# 正則曲線と有理点分布について Holomorphic curves and distribution of rational points

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# §1 Introduction; a basic observation

- Analogues between value distribution theory and Diophantine approximaion theory.
- Some (not all) results motivated by the analogues.

We recall the unit equation with variables a, b, c:

$$(1.1) a+b=c.$$

Why is this equation interesting?

There might be several answers, but one should be that (1.1) gives a *hyperbolic space*.

In fact, equation (1.1) defines a subvariety of the projective 2-space,

$$X \subset \mathbf{P}^2$$

with homogeneous coordinates [a, b, c].

Since the variables are assumed to be units, X is isomorphic to  $\mathbf{P}^1$ minus three distinct points, to say, 0.1, and  $\infty$ :

$$X \cong \mathbf{P}^1 \setminus \{0,1,\infty\}.$$

In complex function theory, (1.1) was studied by E. Picard for units of entire functions.

Picard's Theorem (1879). A meromorphic function f on C omitting three distinct values of  $\mathbf{P}^1$  must be constant.

How are they related?

If f omits  $0, 1, \infty$ , then f, (1 - f) and 1 are units in the ring of entire functions, and satisfy

$$f + (1 - f) = 1.$$

R. Nevanlinna (Acta '25): Quantitative theory to measure the frequencies for non-constant f to take those three values.

Second Main Theorem ← abc Conjecture

(Masser-Oesterlé ('85)).

These are certain estimates of order (height) functions by the counting functions (the functions counting orders at finite places); explicit formulae will be given later, soon.

It is of importance and interest to study a unit equation in several variables,

$$(1.2) a+b+c+\cdots+f=0 (n \text{ variables}).$$

Equation (1.2) defines a variety isomorphic to  $\mathbf{P}^{n-2} \setminus \{n \text{ hyperplanes in general position}\}.$ 

In complex function theory (1.2) was studied by E. Borel for *units of entire functions*:

- **E. Borel** (1897): Subsum Theorem for units of entire functions holds; i.e., a proper shorter subsum of  $a, b, c, \ldots, f$  vanishes constantly.
- **W. Schmidt** (1971): Subsum Theorem for S-units of an algebraic number field holds.

In complex function theory the corresponding quantitative theory was established by H. Cartan ('33), also by Weyls and Ahlfors ('41), which generalized Nevanlinna's theory.

Following it, we can formulate the n variable version of abc Conjecture, named " $abc \cdots Conjecture$ ":

Second Main Theorem for hol. curves  $\iff$   $abc \cdots$  Conjecture.

These are the topics we are going to discuss.

# N.B.

- First Main Theorem ← Product Formula.
- Related topics: Kobayashi hyperbolicity.

# §2 Log Bloch-Ochiai & Faltings-Vojta

Here I show a fine analogue in the distribution of holomorphic curves and the distribution of rational points.

#### Theorem 2.1

(Log Bloch-Ochiai (26-77), N. (77-81)). Let X be a complex algebraic variety with logarithmic irregularity  $\bar{q}(X) > \dim X$ . Then every holomorphic curve  $f: \mathbf{C} \to X$  is degenerate.

Here we say that f is degenerate if  $f(\mathbf{C})$  is not Zariski dense in X.

## Theorem 2.2

(Faltings (91)-Vojta (96)). Let k be a number field and let S be a finite subset of inequivalent places of k containing all infinite places.

Let X be defined over k with a compactification  $\bar{X}$  and  $D = \bar{X} \setminus X$ . Assume  $\bar{q}(X) > \dim X$ .

Then every (D, S)-integral point set is contained in a proper algebraic subset of X.

