

## *Mori Fibre Spaces for Kähler Threefolds*

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*In memory of Professor Kunihiko Kodaira*

**Abstract.** Let  $X$  be a compact Kähler threefold such that the base of the MRC-fibration has dimension two. We prove that  $X$  is bimeromorphic to a Mori fibre space. Together with our earlier result [HP13] this completes the MMP for compact Kähler threefolds: let  $X$  be a non-projective compact Kähler threefold. Then  $X$  has a minimal model or  $X$  is bimeromorphic to a Mori fibre space over a non-projective Kähler surface.

### 1. Introduction

This paper continues our study of the minimal model program (MMP) for compact Kähler threefolds. In [HP13] we established the existence of minimal models for compact Kähler threefolds such that  $K_X$  is pseudoeffective. More precisely, minimal models are obtained, as in the projective setting, by a sequence of contractions of extremal rays (in a suitable cone) and flips. By a theorem of Brunella [Bru06] a smooth compact Kähler threefold has pseudoeffective  $K_X$  if and only if  $X$  is not uniruled. In the present work we deal with the remaining case where  $X$  is uniruled. The general fibre of the MRC-fibration  $X \dashrightarrow Z$  is rationally connected, so carries no holomorphic forms [Deb01, Cor.4.18]. Thus if the base  $Z$  has dimension at most one, then we obtain  $H^2(X, \mathcal{O}_X) = H^0(X, \Omega_X^2) = 0$ . In particular the Kähler manifold  $X$  is projective by Kodaira's criterion. Since our main interest is the study of non-projective Kähler threefolds, we focus on the case where  $Z$  has dimension two:

1.1. THEOREM. *Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Suppose that the base of the MRC-fibration  $X \dashrightarrow Z$  has dimension two.*

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Then  $X$  is bimeromorphic to a Mori fibre space, i.e. there exists a MMP

$$X \dashrightarrow X',$$

consisting of contractions of extremal rays and flips, such that  $X'$  admits a fibration  $\varphi : X' \rightarrow S$  onto a normal compact  $\mathbb{Q}$ -factorial Kähler surface with at most klt singularities such that  $-K_{X'}$  is  $\varphi$ -ample and  $\rho(X'/S) = 1$ .

It will be important to work with a special type of Kähler classes:

1.2. DEFINITION. Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Suppose that the base of the MRC-fibration  $X \dashrightarrow Z$  has dimension two, and let  $F \simeq \mathbb{P}^1$  be a general fibre. A Kähler class  $\omega$  on  $X$  is normalised if  $\omega \cdot F = 2$ .

Since the canonical class  $K_X$  has degree  $-2$  on  $F$ , the adjoint class  $K_X + \omega$  is trivial on  $F$ . Using a recent result of Păun [Pău12] we first prove that  $K_X + \omega$  is pseudoeffective. The proof of Theorem 1.1 then proceeds in two steps, the first being the existence of a MMP for the adjoint class  $K_X + \omega$ :

1.3. THEOREM. Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Suppose that the base of the MRC-fibration  $X \dashrightarrow Z$  has dimension two. Then there exists a MMP

$$X \dashrightarrow X'$$

such that for every normalised Kähler class  $\omega'$  on  $X'$  the adjoint class  $K_{X'} + \omega'$  is nef.

Once we have a normalised Kähler class  $\omega$  such that  $K_X + \omega$  is nef, the adjoint class  $K_X + \omega$  is a natural candidate for the “nef supporting class” that defines a Mori fibre space structure.

The second step is to prove an analogue of the base-point free theorem for the adjoint class  $K_X + \omega$ .

1.4. THEOREM. Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Suppose that the base of the MRC-fibration  $X \dashrightarrow Z$  has dimension two. Let  $\omega$  be a normalised Kähler class on  $X$  such that  $K_X + \omega$  is nef.

Then there exists a holomorphic fibration  $\varphi : X \rightarrow S$  onto a normal compact Kähler surface  $S$  such that  $K_X + \omega$  is  $\varphi$ -trivial.

By construction, the anticanonical class  $-K_X$  is ample with respect to the fibration  $X \rightarrow S$ , so we can use the cone and contraction theorem for projective morphisms ([Nak87], [KM98]) to run a relative MMP. This MMP terminates with the Mori fibre space we are looking for.

