

# HIGHER HOLONOMY OF FORMAL HOMOLOGY CONNECTIONS AND BRAID COBORDISMS

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ABSTRACT. We construct a representation of the homotopy 2-groupoid of a manifold by means of K.-T. Chen's formal homology connections. By using the idea of this 2-holonomy map, we describe a method to obtain a representation of the category of braid cobordisms.

## 1. INTRODUCTION

The notion of formal homology connections was developed by K.-T. Chen in the framework of the theory of iterated integrals of differential forms. The original motivation of K.-T. Chen was to describe the homology group of the loop space of a manifold  $M$  by the chain complex formed by the tensor algebra of the homology group of  $M$  equipped with a derivation appearing in the formal homology connection (see [6], [7] and [8]). By means of the formal homology connection we obtain a chain map from the singular chain complex of the loop space to the above complex obtained from the tensor algebra of the homology group of  $M$  of positive degrees.

The formal homology connection can be used to construct a holonomy map from the homotopy path groupoid. In particular, we obtain representations of fundamental groups. This was used to describe the holonomy of KZ connection in [16] and [17]. The purpose of this paper is to show that the notion of the holonomy can be extended to a 2-holonomy map from the homotopy 2-groupoid by means of formal homology connections. In order to formulate the 2-holonomy we employ the notion of 2-categories. We refer the reader to [4] for an introduction to 2-categories from the viewpoint of higher gauge theory.

Then we apply such method to construct a holonomy representation of the category of braid cobordisms. There is a work by Cirio and Martins [12] on the categorification of the KZ connection by means of 2-Yang-Baxter operator for  $sl_2(\mathbf{C})$  (see also [10], [11] and [21]). In this paper we propose a universal construction based on the formal homology connections.

The paper is organized in the following way. In Section 1 we briefly review K.-T. Chen's iterated integrals and their basic properties. In Section 2 we describe the notion of formal homology connections. In Section 3 we construct representations of homotopy 2-groupoids by means of the formal homology connection. In Section 4 we describe a method to construct a representation of the category of braid cobordisms.

## 2. PRELIMINARIES ON K.-T. CHEN'S ITERATED INTEGRALS

First, we briefly recall the notion of iterated integrals of differential forms due to K.-T. Chen. We refer the reader to [6], [7] and [8] for details. Let  $M$  be a smooth manifold and  $\omega_1, \dots, \omega_k$  be differential forms on  $M$ . We fix two points  $\mathbf{x}_0$  and  $\mathbf{x}_1$  in

$M$  and consider the space of piecewise smooth paths  $\gamma : [0, 1] \rightarrow M$  with  $\gamma(0) = \mathbf{x}_0$  and  $\gamma(1) = \mathbf{x}_1$ . We denote by  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  the above space of paths. In particular, in the case  $\mathbf{x}_0 = \mathbf{x}_1$  the path space  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  is called the based loop space of  $M$ . We consider the simplex

$$\Delta_k = \{(t_1, \dots, t_k) \in \mathbf{R}^k ; 0 \leq t_1 \leq \dots \leq t_k \leq 1\}$$

and the evaluation map

$$\varphi : \Delta_k \times \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1) \rightarrow \underbrace{M \times \dots \times M}_k$$

defined by  $\varphi(t_1, \dots, t_k; \gamma) = (\gamma(t_1), \dots, \gamma(t_k))$ . The iterated integral of  $\omega_1, \dots, \omega_k$  is defined as

$$\int \omega_1 \cdots \omega_k = \int_{\Delta_k} \varphi^*(\omega_1 \times \cdots \times \omega_k)$$

where the expression

$$\int_{\Delta_k} \varphi^*(\omega_1 \times \cdots \times \omega_k)$$

is the integration along the fiber with respect to the projection

$$p : \Delta_k \times \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1) \longrightarrow \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1).$$

The above iterated integral is considered as a differential form on the path space  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  with degree  $p_1 + \dots + p_k - k$ , where we set  $p_j = \deg \omega_j$ . To justify differential forms on the path space  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  we use the notion of plots. A plot  $\alpha : U \rightarrow \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  is a family of piecewise linear paths smoothly parametrized by a convex open set  $U$  in a finite dimensional Euclidean space. Given a plot  $\alpha$  we denote the corresponding iterated integral

$$\left( \int \omega_1 \cdots \omega_k \right)_\alpha$$

as a differential form on  $U$  obtained by pulling back by the iterated integral  $\int \omega_1 \cdots \omega_k$  by the plot  $\alpha$ . In particular, in the case  $\omega_1, \dots, \omega_k$  are 1-forms, the iterated integral  $\int \omega_1 \cdots \omega_k$  is a function on the path space and its value on a path  $\gamma : [0, 1] \rightarrow M$  is the iterated line integral

$$\int_\gamma \omega_1 \cdots \omega_k = \int_{\Delta_k} f_1(t_1) \cdots f_k(t_k) dt_1 \cdots dt_k$$

where  $\gamma^* \omega_j = f_j(t) dt$ ,  $1 \leq j \leq k$ .

