

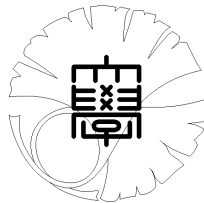
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**On Lagrangian embeddings into the  
complex projective spaces**

by

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# ON LAGRANGIAN EMBEDDINGS INTO THE COMPLEX PROJECTIVE SPACES

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ABSTRACT. We prove that for any closed orientable connected 3-manifold  $L$  and any Lagrangian immersion of the connected sum  $L\#(S^1 \times S^2)$  either into the complex projective 3-space  $\mathbb{C}P^3$  or into the product  $\mathbb{C}P^1 \times \mathbb{C}P^2$  of the complex projective line and the complex projective plane, there exists a Lagrangian embedding which is homotopic to the initial Lagrangian immersion.

## 1. INTRODUCTION

**1.1. Main result.** A symplectic manifold is an even-dimensional manifold  $X$  with a closed non-degenerate 2-form  $\omega$ . A Lagrangian submanifold  $L$  of a symplectic manifold  $X$  is a half-dimensional submanifold such that the restriction  $\omega|_L$  of the symplectic structure is vanishing as a 2-form. The topological classification of Lagrangian submanifolds in a symplectic manifold is an important problem in symplectic topology. Given a Lagrangian immersion of an  $n$ -dimensional manifold into a  $2n$ -dimensional symplectic manifold, it is interesting to know whether it is Lagrangian regularly homotopic to a Lagrangian embedding. In this paper, we show that in some cases all Lagrangian immersions are at least homotopic to Lagrangian embeddings as continuous maps. Our main result is the following.

**Theorem 1.1.** *Let  $X$  be either the complex projective 3-space  $\mathbb{C}P^3$  or the product  $\mathbb{C}P^1 \times \mathbb{C}P^2$  of the complex projective line and the complex projective plane, where the complex projective space  $\mathbb{C}P^n$  is endowed with the Fubini-Study form  $\omega_n$ ,  $n = 1, 2, 3$ . Then for a closed orientable connected 3-manifold  $L$  and a Lagrangian immersion  $f: L\#(S^1 \times S^2) \rightarrow X$ , there exists a Lagrangian embedding  $L\#(S^1 \times S^2) \rightarrow X$  homotopic to  $f$ .*

Gromov's  $h$ -principle for Lagrangian immersions [4] gives a necessary and sufficient condition for a continuous map  $f$  from a 3-manifold  $L$  to a 6-dimensional symplectic manifold  $X$  to be homotopic to a Lagrangian immersion. In particular, any closed orientable 3-manifold  $L$  admits a Lagrangian immersion into a Darboux chart. However, it is not always true that a Lagrangian immersion is homotopic to a Lagrangian embedding. In fact, there are several necessary conditions for a closed 3-manifold  $L$  to be a Lagrangian submanifold of the complex projective 3-space  $\mathbb{C}P^3$ , see Seidel [7] and Biran [1]. For a closed orientable connected 3-manifold  $L$ , the connected sum  $L\#(S^1 \times S^2)$  satisfies the necessary conditions of Seidel [7]. Indeed, the existence of a Lagrangian embedding of  $L\#(S^1 \times S^2)$  into a Darboux chart is proved in [2].

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**Theorem 1.2** (Ekholm-Eliashberg-Murphy-Smith [2]). *There exists a Lagrangian embedding  $L\#(S^1 \times S^2) \rightarrow \mathbb{C}^3$  for any closed orientable connected 3-manifold  $L$ , where  $\mathbb{C}^3$  is the standard symplectic space.*

Theorem 1.2 was proved by applying the resolving theory of Lagrangian self-intersections by Hamiltonian regular homotopies for certain Lagrangian immersions developed by Eliashberg and Murphy [3]. They constructed a self-transverse Lagrangian immersion  $L \rightarrow \mathbb{C}^3$  with exactly one double point and resolved the double point by Polterovich's Lagrangian surgery [5].

**Remark 1.3.** Lagrangian embeddings into a Darboux chart are homotopically trivial. For a closed orientable connected 3-manifold  $L$ , if  $H^2(L; \mathbb{Z})$  has a non-trivial 4-torsion element then Theorem 1.1 provides a homotopically non-trivial Lagrangian embedding of  $L\#(S^1 \times S^2)$  into  $\mathbb{C}P^3$ , and if  $H^2(L; \mathbb{Z})$  has a non-trivial torsion element then Theorem 1.1 provides a homotopically non-trivial Lagrangian embedding of  $L\#(S^1 \times S^2)$  into  $\mathbb{C}P^1 \times \mathbb{C}P^2$ . See Lemmas 3.3 and 3.7 below.

