

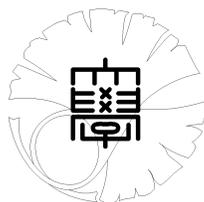
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**Finiteness theorem in class field theory  
of varieties over local fields**

by

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# FINITENESS THEOREM IN CLASS FIELD THEORY OF VARIETIES OVER LOCAL FIELDS

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ABSTRACT. We show that the geometric part of the abelian étale fundamental group of a proper smooth variety over a local field is finitely generated over  $\widehat{\mathbb{Z}}$  with finite torsion, and describe its rank by the special fiber of the Néron model of the Albanese variety. As an application, we complete the class field theory of curves over local fields developed by S.Bloch and S.Saito, in which the theorem concerning the  $p$ -primary part in positive characteristic case has remained unproven.

## CONTENTS

1. Introduction	1
2. Review of monodromy-weight filtration	3
3. Galois coinvariant of the Tate module	5
4. Proof of the main theorem	8
5. Application to the class field theory of curves over local fields	9
References	10

## 1. INTRODUCTION

For a proper smooth geometrically irreducible variety  $X$  over a field  $K$ ,  $\pi_1^{ab}(X)$  is the maximal abelian quotient of the étale fundamental group  $\pi_1(X)$  classifying finite étale coverings of  $X$  ([SGA1]). There is a natural surjection  $\pi_1^{ab}(X) \rightarrow G_K^{ab}$  where  $G_K^{ab} = \text{Gal}(K_{ab}/K)$  is the Galois group of the maximal abelian extension of  $K$ , and denote the kernel by  $\pi_1^{ab}(X)^{geo}$  :

$$(1.1) \quad 0 \longrightarrow \pi_1^{ab}(X)^{geo} \longrightarrow \pi_1^{ab}(X) \longrightarrow G_K^{ab} \longrightarrow 0$$

When  $X$  has a  $K$ -rational point  $x$ ,  $\pi_1^{ab}(X)^{geo}$  has a geometric interpretation as the group classifying the abelian finite étale coverings of  $X$  in which  $x$  splits

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completely. For example, Th. 1 of [5] shows that  $\pi_1^{ab}(X)^{geo}$  is a finite group when  $K$  is an absolutely finitely generated field of characteristic zero.

In this paper, we are interested in the finiteness of the abelian group  $\pi_1^{ab}(X)^{geo}$  in the case where  $K$  is a *local field*, i.e. a complete discrete valuation field with finite residue field. Our main result is follows :

**Theorem 1.1.** *Let  $K$  be a complete discrete valuation field with finite residue field  $F$ , and  $X$  a proper smooth geometrically irreducible variety over  $K$ . Then  $\pi_1^{ab}(X)^{geo}$  has the following structure :*

$$0 \longrightarrow \pi_1^{ab}(X)_{tor}^{geo} \longrightarrow \pi_1^{ab}(X)^{geo} \longrightarrow \widehat{\mathbb{Z}}^r \longrightarrow 0$$

where  $\pi_1^{ab}(X)_{tor}^{geo}$  is a finite group, and  $r$  is the  $F$ -rank of the special fiber of the Néron model of the Albanese variety of  $X$ .

Here the  $F$ -rank of a linear algebraic group over  $F$  is the dimension of the maximal  $F$ -split subtorus. In particular, we have :

**Corollary 1.2.** *When  $X$  has potentially good reduction, i.e. has a proper smooth model over the integer ring of some finite extension of  $K$ ,  $\pi_1^{ab}(X)^{geo}$  is finite.*

The case  $\dim X = 1$  had been considered in the literature. Bloch treated the case of curve  $X$  with good reduction when  $\text{char}K = 0$  in [1] Prop. 2.4, and S.Saito shows our theorem for a general curve  $X$  except for the  $p$ -primary part in the  $\text{char}K = p > 0$  case in [8], Section II-4. The result concerning the remaining  $p$ -primary part had been conjectured by Saito ([8], Remark 4.2 of Section II), and our theorem in the case of curves answers this question affirmatively. This enables us to complete the class field theory of curves over local fields developed in [8] in the positive characteristic case (see below).