In the situation of Theorem 1.4 one can prove that  $S$  is  $\mathbb{Q}$ -factorial with at most rational singularities, but it is not quite clear whether  $S$  is klt. However we can prove this property for an elementary contraction of fibre type, cf. Lemma 4.1.

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## 2. Notation

We use the same notation as in [HP13]. For the convenience of the reader we recall the most important definitions and basic results.

**2.1. DEFINITION.** An irreducible and reduced complex space  $X$  is Kähler if there exists a Kähler form  $\omega$ , i.e. a positive closed real  $(1, 1)$ -form  $\omega \in \mathcal{A}_{\mathbb{R}}^{1,1}(X)$ , such that the following holds: for every point  $x \in X_{\text{sing}}$  there exists an open neighbourhood  $x \in U \subset X$  and a closed embedding  $i_U : U \subset V$  into an open set  $V \subset \mathbb{C}^N$ , and a strictly plurisubharmonic  $C^\infty$ -function  $f : V \rightarrow \mathbb{C}$  with  $\omega|_{U \cap X_{\text{nons}}} = (i\partial\bar{\partial}f)|_{U \cap X_{\text{nons}}}$ .

In the same manner one can define  $(p, q)$ -forms on an irreducible reduced complex space [Dem85], by duality we obtain the usual notions of currents.

We will next define the appropriate analogue of the Néron-Severi space  $N^1(X)$  for a normal compact Kähler space, as well as the cones  $\overline{\text{NE}}(X)$  and  $\overline{\text{NA}}(X)$  contained in its dual  $N_1(X)$ . For any details we refer to [HP13, Sect.3].

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<sup>1</sup>ANR-10-JCJC-0111.

2.2. DEFINITION. [BPEG13, Defn. 4.6.2] [HP13, Defn.3.6] Let  $X$  be an irreducible reduced complex space. Let  $\mathcal{H}_X$  be the sheaf of real parts of holomorphic functions multiplied with  $i$ . A  $(1, 1)$ -form with local potentials on  $X$  is a global section of the quotient sheaf  $\mathcal{A}_X^0/\mathcal{H}_X$ . We define the Bott-Chern cohomology

$$N^1(X) := H^1(X, \mathcal{H}_X).$$

2.3. REMARK. Using the exact sequence

$$0 \rightarrow \mathcal{H}_X \rightarrow \mathcal{A}_X^0 \rightarrow \mathcal{A}_X^0/\mathcal{H}_X \rightarrow 0,$$

and the fact that  $\mathcal{A}_X^0$  is acyclic, we obtain a surjective map

$$H^0(X, \mathcal{A}_X^0/\mathcal{H}_X) \rightarrow H^1(X, \mathcal{H}_X).$$

Thus we can see an element of the Bott-Chern cohomology group as a closed  $(1, 1)$ -form with local potentials modulo all the forms that are globally of the form  $dd^c u$ .

Let  $\mathcal{D}_X$  be the sheaf of distributions. Using the exact sequence

$$0 \rightarrow \mathcal{H}_X \rightarrow \mathcal{D}_X \rightarrow \mathcal{D}_X/\mathcal{H}_X \rightarrow 0,$$

we see that one obtains the same Bott-Chern group, considering  $(1, 1)$ -currents  $T$  with local potentials, which is to say that locally  $T = dd^c u$  with  $u$  a distribution.

Dually we define

2.4. DEFINITION. Let  $X$  be a normal compact complex space. Then  $N_1(X)$  is the vector space of real closed currents of bidimension  $(1, 1)$  modulo the following equivalence relation:  $T_1 \sim T_2$  if and only if

$$T_1(\eta) = T_2(\eta)$$

for all real closed  $(1, 1)$ -forms  $\eta$ .

In [HP13, Prop.3.9] we established a canonical isomorphism

$$(1) \quad \Phi : N^1(X) \rightarrow N_1(X)^*$$

for any normal compact complex space  $X$  in the Fujiki class  $\mathcal{C}$ , i.e., for those  $X$  which are bimeromorphic to a Kähler space.

2.5. DEFINITION. Let  $X$  be a normal compact complex space in class  $\mathcal{C}$ . We define  $\overline{NA}(X) \subset N_1(X)$  as the cone generated by the positive closed currents of bidimension  $(1, 1)$ .