**1.2. Plan of the paper.** In Section 2, we construct a local deformation of Lagrangian immersions. With the help of this local deformation, the arguments in [3] and [2] ensure that Theorems 2.1 and 2.2 hold. Theorems 2.1 and 2.2 are  $h$ -principles for self-transverse Lagrangian immersions into 6-dimensional compact symplectic manifolds with the minimal or near-minimal number of double points and with a conical point, respectively. In Sections 3.1 and 3.3, we characterize the homotopy classes of Lagrangian immersions of closed orientable connected 3-manifolds into  $\mathbb{C}P^3$  and into  $\mathbb{C}P^1 \times \mathbb{C}P^2$ , respectively. In Sections 3.2 and 3.4, Theorem 1.1 is proved as an application of Theorems 2.1, 2.2, Lemmas 3.3, 3.7, and Polterovich's Lagrangian surgery [5].

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#### 2. LAGRANGIAN IMMERSIONS WITH FEW DOUBLE POINTS

We use the definitions introduced in [3] and [2]. Let  $X$  be a 6-dimensional oriented manifold,  $L$  a 3-manifold, and  $f: L \rightarrow X$  an immersion. We denote by  $I(f) \in \mathbb{Z}/2$  and  $\text{SI}(f) \in \mathbb{Z}$  the self-intersection number of  $f$  and the total number of double points of  $f$ , respectively.

To prove Theorem 1.1, we use the following theorems.

**Theorem 2.1.** *Let  $(X, \omega)$  be a 6-dimensional simply connected compact symplectic manifold,  $L$  a closed connected 3-manifold, and  $f_0: L \rightarrow X$  a Lagrangian immersion. Then there exists a Hamiltonian regular homotopy  $f_t: L \rightarrow X$ ,  $0 \leq t \leq 1$ , from the Lagrangian immersion  $f_0$  to a self-transverse Lagrangian immersion  $f_1$  such that*

$$\text{SI}(f_1) = \begin{cases} 1, & \text{if } I(f_0) = 1; \\ 2, & \text{if } I(f_0) = 0. \end{cases}$$

**Theorem 2.2.** *Let  $(X, \omega)$  be a 6-dimensional simply connected compact symplectic manifold,  $L$  a connected 3-manifold, and  $f_0: L \rightarrow X$  a Lagrangian immersion with a conical point  $p \in L$ . Suppose that the Legendrian link of  $f_0$  at  $p$  is loose. Then there exists a Hamiltonian regular homotopy  $f_t: L \rightarrow X$ ,  $0 \leq t \leq 1$ , from the Lagrangian immersion  $f_0$  to a self-transverse Lagrangian immersion  $f_1$  with a conical point  $p$  such that  $f_t$  is the identity in a neighborhood of  $p$  and that  $\text{SI}(f_1) = |I(f_0)|$ .*

Theorems 2.1 and 2.2 can be proved in a way similar to the proof of Theorems 1.1 and 3.7 of [2] for symplectic manifolds of dimension  $\geq 8$ , by using the following lemma instead of Lemma 4.2 of [3] in the proof of Theorem 2.2 of [3].

**Lemma 2.3.** *Let  $A = [0, 1] \times S^{n-1} \ni (x, z)$ ,  $n \geq 3$ , be the annulus with the coordinates  $(x, z)$ . Take the dual coordinates  $(y, u)$  on the cotangent bundle  $T^*A$  so that the canonical Liouville form  $\lambda = y dx + u dz$ . Then for any integer  $N \geq 10$  there exists a Lagrangian immersion  $\Delta: A \rightarrow T^*A$  with the following properties:*

- $\Delta(A) \subset \left\{ |y| \leq \frac{12}{N}, \|u\| \leq \frac{12}{N} \right\}$ ;
- $\Delta$  coincides with the inclusion of the zero section  $j_A: A \hookrightarrow T^*A$  near  $\partial A$ ;
- there exists a Lagrangian regular homotopy which is the identity near  $\partial A$  and connects  $j_A$  to  $\Delta$  in  $\left\{ |y| \leq \frac{12}{N}, \|u\| \leq \frac{12}{N} \right\}$ ;
- for the  $\Delta$ -image  $\zeta$  of any path connecting  $\{0\} \times S^{n-1}$  to  $\{1\} \times S^{n-1}$  in  $A$ ,  $\int_{\zeta} \lambda = 1$ ;
- the action of any self-intersection point of  $\Delta$  is  $< \frac{2}{N}$ ;
- $\text{SI}(\Delta) = 4N^2$ .

*Proof.* We follow the proof of Lemma 4.2 of [3], where  $\Delta$  was constructed by using the plane curves  $\gamma_1, \gamma_2$ , and  $\gamma_3$ . We change  $\gamma_1$  so that  $\text{SI}(\Delta) = 4N^2$  as follows.