#### Theorem 2.3

Let M = a projective manifold of dimension m (or defined over k; the same in below);

 $\{D_i\}_{i=1}^I = a \text{ family of ample hypersurfaces of } M \text{ in general position};$ 

 $W(\subset M) = a$  subvariety such that

**(CA)**  $\exists$  non-degenerate holomorphic curve  $f: \mathbf{C} \to W \setminus \bigcup_{D_i \supset W} D_i$ .

or

**(Ar)**  $\exists$  Zariski dense  $(\sum_{D_i \not\supset W} D_i \cap W, S)$ -integral point set in W.

Then we have

(i) 
$$(I-m) \dim W \leq m \left( \operatorname{rank}_{\mathbf{Z}} \{ c_1(D_i) \}_{i=1}^I - q(W) \right)^+$$
.

(ii) (CA) Let  $f: \mathbb{C} \to M$  be a holomorphic curve such that for every  $D_i$ , either  $f(\mathbf{C}) \subset D_i$ , or  $f(\mathbf{C}) \cap D_i = \emptyset$ : or

**(Ar)** Let Z be a subset of V(k) such that for every  $D_i$ , either  $Z \subset D_i$ , or  $Z \cap D_i = \emptyset$  and Z is a  $(\sum_{D_i \neg A_Z} D_i, S)$ -integral point set.

Assume that l > m.

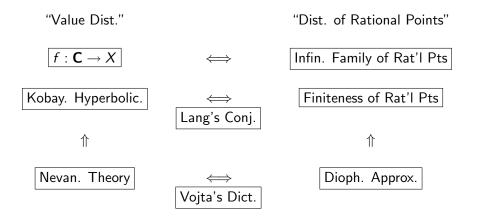
Then  $f(\mathbf{C})$  or Z is contained in an algebraic subspace W of M such that

$$\dim W \leq \frac{m}{l-m} \operatorname{rank}_{\mathbf{Z}} \operatorname{NS}(M).$$

In particular, if rank<sub>z</sub> NS(M) = 1 (e.g.,  $M = P^m(C)$ ), then we have

dim 
$$W \le \frac{m}{l-m}$$
; W is finite for  $l > 2m$ .

# The Mandala of the analogues:



# §3 abc Conjecture and Nevanlinna's S.M.T.

What is abc Conjecture?

abc Conjecture. For  ${}^\forall \epsilon>0, \ {}^\exists \mathit{C}_\epsilon>0$  such that if co-prime integers  $a,b,c\in \mathbf{Z}$  satisfyies

$$(3.1) a+b=c,$$

then

(3.2) 
$$\max\{|a|,|b|,|c|\} \leq C_{\epsilon} \prod_{\text{prime } p \mid (abc)} p^{1+\epsilon}.$$

**N.B.** The order of *abc* at every prime p is counted only by " $1 + \epsilon$ " (truncation), when it is positive.

As in §1 we put  $x = [a, -b] \in \mathbf{P}^1(\mathbf{Q})$ , and set

(3.3) 
$$h(x) = \log \max\{|a|, |b|\} \ge 0$$
 (height),

(3.4) 
$$N_1(x; \infty) = \sum_{p|a} \log p$$
 (counting function truncated to level 1),

$$N_1(x;0) = \sum_{p|b} \log p \qquad ( \quad " \quad ),$$

$$N_1(x;1) = \sum_{p|c} \log p$$
 ( ").

Then abc Conjecture (3.2) is rewritten as

$$(3.5) \quad (1 - \epsilon)h(x) \le N_1(x; 0) + N_1(x; \infty) + N_1(x; 1) + C_{\epsilon}, \ x \in \mathbf{P}^1(\mathbf{Q}).$$

For q distinct points  $a_i \in \mathbf{P}^1(\mathbf{Q}), 1 \le i \le q$ ,

$$(3.6) (q-2-\epsilon)h(x) \leq \sum_{i=1}^{q} N_1(x;a_i) + C_{\epsilon}$$

(formulated by N '96, Vojta '98).