Let us go back to the iterated integral of differential forms of arbitrary degrees. We take an extra point  $\mathbf{x}_2$  in  $M$  and consider the plots

$$\alpha : U \rightarrow \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1), \quad \beta : U \rightarrow \mathcal{P}(M; \mathbf{x}_1, \mathbf{x}_2).$$

The composition of the plots  $\alpha$  and  $\beta$

$$\alpha\beta : U \rightarrow \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_2)$$

is defined by

$$\alpha\beta(x)(t) = \begin{cases} \alpha(x)(2t), & 0 \leq t \leq \frac{1}{2} \\ \beta(x)(2t - 1), & \frac{1}{2} \leq t \leq 1 \end{cases}$$

for  $x \in U$ . As is shown by K.-T. Chen, we have the following rule for the composition of plots.

**Proposition 2.1.** *The relation*

$$\left( \int \omega_1 \cdots \omega_k \right)_{\alpha\beta} = \sum_{0 \leq i \leq k} \left( \int \omega_1 \cdots \omega_i \right)_{\alpha} \wedge \left( \int \omega_{i+1} \cdots \omega_k \right)_{\beta}$$

*holds.*

For a path  $\alpha$  we define its inverse path  $\alpha^{-1}$  by

$$\alpha^{-1}(t) = \alpha(1 - t).$$

For the composition  $\alpha\alpha^{-1}$  we have

$$\left( \int \omega_1 \cdots \omega_i \right)_{\alpha\alpha^{-1}} = 0.$$

As a differential form on the path space  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  we have the following.

**Proposition 2.2.** *For the iterated integral  $\int \omega_1 \cdots \omega_k$  we have*

$$\begin{aligned} & d \int \omega_1 \cdots \omega_k \\ &= \sum_{j=1}^k (-1)^{\nu_{j-1}+1} \int \omega_1 \cdots \omega_{j-1} d\omega_j \omega_{j+1} \cdots \omega_k \\ & \quad + \sum_{j=1}^{k-1} (-1)^{\nu_j+1} \int \omega_1 \cdots \omega_{j-1} (\omega_j \wedge \omega_{j+1}) \omega_{j+2} \cdots \omega_k \end{aligned}$$

where we put  $\nu_j = \deg \omega_1 + \cdots + \deg \omega_j - j$ .

### 3. FORMAL HOMOLOGY CONNECTIONS

For a smooth manifold  $M$  we put  $H_+(M) = \bigoplus_{q>0} H_q(M; \mathbf{R})$  and consider the tensor algebra

$$TH_+(M) = \bigoplus_{k \geq 0} \left( \bigotimes^k H_+(M) \right).$$

We suppose that  $\dim H_+(M)$  is finite. We denote by  $\Omega^*(M)$  the algebra of differential forms on  $M$  and consider the tensor product  $\Omega^*(M) \otimes TH_+(M)$ . We suppose that the differential  $d$  acts trivially on  $TH_+(M; \mathbf{R})$  and we extend naturally the wedge product and iterated integrals on  $\Omega^*(M) \otimes TH_+(M)$ . the powers of the augmentation ideal. When  $H_+(M)$  has a basis  $X_1, \cdots, X_m$ , the tensor algebra  $TH_+(M)$  is the ring of non-commutative polynomials  $\mathbf{R}\langle X_1, \cdots, X_m \rangle$ . We denote by  $J$  the ideal of the above ring generated by  $X_1, \cdots, X_m$ , which is called the augmentation ideal. We consider the completion  $\widehat{TH_+(M)}$  with respect to the powers of the augmentation ideal  $J$ . We see that  $\widehat{TH_+(M)}$  is regarded as the ring of non-commutative formal power series  $\mathbf{R}\langle\langle X_1, \cdots, X_m \rangle\rangle$ . We denote by  $\widehat{J}$  the completed augmentation ideal. Namely,  $\widehat{J}$  consists of the formal power series of the form

$$\sum_{i=1}^m a_i X_i + \cdots + \sum_{i_1 \cdots i_k} a_{i_1 \cdots i_k} X_{i_1} \cdots X_{i_k} + \cdots$$

with zero constant term.