The method of Bloch [1] employs in particular the Tate's theorem on  $p$ -divisible groups, and the method of Saito [8] depends on the two-dimensional class field theory. Our approach is a direct generalization of the method of Bloch, and we investigate  $\pi_1^{ab}(X)^{geo}$  directly by the Tate module of Albanese variety, independently of the class field theory. The main technical tool is the theory of monodromy-weight filtration of degenerating abelian varieties on local fields ([SGA7]), and the recent result of de Jong [3] which removes the condition on  $\text{char}K$  in the Tate's theorem on  $p$ -divisible groups.

In the final section, we complete the proof of the main theorem of class field theory of curves over local fields of Saito [8], which is stated as follows (For the definition of  $V(X)$ , see Section 5) :

**Theorem 1.3.** *Let  $X$  be a proper smooth geometrically irreducible curve over a local field  $K$ , and denote the maximal divisible subgroup of  $V(X)$  by  $D$ . Then the reciprocity map  $\tau$  induces an isomorphism of finite groups :*

$$V(X)/D \xrightarrow{\cong} \pi_1^{ab}(X)_{tor}^{geo}$$

Here only the  $p$ -primary part of  $\pi_1^{ab}(X)^{geo}$  in the  $\text{char}K = p > 0$  case was remaining, where our finiteness result was the only missing ingredient in the proof.

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**Notations.** Throughout this paper,  $K$  denotes complete discrete valuation field with residue field  $F$ , with  $\text{char}F = p > 0$ .  $O_K$  is the integer ring of  $K$ . For any field  $K$ ,  $\overline{K}$  is a separable closure of  $K$ , and  $G_K = \text{Gal}(\overline{K}/K)$  is the absolute Galois group of  $K$ . For a variety  $X$  over  $K$ ,  $X_{\overline{K}} = X \times_K \text{Spec}(\overline{K})$ .  $\widehat{\mathbb{Z}} \cong \prod_p \mathbb{Z}_p$  is the profinite completion of  $\mathbb{Z}$ .

## 2. REVIEW OF MONODROMY-WEIGHT FILTRATION

Here we review Grothendieck's theory of monodromy-weight filtration on Tate module of abelian varieties, following [SGA7], Exposé IX, and fix the notations. In this section the residue field  $F$  can be an arbitrary perfect field.

**2.1. Raynaud group.** Let  $A$  be an abelian variety over  $K$ , and consider its Néron model  $\mathcal{A}$ , which is a smooth group scheme over  $O_K$  of finite type with  $A$  as its generic fiber. The special fiber  $A_F$  of  $\mathcal{A}$  is an extension of the component group  $\Phi$  by the connected component  $A_F^0$ :

$$0 \longrightarrow A_F^0 \longrightarrow A_F \longrightarrow \Phi \longrightarrow 0$$

The connected component  $\mathcal{A}^0$  is a group scheme with  $A$  as generic fiber and  $A_F^0$  as special fiber.

We assume throughout this section that  $A$  has *semistable reduction*, i.e.  $\mathcal{A}_F^0$  is an extension of an abelian variety  $B_F$  by a torus  $T_F$ :

$$0 \longrightarrow T_F \longrightarrow A_F^0 \longrightarrow B_F \longrightarrow 0$$

The *Raynaud group*  $A^\natural$  of  $A$  is a smooth group scheme over  $O_K$  of finite type whose connected component  $A^{\natural 0}$  is an extension of an abelian scheme  $B$  by an isotrivial torus  $T$ , which is characterized by the property  $\widehat{A^\natural} \cong \widehat{\mathcal{A}}$ . Here the  $\widehat{\phantom{x}}$  denotes the formal completion along the special fiber. The quotient  $A^\natural/A^{\natural 0}$  is a finite étale group scheme over  $O_K$  which we also denote by  $\Phi$ :

$$\begin{aligned} 0 &\longrightarrow T \longrightarrow A^{\natural 0} \longrightarrow B \longrightarrow 0 \\ 0 &\longrightarrow A^{\natural 0} \longrightarrow A^\natural \longrightarrow \Phi \longrightarrow 0 \end{aligned}$$

Note that  $B, T$  has  $B_F, T_F$  as the special fiber, respectively. We denote the character group of  $T$  by  $M$ , which is a group scheme over  $O_K$  étale locally isomorphic to  $\mathbb{Z}^r$ , where  $r$  is the dimension of  $T$ .

