

Variation formulas for principal functions (I)

Sachiko Hamano

Department of Mathematics,
Matsue College of Technology,
Matsue, Shimane, 690-8518 JAPAN

hamano@matsue-ct.jp

July 21th. 2009

1. Preliminary

Let $B = \{|t| < \rho\} \subset \mathbb{C}_t$. For $\forall t \in B$, $R(t)$ is a bordered Riemann surface in \tilde{R} parameterized over \mathbb{C}_z s.t. $0 \in R(t) \subset \tilde{R}$.

We identify the variation of $R(t) : t \in B \rightarrow R(t)$ with the total space of complex 2-dim manifold $\mathcal{R} = \bigcup_{t \in B} (t, R(t)) \subset B \times \tilde{R}$.

We say that \mathcal{R} is a domain in $B \times \tilde{R}$, parametrized by $B \times \mathbb{C}_z$ **with smooth boundary** if \mathcal{R} satisfies the following conditions:

- $\partial R(t)$ consists of a finite number of C^ω smooth closed curves
- $\partial R(t)$ varies C^ω smoothly with $t \in B$.

Background : Variation formula for the Robin constants

Assume that $\mathcal{R} = \bigcup_{t \in B} (t, R(t))$ is an unramified domain in $B \times \tilde{R}$, parametrized by $B \times \mathbb{C}_z$ with smooth boundary and $B \times \{0\} \subset \mathcal{R}$. Let $g(t, z)$ be the Green function for $(R(t), 0)$, and $\lambda(t)$ be the Robin constant for $(R(t), 0)$. Then, for $t \in B$,

Fact [04, Maitani-Yamaguchi]

$$\frac{\partial^2 \lambda(t)}{\partial t \partial \bar{t}} = -\frac{1}{\pi} \int_{\partial R(t)} k_2(t, z) \left| \frac{\partial g(t, z)}{\partial z} \right|^2 ds_z - \frac{4}{\pi} \iint_{R(t)} \left| \frac{\partial^2 g(t, z)}{\partial \bar{t} \partial z} \right|^2 dx dy.$$

Here the function

$$k_2(t, z) = \left(\frac{\partial^2 \varphi}{\partial t \partial \bar{t}} \left| \frac{\partial \varphi}{\partial z} \right|^2 - 2\operatorname{Re} \left\{ \frac{\partial^2 \varphi}{\partial \bar{t} \partial z} \frac{\partial \varphi}{\partial t} \frac{\partial \varphi}{\partial \bar{z}} \right\} + \left| \frac{\partial \varphi}{\partial t} \right|^2 \frac{\partial^2 \varphi}{\partial z \partial \bar{z}} \right) \left| \frac{\partial \varphi}{\partial z} \right|^{-3}$$

on $\partial \mathcal{R}$, which does not depend on the choice of defining functions $\varphi(t, z)$ of $\partial \mathcal{R}$, and ds_z is the arc length element of $\partial R(t)$ at z . The fn $k_2(t, z)$ is due to N. Levenberg-H. Yamaguchi.

The proof of this variation formula for $\lambda(t)$ depends on the following fact : **the Green function is a defining fn of $\partial \mathcal{R}$.**

Motivation and Results

Motivation

In more general case than the Green functions, is there analogy to the variation formula for the Robin constants?

Results

- There exist analogue variation formulas for principal functions with logarithmic poles.

	L_1 -principal fn	L_0 -principal fn
one logarithmic pole and C_0		×
two logarithmic poles		($R(t)$ is planar)

- Application 1: The variation theorem for the harmonic span $s(t)$ of planar Riemann surfaces $R(t)$
- Application 2: The simultaneous uniformization of the Schottky covering of compact Riemann surfaces ($g \geq 2$)

2. Variation formula for the radius of circular slit mapping

For $\forall t \in B$, $R(t)$ is a bordered Riemann surface in \tilde{R} over \mathbb{C}_z s.t. $\partial R(t) = \sum_{j=0}^{\nu} C_j(t)$ is C^ω -class and $0 \in R(t) \subset \tilde{R}$.

Definition 1. (L_1 -principal fn with one logarithmic pole)

\exists $u(t, z)$: a real-valued fn on $R(t) \setminus \{0\}$ s.t.

- 1 $u(t, z)$ is harmonic on $R(t) \setminus \{0\}$ and is C^0 on $\overline{R(t)}$;
- 2 \exists a neighborhood $U(0)$ s.t. $u(t, z) = \log \frac{1}{|z|} + \gamma(t) + h(t, z)$, where $h(t, 0) = 0$;
- 3 $u(t, z) = 0$ on $C_0(t)$;
- 4 for each $j = 1, \dots, \nu$,
 - (i) $u(t, z) = \text{constant } a_j(t)$ on $C_j(t)$,
 - (ii) $\int_{C_j(t)} *du(t, z) = 0$.