Then  $\Omega^*(M) \otimes \widehat{TH_+}(M)$  is identified with the ring of non-commutative formal power series

$$\Omega^*(M) \langle\langle X_1, \dots, X_m \rangle\rangle$$

over  $\Omega^*(M)$ . For a differential operator  $\omega$  we define the parity operator  $\varepsilon$  as  $\varepsilon(\omega) = \omega$  when  $\omega$  is of even degree and  $\varepsilon(\omega) = -\omega$  when  $\omega$  is of odd degree. This operator is naturally extended to  $\Omega^*(M) \otimes \widehat{TH_+}(M)$ . Namely, for a differential form  $\tau$  and a monomial  $Z$  in  $X_1, \dots, X_m$  we set  $\varepsilon(\tau Z) = \varepsilon(\tau)Z$ . We define a generalized curvature  $\kappa$  by

$$\kappa = d\omega - \varepsilon(\omega) \wedge \omega.$$

According to K.-T. Chen a formal homology connection

$$\omega \in \Omega^*(M) \otimes \widehat{TH_+}(M)$$

is an expression

$$\omega = \sum_{i=1}^m \omega_i X_i + \dots + \sum_{i_1 \dots i_k} \omega_{i_1 \dots i_k} X_{i_1} \dots X_{i_k} + \dots$$

with differential forms of positive degrees  $\omega_{i_1 \dots i_k}$  together with a derivation  $\delta$  satisfying the following properties. We put  $\deg x_i = p_i - 1$  for  $x_i \in H_{p_i}(M)$ .

- $[\omega_i]$ ,  $1 \leq i \leq m$  is the dual basis of  $X_i$ ,  $1 \leq i \leq m$ .
- $\deg \omega_{i_1 \dots i_k} = \deg X_{i_1} \dots X_{i_k} + 1$ .
- $\delta\omega + \kappa = 0$ .
- $\delta$  is a derivation of degree  $-1$ .
- $\delta X_j \in \widehat{\mathcal{J}}^2$  where  $\widehat{\mathcal{J}}$  is the completed augmentation ideal.

Here we suppose that the derivation  $\delta$  satisfies the Leibniz rule

$$\delta(uv) = (\delta u)v + (-1)^{\deg u} u(\delta v).$$

From the above condition we can show that  $\delta \circ \delta = 0$  and  $(\widehat{TH_+}(M), \delta)$  forms a complex. We denote by  $\widehat{TH_+}(M)_k$  the degree  $k$  part of  $\widehat{TH_+}(M)$  with respect to the above degrees. We denote by  $\widehat{TH_+}(M)_{\leq k}$  the completed subalgebra of  $\widehat{TH_+}(M)$  generated by the homogeneous elements of degree less than or equal to  $k$ . For the formal homology connection  $\omega$  we define its transport by

$$T = 1 + \sum_{k=1}^{\infty} \int \underbrace{\omega \dots \omega}_k.$$

The following proposition plays a key role of for the construction of holonomy maps.

**Proposition 3.1.** *Given a formal homology connection  $(\omega, \delta)$  for a manifold  $M$  the transport  $T$  satisfies  $dT = \delta T$ .*

*Proof.* By Proposition 2.2 we have

$$\begin{aligned} dT &= - \int \kappa + \left( - \int \kappa \omega + \int \varepsilon(\omega) \kappa \right) + \dots \\ &= \sum_{k=0}^{\infty} \sum_{i=0}^k (-1)^{i+1} \int \underbrace{\varepsilon(\omega) \dots \varepsilon(\omega)}_i \kappa \underbrace{\omega \dots \omega}_{k-i-1}. \end{aligned}$$

Substituting  $\kappa = -\delta\omega$  in the above equation and applying the Leibniz rule for  $\delta$ , we obtain the equation  $dT = \delta T$ .  $\square$

Although the formal homology connection  $\omega$  with the derivation  $\delta$  is not uniquely determined, we can construct it inductively starting from the initial term  $\sum_{i=1}^m \omega_i X_i$ . Here are some examples.

**Examples :** (1) Let  $T = S^1 \times S^1$  be the 2-dimensional torus. Let  $p_i : S^1 \times S^1$ ,  $i = 1, 2$ , the projection to the  $i$ -th factor. We denote by  $v$  a volume form of  $S^1$ . The de Rham cohomology  $H^*(T)$  has a basis represented by  $p_1^*v, p_2^*v, p_1^*v \wedge p_2^*v$  and we put  $X_1, X_2, Y$  its dual basis of the homology. The formal homology connection is given as

$$\omega = p_1^*v X_1 + p_2^*v X_2 + (p_1^*v \wedge p_2^*v)Y$$

with the derivation defined by

$$\delta(X_1) = 0, \delta(X_2) = 0, \delta(Y) = -[X_1, X_2].$$

(2) Let  $G$  be the unipotent Lie group consisting of the matrices

$$g = \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}, \quad x, y, z \in \mathbf{R}$$

