

# REALIZING REAL LIE GROUPS AS AUTOMORPHISM GROUPS OF COMPLEX MANIFOLDS

JÖRG WINKELMANN

In general, the automorphism group of a complex manifold can be quite large. For example,  $(x, y) \mapsto (x, y + f(x))$  defines an automorphism of  $\mathbb{C}^2$  for every holomorphic function  $f \in \mathcal{O}(\mathbb{C})$ . Thus the automorphism group of  $\mathbb{C}^2$  is too large to be a (finite-dimensional) Lie group.

In contrast, if  $X$  is a complex manifold which is hyperbolic in the sense of Kobayashi ([2]), then the automorphism group of  $X$ , equipped with the compact-open-topology, admits the structure of a real Lie group (finite-dimensional, with countably many connected components.)

It is natural to ask which real Lie groups can be realized in this way. More precisely we ask:

**Question.** *Is it true that for every real Lie group  $G$  there exists a complex manifold  $X$ , hyperbolic in the sense of Kobayashi, such that  $G$  is isomorphic to the group  $\text{Aut}(X)$  of all automorphisms of  $X$ ?*

For compact Lie groups a positive answer has been provided by Bedford and Dadok [1], and independently by Saerens and Zame [3].

Recently, we have been able to give an affirmative answer in the following two cases:

- $G$  is connected ([4]) or
- $G$  is discrete ([5]).

In all these cases one can construct  $X$  in such a way that  $X$  is furthermore Stein and *complete* hyperbolic.

The first step is to relate  $G$  to some standard group. In the case of a connected Lie group we utilize the theorem of Ado which states that the Lie algebra of  $G$  can be embedded into the Lie algebra of some  $GL_n(\mathbb{R})$ . In the discrete case we use the fact that every countable group is a quotient of the non-commutative free group with countably infinitely many generators.

Using this relation of  $G$  with a standard group one can construct a complex manifold  $X_0$  on which  $G$  acts. Then the problem is to ensure

---

1991 *Mathematics Subject Classification.* Primary: 32M05. Secondary: 22E15, 32Q45.

that the automorphism group of  $X_0$  is not larger than  $G$ . This is achieved by passing to a suitable “generically chosen” modification  $X$  of  $X_0$ .

Here the idea is to construct  $X$  in such a way that

- there is a boundary  $bX$  in some sense,
- every automorphism of  $X$  extends to  $bX$  almost everywhere,
- the  $G$ -orbits in  $bX$  are free and totally real,
- the boundary  $bX$  is “locally isomorphic” at two points  $p, q \in bX$  only if  $p$  and  $q$  are in the same  $G$ -orbit.

Then it is possible to deduce that every automorphism of  $X$  must already be given by an element of  $G$ .

Naturally the details of this strategy are quite different for the two opposite cases where  $G$  is connected resp. discrete.

For these details we refer to our papers [4],[5].

#### REFERENCES

- [1] Bedford, E.; Dadok, J.: Bounded domains with prescribed group of automorphisms. *Comm. Math. Helv.* **62**, 561–572 (1987).
- [2] Kobayashi, S.: Hyperbolic complex spaces. GTM **318**. Springer 1998.
- [3] Saerens, R.; Zame, W.R.: The Isometry Groups of Manifolds and the Automorphism Groups of Domains. *Trans. A.M.S.* **301**, no. 1, 413–429 (1987).
- [4] Winkelmann, J.: Realizing Connected Lie Groups As Automorphism Groups of Complex Manifolds. (*submitted for publication.*)  
Preprint: math.CV/0204225. 17 pages.
- [5] Winkelmann, J.: Realizing Countable Groups as Automorphism Groups of Riemann Surfaces. *documenta math.* **6**, 413–417 (2001).

JÖRG WINKELMANN, INSTITUT ELIE CARTAN, UNIVERSITÉ HENRI POINCARÉ,  
B.P. 239, 54506 VANDOEUVRE-LES-NANCY, FRANCE.

*E-mail address:* `jwinkel@member.ams.org`

*Webpage:* <http://www.math.unibas.ch/~winkel/>