In the case when $R(t)$ is a **planar** Riemann surface, $u(t, z)$ induces a **circular slit mapping**.

2. Variation formula for the radius of circular slit mapping

For $\forall t \in B$, $R(t)$ is a bordered Riemann surface in \tilde{R} over \mathbb{C}_z s.t. $\partial R(t) = \sum_{j=0}^{\nu} C_j(t)$ is C^ω -class and $0 \in R(t) \subset \tilde{R}$.

Definition 1*. (L_0 -principal fun with one logarithmic pole)

$\exists!$ $u(t, z)$: a real-valued fn on $R(t) \setminus \{0\}$ s.t.

- 1 $u(t, z)$ is harmonic on $R(t) \setminus \{0\}$ and is C^0 on $\overline{R(t)}$;
- 2 \exists a neighborhood $U(0)$ s.t. $u(t, z) = \log \frac{1}{|z|} + \Gamma(t) + h(t, z)$, where $h(t, 0) = 0$;
- 3 $u(t, z) = 0$ on $C_0(t)$;
- 4 for each $j = 1, \dots, \nu$, $\frac{\partial u}{\partial n_z}(t, z) = 0$ on $C_j(t)$.

In the case when $R(t)$ is a **planar** Riemann surface, $u(t, z)$ induces a **radial slit mapping**.

2. Variation formula for the radius of circular slit mapping

Lemma 1.

Assume that $\mathcal{R} = \bigcup_{t \in B} (t, R(t))$ is a domain in $B \times \tilde{R}$ over $B \times \mathbb{C}_z$ with smooth boundary s.t. $R(t) \ni 0$. Then, for $t \in B$,

$$\begin{aligned} \frac{\partial^2 \gamma(t)}{\partial t \partial \bar{t}} = & -\frac{1}{\pi} \int_{\partial R(t)} k_2(t, z) \left| \frac{\partial u(t, z)}{\partial z} \right|^2 ds_z \\ & - \frac{4}{\pi} \iint_{R(t)} \left| \frac{\partial^2 u(t, z)}{\partial \bar{t} \partial z} \right|^2 dx dy. \end{aligned}$$

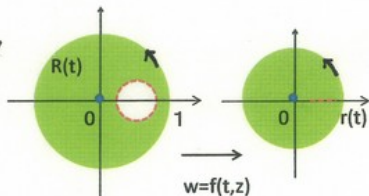
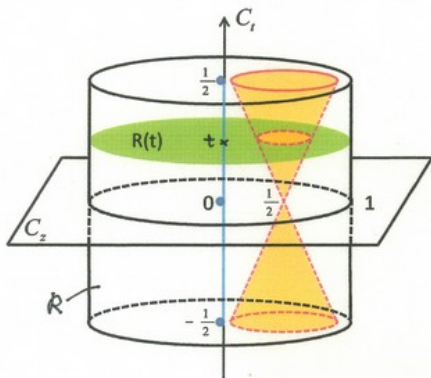
If \mathcal{R} is a 2-dim pseudoconvex domain, then $k_2(t, z) \geq 0$ on $\partial \mathcal{R}$.

Theorem 1.

\mathcal{R} is a **2-dim pseudoconvex domain** over $B \times \mathbb{C}_z$ with smooth boundary $\implies \gamma(t)$ is a **superharmonic fn** on B .

Remark

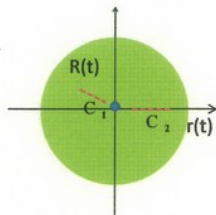
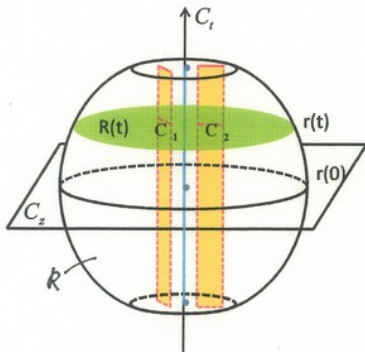
The radius $r(t)$ of radial slit mapping is **not** logarithmic superharmonic on B .



$$\mathcal{R} = \left\{ |t| < \frac{1}{2} \right\} \times \left\{ |z| < 1 \right\} - \left\{ \left| z - \frac{1}{2} \right| \leq |t| < \frac{1}{2} \right\}$$

Remark

The radius $r(t)$ of **radial** slit mapping is **not** logarithmic subharmonic on B .



$f(t,z)=z$

$$\mathcal{R} = \bigcup_{t \in B} \{ |z| < r(t) \} - \{ B \times (C_1 \cup C_2) \},$$
 where $\log r(t)$ is superharmonic on B .