In fact, all we need are the  $\ell$ -divisible groups coming from  $A^\natural, T, B$ , so introducing Raynaud groups is not absolutely necessary for our purposes, but it makes the exposition simpler.

**2.2. Tate realizations and monodromy-weight filtration.** For any smooth group scheme  $X$  over  $O_K$  of finite type and any prime number  $\ell$ , define the profinite (resp. pro- $\ell$ ) group scheme  $T(X)$  (resp.  $T_\ell(X)$ ) by :

$$T(X) = \varprojlim_n X[n], \quad T_\ell(X) = \varprojlim_n X[\ell^n]$$

where  $X[m]$  denotes the kernel of the multiplication-by- $m$  map, which is a quasi-finite group scheme over  $O_K$ . We use the same notations for group schemes over  $K$  or  $F$ .

Coming back to our case, the generic fiber of  $T_\ell(\mathcal{A}^0)$  is the  $\ell$ -adic Tate module  $T_\ell(A)$  of  $A$  (a pro- $\ell$  group scheme over  $K$ ). As there is a canonical and functorial decomposition  $\mathcal{A}^0[m] = \mathcal{A}^0[m]^f \amalg \mathcal{A}^0[m]'$  where  $\mathcal{A}^0[m]^f$  is finite flat over  $O_K$  and  $\mathcal{A}^0[m]'$  has empty special fiber, we define *the fixed part*  $T_\ell(\mathcal{A}^0)^f$  by:

$$T_\ell(\mathcal{A}^0)^f = \varprojlim_n \mathcal{A}^0[\ell^n]^f \subset T_\ell(\mathcal{A}^0)$$

Identifying finite groups over  $O_K$  with its formal completions, we have canonical isomorphisms :

$$T_\ell(\mathcal{A}^0)^f \cong T_\ell(\widehat{\mathcal{A}^0}) \cong T_\ell(\widehat{A^{\natural 0}}) \cong T_\ell(A^{\natural 0})$$

and it follows that  $T_\ell(\mathcal{A}^0)^f$  is an  $\ell$ -divisible group (Barsotti-Tate group) over  $O_K$ , in particular a smooth  $\ell$ -adic sheaf if  $\ell \neq p$ .

Then we define *the toric part*  $T_\ell(\mathcal{A}^0)^t \subset T_\ell(\mathcal{A}^0)^f$  by the subgroup scheme corresponding to  $T_\ell(T) \subset T_\ell(A^{\natural 0})$  by the above isomorphism, and we have a filtration on  $T_\ell(\mathcal{A}^0)$  :

$$W_0 = T_\ell(\mathcal{A}^0) \supset W_{-1} = T_\ell(\mathcal{A}^0)^f \supset W_{-2} = T_\ell(\mathcal{A}^0)^t \supset W_{-3} = 0$$

with  $\mathrm{Gr}_{-1}^W \cong T_\ell(B)$ . Moreover, if we write  $M^\vee$  for the dual of  $M$ ,  $\mathrm{Gr}_{-2}^W = T_\ell(T) \cong M^\vee \otimes \mathbb{Z}_\ell(1)$ .

To describe the remaining  $\mathrm{Gr}_0^W$ -part, we introduce the dual abelian variety  $A^*$  of  $A$ , and let  $T^*, B^*, M^*, \mathcal{A}^*, \dots$  be the corresponding objects for  $A^*$ . By duality, we have :

$$T_\ell(A)/T_\ell(A)^t \cong D(T_\ell(A^*)^f), \quad T_\ell(A)/T_\ell(A)^f \cong D(T_\ell(A^*)^t)$$

where  $D$  denotes the Cartier dual, i.e.  $T_\ell(A)/T_\ell(A)^t$  is the generic fiber of the dual  $\ell$ -divisible group  $D(T_\ell(\mathcal{A}^{\natural 0})^f)$ , and  $\mathrm{Gr}_0^W T_\ell(A) \cong M_K^* \otimes \mathbb{Z}_\ell$ .