and  $G_{\mathbf{Z}}$  its subgroup consisting of the above matrices with  $x, y, z \in \mathbf{Z}$ . We denote by  $M$  the quotient space of  $G$  by the left action of  $G_{\mathbf{Z}}$ . We see that  $M$  has a structure of a compact smooth 3-dimensional manifold. The 1-forms

$$\omega_1 = dx, \omega_2 = dy, \omega_{12} = -xdy + dz$$

on  $G$  are invariant under the left action of  $G_{\mathbf{Z}}$  and define 1-forms on  $M$ . There is a relation

$$\omega_1 \wedge \omega_2 = -d\omega_{12}.$$

We observe that  $H^1(M)$  has a basis represented by  $\omega_1, \omega_2$  and  $H^2(M)$  has a basis represented by  $\omega_1 \wedge \omega_{12}, \omega_2 \wedge \omega_{12}$ . These are typical examples of non-trivial Massey product. We denote by  $X_1, X_2 \in H_1(M)$  the dual basis of  $[\omega_1], [\omega_2]$  and by  $Y_1, Y_2 \in H_2(M)$  the dual basis of  $[\omega_1 \wedge \omega_{12}], [\omega_2 \wedge \omega_{12}]$ . By means of the condition  $\delta\omega + d\omega = \varepsilon(\omega) \wedge \omega$  we obtain that the derivation  $\delta$  is given by

$$\delta(X_1) = 0, \delta(X_2) = 0, \delta(Y_1) = [[X_1, X_2], X_1], \delta(Y_2) = [[X_1, X_2], X_2].$$

#### 4. PATH GROUPOIDS, 2-PATH GROUPOIDS AND THEIR REPRESENTATIONS

We introduce the path groupoid  $\mathcal{P}_1(M)$  and its 2-category extension  $\mathcal{P}_2(M)$ . The path groupoid  $\mathcal{P}_1(M)$  is a category whose objects are points in  $M$  and whose morphisms are piecewise smooth paths between points up to reparametrization and a thin homotopy. Here a thin homotopy is a homotopy sweeping on the path. We see that  $\mathcal{P}_1(M)$  has a structure of a groupoid since there is an associativity and each morphism has its inverse by means of the invariance of iterated integrals under the thin homotopy.

Now we discuss its extension to 2-categories. In general, a 2-category consists of objects, morphisms and 2-morphisms, which are morphisms between morphisms. There are two kinds of compositions for 2-morphisms, horizontal compositions and vertical compositions and there are several consistency conditions among them. We do not

give here a full definition of a 2-category. We refer the reader to [4] for an introduction to the notion of 2-categories. The path 2-groupoid  $\mathcal{P}_2(M)$  is a 2-category whose morphisms are piecewise smooth paths between points up to reparametrization and a thin homotopy and whose 2-morphisms are piecewise smooth discs  $[0, 1]^2 \rightarrow M$  spanning 2 paths up to reparametrization and a thin homotopy. As in the case of the path groupoid, a thin homotopy is a homotopy sweeping on the disc.

The homotopy equivalence classes of the path groupoid  $\mathcal{P}_1(M)$  is the homotopy path groupoid denote by  $\Pi_1(M)$ . In a similar way, we define the homotopy 2-groupoid  $\Pi_2(M)$  whose 2-morphisms are relative piecewise smooth homotopy classes of piecewise smooth homotopies between paths. We refer the reader to [13] for a general construction of a homotopy 2-groupoid of a topological space.

Let  $\omega$  be a formal homology connection for  $M$  with the derivation  $\delta$ . We decompose  $\omega$  as

$$\omega = \omega^1 + \omega^2 + \dots + \omega^p + \dots$$

where  $\omega^p$  is the sum consisting of  $p$ -forms and is called the  $p$ -form part of  $\omega$ . First, we consider the 1-form part  $\omega^1$ . For a piecewise smooth path  $\gamma$  in  $M$  the holonomy of the connection  $\omega^1$  is given the transport as

$$Hol(\gamma) = 1 + \sum_{k=1}^{\infty} \int_{\gamma} \underbrace{\omega^1 \cdots \omega^1}_k$$

which is an element of  $T\widehat{H}_+(M)_0$ . For the composition of paths we have

$$Hol(\alpha\beta) = Hol(\alpha)Hol(\beta)$$

by Proposition 2.1. Moreover, the relation

$$Hol(\alpha^{-1}) = Hol(\alpha)^{-1}$$

holds. Therefore, we obtain a representation of the path groupoid

$$Hol : \mathcal{P}_1(M) \longrightarrow T\widehat{H}_+(M)_0.$$

We denote by  $T\widehat{H}_+(M)_0^\times$  the group of invertible elements in  $T\widehat{H}_+(M)_0$ . The above  $Hol$  is considered to be a map of groupoids from  $\mathcal{P}_1(M)$  to  $T\widehat{H}_+(M)_0^\times$ . Here the map  $Hol$  is regarded as a functor.