3. Variation formula for principal fn with two logarithmic poles

For $\forall t \in B$, $R(t)$ is a bordered Riemann surface in \tilde{R} over \mathbb{C}_z s.t.
 $\partial R(t) = \sum_{j=0}^{\nu} C_j(t)$ is C^ω -class and $R(t) \ni 0$, $\xi(t) (\neq 0, \text{ holo for } t)$.

Definition 2. (L_1 -principal fn with two logarithmic poles)

$\exists!$ $p(t, z)$: a real-valued fn on $R(t) \setminus \{0, \xi(t)\}$ s.t.

- 1 $p(t, z)$ is harmonic on $R(t) \setminus \{0, \xi(t)\}$ and is C^0 on $\overline{R(t)}$;
- 2 $p(t, z) = \log \frac{1}{|z|} + h_0(t, z)$ on $U(0)$, where $h_0(t, 0) = 0$;
- 3 $p(t, z) = \log |z - \xi(t)| + \alpha(t) + h_\xi(t, z)$ on $U(\xi(t))$, where $h_\xi(t, \xi(t)) = 0$;
- 4 for each $j = 0, 1, \dots, \nu$,
(i) $p(t, z) = \text{constant } a_j(t)$ on $C_j(t)$, (ii) $\int_{C_j(t)} *dp(t, z) = 0$.

In the case when $R(t)$ is a **planar** Riemann surface, $u(t, z)$ induces a **circular** slit mapping.

For $\forall t \in B$, $R(t)$ is a bordered Riemann surface in \tilde{R} over \mathbb{C}_z s.t.
 $\partial R(t) = \sum_{j=0}^{\nu} C_j(t)$ is C^ω -class and $R(t) \ni 0$, $\xi(t) (\neq 0, \text{ holo for } t)$.

Definition 2*. (L_0 -principal fn with two logarithmic poles)

$\exists!$ $q(t, z)$: a real-valued fn on $R(t) \setminus \{0, \xi(t)\}$ s.t.

- ① $q(t, z)$ is harm on $R(t) \setminus \{0, \xi(t)\}$ and is C^0 on $\overline{R(t)}$;
- ② $q(t, z) = \log \frac{1}{|z|} + h_0(t, z)$ on $U(0)$, where $h_0(t, 0) = 0$;
- ③ $q(t, z) = \log |z - \xi(t)| + \beta(t) + h_\xi(t, z)$ on $U(\xi(t))$, where $h_\xi(t, \xi(t)) = 0$
- ④ for each $j = 0, 1, \dots, \nu$,

$$\frac{\partial q(t, z)}{\partial n_z} = 0 \quad \text{on } C_j(t).$$

In the case when $R(t)$ is a **planar** Riemann surface, $q(t, z)$ induces a **radial** slit mapping.

Assume that $\mathcal{R} = \bigcup_{t \in B} (t, R(t))$ is a domain in $B \times \tilde{R}$ over $B \times \mathbb{C}_z$ with smooth boundary s.t.

$R(t) \ni 0$, $\xi(t) (\neq 0, \text{ holo on } B)$. Then, for $t \in B$,

Lemma 2 [H]

$$\frac{\partial^2 \alpha(t)}{\partial t \partial \bar{t}} = \frac{1}{\pi} \int_{\partial R(t)} k_2(t, z) \left| \frac{\partial p(t, z)}{\partial z} \right|^2 ds_z + \frac{4}{\pi} \iint_{R(t)} \left| \frac{\partial^2 p(t, z)}{\partial \bar{t} \partial z} \right|^2 dx dy.$$

Lemma 2* [H-M-Y]

$$\begin{aligned} \frac{\partial^2 \beta(t)}{\partial t \partial \bar{t}} = & -\frac{1}{\pi} \int_{\partial R(t)} k_2(t, z) \left| \frac{\partial q(t, z)}{\partial z} \right|^2 ds_z - \frac{4}{\pi} \iint_{R(t)} \left| \frac{\partial^2 q(t, z)}{\partial \bar{t} \partial z} \right|^2 dx dy \\ & - \frac{1}{\pi} \operatorname{Im} \left\{ \sum_{k=1}^g \frac{\partial}{\partial t} \left(\int_{A_k(t)} *dq(t, z) \right) \frac{\partial}{\partial \bar{t}} \left(\int_{B_k(t)} *dq(t, z) \right) \right\}. \end{aligned}$$

Here $R(t)$ is of genus $g (\geq 0)$, $\{A_k(t), B_k(t)\}_{k=1}^g$ are A, B cycles on $R(t)$, and each $A_k(t), B_k(t)$ varies continuously with $t \in B$.

Theorem 2 [H]

\mathcal{R} is a 2-dim pseudoconvex domain over $B \times \mathbb{C}_z$ with smooth boundary $\implies L_1$ -const $\alpha(t)$ is a subharmonic fn on B .