The corresponding filtration on the generic fiber  $T(A)$  of  $T(\mathcal{A}^0)$ , i.e. the profinite group  $T(A)$ , is *the monodromy-weight filtration* (see [2], §10 for the treatment in the context of 1-motives) :

$$(2.1) \quad \begin{aligned} W_0 T(A) &= T(A) & \mathrm{Gr}_0^W T(A) &\cong M_K^* \otimes \widehat{\mathbb{Z}} \\ W_{-1} T(A) &= T(A)^f & \mathrm{Gr}_{-1}^W T(A) &\cong T(B_K) \\ W_{-2} T(A) &= T(T_K) & \mathrm{Gr}_{-2}^W T(A) &\cong M_K^\vee \otimes \widehat{\mathbb{Z}}(1) \end{aligned}$$

where  $B_K, T_K$  is the generic fiber of  $B, T$  respectively. Note that the pro- $\ell$  part of each graded part  $\mathrm{Gr}_i^W$  is realized as the generic fiber of the  $\ell$ -divisible group over  $O_K$ , and if  $F$  is a finite field and  $\ell \neq p$ , the special fiber of  $\mathrm{Gr}_i^W$  has weight  $i$  as an  $\ell$ -adic  $G_F$ -representation.

### 3. GALOIS COINVARIANT OF THE TATE MODULE

From this section, the residue field  $F$  is always a *finite field* with  $q$  elements.

Now, for arbitrary abelian variety  $A$  over  $K$ , we want to analyze the Galois coinvariant of the Galois module obtained by taking the maximal étale quotient  $T^{et}(A)$  of  $T(A)$ , i.e.  $T^{et}(A)_{G_K}$ . We write  $T(X)_G = T^{et}(X)_{G_K}$  for any  $X/K$ , for simplicity. The goal of this section is the following :

**Proposition 3.1.** *For an abelian variety  $A$  over  $K$ ,  $T(A)_G$  has the following structure :*

$$0 \longrightarrow (T(A)_G)_{tor} \longrightarrow T(A)_G \longrightarrow \widehat{\mathbb{Z}}^r \longrightarrow 0$$

where  $(T(A)_G)_{tor}$  is a finite group, and  $r$  is the  $F$ -rank of the special fiber  $A_F$  of the Néron model of  $A$ .

First we treat the case where  $A$  has semistable reduction (3.1,3.2), where we have the monodromy-weight filtration 2.1. As the functor  $(-)^{et}$  taking maximal étale quotient is exact, and the functor  $(-)_{G_K}$  taking coinvariant of the étale part is right exact, we have an exact sequence of abelian groups :

$$(W_{-1}T(A))_G \longrightarrow T(A)_G \longrightarrow (\mathrm{Gr}_0^W T(A))_G \longrightarrow 0$$

We will treat  $(W_{-1}T(A))_G$  and  $(\mathrm{Gr}_0^W T(A))_G$  separately.

**3.1. The part  $W_{-1}$ .** Our proof of the finiteness of  $(W_{-1}T(A))_G$  relies on the celebrated theorem on  $p$ -divisible groups :

**Theorem 3.2** (Tate[9], de Jong[3]). *Let  $G, H$  be  $p$ -divisible groups on  $O_K$ , and  $G_K, H_K$  its generic fibers. Then the natural restriction map  $\mathrm{Hom}(G, H) \longrightarrow \mathrm{Hom}(G_K, H_K)$  is bijective.*

**Proposition 3.3.**  *$(W_{-1}T(A))_G$  is finite.*

*Proof.* We decompose  $W_{-1}T(A)_G$  into :

$$T(T_K)_G \longrightarrow (W_{-1}T(A))_G \longrightarrow T(B_K)_G \longrightarrow 0$$

and show the finiteness of  $T(T_K)_G$  and  $T(B_K)_G$ . Note that both  $T(T_K)$  and  $T(B_K)$  is a generic fiber of the profinite group scheme on  $O_K$ , and the finiteness of both parts follows in exactly the same manner, following the argument of Bloch [1]. Decompose them into pro- $p$  part and prime-to- $p$  part :

$$T(X) = T'(X) \times T_p(X), \quad T'(X) = \prod_{\ell \neq p} T_\ell(X)$$

where  $X$  is any one of  $T, B, T_K, B_K, T_F, B_F$ .