Let us consider the homotopy path groupoid  $\Pi_1(M)$ . In this case we have a holonomy map

$$Hol : \Pi_1(M) \longrightarrow T\widehat{H}_+(M)_0/\mathcal{I}_0$$

where  $\mathcal{I}_0$  is the ideal generated by the image of the derivation

$$\delta : T\widehat{H}_+(M)_1 \longrightarrow T\widehat{H}_+(M)_0.$$

This can be verified by means of Proposition 3.1 and the Stokes theorem. Here the curvature of  $\omega^1$  is

$$\kappa = d\omega^1 + \omega^1 \wedge \omega^1,$$

which is zero modulo the ideal  $\mathcal{I}_0$ . The above holonomy functor is a categorical formulation of the holonomy of Chen's formal homology connection. By fixing a base point  $\mathbf{x}_0 \in M$  we have a holonomy map

$$Hol : \pi_1(M, \mathbf{x}_0) \longrightarrow T\widehat{H}_+(M)_0$$

and one of the main results due to K.-T. Chen is that the holonomy map induces an isomorphism

$$\mathbf{R}\widehat{\pi_1(M, \mathbf{x}_0)} \cong T\widehat{H_+(M)}_0$$

where  $\mathbf{R}\widehat{\pi_1(M, \mathbf{x}_0)}$  is the completion of the group ring  $\mathbf{R}\pi_1(M, \mathbf{x}_0)$  with respect to the powers of the augmentation ideal. The algebra  $\mathbf{R}\widehat{\pi_1(M, \mathbf{x}_0)}$  is called the Malcev completion of the fundamental group  $\pi_1(M, \mathbf{x}_0)$ .

Now we construct representations of the homotopy 2-groupoid  $\mathcal{P}_2(M)$ . For two paths  $\gamma_0$  and  $\gamma_1$  in  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  we consider a piecewise smooth disc  $F : [0, 1]^2 \rightarrow M$  with

$$\begin{aligned} F(t, 0) &= \gamma_0(t), & F(t, 1) &= \gamma_1(t) \\ F(0, s) &= \mathbf{x}_0, & F(1, s) &= \mathbf{x}_1, \end{aligned}$$

which is considered to be a 2-morphism between  $\gamma_0$  and  $\gamma_1$ . Putting  $c(s)(t) = F(t, s)$ , we obtain a family of paths

$$c : [0, 1] \longrightarrow \mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1),$$

which is considered to be a 1-chain in  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$ . For the formal homology connection we consider the transport

$$T = 1 + \sum_{k=1}^{\infty} \int \underbrace{\omega \cdots \omega}_k$$

and denote by  $\langle T, c \rangle$  its integration on the 1-chain  $c$ . We define the 2-holonomy

$$Hol_2 : \mathcal{P}_2(M) \longrightarrow T\widehat{H_+(M)}_{\leq 1}$$

by  $Hol_2(c) = \langle T, c \rangle$ . The above holonomy map is additive with respect to the sum as 1-chains and for the composition of paths we have

$$Hol_2(\alpha\beta) = Hol_2(\alpha)Hol_2(\beta)$$

by means of Proposition 2.1. The above two types of compositions correspond to horizontal and vertical compositions of 2-morphisms in the 2-category. We obtain that the 2-holonomy map  $Hol_2$  gives a representation of the path 2-groupoid  $\mathcal{P}_2(M)$ .

**Theorem 4.1.** *The above 2-holonomy map gives a representation of the homotopy 2-groupoid*

$$Hol_2 : \Pi_2(M) \longrightarrow T\widehat{H_+(M)}_{\leq 1}/\mathcal{I}_1$$

where  $\mathcal{I}_1$  is the ideal generated by the image of the derivation

$$\delta : T\widehat{H_+(M)}_2 \longrightarrow T\widehat{H_+(M)}_1$$

*Proof.* As is shown in the above argument we have a representation of the path 2-groupoid given by

$$Hol_2 : \mathcal{P}_2(M) \longrightarrow T\widehat{H_+(M)}_{\leq 1}.$$

Suppose that for paths  $\gamma_0$  and  $\gamma_1$  in  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  piecewise smooth discs  $F_j : [0, 1]^2 \rightarrow M$ ,  $j = 1, 2$  with

$$\begin{aligned} F_j(t, 0) &= \gamma_0(t), & F_j(t, 1) &= \gamma_1(t) \\ F_j(0, s) &= \mathbf{x}_0, & F_j(1, s) &= \mathbf{x}_1, \end{aligned}$$