## Theorem 3.7

(Nevanlinna's S.M.T.) Let f be a meromorphic function in  $\mathbb{C}$ . For q distinct points  $a_i \in \mathbf{P}^1(\mathbf{C}), 1 \le i \le q$ ,

$$(q-2)T_f(r) \leq \sum_{i=1}^q N_1(r, f^*a_i) + O(\log^+(rT_f(r))||.$$

$$T_f(r) = \int_0^r \frac{dt}{t} \int_{|z| < t} f^*(F.-S. metric)$$
 (due to Shimizu).

If f is entire,  $T_f(r) \sim \log \max_{|z| \le r} |f(z)|$ .

# §4 abc· · · Conjecture

**abc**··· **Conjecture 1.** Let  $a, b, c, ..., e, f \in \mathbf{Z}$  be n integers without common factor satisfying

$$a+b+c+\cdots+e+f=0.$$

Then for  $\forall \epsilon > 0$ ,  $\exists C_{\epsilon}$  and a proper algebraic subset  $\exists E_{\epsilon} \subset \mathbf{P}_{\mathbf{Z}}^{n-2}$  such that for  $[a,b,\ldots,e] \notin E_{\epsilon}$ 

(4.1) 
$$(1-\epsilon)\log\max\{|a|,\ldots,|f|\} \leq \sum_{p|a}\log p + \cdots + \sum_{p|f}\log p + C_{\epsilon}$$
.

For the sake of notational convenience, we set

- $a = x_0, b = x_1, \dots, e = x_n (n + 1 \text{ variables}).$
- $\bullet \ \ x=[x_0,\ldots,x_n]\in \mathbf{P}^n(\mathbf{Q}).$
- $h(x) = \log \max_{0 \le j \le n} \{|x_j|\}$ : the height of x.
- $H_j = x_j, 0 \le j \le n$ ,  $H_{n+1} = -\sum_{j=0}^n x_j$ : n+2 linear forms in general position.
- $N_1(x; H_i)$ : the counting function truncated to level 1.

Then (4.1) is equivalent to

(4.2) 
$$(1 - \epsilon)h(x) \leq \sum_{j=0}^{n+1} N_1(x; H_j) + C_{\epsilon}.$$

We consider a bit more general case.

Let *S* be a finte set of primes and let  $l \leq \infty$ .

We define an S-counting function truncated to level I by

(4.3) 
$$N_{l}(x; S, H_{j}) = \sum_{p \notin S, p \mid H_{j}(x)} \min\{\deg_{p} H_{j}(x)\}, l\} \cdot \log p.$$

**abc**··· **Conjecture 2.** Let  $H_j$ ,  $1 \le j \le q$  be  $q (\ge n+2)$  linear forms on  $\mathbf{P}_{\mathbf{Q}}^n$  in general position.

Then for  ${}^\forall \epsilon>0$ ,  ${}^\exists C_\epsilon$  and a proper algebraic subset  ${}^\exists E_\epsilon\subset \mathbf{P}^n_{\mathbf{Q}}$  such that

(4.4) 
$$(q-n-1-\epsilon)\mathrm{h}(x) \leq \sum_{j=1}^q N_1(x;S,H_j) + C_\epsilon, \quad x \in \mathbf{P}^n(\mathbf{Q}) \setminus E_\epsilon$$

(N '96, Vojta '98).

Schmidt's Subspace Theorem is stated as follows.

#### Theorem 4.5

Let the notaion be as above.

For  $\forall \epsilon > 0$ ,  $\exists C_{\epsilon}$  and a finite union  $\exists E_{\epsilon}$  of proper linear subspaces of  $\mathbf{P}^n_{\mathbf{Q}}$  such that

$$(q-n-1-\epsilon)\mathrm{h}(x) \leq \sum_{i=1}^q N_\infty(x;S,H_j) + C_\epsilon, \quad x \in \mathbf{P}^n(\mathbf{Q}) \setminus E_\epsilon.$$