First look at  $T'(X)$ , which is a product of smooth  $\ell$ -adic sheaves on  $O_K$ , and  $G$  acts through  $G_F = \text{Gal}(\overline{F}/F)$  which is topologically generated by  $q$ -th power Frobenius  $f$ . So looking at the special fiber, we have a commutative diagram with exact rows for  $X = T_F, B_F$  :

$$\begin{array}{ccccccc} & & & & X(F)'_{tor} & & \\ & & & & \downarrow & & \\ & & & & \lim_{(p,n)=1} X[n] & \longrightarrow & 0 \\ 0 \longrightarrow & T'(X) & \longrightarrow & T'(X) \otimes \mathbb{Q} & \longrightarrow & \lim_{(p,n)=1} X[n] & \longrightarrow 0 \\ & \downarrow 1-f & & \cong \downarrow 1-f & & \downarrow 1-f & \\ 0 \longrightarrow & T'(X) & \longrightarrow & T'(X) \otimes \mathbb{Q} & \longrightarrow & \lim_{(p,n)=1} X[n] & \longrightarrow 0 \\ & \downarrow & & & & & \\ & T'(X)_G & & & & & \end{array}$$

where  $X(F)'_{tor}$  is the prime-to- $p$  part of the torsion subgroup of the group of  $F$ -rational points  $X(F)$  of  $X$ . The bijectivity of the middle vertical arrow follows from the fact that the eigenvalues of  $f$  acting on  $T' \otimes \mathbb{Q}$  are not equal to 1, because  $T'(T_F), T'(B_F)$  has respectively the weight  $-2, -1$ . Therefore the snake lemma gives us the finiteness of  $T'(X)_G$ .

Secondly look at  $T_p(X_K)$  for  $X = T, B$ , and suppose  $T_p(X_K)_G$  is not finite. Because  $T_p(X_K)$  is a  $\mathbb{Z}_p$ -module, we must have non-trivial homomorphism  $T_p(X_K) \rightarrow \mathbb{Z}_p$ , where  $\mathbb{Z}_p$  is the trivial  $G_K$ -module. By Theorem 3.2, we must have a non-trivial homomorphism of  $p$ -divisible groups  $T_p(X) \rightarrow \mathbb{Z}_p$  which necessarily factors through the maximal étale quotient  $T_p^{et}(X)$ . This must give a non-trivial homomorphism  $T_p^{et}(X_F) \otimes \mathbb{Q} \rightarrow \mathbb{Q}_p$  at the special fiber, but this is a contradiction because here too the eigenvalue of Frobenius acting on  $T_p^{et}(X_F)$  cannot be 1 (For  $T_p(B_F)$ , see for example [6];  $T_p(T_F)$  is connected and has no étale quotient!).  $\square$

**3.2. The part  $\mathrm{Gr}_0^W$ .** The following proposition completes the proof of Prop. 3.1 in the semistable reduction case (Note that as  $A$  and  $A^*$  is isogenous,  $F$ -rank of  $A_F^*$  is equal to that of  $A_F$ ) :

**Proposition 3.4.**  $(\mathrm{Gr}_0^W T(A))_G$  has the following structure :

$$0 \longrightarrow ((\mathrm{Gr}_0^W T(A))_G)_{\mathrm{tor}} \longrightarrow (\mathrm{Gr}_0^W T(A))_G \longrightarrow \widehat{\mathbb{Z}}^r \longrightarrow 0$$

where  $((\mathrm{Gr}_0^W T(A))_G)_{\mathrm{tor}}$  is a finite group, and  $r$  is the  $F$ -rank of the special fiber  $A_F^*$  of the Néron model of  $A^*$ .