Consider in  $\mathbb{R}^2$  with the coordinates  $(x, y)$  the curves  $\zeta_k: [0, 4] \rightarrow \mathbb{R}^2$ ,  $k = 1, \dots, N$ , defined by

$$\zeta_k(t) = \begin{cases} \left( \frac{1}{12} - \frac{k-1}{N^4}, \left( \frac{6}{N^2} + \frac{2(k-1)}{N^4} \right) t - \frac{k-1}{N^4} \right) & \text{if } 0 \leq t \leq 1, \\ \left( \left( \frac{1}{6} + \frac{2(k-1)}{N^4} \right) t - \frac{1}{12} - \frac{3(k-1)}{N^4}, \frac{6}{N^2} + \frac{k-1}{N^4} \right) & \text{if } 1 \leq t \leq 2, \\ \left( \frac{1}{4} + \frac{k-1}{N^4}, - \left( \frac{6}{N^2} + \frac{2(k-1)}{N^4} \right) t + \frac{18}{N^2} + \frac{5(k-3)}{N^4} \right) & \text{if } 2 \leq t \leq 3, \\ \left( - \left( \frac{1}{6} + \frac{2(k-1)}{N^4} \right) t + \frac{3}{4} + \frac{7(k-4)}{N^4}, - \frac{k}{N^4} \right) & \text{if } 3 \leq t \leq 4. \end{cases}$$

Then a product  $\eta_N = \zeta_1 \cdot \zeta_2 \cdot \dots \cdot \zeta_N: [0, 4] \rightarrow \mathbb{R}^2$  satisfies

$$\int_{\eta_N} y dx = \frac{1}{N} + \frac{1}{6N^2} + \frac{6}{N^4} - \frac{14}{3N^5} - \frac{1}{2N^6} + \frac{1}{6N^7}.$$



FIGURE 1. the curve  $\eta_N$  for  $N = 10$

We denote by  $T_\varepsilon: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  the affine map  $(x, y) \mapsto (x, y + \varepsilon)$  and let  $l_N: [0, 3] \rightarrow \mathbb{R}^2$  be a piecewise linear embedding connecting four points

$$\begin{aligned} l_N(0) &= \eta_N(4) = \left( \frac{1}{12} - \frac{1}{N^3}, -\frac{1}{N^3} \right), \\ l_N(1) &= \left( \frac{1}{12} - \frac{1}{N^3}, \frac{6}{N^2} + \frac{1}{N^3} - \frac{1}{2N^4} \right), \\ l_N(2) &= \left( \frac{1}{12}, \frac{6}{N^2} + \frac{1}{N^3} - \frac{1}{2N^4} \right), \text{ and} \\ l_N(3) &= T_{\delta_N}(\eta_N(0)) = \left( \frac{1}{12}, \frac{6}{N^2} + \frac{2}{N^3} \right), \end{aligned}$$

where  $\delta_N = \frac{6}{N^2} + \frac{2}{N^3}$ . We further let  $k_N: [0, 3] \rightarrow \mathbb{R}^2$  be a piecewise linear embedding connecting four points

$$\begin{aligned} k_N(0) &= T_{(N-1)\delta_N}(\eta_N(4)) = \left( \frac{1}{12} - \frac{1}{N^3}, \frac{6}{N} - \frac{4}{N^2} - \frac{3}{N^3} \right), \\ k_N(1) &= \left( \frac{1}{12} - \frac{1}{N^3}, \frac{6}{N} + \frac{2}{N^2} - \frac{2}{N^3} - \frac{1}{2N^4} \right), \\ k_N(2) &= \left( \frac{1}{4} + \frac{1}{N^3}, \frac{6}{N} + \frac{2}{N^2} - \frac{2}{N^3} - \frac{1}{2N^4} \right), \text{ and} \\ k_N(3) &= \left( \frac{1}{4} + \frac{1}{N^3}, 0 \right). \end{aligned}$$

Then we define a curve  $\gamma: [0, 1] \rightarrow \mathbb{R}^2$  by connecting the straight line  $[0, \frac{1}{12}] \times \{0\}$ ,  $N$ -copies  $\eta_N, T_{\delta_N}(\eta_N), T_{2\delta_N}(\eta_N), \dots, T_{(N-1)\delta_N}(\eta_N)$  of  $\eta_N$ ,  $(N-1)$ -copies  $l_N, T_{\delta_N}(l_N), T_{2\delta_N}(l_N), \dots, T_{(N-2)\delta_N}(l_N)$  of  $l_N$ , the curve  $k_N$ , and the straight line  $[\frac{1}{4} + \frac{1}{N^3}, \frac{1}{3}] \times \{0\}$ . See Figure 2.