**N.B.** When n = 1, this is Roth's Theorem.

## Theorem 4.6

(H. Cartan's S.M.T., '33) Let  $f: \mathbf{C} \to \mathbf{P}^n(\mathbf{C})$  be a linearly non-degenerate holomorphic curve. Let  $H_j$  be q hyperplanes of  $\mathbf{P}^n(\mathbf{C})$  in general position. Then

$$(q-n-1)T_f(r) \leq \sum_{i=1}^q N_n(r, f^*H_j) + O(\log^+(rT_f(r)))||$$

# §6 abc··· Theorem for hppolomorphic curves into semi-abelian varieties

Let A be a semi-abelian variety.

The universal covering  $\tilde{A} \cong \mathbf{C}^n$ ,  $n = \dim A$ . Let

- $f: \mathbf{C} \to A$ , be a holomorphic curve;
- $J_k(A) = k$ -jet bundle over A;  $J_k(A) \cong A \times \mathbb{C}^{nk}$ ;
- $J_k(f): \mathbf{C} \to J_k(A)$ , k-jet lift of f;
- $X_k(f) = \text{Zariski closure of the image } J_k(f)(\mathbf{C}).$
- $I_k: J_k(A) \cong A \times \mathbb{C}^{nk} \to \mathbb{C}^{nk}$ , jet projection.

#### Lemma 5.1

- (N. 77)
- (i) For  $f: \mathbf{C} \to A$ ,

$$T_{I_k \circ J_k(f)}(r) = O(\log^+(rT_f(r))) ||.$$

(ii) For  $f: \mathbf{C} \to \bar{A}$  (compactification),

$$m(r; I_k \circ J_k(f)) \stackrel{\text{def}}{=} \int_{|z|=r} \log^+ \|I_k \circ J_k(f)(z)\| \frac{d\theta}{2\pi} = O(\log^+(rT_f(r))) \|.$$

N.B. This is Lemma on logarithmic derivatives in higher dimension.

#### Theorem 5.2

(N.-Winkelmann-Yamanoi, Acta '02, Forum Math. '08)

Let  $f: \mathbf{C} \to A$  be non-degenerate.

(i) Let Z be an algebraic reduced subvariety of  $X_k(f)$  ( $k \ge 0$ ).

Then  $\exists \bar{X}_k(f)$ , compactification of  $X_k(f)$  such that

$$(5.3) T_{J_k(f)}(r;\omega_{\bar{Z}}) \leq N_1(r;J_k(f)^*Z) + \epsilon T_f(r)||_{\epsilon}, \quad \forall \epsilon > 0.$$

(ii) Moreover, if  $\operatorname{codim}_{X_k(f)} Z \geq 2$ , then

(5.4) 
$$T_{J_k(f)}(r;\omega_{\bar{Z}}) \leq \epsilon T_f(r)||_{\epsilon}, \quad \forall \epsilon > 0.$$

(iii) If k=0 and Z is an effective divisor D on A, then  $\bar{A}$  is smooth, equivariant, and independent of f; furthermore, (5.3) takes the form

$$(5.5) T_f(r; L(\bar{D})) \leq N_1(r; f^*D) + \epsilon T_f(r; L(\bar{D}))||_{\epsilon}, \quad \forall \epsilon > 0.$$

- **N.B.** (1) In N.-W.-Y. Acta '02, we proved (5.5) with a higher level truncated counting function  $N_k(r; f^*D)$  for some special compactification of A and with a better error term " $O(\log^+(rT_f(r)))$ ".
- (2) For the truncation of level 1, the error term " $\epsilon T_f(r)$ " cannot be replaced by " $O(\log^+(rT_f(r)))$ ".
  - (3) When A is an abelian vareity, (iii) was obtained by Yamanoi, '04. Because of the trunction level 1, we have the following:

#### Theorem 5.6

(Conjectured by M. Green, '74) Assume that  $f: \mathbb{C} \to \mathbb{P}^2(\mathbb{C})$  omits two lines  $\{x_i = 0\}, i = 1, 2$ , and the conic  $\{x_0^2 + x_1^2 + x_2^2 = 0\}$ . Then f is degenerate.