are connected by a piecewise smooth homotopy preserving the above boundary conditions. This gives homologous 1-chains  $c_1$  and  $c_2$  in  $\mathcal{P}(M; \mathbf{x}_0, \mathbf{x}_1)$  and there is a 2-chain  $y$  such that  $c_1 - c_2 = \partial y$ . We have

$$Hol_2(c_1) - Hol_2(c_2) = Hol_2(\partial y)$$

which is by definition  $\langle T, \partial y \rangle$ . By Stokes theorem we have

$$\langle T, \partial y \rangle = \langle dT, y \rangle.$$

On the other hand we have  $dT = \delta T$  by Proposition 3.1. This shows that  $Hol_2(c_1) = Hol_2(c_2)$  in  $\widehat{TH}_+(M)_{\leq 1}/\mathcal{I}_1$  and the 2-holonomy map from the homotopy 2-groupoid  $\Pi_2(M)$  is well-defined. The fact that this give a representation of the 2-groupoid  $\Pi_2(M)$  follows from the corresponding properties such as

$$Hol_2(\alpha\beta) = Hol_2(\alpha)Hol_2(\beta)$$

for the path 2-groupoid  $\mathcal{P}_2(M)$ . This completes the proof.  $\square$

We refer the reader to [1] and [2] for a different approach to higher holonomies based on iterated integrals.

## 5. HOLONOMY OF BRAIDS AND ITS EXTENSION TO BRAID COBORDISMS

We apply a method explained in the previous sections to holonomy of braids and representation of the category of braid cobordisms. We start by recalling basic facts on hyperplane arrangements. Let  $\mathcal{A} = \{H_1, \dots, H_\ell\}$  be a collection of finite number of complex hyperplanes in  $\mathbf{C}^n$ . We call  $\mathcal{A}$  a hyperplane arrangement. Let  $f_j$ ,  $1 \leq j \leq \ell$ , be linear forms defining the hyperplanes  $H_j$ . We consider the complement

$$M(\mathcal{A}) = \mathbf{C}^n \setminus \bigcup_{H \in \mathcal{A}} H$$

and denote by  $\Omega^*(M(\mathcal{A}))$  the algebra of differential forms on  $M(\mathcal{A})$  with values in  $\mathbf{C}$ . The Orlik-Solomon algebra  $OS(\mathcal{A})$  is the subalgebra of  $\Omega^*(M(\mathcal{A}))$  generated by the logarithmic forms  $\omega_j = d \log f_j$ ,  $1 \leq j \leq \ell$ . We refer the reader to [22] and [23] for basic properties of the Orlik-Solomon algebra. The fundamental fact is that the inclusion map

$$i : OS(\mathcal{A}) \longrightarrow \Omega^*(M(\mathcal{A}))$$

induces an isomorphism of cohomology, where the differential on  $OS(\mathcal{A})$  is trivial. In particular, we have an isomorphism of algebras

$$OS(\mathcal{A}) \cong H^*(M(\mathcal{A}); \mathbf{C}).$$

A formal homology connection for  $M(\mathcal{A})$  is given as follows. Let  $\{Z_j\}$  be a basis of  $H_+(M(\mathcal{A}); \mathbf{C})$  and  $\{\varphi_j\}$  be its dual basis in the Orlik-Solomon algebra  $OS(\mathcal{A})$ . Then we can take a formal homology connection given as

$$\omega = \sum_{j=1}^m \varphi_j Z_j$$

where the derivation  $\delta : \widehat{TH}_+(M(\mathcal{A}))_p \longrightarrow \widehat{TH}_+(M(\mathcal{A}))_{p-1}$  is the dual of the wedge product. More explicitly, as is described in [18], when the wedge product is given by

$$\varepsilon(\varphi_i) \wedge \varphi_j = \sum_k c_{ij}^k \varphi_k$$

the derivation  $\delta$  is defined as

$$\delta Z_k = \sum_{i,j} c_{ij}^k [Z_i, Z_j].$$

This is a consequence of the formality of  $M(\mathcal{A})$  in the sense of rational homotopy theory. There are no non-trivial Massey products and the derivation  $\delta$  is completely determined by the product structure of the Orlik-Solomon algebra.

We consider the configuration space of ordered distinct  $n$  points in the complex plane  $\mathbf{C}$ . Namely, we put

$$X_n = \{(z_1, \dots, z_n) \in \mathbf{C}^n ; z_i \neq z_j \text{ if } i \neq j\}.$$

The configuration space  $X_n$  is the complement of the union of big diagonal hyperplanes  $H_{ij}$  defined by  $z_i = z_j$  in  $\mathbf{C}^n$  for  $1 \leq i < j \leq n$ . By considering the action of the symmetric group  $\mathfrak{S}_n$  by the permutation of coordinates, we set

$$Y_n = X_n / \mathfrak{S}_n.$$

We have a covering map

$$\pi : X_n \longrightarrow Y_n$$

and the fundamental group  $\pi_1(Y_n)$  is the braid group of  $n$  strings denoted by  $B_n$  and  $\pi_1(X_n)$  is the pure braid group of  $n$  strings denoted by  $P_n$ .