*Proof.* By the canonical isomorphism  $\mathrm{Gr}_0^W T(A) \cong M_K^* \otimes \widehat{\mathbb{Z}}$  (2.1), we have :

$$(\mathrm{Gr}_0^W T(A))_G \cong (M_K^*)_G \otimes \widehat{\mathbb{Z}}$$

But for  $M_K^*$  is the generic fiber of the étale group scheme  $M^*$  over  $O_K$  which is the character group of the isotrivial torus  $T^*$ , the action of  $G_K$  factors through  $G_F$ , and  $(M_K^*)_{G_K} = (M_F^*)_{G_F}$  is a finitely generated  $\mathbb{Z}$ -module with rank equal to  $F$ -rank of  $T_F^*$ .  $\square$

**3.3. Non-semistable case.** Now we proceed to the non-semistable case and finish the proof of Prop. 3.1.

*Proof of Proposition 3.1.* By the semistable reduction theorem for abelian varieties ([SGA7], Exposé IX, Th. 3.6), there exists a finite Galois extension  $K'$  of  $K$  over which  $A$  acquires a semistable reduction. Put  $A' = A \times_K \mathrm{Spec}(K')$ , and let  $G', F', T', B', M', \mathcal{A}', \dots$  be the corresponding objects for  $A'/K'$ . Then  $T(A')_{G'} = T^{\mathrm{et}}(A')_{G_{K'}}$  has the following structure :

$$0 \longrightarrow C' \longrightarrow T(A')_{G'} \longrightarrow (M_K^*)_{G'} \otimes \widehat{\mathbb{Z}} \longrightarrow 0$$

where  $C'$  is finite and  $M'^*$  is the character group of  $T'^*$ . If we put  $\Gamma = \mathrm{Gal}(K'/K)$ , above is an exact sequece of  $\Gamma$ -modules, and by taking  $\Gamma$ -coinvariants we have :

$$(3.1) \quad C'_\Gamma \longrightarrow T(A)_G \longrightarrow ((M_K^*)_{G'})_\Gamma \otimes \widehat{\mathbb{Z}} \longrightarrow 0$$

For  $C'_\Gamma$  is finite and  $(M_K^*)_{G'}$  is a finitely generated  $\mathbb{Z}$ -module, it suffices to show that the rank of  $T(A)_G$  is equal to the  $F$ -rank of  $A_F^*$ .

This was essentially proven in [8], II-Th. 6.2(1), in the context of treating the jacobian variety of a curve. We reproduce the argument in a slightly different way. By looking at the pro- $\ell$  part of 3.1 for  $\ell \neq p$ , it suffices to show that the rank of  $T_\ell(A)_G$  is equal to the  $F$ -rank of  $A_F^*$ . and use the duality, i.e. the orthogonality theorem ([SGA7] Exposé IX, Th. 2.4). Fix a prime  $\ell \neq p$ , and consider the perfect duality :

$$T_\ell(A) \times T_\ell(A^*) \longrightarrow \mathbb{Z}_\ell(1)$$

Let  $I$  be the inertia subgroup of  $G$ , and we have :

$$T_\ell(A)_I \times T_\ell(A^*)^I \longrightarrow \mathbb{Z}_\ell(1)$$

where by definition,  $T_\ell(A^*)^I = W_{-1}T_\ell(A^*) \cong T_\ell(A_F^*)$ . Moreover, by  $G_F \cong G/I$ , we have a perfect pairing modulo torsion :

$$T_\ell(A)_G \times T_\ell(A_F^*)^{f=q} \longrightarrow \mathbb{Z}_\ell(1)$$

where  $f$  is the Frobenius automorphism and  $T_\ell(A_F^*)^{f=q}$  is the kernel of  $f - q \cdot \text{id}$  in  $T_\ell(A_F^*)$ . Hence the rank of  $T_\ell(A)_G$  is equal to that of  $T_\ell(A_F^*)^{f=q}$ .

Now the connected component of  $A_F^*$  is the extension of an abelian variety  $B_F^*$  by a linear algebraic group  $L_F^*$ , which is itself an extension of a unipotent group  $U_F^*$  by a torus  $T_F^*$ . Hence we have an exact sequence :

$$0 \longrightarrow T_\ell(T_F^*) \longrightarrow T_\ell(A_F^*) \longrightarrow T_\ell(B_F^*) \longrightarrow 0$$

and denoting the character group of  $T_F^*$  by  $N_F^*$ ,  $T_\ell(T_F^*) \cong N_F^{*\vee} \otimes \mathbb{Z}_\ell(1)$ . Taking the kernel of  $f - q \cdot \text{id}$  yields the exact sequence:

$$0 \longrightarrow (N_F^{*\vee})^{G_F} \otimes \mathbb{Z}_\ell(1) \longrightarrow T_\ell(A_F^*)^{f=q} \longrightarrow T_\ell(B_F^*)^{f=q}$$