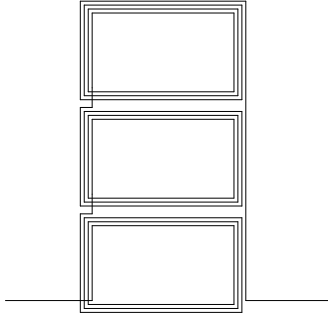


FIGURE 2. the curve  $\gamma$  for  $N = 3$

By the construction, the curve  $\gamma$  satisfies the followings:

- $1 - \frac{2}{N} < \int_\gamma y \, dx < 1 + \frac{2}{N}$ ;
- the action of any self-intersection point of  $\gamma$  is  $< \frac{2}{N}$ ;
- $\text{SI}(\gamma) = N^2$ .

Smoothing the corners of  $\gamma$ , we construct an immersed curve  $\gamma_1$  with transverse self-intersections. We can arrange  $\gamma_1$  to satisfy the followings:

- $\left| \int_{\gamma_1} y dx - 1 \right| < \frac{2}{N}$ ;
- the action of any self-intersection point of  $\gamma_1$  is  $< \frac{2}{N}$ ;
- $\text{SI}(\gamma_1) = N^2$ ;
- the curve  $\gamma_1$  is contained in the rectangle  $\left\{ 0 \leq x \leq \frac{1}{3}, |y| \leq \frac{7}{N} \right\}$ .

We replace the plane curve  $\gamma_1$  in the proof of Lemma 4.2 of [3] with the above  $\gamma_1$ . Then we define  $\gamma_2$  and  $\gamma_3$ , and then  $\Delta$  in a way similar to the proof of Lemma 4.2 of [3].  $\square$

**Remark 2.4.** Lemma 4.2 of [3] only asserted the construction of such  $\Delta$  with  $\text{SI}(\Delta) \sim N^3$ , and hence Theorem 2.2 of [3] was shown for a symplectic manifold which is the negative completion of a compact symplectic manifold and of dimension  $2n \geq 8$  in this way.

### 3. PROOF OF THEOREM 1.1

**3.1. Lagrangian immersions into  $\mathbb{C}P^3$ .** In view of Gromov's  $h$ -principle, the classification of Lagrangian immersions is reduced to a pure algebro-topological problem. In this section, we characterize the homotopy classes of Lagrangian immersions of closed orientable connected 3-manifolds into  $\mathbb{C}P^3$ .

First the homotopy classes of continuous maps from a 3-manifold  $L$  to the complex projective 3-space  $\mathbb{C}P^3$  are classified as follows. We denote by  $\gamma_n \rightarrow \mathbb{C}P^n$  the tautological line bundle and by  $c_1(\gamma_n)$  its first Chern class.

**Proposition 3.1.** *Let  $L$  be a 3-manifold. If  $n \geq 2$  then the map*

$$[L, \mathbb{C}P^n] \rightarrow H^2(L; \mathbb{Z}) : [h] \mapsto -h^*c_1(\gamma_n)$$

*is a bijection.*

*Proof.* It follows from the fact that  $\mathbb{C}P^\infty$  is the Eilenberg-MacLane space  $K(\mathbb{Z}, 2)$  and  $\mathbb{C}P^n \subset \mathbb{C}P^\infty$  is the  $2n$ -skeleton.  $\square$

We state Gromov's  $h$ -principle for Lagrangian immersions.

**Theorem 3.2** (Gromov [4]). *Let  $(X, \omega)$  be a  $2n$ -dimensional symplectic manifold and  $L$  an  $n$ -dimensional manifold. If  $h: L \rightarrow X$  is a continuous map with  $[h^*\omega] = 0$  in  $H^2(L; \mathbb{R})$  and  $H: TL \rightarrow TX$  a Lagrangian homomorphism covering  $h$ , then there exists a Lagrangian immersion  $f: L \rightarrow X$  which is homotopic to  $h$ . Moreover,*

- (1) *if  $h$  is an immersion then one can choose  $f$  to be regularly homotopic to  $h$ ;*
- (2) *if  $h$  is a Lagrangian immersion on a neighborhood of a closed ball in  $L$  then one can choose  $f$  to be equal to  $h$  on the closed ball.*

The above two conditions,  $[h^*\omega] = 0$  in  $H^2(L; \mathbb{R})$  and the existence of a Lagrangian homomorphism covering  $h$ , are simplified in the case where  $(X, \omega)$  is the complex projective 3-space  $\mathbb{C}P^3$  and  $L$  is a closed orientable connected 3-manifold.