Theorem 2* [H-M-Y]

\mathcal{R} is a 2-dim pseudoconvex domain over $B \times \mathbb{C}_z$ with smooth boundary and each $R(t)$, $t \in B$ is **planar**
 $\implies L_0$ -const $\beta(t)$ is a superharmonic fn on B .

Application 1 [H-M-Y]

\mathcal{R} is a 2-dim pseudoconvex domain over $B \times \mathbb{C}_z$ with smooth boundary and each $R(t)$, $t \in B$ is **planar**
 $\implies \boxed{s(t) := \alpha(t) - \beta(t)}$ is **subharmonic** on B .

↑
harmonic span of $R(t)$

Schottky covering of a compact Riemann surface ($g \geq 2$)

- Schottky covering \tilde{S} of a compact Riemann surface S ($g \geq 2$)

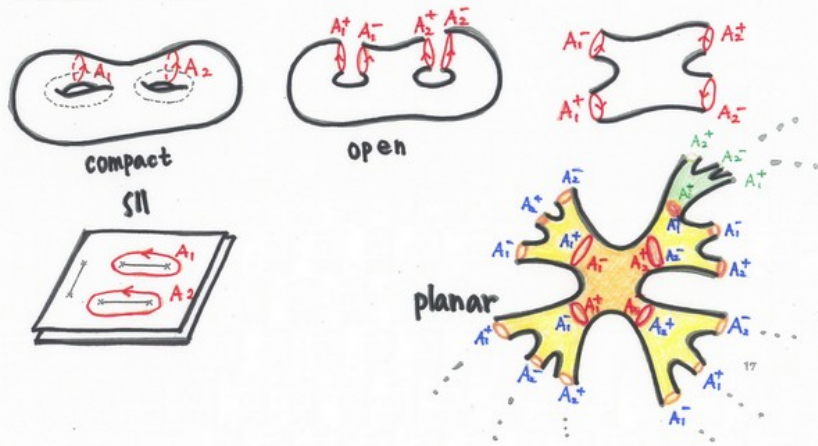


Figure: Schottky covering

Let B be a simply connected domain in \mathbb{C}_t . Let $\pi : \mathcal{S} \rightarrow B$ be a holomorphic family of compact Riemann surfaces $S(t) = \pi^{-1}(t)$ over B such that each fiber $S(t)$ is of genus ≥ 2 and non-singular in \mathcal{S} . For a fixed $t \in B$, we consider the Schottky covering $\tilde{S}(t)$ of each $S(t)$. We denote by $\tilde{\mathcal{S}}$ the total space of the variation: $t \in B \rightarrow \tilde{S}(t)$, namely, $\tilde{\mathcal{S}} = \bigcup_{t \in B} (t, \tilde{S}(t))$. Then we have:

Application 2 [H]

The total space $\tilde{\mathcal{S}}$ consisting of the Schottky covering $\tilde{S}(t)$ of compact Riemann surfaces $S(t)$ with one complex parameter $t \in B$ is **holomorphically** uniformized to a univalent domain on $B \times \mathbb{P}^1$.

Assume that $\mathcal{R} = \bigcup_{t \in B} (t, R(t))$ is a non-singular ramified pseudoconvex domain over $B \times \mathbb{C}_z$.

- [N]: $R(t), t \in B$ is conformal equivalent to \mathbb{C}^1
 $\implies \mathcal{R} \cong B \times \mathbb{C}^1$
- [M-Y]: $R(t), t \in B$ is planar and parabolic
 $\implies \mathcal{R} \cong$ a univalent domain in $B \times \mathbb{P}^1$
- [H]: $R(t), t \in B$ is the Schottky covering of cpt Riemann surfs of $g \geq 2 \implies \mathcal{R} \cong$ a univalent domain in $B \times \mathbb{P}^1$

Remark In [Y], Yamaguchi wrote a resume about the Application 2 with a rough sketch of the proof. But his proof had a “gap”. Then I bridge the gap by the variation formula for L_1 -principal fn, and obtain it.

- [H] S.Hamano, *Variation formulas for L_1 -principal functions and application to the simultaneous uniformization problem*, Michigan Math. J. **59** (2010). (to appear)
- [H-M-Y] S.Hamano, F.Maitani and H.Yamaguchi, *Variation formulas for principal functions II -Applications to variation for harmonic spans*. (submitted)
- [M-Y] F.Maitani and H.Yamaguchi, *Variation of Bergman metrics on Riemann surfaces*, Math. Ann. **330** (2004), 477–489.
- [N] T.Nishino, *Nouvelles recherches sur les fonctions entières de plusieurs variables complexe (II)*, J. Math. of Kyoto Univ. **9** No.2 (1969), 221–274.
- [Y] H.Yamaguchi, *Variations de surfaces de Riemann surfaces*, C.R. Acad. Sc. Paris, **286** (1978), 1121–1124.