We denote by  $OS(X_n)$  the Orlik-Solomon algebra for the above arrangement of hyperplanes  $\{H_{ij}\}_{1 \leq i < j \leq n}$ . We set

$$\omega_{ij} = d \log(z_i - z_j), \quad 1 \leq i < j \leq n.$$

Then the Orlik-Solomon algebra  $OS(X_n)$  is generated by  $\omega_{ij}$ ,  $1 \leq i < j \leq n$ . We have

$$\omega_{ij} \wedge \omega_{jk} + \omega_{jk} \wedge \omega_{ik} + \omega_{ik} \wedge \omega_{ij} = 0, \quad 1 \leq i < j < k \leq n$$

and it was shown by Arnol'd [3] that these are actually fundamental relations. Namely, the Orlik-Solomon algebra  $OS(X_n)$  is isomorphic to the exterior algebra generated by  $e_{ij}$ ,  $1 \leq i < j \leq n$ , modulo the ideal generated by  $e_{ij}e_{jk} + e_{jk}e_{ik} + e_{ik}e_{ij}$ . It turns out that the degree  $q$  part of  $OS(X_n)$  has a basis represented by

$$\omega_{i_1 j_1} \wedge \dots \wedge \omega_{i_q j_q}, \quad j_1 < \dots < j_q.$$

This is called the normal form of a basis of  $OS(X_n)$ . We denote by  $X_{i_1 j_1, \dots, i_q j_q}$  its dual basis of the homology  $H_q(X_n)$ . The formal homology connection is given by

$$\omega = \sum_{j_1 < \dots < j_q, 1 \leq q \leq n} \omega_{i_1 j_1} \wedge \dots \wedge \omega_{i_q j_q} X_{i_1 j_1, \dots, i_q j_q}.$$

Since  $d\omega = 0$  the generalized curvature  $\kappa = d\omega - \varepsilon(\omega) \wedge \omega$  is decomposed as

$$\kappa = \omega^1 \wedge \omega^1 + (\omega^1 \wedge \omega^2 - \omega^2 \wedge \omega^1) + \dots$$

according the degrees of differential forms.

The 1-form part of the formal homology connection is

$$\omega^1 = \sum_{i < j} \omega_{ij} X_{ij}$$

where  $X_{ij}$ ,  $1 \leq i < j \leq n$ , is a basis of  $H_1(X_n; \mathbf{C})$  corresponding to the hyperplanes  $H_{ij}$  and the representation of the path groupoid described in the previous section is give as

$$Hol : \mathcal{P}_1(X_n) \longrightarrow \mathbf{C}\langle\langle X_{ij} \rangle\rangle$$

where  $\mathbf{C}\langle\langle X_{ij} \rangle\rangle$  is the ring of non-commutative formal power series with indeterminates  $X_{ij}$ ,  $1 \leq i < j \leq n$ . This induces the representation of the homotopy path groupoid

$$Hol : \Pi_1(X_n) \longrightarrow \mathbf{C}\langle\langle X_{ij} \rangle\rangle / \mathcal{I}_0.$$

The generators of the ideal  $\mathcal{I}_0$  are determined in the following way. We express the 2-form part of  $\kappa$  by the normal form of the basis of  $OS(X_n)$  as

$$\omega^1 \wedge \omega^1 = \sum_{j_1 < j_2} \omega_{i_1 j_1} \wedge \omega_{i_2 j_2} Z_{i_1 j_1, i_2 j_2}.$$

Then by the condition  $\delta\omega + \kappa = 0$  we have  $\delta(X_{i_1 j_1, i_2 j_2}) = -Z_{i_1 j_1, i_2 j_2}$ . It turns out that the generators of  $\mathcal{I}_0$  are infinitesimal pure braid relations:

$$\begin{aligned} & [X_{ik}, X_{ij} + X_{jk}], [X_{ik} + X_{ij}, X_{jk}] \quad (i, j, k \text{ distinct}), \\ & [X_{ij}, X_{k\ell}], \quad (i, j, k, \ell \text{ distinct}). \end{aligned}$$

In particular, we obtain a holonomy homomorphism

$$Hol : P_n \longrightarrow \mathbf{C}\langle\langle X_{ij} \rangle\rangle / \mathcal{I}_0$$

which is a prototype of the Kontsevich integral [19] for knots and gives a universal finite type invariants for pure braids (see [16], [17] and [9]).