**Lemma 3.3.** *Let  $L$  be a closed orientable connected 3-manifold and  $h: L \rightarrow \mathbb{C}P^3$  a continuous map. Then the followings are equivalent.*

- (1) *There exists a Lagrangian immersion  $L \rightarrow \mathbb{C}P^3$  which is homotopic to  $h$ .*
- (2)  *$h^*c_1(\gamma_3)$  is a 4-torsion element in  $H^2(L; \mathbb{Z})$ .*

*Proof.* The equality  $[\omega_3] = -c_1(\gamma_3) \in H^2(\mathbb{C}P^3; \mathbb{Z})$  and the naturality of coefficient homomorphisms imply that  $[h^*\omega_3] = 0$  in  $H^2(L; \mathbb{R})$  if and only if  $h^*c_1(\gamma_3)$  is a torsion element in  $H^2(L; \mathbb{Z})$ .

Next, we fix a 3-frame of the tangent bundle  $TL$ . Let  $P \rightarrow \mathbb{C}P^3$  be the principal  $U(3)$ -bundle associated to the tangent bundle  $T\mathbb{C}P^3$ . Then we can identify a Lagrangian homomorphism  $H: TL \rightarrow T\mathbb{C}P^3$  covering  $h$  with a map  $s: L \rightarrow P$  which is a lift of  $h$ . Thus there exists a Lagrangian homomorphism  $H: TL \rightarrow T\mathbb{C}P^3$  covering  $h$  if and only if the principal  $U(3)$ -bundle  $h^*P \rightarrow L$  admits a global section. Since  $\dim L = 3$ , the obstruction for the existence of a global section  $L \rightarrow h^*P$  is only the first Chern class  $c_1(h^*T\mathbb{C}P^3) = h^*c_1(T\mathbb{C}P^3) = -4h^*c_1(\gamma_3)$ .  $\square$

**Remark 3.4.** Using part 1) of Theorem 3.2 and taking the connected sum of Whitney sphere, we can see that for the above pair  $(h, H)$  and a number  $n \in \mathbb{Z}/2$ , there exists a self-transverse Lagrangian immersion  $f: L \rightarrow \mathbb{C}P^3$  which is homotopic to  $h$  and satisfies  $I(f) = n$ .

We state another lemma which is used in the proof of Theorem 1.1 for  $\mathbb{C}P^3$ . It directly follows from Theorem 2.1 and Lemma 3.3.

**Lemma 3.5.** *Let  $L$  be a closed orientable connected 3-manifold and  $h: L \rightarrow \mathbb{C}P^3$  a continuous map with  $4h^*c_1(\gamma_3) = 0$  in  $H^2(L; \mathbb{Z})$ . Then for an arbitrary Lagrangian immersion  $f_0: L \rightarrow \mathbb{C}P^3$  which is homotopic to  $h$ , there exists a Lagrangian regular homotopy  $f_t: L \rightarrow \mathbb{C}P^3$ ,  $0 \leq t \leq 1$ , such that  $f_1$  is self-transverse and*

$$\text{SI}(f_1) = \begin{cases} 1, & \text{if } I(f_0) = 1; \\ 2, & \text{if } I(f_0) = 0. \end{cases}$$

**3.2. Proof of Theorem 1.1 for  $\mathbb{C}P^3$ .** Let  $L$  be a closed orientable connected 3-manifold and  $f: L \# (S^1 \times S^2) \rightarrow \mathbb{C}P^3$  a Lagrangian immersion. Lemma 3.3 provides the equality  $4f^*c_1(\gamma_3) = 0$  in  $H^2(L \# (S^1 \times S^2); \mathbb{Z})$ . By the Mayer-Vietoris exact sequence for  $L \# (S^1 \times S^2) = (L \setminus \overset{\circ}{D}^3) \cup (S^1 \times S^2 \setminus \overset{\circ}{D}^3)$  where  $\overset{\circ}{D}^3$  is the interior of a closed 3-disk, there is the isomorphism  $H^2(L \# (S^1 \times S^2); \mathbb{Z}) \cong H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z}) \oplus H^2(S^1 \times S^2 \setminus \overset{\circ}{D}^3; \mathbb{Z})$ . Since the isomorphism in the Mayer-Vietoris exact sequence is induced by the inclusions and  $H^2(S^1 \times S^2 \setminus \overset{\circ}{D}^3; \mathbb{Z}) \cong \mathbb{Z}$ , the element  $f^*c_1(\gamma_3)$  is of the form

$$f^*c_1(\gamma_3) = (h^*c_1(\gamma_3), 0) \in H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z}) \oplus H^2(S^1 \times S^2 \setminus \overset{\circ}{D}^3; \mathbb{Z}),$$

where  $[h] = \left[ f \Big|_{L \setminus \overset{\circ}{D}^3} \right] \in [L \setminus \overset{\circ}{D}^3, \mathbb{C}P^3]$ .