We see that the last term is a finite group for  $T_\ell(B_F^*)$  has weight  $-1$ , and the rank of  $(N_F^{*\vee})^{G_F}$  is nothing but the  $F$ -rank of  $T_F^*$ , i.e.  $F$ -rank of  $A_F^*$ .  $\square$

#### 4. PROOF OF THE MAIN THEOREM

Now we will apply the result of preceding section to the Albanese variety  $\text{Alb}(X)$  of a variety  $X$  over  $K$ . To deduce the theorem 1.1, we need the following description of  $\pi_1^{ab}(X_{\overline{K}})$  :

**Lemma 4.1.** *Let  $X$  be a proper smooth geometrically irreducible variety over any field  $K$  which has a  $K$ -rational point. Then there is a canonical exact sequence of  $G_K$ -modules :*

$$0 \longrightarrow C \longrightarrow \pi_1^{ab}(X_{\overline{K}}) \longrightarrow T^{et}(\text{Alb}(X)) \longrightarrow 0$$

where  $C$  is a finite group, and  $\text{Alb}(X)$  is the Albanese variety of  $X$  over  $K$ .

*Proof.* See [5], III, Lemma 5.  $\square$

Now we begin the proof of the main theorem :

**Theorem 4.2.** *Let  $K$  be a complete discrete valuation field with finite residue field  $F$ , and  $X$  a proper smooth geometrically irreducible variety over  $K$ . Then  $\pi_1^{ab}(X)^{geo}$  has the following structure :*

$$0 \longrightarrow \pi_1^{ab}(X)_{tor}^{geo} \longrightarrow \pi_1^{ab}(X)^{geo} \longrightarrow \widehat{\mathbb{Z}}^r \longrightarrow 0$$

where  $\pi_1^{ab}(X)_{tor}^{geo}$  is a finite group, and  $r$  is the  $F$ -rank of the special fiber of the Néron model of the Albanese variety of  $X$ .

First we repeat the argument in [4], Section 3 to prove :

**Lemma 4.3.** *In the situation of above theorem,  $\pi_1^{ab}(X)^{geo} \cong \pi_1^{ab}(X_{\overline{K}})_{G_K}$ .*

*Proof.* The Hochschild-Serre spectral sequece gives an exact sequence :

$$\begin{aligned} 0 \longrightarrow H^1(G_K, \mathbb{Q}/\mathbb{Z}) \longrightarrow H_{et}^1(X, \mathbb{Q}/\mathbb{Z}) \longrightarrow H_{et}^1(X_{\overline{K}}, \mathbb{Q}/\mathbb{Z})^{G_K} \\ \longrightarrow H^2(G_K, \mathbb{Q}/\mathbb{Z}) \end{aligned}$$

But  $H^2(G_K, \mathbb{Q}/\mathbb{Z}) = 0$  by the Tate duality for local fields, and taking the Pontrjagin dual gives the exact sequence :

$$0 \longrightarrow \pi_1^{ab}(X_{\overline{K}})_{G_K} \longrightarrow \pi_1^{ab}(X) \longrightarrow G_K^{ab} \longrightarrow 0$$

which shows the isomorphism of the lemma.  $\square$

*Proof of Theorem 4.2.* Take a finite Galois extension  $K'$  of  $K$  such that  $X$  has a  $K'$ -rational point. By Lemma 4.1, we have the following exact sequence :

$$C_{G_{K'}} \longrightarrow \pi_1^{ab}(X_{\overline{K}})_{G_{K'}} \longrightarrow T^{et}(\text{Alb}(X))_{G_{K'}} \longrightarrow 0$$

Now take the coinvariants by  $\text{Gal}(K'/K)$  and apply Lemma 4.3 to get the exact sequence :

$$C_{G_K} \longrightarrow \pi_1^{ab}(X)^{geo} \longrightarrow T^{et}(\text{Alb}(X))_{G_K} \longrightarrow 0$$

As we know that  $C_{G_K}$  is finite, the thorem follows by the application of Prop. 3.1 to  $\text{Alb}(X)$ .  $\square$

## 5. APPLICATION TO THE CLASS FIELD THEORY OF CURVES OVER LOCAL FIELDS

In this section, we give an application to the class field theory of curves over local fields developed by S. Saito in [8], where the main theorem has been proven except for the  $p$ -primary part in the  $\text{char}K = p > 0$  case. By our finiteness result, we can prove the main theorem also in the remaining case.

