) = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2$ .  $V_f$   $\ell$ -adic representation associated to  $f$  For  $p$  prime to the level of  $f$ ,

$$\det(1 - \varphi_p t : V_f) = 1 - a_p(f)t + pt^2.$$

$$L(f, s) = \sum_{n=1}^{\infty} a_n n^{-s} = \prod_p (1 - a_p(f)p^{-s} + p^{1-2s})^{-1}.$$

Taniyama-Shimura Conjecture. (proved by Wiles-Taylor-Diamond-Conrad-Breuil)  
 An  $\ell$ -adic representation of type in Example 1 is necessarily of type in Example 2.  
 Or, equivalently, for an elliptic curve  $E$ , there exists a cusp form  $f$  such that

$$L(E, s) = L(f, s).$$

The other implication was established by Eichler-Shimura.

E.g. For  $E$  in Example 1,  $f_{11}$  in Example 2 works.

2. Etale cohomology as an  $\ell$ -adic representation.

"The Weil conjecture implies that the  $L$ -function of the etale cohomology is the Hasse-Weil  $L$ -function."

$X$  projective smooth algebraic variety over  $\mathbb{Q}$ . Etale cohomology  $H^m(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  is defined. As a vector space, simply  $H^m(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell}) = H^m(X^{\text{an}}, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{Q}_{\ell}$ . The  $\ell$ -adic representation  $H^m(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  is unramified at a prime  $p$  where  $X$  has good reduction. The  $L$ -function of the  $\ell$ -adic representation  $H^m(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  is the Hasse-Weil  $L$ -function  $L(H^m(X), s)$ .

Example 1. If  $E$  is an elliptic curve over  $\mathbb{Q}$ , we have  $H^1(E_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell}) = \text{Hom}(T_{\ell}E, \mathbb{Q}_{\ell})$ . In other words,

$$L(H^1(E), s) = L(E, s).$$

Example 2. For an integer  $N \geq 1$ , let  $X_0(N)$  be the modular curve of level  $N$ . ( $X_0(N)^{\text{an}}$  is a compactification of  $\Gamma_0(N) \backslash H$  where  $\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \mid c \equiv 0 \pmod{N} \right\}$ .) Then,

$$H^1(X_0(N)_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell}) = \bigoplus_{f: N_f | N} \text{Hom}(V_f, \mathbb{Q}_{\ell})^{\oplus \#\{d | N/N_f\}}.$$

Decomposition is given by Hecke operators. In other words,

$$L(H^1(X_0(N), s) = \prod_{f: N_f | N} L(f, s)^{\#\{d | N/N_f\}}.$$

Hasse-Weil  $L$ -function. Let  $X \bmod p$  be the reduction modulo a good prime  $p$ , that is a projective smooth variety over  $\mathbb{F}_p$ . Let

$$Z(X \bmod p, t) = \exp \left( \sum_{n=1}^{\infty} \frac{\#X(\mathbb{F}_{p^n})}{n} t^n \right)$$

denote the congruence  $\zeta$ -function. By the Weil conjecture proved by Deligne, we have

$$Z(X \bmod p, t) = \frac{P_1(X \bmod p, t) \cdots P_{2d-1}(X \bmod p, t)}{P_0(X \bmod p, t) \cdot P_2(X \bmod p, t) \cdots P_{2d}(X \bmod p, t)}$$

where  $d = \dim X$ ,  $P_m(X \bmod p, t) \in \mathbb{Z}[t]$ . The decomposition is characterized by the property that, if we put  $P_m(X \bmod p, t) = \prod_i (1 - \alpha_{i,p,m} t)$ , the complex eigenvalue of  $\alpha_{i,p,m}$  is  $p^{\frac{m}{2}}$ . This is an analogue of the Riemann hypothesis.

$$L(H^m(X), s) = \prod_p P_m(X \bmod p, p^{-s})^{-1}.$$

Note: Bad factors are missing.

Further, we have

$$\det(1 - Fr_p t : H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell)) = P_m(X \bmod p, t)$$

and consequently,

$$L(H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell), s) = L(H^m(X), s).$$

The local factor at a prime of good reduction is determined by the Weil conjecture, upto semi-simplification.