In the following, we construct a self-transverse Lagrangian immersion of  $L$  into  $\mathbb{C}P^3$  with exactly one double point and resolve the double point by Polterovich's Lagrangian surgery [5] to obtain the desired Lagrangian embedding. Since  $H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z}) \cong H^2(L; \mathbb{Z})$ , we can identify  $[L \setminus \overset{\circ}{D}^3, \mathbb{C}P^3]$  with  $[L, \mathbb{C}P^3]$ . Let  $[\hat{h}]$  be the element of  $[L, \mathbb{C}P^3]$  which is the extension of  $[h]$ . We note that  $4\hat{h}^*c_1(\gamma_3) = 0$  in  $H^2(L; \mathbb{Z})$ . Applying Lemmas 3.3 and 3.5 to  $\hat{h}$ , we obtain a self-transverse Lagrangian immersion  $f_1: L \rightarrow \mathbb{C}P^3$  which is homotopic to  $\hat{h}$  and satisfies  $\text{SI}(f_1) = 1$ . Using Polterovich's Lagrangian surgery [5] to resolve the double point of  $f_1$ , we

obtain a Lagrangian embedding  $g: L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^3$ . We claim that  $g$  is homotopic to  $f$ . Indeed, it is enough to show that  $g^*c_1(\gamma_3) = f^*c_1(\gamma_3)$ , and by the definition of  $h$ ,

$$\begin{aligned} g^*c_1(\gamma_3) &= \left( \left( g \Big|_{L \setminus \overset{\circ}{D}^3} \right)^* c_1(\gamma_3), \left( g \Big|_{S^1 \times S^2 \setminus \overset{\circ}{D}^3} \right)^* c_1(\gamma_3) \right) \\ &= \left( \left( f_1 \Big|_{L \setminus \overset{\circ}{D}^3} \right)^* c_1(\gamma_3), 0 \right) \\ &= (h^*c_1(\gamma_3), 0) \\ &= f^*c_1(\gamma_3). \end{aligned}$$

The proof of Theorem 1.1 for  $\mathbb{C}P^3$  is completed.  $\square$

**3.3. Lagrangian immersions into  $\mathbb{C}P^1 \times \mathbb{C}P^2$ .** In this section, we characterize the homotopy classes of Lagrangian immersions of closed orientable connected 3-manifolds into  $\mathbb{C}P^1 \times \mathbb{C}P^2$ .

We need a classification of homotopy classes of continuous maps from a 3-manifold  $L$  to the complex projective line  $\mathbb{C}P^1$ . In [6], Pontrjagin proved the following.

**Theorem 3.6** (Pontrjagin [6]). *Let  $K$  be a 3-dimensional complex. Then there is a bijection*

$$[K, \mathbb{C}P^1] \approx \coprod_{z^2 \in H^2(K; \mathbb{Z})} H^3(K; \mathbb{Z}) / (2z^2 \smile H^1(K; \mathbb{Z})),$$

where  $\smile$  denotes the cup product.

We recall the correspondence of the elements in Theorem 3.6 for a closed orientable connected 3-manifold  $L$ . For an element  $[h] \in [L, \mathbb{C}P^1]$ , the cohomology class  $z^2 \in H^2(L; \mathbb{Z})$  is equal to  $-h^*c_1(\gamma_1)$ . It represents the primary obstruction for continuous maps from a 3-manifold  $L$  to the complex projective line  $\mathbb{C}P^1$  to be homotopic. The second obstruction is an element of  $H^3(L; \pi_3(\mathbb{C}P^1)) \cong H^3(L; \mathbb{Z})$  modulo  $2z^2 \smile H^1(L; \mathbb{Z})$ . For continuous maps  $f_1: L \rightarrow \mathbb{C}P^1$  and  $g_1: L \rightarrow \mathbb{C}P^1$  with  $f_1^*c_1(\gamma_1) = g_1^*c_1(\gamma_1)$ , the difference between the homotopy classes  $[f_1]$  and  $[g_1]$  can be realized by the connected sum of an element of  $\pi_3(\mathbb{C}P^1)$  since  $L$  is connected.

As in Section 3.1, we simplify the two conditions in Theorem 3.2.