There is a historical reason in this case of 2 lines and 1 conic.

Lately, Corvaja-Zannier obtained some corresponding result over algebraic function fields (J.A.G. 2008).

# §6 Application

#### Theorem 6.1

(N.-Winkelmann-Yamanoi, J. Math. Pure. Appl. '07)

Let X be an algebraic variety such that

- (i)  $\bar{q}(X) \ge \dim X$  (log. irregularity);
- (ii)  $\bar{\kappa}(X) > 0$  (log. Kodaira dimension);
- (iii) the quasi- Albanese map  $X \rightarrow A$  is proper.

Then  $\forall f: \mathbf{C} \to X$  is degenerate.

Moreover, the normalization of  $\overline{f(C)}^{Zar}$  is a semi-abelian variety which is finite étale over a translate of a proper semi-abelian subvariety of A.

N.B. The case " $\bar{q}(X) > \dim X$ " was known as Log-Bloch-Ochiai's Theorem (N. '77-'81). The proof for the case " $\bar{q}(X) = \dim X$ " requires our new Theorem 5.2.

## As a special case we have

## Theorem 6.2

Let  $D = \sum_{i=1}^q D_i \subset \mathbf{P}^n(\mathbf{C})$  be an s.n.c. divisor.

Assume that q > n and deg D > n + 1.

Then  $\forall f: \mathbf{C} \to \mathbf{P}^n(\mathbf{C}) \setminus D$  is degenerate.

# Here are more applications:

## Theorem 6.3

Let A be a semi-abelian variety and D a reduced divisor on A.

Let  $f: \mathbf{C} \to A$  be a holomorphic curve such that

$$\deg_{\zeta} f^*D \geqq 2, \quad \forall \zeta \in f^{-1}D.$$

Then f is degenerate.

#### Theorem 6.4

Let  $D = \sum_{i=1}^{n+1} D_i$  be an s.n.c. divisor on  $\mathbf{P}^n(\mathbf{C})$  and  $D_{n+2}$  a reduced divisor not contained in D. Let  $f: \mathbf{C} \to \mathbf{P}^n(\mathbf{C}) \setminus D$  be a holomorphic curve such that

$$\deg_{\zeta} f^* D_{n+2} \geqq 2, \quad \forall \zeta \in f^{-1} D_{n+2}.$$

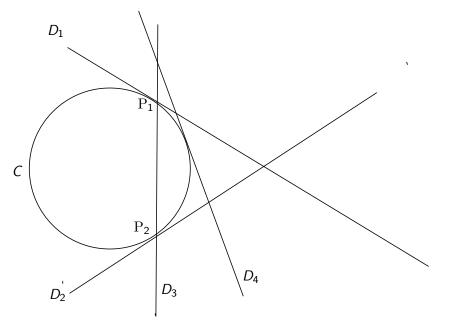
## Then f is degenerate.

*Example.* Let  $D = \sum_{i=1}^{3} D_i$ , be a sum of lines of  $\mathbf{P}^2$  in general position, and set

$$P_1 = D_1 \cap D_2, \quad P_2 = D_1 \cap D_3.$$

Let C be a smooth conic intersecting D at  $P_1, P_2$ , tangent to  $D_2$  and  $D_3$ , respectively. Let  $D_4$  be a line tangent to C such that  $\sum_{i=1}^4 D_i$  is in general position. Let  $f: \mathbf{C} \to C \setminus \{P_1, P_2\}$  be a holomorphic curve.d:/Sagyo/PAPER/d:/Sagyo/PAPER/ Then

$$\deg_{\zeta} f^* D_4 \geqq 2, \quad {}^{\forall} \zeta \in f^{-1} D_4.$$



# §8 Yamanoi's abc Theorem

In Acta '04, K. Yamanoi proved a striking S.M.T. for meromorphic functions with respect to moving targets, where the counting functions are truncated to level 1; it gives the best answer to Nevanlinna's Conjecture for moving targets, and more.