Semi-simplicity conjecture:(Tate) The action of  $Fr_p$  on  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell)$  is semi-simple.

The semi-simplicity conjecture implies that, the  $\ell$ -adic representation  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell)$  of  $G_{\mathbb{F}_p}$  is determined by  $\det(1 - Fr_p t : H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell))$ .

The Hasse-Weil functions are conjectured to have analytic continuation and to satisfy a functional equation. To formulate a function equation, we need to include the bad primes and to introduce the  $\Gamma$ -factor that is a contribution of the infinite place.

$\Gamma$ -factor:(Serre)  $V = H^m(X^{\text{an}}, \mathbb{Q})$  is a pure Hodge structure of weight  $m$  with  $\text{Gal}(\mathbb{C}/\mathbb{R}) = \langle \sigma \rangle$ -action. Put  $\Gamma_{\mathbb{R}}(s) = \pi^{-s/2} \Gamma(s/2)$  and  $\Gamma_{\mathbb{C}}(s) = 2(2\pi)^{-s} \Gamma(s)$ . Define

$$\Gamma_{\mathbb{R}}(H^m(X), s) = \prod_{p < m/2} \Gamma_{\mathbb{C}}(s - p)^{h^{p,q}} \Gamma_{\mathbb{R}}(s - m/2)^{h^+} \Gamma_{\mathbb{R}}(s - m/2 + 1)^{h^-}.$$

If  $m$  is odd, we have only the first term. If  $m$  is even  $h^\pm$  is the dimension of the subspace of  $V^{\frac{m}{2}, \frac{m}{2}}$  where  $\sigma$  acts as  $(-1)^{n/2}$ .

3. Primes of bad reduction.

Bad factors of the Hasse-Weil  $L$ -function.(Serre)

$P_p(H^m(X), t) = \det(1 - Fr_p t : H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell)_p^I)$  where  $I^p$  indicates the inertia fixed part.

Functional equation.(Serre)

Put  $\Lambda(H^m(X), s) = L(H^m(X), s) \cdot \Gamma_{\mathbb{R}}(H^m(X), s)$ . Define  $N = \prod_{\text{bad } p} p^{f_p}$  where  $f_p$  is the Artin conductor of  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_\ell)$  at  $p$ . Then we expect to have a function equation

$$\Lambda(H^m(X), s) = \pm N^{\frac{m+1}{2}-s} \Lambda(H^m(X), m+1-s).$$

Question.(Serre) Are  $P_p(H^m(X), t)$  and  $f_p$  well-defined?

This question fits in more general problems.

(i) Description of local Galois representation.

"The monodromy-weight conjecture together with a part of the Tate conjecture implies an affirmative answer to Question."

(ii) Invariants of ramification.

”We have a geometric formula computing the conductor.”

(i) Absolute Galois group of a local field. To

$$\mathbb{Q}_p \subset \mathbb{Q}_p^{\text{ur}} = \mathbb{Q}_p(\zeta_n \ (p \nmid n)) \subset \mathbb{Q}_p^{\text{tr}} = \mathbb{Q}_p^{\text{ur}}(p^{\frac{1}{n}} \ (p \nmid n)) \subset \bar{\mathbb{Q}}_p,$$

corresponds

$$G_{\mathbb{Q}_p} = \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p) \supset I = \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p^{\text{ur}}) \supset P = \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p^{\text{tr}}) \supset 1.$$

$I$  is called the inertia and  $P$  is called the wild inertia. The quotients  $G_{\mathbb{Q}_p}/I = G_{\mathbb{F}_p}$  and  $I/P = \varprojlim_{p \nmid n} \mu_n(\bar{\mathbb{F}}_p)$  are pro-cyclic and  $P$  is a huge pro- $p$  group. Take an isomorphism  $\varprojlim_n \mu_{\ell^n} \rightarrow \mathbb{Z}_{\ell}$  and let  $t_{\ell} : I \rightarrow \mathbb{Z}_{\ell}$  denote the composition. Also take a lifting  $F \in G_{\mathbb{Q}_p}$  of  $Fr_p$ . The inverse image  $W_{\mathbb{Q}_p} = \langle F, I \rangle$  of  $\langle Fr_p \rangle \subset G_{\mathbb{F}_p}$  is called the Weil group.