**Lemma 3.7.** *Let  $L$  be a closed orientable connected 3-manifold and  $h = (h_1, h_2): L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  a continuous map. Then the followings are equivalent.*

- (1) *There exists a Lagrangian immersion  $L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $h$ .*
- (2)  *$h_1^*c_1(\gamma_1)$  and  $h_2^*c_1(\gamma_2)$  are torsion elements in  $H^2(L; \mathbb{Z})$ .*

*Proof.* Using the equalities  $[\omega_n] = -c_1(\gamma_n)$  in  $H^2(\mathbb{C}P^n; \mathbb{Z})$  for a positive integer  $n$ ,  $c_1(T\mathbb{C}P^1) = -2c_1(\gamma_1)$ , and  $c_1(T\mathbb{C}P^2) = -3c_1(\gamma_2)$ , the proof can be done in a way similar to the proof of Lemma 3.3.  $\square$

**Remark 3.8.** As with Remark 3.4, the following statement holds. For the above pair  $(h, H)$  and a number  $n \in \mathbb{Z}/2$ , one can choose a self-transverse Lagrangian immersion  $f: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $h$  and satisfies  $I(f) = n$ .

We state another lemma which is used in the proof of Theorem 1.1 for the product  $\mathbb{C}P^1 \times \mathbb{C}P^2$ . It directly follows from Theorem 2.1 and Lemma 3.7.



**Lemma 3.9.** *Let  $h: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  be a continuous map of a closed orientable connected 3-manifold  $L$ . Suppose that  $h_1^*c_1(\gamma_1)$  and  $h_2^*c_1(\gamma_2)$  are torsion elements in  $H^2(L; \mathbb{Z})$ . Then for an arbitrary Lagrangian immersion  $f_0: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $h$ , there exists a Lagrangian regular homotopy  $f_t: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$ ,  $0 \leq t \leq 1$ , such that  $f_1$  is self-transverse and*

$$\text{SI}(f_1) = \begin{cases} 1, & \text{if } I(f_0) = 1; \\ 2, & \text{if } I(f_0) = 0. \end{cases}$$

**3.4. Proof of Theorem 1.1 for  $\mathbb{C}P^1 \times \mathbb{C}P^2$ .** Let  $L$  be a closed orientable connected 3-manifold and  $f = (f_1, f_2): L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  a Lagrangian immersion. By Lemma 3.7, the cohomology classes  $f_1^*c_1(\gamma_1)$  and  $f_2^*c_1(\gamma_2)$  are torsion elements in  $H^2(L\#(S^1 \times S^2); \mathbb{Z})$ . As in the proof of Theorem 1.1 for  $\mathbb{C}P^3$ , the cohomology classes  $f_j^*c_1(\gamma_j)$  are of the forms

$$f_j^*c_1(\gamma_j) = (h_j^*c_1(\gamma_j), 0) \in H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z}) \oplus H^2(S^1 \times S^2 \setminus \overset{\circ}{D}^3; \mathbb{Z}),$$

where  $h_j = f_j \Big|_{L \setminus \overset{\circ}{D}^3}: L \setminus \overset{\circ}{D}^3 \rightarrow \mathbb{C}P^j$  and  $j \in \{1, 2\}$ . We take a continuous map  $\tilde{h} = (\tilde{h}_1, \tilde{h}_2): L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  such that  $\tilde{h}_j^*c_1(\gamma_j) = h_j^*c_1(\gamma_j)$  via the isomorphism  $H^2(L; \mathbb{Z}) \cong H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z})$ ,  $j \in \{1, 2\}$ , as follows. In view of Proposition 3.1 and the isomorphism  $H^2(L; \mathbb{Z}) \cong H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z})$ , the cohomology class  $h_2^*c_1(\gamma_2) \in H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z})$  determines the unique element in  $[L, \mathbb{C}P^2]$ . Choosing a representative  $\tilde{h}_2$  of the homotopy class, we have  $\tilde{h}_2^*c_1(\gamma_2) = h_2^*c_1(\gamma_2)$ . Using Theorem 3.6 and the isomorphism  $H^2(L; \mathbb{Z}) \cong H^2(L \setminus \overset{\circ}{D}^3; \mathbb{Z})$ , we can take a continuous map  $\tilde{h}_1: L \rightarrow \mathbb{C}P^1$  with  $\tilde{h}_1^*c_1(\gamma_1) = h_1^*c_1(\gamma_1)$  in a similar way. We note that the equality is equivalent to that the maps  $h_1$  and  $\tilde{h}_1$  are homotopic on the 2-skeleton of  $L$ .