For a proper smooth geometrically irreducible curve  $X$  over a local field  $K$ , and define the group  $SK_1(X)$  by :

$$SK_1(X) = \text{Coker} \left( \bigoplus_{x \in P} \partial_x : K_2(K(X)) \longrightarrow \bigoplus_{x \in P} \kappa(x)^\times \right)$$

where  $P$  denotes the set of all closed points of  $X$ ,  $K(X)$  is the function field of  $X$ ,  $\kappa(x)$  is the residue field at  $x$ , and  $\partial_x$  is the boundary map in algebraic  $K$ -theory. Let  $V(X)$  be the kernel of the norm map  $N : SK_1(X) \rightarrow K^\times$ , induced by the norm map  $N_{\kappa(x)/K} : \kappa(x)^\times \rightarrow K^\times$  for each  $x$ .

In [8], the reciprocity map :

$$\sigma : SK_1(X) \rightarrow \pi_1^{ab}(X), \quad \tau : V(X) \rightarrow \pi_1^{ab}(X)^{geo}$$

is defined, which makes following diagrams commute :

$$\begin{array}{ccccccc}
 \kappa(x)^\times & \longrightarrow & SK_1(X) & & V(X) & \longrightarrow & SK_1(X) & \longrightarrow & K^\times \\
 \sigma_x \downarrow & & \downarrow \sigma & & \tau \downarrow & & \sigma \downarrow & & \downarrow \sigma_K \\
 \text{Gal}(\kappa(x)_{ab}/\kappa(x)) & \longrightarrow & \pi_1^{ab}(X) & & \pi_1^{ab}(X)^{geo} & \longrightarrow & \pi_1^{ab}(X) & \longrightarrow & \text{Gal}(K_{ab}/K)
 \end{array}$$

(left diagram exists for  $\forall x \in P$ ) where  $\sigma_x, \sigma_K$  denotes the reciprocity map of local class field theory. Then the main theorem of the class field theory of  $X$  is stated as follows (cf. [8], Introduction) :

**Theorem 5.1.** *Let  $D$  be the maximal divisible subgroup of  $V(X)$ . Then the reciprocity map  $\tau$  induces an isomorphism of finite groups :*

$$V(X)/D \xrightarrow{\cong} \pi_1^{ab}(X)_{tor}^{geo}$$

**Corollary 5.2.** *Let  $E$  be the maximal divisible subgroup of  $SK_1(X)$ . Then the reciprocity map  $\sigma$  induces an injection :*

$$SK_1(X)/E \longrightarrow \pi_1^{ab}(X)$$

and the quotient of  $\pi_1^{ab}(X)$  by the closure of the image of  $\sigma$  is isomorphic to  $\widehat{\mathbb{Z}}^r$ , where  $r$  is the rank of  $X$  defined in [8], Section II-2.

The theorem and the corollary is proven in [8] except for the  $p$ -primary part in the  $\text{char}K = p > 0$  case.

*Proof.* The derivation of the corollary from the theorem is carried out in pp. 72-73 of [8], which is valid in our general case also.

For the proof of the theorem. First, note that the rank of  $X$  defined in [8], Section II-2 is equal to the  $F$ -rank of the special fiber of the Néron model of the jacobian variety of  $X$ , by [8], II-Th. 6.2(1). By II-Prop. 3.5 of [8] and our finiteness result Th. 4.2, we know that the reciprocity map  $\tau$  is a surjection onto  $\pi_1^{ab}(X)_{tor}^{geo}$ . For the determination of  $\text{Ker } \tau$ , proof for the prime-to- $\text{char}K$  part in [8] uses only the finiteness of the image and II-Lemma 5.3 of [8]. But also for the  $\text{char}K$ -primary part, Prop. 3 of [4] gives precisely the same result as [8], II-Lemma 5.3, therefore completing the proof by exactly the same argument.  $\square$

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