We assume  $\ell \neq p$ . The  $p$ -adic Hodge theory deals with the case  $\ell = p$  (Faltings’s Kuwait lecture on 28 October 2003, Fontaine’s Kuwait lecture on 26 February 2003)

Semi-simplicity conjecture.(Tate) The action of  $F$  on  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  is semi-simple.

Monodromy theorem.(Grothendieck) Let  $\ell$  be a prime number different from  $p$  and  $\rho : G_{\mathbb{Q}_p} \rightarrow GL_n(\mathbb{Q}_{\ell})$  be a continuous representation. Then, there exists a pair of representation  $\rho' : W_{\mathbb{Q}_p} \rightarrow GL_n(\mathbb{Q}_{\ell})$  and a nilpotent endomorphism  $N \in M_n(\mathbb{Q}_{\ell})$  such that  $\rho(F^n \sigma) = \rho'(F^n \sigma) \exp(t_{\ell}(\sigma)N)$ .

$\rho$  is uniquely determined by  $(\rho', N)$ .  $\rho'$  is determined by  $\text{Tr } \rho'$  upto semi-simplification.

Monodromy filtration: For  $N$  an nilpotent endomorphism of  $V$  ( $N^{n+1} = 0$ ), the filtration  $W_r V = \sum_{p-q=r} \text{Ker } N^{p+1} \cap \text{Im } N^q$  is the unique increasing filtration satisfying the following property:

$N(W_r V) \subset W_{r-2} V$  for all  $r \in \mathbb{Z}$ ,  $W_n V = V, W_{-n-1} V = 0$ , and the induced map  $N^r : Gr_r^W V \rightarrow Gr_{-r}^W V$  is an isomorphism for  $r \geq 0$ .

Monodromy-weight conjecture:(Deligne) The eigenvalues of  $F$  on  $Gr_r^W H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  are of weight  $m+r$ . Namely are an algebraic integer and their complex absolute values are  $p^{\frac{m+r}{2}}$ .

MWC is an analogue of the Weil conjecture for a variety over a local field. MWC is know if  $m \leq 2$ . MWC implies that  $N$  is determined by  $\rho'$ . Further Semi-simplicity conjecture implies that  $\rho'$  on  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})$  is determined by  $\text{Tr } \rho = \text{Tr } \rho'$  on  $H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})$ .

Theorem 1. Assume WMC and further assume that the projectors to the Künneth components are algebraic. Then,  $P_p(H^m(X), t)$  and  $f_p$  are well-defined. More precisely, the function  $\text{Tr } (\sigma, (\text{Ker } N : H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})))$  on  $\sigma \in W_{\mathbb{Q}_p}$  is  $\mathbb{Q}$ -valued and is independent of  $\ell$ .

Proof. Alteration and the weight spectral sequence (Steenbrink-Rapoport-Zink).

(ii) Conductor.

$$f_p = \dim H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell}) - \dim H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell})^{I_p} + \text{Sw}_p H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell}).$$

Take a regular proper model  $X_{\mathbb{Z}}$  and put

$$\text{Art}_p(X) = \chi(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell}) - \chi(X_{\bar{\mathbb{F}}_p}, \mathbb{Q}_{\ell}) + \sum_{m=0}^{2d} (-1)^m \text{Sw}_p H^m(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_{\ell}).$$

$\text{Sw}V = \sum_v v \times \dim V^{G^{v+}}/V^{G^v}$   $G_v$  filtration by ramification groups.  $\text{Sw}V = 0$  if and only if  $P$  acts trivially on  $V$ .

Theorem 2 (Kato-T). If the closed fiber  $X_{\mathbb{F}_p}$  has normal crossings as a divisor of  $X$ , we have

$$\text{Art}_p(X) = \deg(-1)^d c_{d+1}^X(\Omega_{X/\mathbb{Z}}).$$

The right hand side is the degree of a 0-cycle class supported on the closed fiber. Theorem 2 is conjectured by S. Bloch without the extra assumption. A generalization of the conductor-discriminant formula in algebraic number theory. The Tate-Ogg formula for an elliptic curve is a special case.

Tomorrow: A related formula in a more geometric setting.