Now we consider the 2-holonomy map

$$Hol_2 : \Pi_2(X_n) \longrightarrow TH_+(\widehat{X_n})_{\leq 1} / \mathcal{I}_1.$$

We deal with the 1-form and the 2-form

$$\omega^1 = \sum_{i < j} \omega_{ij} X_{ij}, \quad \omega^2 = \sum_{j_1 < j_2} \omega_{i_1 j_1} \wedge \omega_{i_2 j_2} X_{i_1 j_1, i_2 j_2}.$$

In the expression of  $\omega^2$  we consider the sum for the normal basis of the degree 2 part of  $OS(X_n)$  and our formulation is slightly different from the one by Cirio and Martins ([10], [11] and [12]). Although we do not give an explicit form here, we explain a method to determine the generators of the ideal  $\mathcal{I}_1$ . We express the 3-form part of the generalized curvature  $\kappa$  by the normal form of a basis of  $OS(X_n)$  as

$$\omega^1 \wedge \omega^2 - \omega^2 \wedge \omega^1 = \sum_{j_1 < j_2 < j_3} \omega_{i_1 j_1} \wedge \omega_{i_2 j_2} \wedge \omega_{i_3 j_3} Z_{i_1 j_1, i_2 j_2, i_3 j_3}$$

Then we have  $\delta(X_{i_1 j_1, i_2 j_2, i_3 j_3}) = -Z_{i_1 j_1, i_2 j_2, i_3 j_3}$  and the ideal  $\mathcal{I}_1$  is generated by  $Z_{i_1 j_1, i_2 j_2, i_3 j_3}$ , which are expressed by Lie brackets of  $X_{ij}$  and  $X_{i_1 j_1, i_2 j_2}$ .

Based on the idea of the construction of the 2-holonomy map we discuss a method to construct a representation of the category of braid cobordisms. First, we describe the notion of the category of braid cobordisms. Let us recall that a braid is an embedding of a 1-manifold which is a disjoint union of closed intervals into  $\mathbf{C} \times [0, 1]$  so that the projection onto  $[0, 1]$  has no critical points, and the boundary of the 1-manifold is mapped to  $2n$  points

$$(1, 0), (2, 0), \dots, (n, 0), (1, 1), (2, 1), \dots, (n, 1) \in \mathbf{C} \times [0, 1].$$

The isotopy classes of braids fixing the boundary form the braid group  $B_n$ . A braid cobordism between braids  $g$  and  $h$  is a compact surface  $S$  with boundary and corners, smoothly and properly embedded in  $\mathbf{C} \times [0, 1]^2$ , such that the following conditions are satisfied.

(1) The boundary of  $S$  is the union of 1-manifolds

$$\begin{aligned} S \cap (\mathbf{C} \times [0, 1] \times \{0\}) &= g, \\ S \cap (\mathbf{C} \times [0, 1] \times \{1\}) &= h, \\ S \cap (\mathbf{C} \times \{0\} \times [0, 1]) &= \{1, 2, \dots, n\} \times \{0\} \times [0, 1], \\ S \cap (\mathbf{C} \times \{1\} \times [0, 1]) &= \{1, 2, \dots, n\} \times \{1\} \times [0, 1]. \end{aligned}$$

(2) The projection of  $S$  onto  $[0, 1]^2$  is a branched covering with simple branch points only.

Considering the set of braids as a category, we can equip the set of braid cobordisms with a structure of a 2-category, which is denoted by  $\mathcal{BC}_n$ . Here the 2-morphisms are equivalence classes of braid cobordisms with the isotopies fixing the boundary. A braid cobordism is also called a braided surface (see [5] and [14]).

To extend the 2-holonomy map to  $\mathcal{BC}_n$  we consider the integration of the transport  $T$  on one-parameter deformation family of singular braids with double points associated with a braid cobordism. To get a finite value we need to regularize the integral at branched points. This regularization was described in a slightly different setting in [12]. By a regularization we obtain a representation of the category of braid cobordism

$$\text{Hol}_2 : \mathcal{BC}_n \longrightarrow T\widehat{H}_+(X_n)_{\leq 1}/\mathcal{I}_1.$$

An approach for a regularization is as follows. In the expression of the transport  $T$  an infinite sum of iterated integrals of 1-forms and 2-forms appear, but they are convergent for a one-parameter deformation family of non-singular braids. A possible divergence for a braid with double points for such iterated integrals can be regularized by a method similar to the one used by Le and Murakami [20]. Details of this construction will be discussed in a separate publication. Finally, we refer the reader to Khovanov and Thomas [15] for interesting problems concerning the extension of actions of braids to representations of the category of braid cobordisms.

**Acknowledgment.** The author would like to thank the referee for valuable comments. The author is partially supported by Grant-in-Aid for Scientific Research, Japan Society of Promotion of Science and by World Premier Research Center Initiative, MEXT, Japan.

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