We construct a self-transverse Lagrangian immersion of  $L$  into  $\mathbb{C}P^1 \times \mathbb{C}P^2$  with exactly one double point. The continuous map  $\tilde{h} = (\tilde{h}_1, \tilde{h}_2): L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  satisfies the second condition of Lemma 3.7. Thus there exists a self-transverse Lagrangian immersion  $\tilde{f}^0: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $\tilde{h}$  and satisfies  $I(\tilde{f}^0) = 1$ . Applying Lemma 3.9 to  $\tilde{f}^0$ , we obtain a self-transverse Lagrangian immersion  $\tilde{f}^1: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $\tilde{h}$  and satisfies  $\text{SI}(\tilde{f}^1) = 1$ . Moreover, the proof of Theorem 1.1 of [2] shows that there exists a point  $p \in \tilde{f}^1(L)$  and a Darboux chart around  $p$ , symplectomorphic to the 6-ball  $B_\varepsilon$  of radius  $\varepsilon$  with the standard symplectic structure, such that the self-intersection point  $x$  of  $\tilde{f}^1$  belongs to  $B_{\varepsilon/2}$  and  $\phi = \tilde{f}^1(L) \cap \partial B_\varepsilon$  is a loose Legendrian sphere in the 5-sphere  $\partial B_\varepsilon$  with the standard contact structure.

We construct a Lagrangian embedding of  $L\#(S^1 \times S^2)$  into  $\mathbb{C}P^1 \times \mathbb{C}P^2$  which is homotopic to  $f$ . Using Polterovich's Lagrangian surgery [5] to resolve the double point  $x$  of  $\tilde{f}^1 = (\tilde{f}_1^1, \tilde{f}_2^1)$ , we obtain a Lagrangian embedding  $g = (g_1, g_2): L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$ . Since  $g_2^*c_1(\gamma_2) = f_2^*c_1(\gamma_2)$ ,  $g_2$  is homotopic to  $f_2$ . We also have  $g_1^*c_1(\gamma_1) = f_1^*c_1(\gamma_1)$ . By Theorem 3.6, the difference between the homotopy classes  $[g_1]$  and  $[f_1]$  in  $[L\#(S^1 \times S^2), \mathbb{C}P^1]$  can be realized by the connected sum of an element of  $\pi_3(\mathbb{C}P^1)$ . Therefore, there exists a continuous map  $a: S^3 \rightarrow \mathbb{C}P^1$  such that  $g_1\#a$  is homotopic to  $f_1$ . We may assume that the disk in  $L\#(S^1 \times S^2)$  which is removed for the connected sum  $g_1\#a$  does not intersect  $g^{-1}(B_\varepsilon)$ . We

consider a continuous map  $g\#a = (g_1\#a, g_2): L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$ . Since  $g\#a$  satisfies the assumption of Lemma 3.7, there exists a self-transverse Lagrangian immersion  $g^a: L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  such that  $I(g^a) = 0$  and  $g^a$  is homotopic to  $g\#a$  relative to  $(g\#a)^{-1}(B_\varepsilon) = g^{-1}(B_\varepsilon)$ . Since  $f^1|_{g^{-1}(B_\varepsilon)}$  can be glued to  $g^a|_{L\#(S^1 \times S^2) \setminus g^{-1}(B_\varepsilon)}$ , we obtain a self-transverse Lagrangian immersion  $\tilde{g}^a: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  of  $I(\tilde{g}^a) = 1$ . In the Darboux chart  $B_\varepsilon$ , the Lagrangian immersion  $\tilde{g}^a$  coincides with  $f^1$ . Hence, we can replace  $\tilde{g}^a(L) \cap B_{\varepsilon/2}$  by the Lagrangian cone over the loose Legendrian knot  $\phi$ . Then we have a Lagrangian immersion  $\tilde{g}^0: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  with a conical point  $q$  such that the Legendrian link at  $q$  is loose and  $I(\tilde{g}^0) = 0$ . Applying Theorem 2.2 to  $\tilde{g}^0$ , we obtain a Lagrangian regular homotopy  $\tilde{g}^t: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$ ,  $t \in [0, 1]$ , that is the identity in a neighborhood of the conical point  $q$  and that connects  $\tilde{g}^0$  to a self-transverse Lagrangian immersion  $\tilde{g}^1$  with a conical point  $q$  and with  $\text{SI}(\tilde{g}^1) = I(\tilde{g}^0) = 0$ .

Rescaling  $\tilde{g}^a(L) \cap B_{\varepsilon/2}$  and replacing the Lagrangian cone over the loose Legendrian knot  $\phi$  by the rescaled  $\tilde{g}^a(L) \cap B_{\varepsilon/2}$ , we obtain a self-transverse Lagrangian immersion  $\tilde{g}^2: L \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  with  $\text{SI}(\tilde{g}^2) = 1$ . Finally, again resolving the double point  $x$  of  $\tilde{g}^2$  by Polterovich's Lagrangian surgery [5], we obtain a Lagrangian embedding  $g^1: L\#(S^1 \times S^2) \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^2$  homotopic to  $g^a$  relative to a small neighborhood of the point  $q$ . In particular,  $g^1$  is homotopic to  $f$ . The proof of Theorem 1.1 for  $\mathbb{C}P^1 \times \mathbb{C}P^2$  is completed.  $\square$

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