It is considered to be "abc Theorem" for fields of meromorphic functions, which are transcendental in general.

His method:

- Ahlfors' covering theory;
- Mumford's theory of the compactification of curve moduli;
- The tree theory for point configurations.

We recall his result in a form suitable to the present talk.

Let  $p: X \to S$  be a surjective morphism between smooth projective algebraic varieties with relative canonical bundle  $K_{X/S}$ .

#### Theorem 7.1

(Yamanoi, '04, '06) Assume that

- $\dim X/S = 1$ ;
- $D \subset X$  is a reduced divisor;
- $f: \mathbf{C} \to X$  is nondegenerate;
- $g = p \circ f : \mathbf{C} \to S$ .

Then for  $\forall \epsilon > 0$ ,  $\exists C(\epsilon) > 0$  such that

$$(7.2) \quad T_f(r; [D]) + T_f(r; K_{X/S}) \le N_1(r; f^*D) + \epsilon T_f(r) + C(\epsilon) T_g(r) ||_{\epsilon}.$$

# §9 Fundamental Conjecture for holomrophic curves

The titled conjecture is as follows:

Fund. Conj. for hol. curves.

Let X be a smooth algebraic variety, and let  $D = \sum_i D_i$  be a reduced s.n.c. divisor on X with irreducible  $D_i$ .

Then, for a non-degenerate  $f: \mathbf{C} \to X$  we have

(8.1) 
$$T_f(r; L(D)) + T_f(r; K_X) \leq \sum_i N_1(r; f^*D_i) + \epsilon T_f(r)||, \, \forall \epsilon > 0.$$

Even in the case when  $X = \mathbf{P}^n(\mathbf{C})$  and D is allowed to have some singularities, the fundamental conjecture implies Green-Griffiths' Conjecture and Kobayashi's Conjecture.

*Green-Griffiths' Conjecture.* Let X be a variety of general type. Then  ${}^\forall f: \mathbf{C} \to X$  is degenerate.

Kobayashi's Conjecture. A generic hypersurface  $X \subset \mathbf{P}^n(\mathbf{C})$  of high degree  $(\geq 2n-1)$  is Kobayashi hyperbolic.

Even when  $X = \mathbf{P}^n(\mathbf{C})$  and  $D_i$  are hyperplanes, the Fundamental Conjecture is open; if  $N_1(r; D_i)$  are replaced by  $N_n(r; D_i)$ , this is Cartan's Theorem 4.6, where f suffices to be linearly non-degenerate.

If  $f: \mathbf{C} \to \mathbf{P}^n(\mathbf{C})$  omits n+1 hyperplanes  $H_i, 1 \le i \le n+1$  in general position, then  $\mathbf{P}^n(\mathbf{C}) \setminus \sum_{i=1}^{n+1} H_i \cong (\mathbf{C}^*)^n$ . In this case, the Fundamental Conjecture is true because of Theorem 5.2.

After establishing the case of semi-abelian varieties, it is interesting to deal with K3 surfaces.

**Problem 1.** Let X be a K3 surface. Does there exist a non-degenerate holomorphic curve  $f: \mathbf{C} \to X$ ?

**Problem 2.** Let X be a K3 surface and let D be a reduced non-zero divisor on X. Is every  $f: \mathbf{C} \to X \setminus D$  degenerate?

After Green's conjecture the following is interesting:

**Problem 3.** Let  $D = \sum_{i=1}^{q} D_i$  be an s.n.c. divisor on  $\mathbf{P}^2$  such that  $\deg D = 4$  and q = 1, 2.

Is every  $f: \mathbf{C} \to \mathbf{P}^2 \setminus D$  degenerate?