Given an irreducible curve  $C \subset X$ , we associate to  $C$  the current of integration  $T_C$ . In the case of isolated singularities, which is the only case relevant in our setting, we define

$$T_C(\omega) = \int_C \omega = \int_{\hat{C}} \pi^*(\omega),$$

where  $\pi : \hat{X} \rightarrow X$  is a resolution of singularities, the curve  $\hat{C}$  is the strict transform of  $C$ , and  $\omega$  a  $d$ -closed  $(1, 1)$ -form on  $X$ . We define the Mori cone  $\overline{NE}(X) \subset N_1(X)$  as the closure of the cone generated by the currents  $T_C$  and clearly have an inclusion

$$\overline{NE}(X) \subset \overline{NA}(X).$$

2.6. DEFINITION. Let  $X$  be an irreducible reduced compact complex space in class  $\mathcal{C}$ . We denote by  $\text{Nef}(X) \subset N^1(X)$  the cone generated by cohomology classes which are nef in the sense of [Pău98, Defn.3]: let  $u \in N^1(X)$  be a class represented by a form  $\alpha$  with local potentials. Then  $u$  is nef if for some positive  $(1, 1)$ -form  $\omega$  on  $X$  and for every  $\epsilon > 0$  there exists  $f_\epsilon \in \mathcal{A}^0(X)$  such that

$$\alpha + i\partial\bar{\partial}f_\epsilon \geq -\epsilon\omega.$$

The class  $u$  is pseudo-effective, if it can be represented by a current  $T$  which is locally of the form  $T = \partial\bar{\partial}\varphi$  with  $\varphi$  a plurisubharmonic function.

If  $X$  is a normal compact Kähler space, we can also consider the open cone  $\mathcal{K}$  generated by the classes of Kähler forms. In this case we know by [Dem92, Prop.6.1]<sup>2</sup> that

$$\text{Nef}(X) = \overline{\mathcal{K}}.$$

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<sup>2</sup>The statement in [Dem92, Prop.6.1.iii)] is for compact manifolds, but the proof works in the singular setting, cf. also [HP13, Rem.3.5] for regularisation arguments in the singular setting.

As in the projective setting, we have a duality statement:

**2.7. PROPOSITION.** [HP13, Prop.3.15] *Let  $X$  be a normal compact threefold in class  $\mathcal{C}$ . Then the cones  $\text{Nef}(X)$  and  $\overline{NA}(X)$  are dual via the canonical isomorphism  $\Phi : N^1(X) \rightarrow N_1(X)^*$  given by (1).*

Finally we define the notion of the contraction of an extremal ray  $R$ . It is very important to consider extremal rays in the dual Kähler cone  $\overline{NA}(X)$  rather than in the Mori cone  $\overline{NE}(X)$ .

**2.8. DEFINITION.** Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler space with at most terminal singularities, and let  $\omega$  be a Kähler class on  $X$ . Let  $R$  be a  $(K_X + \omega)$ -negative extremal ray in  $\overline{NA}(X)$ . A contraction of the extremal ray  $R$  is a morphism  $\varphi : X \rightarrow Y$  onto a normal compact Kähler space  $Y$ , such that  $-(K_X + \omega)$  is a Kähler class on every fibre and a curve  $C \subset X$  is contracted if and only if  $[C] \in R$ .

### 3. MMP for the Adjoint Class

In order to simplify the statements we will work under the following

**3.1. ASSUMPTION.** *Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Suppose that the base of the MRC-fibration  $X \dashrightarrow Z$  has dimension two, and let  $\omega$  be a normalised Kähler class on  $X$ .*

**3.2. REMARK.** Observe that the surface  $Z$  is not uniruled: since this is a bimeromorphic statement we can suppose that  $X$  and  $Z$  are smooth and the MRC-fibration is a morphism  $\varphi : X \rightarrow Z$ . If  $(C_t)_{t \in T} \subset Z$  is a dominant family of rational curves, the surface  $\varphi^{-1}(C_t)$  is uniruled by the fibres of  $\varphi^{-1}(C_t) \rightarrow C_t$ . Thus it carries no holomorphic 2-form, in particular  $\varphi^{-1}(C_t)$  is projective by Kodaira's criterion. Thus Tsen's theorem applies and we obtain that  $\varphi^{-1}(C_t)$  is rationally connected. Now we conclude as in the algebraic case that  $\varphi$  is not the MRC-fibration. The same line of arguments also shows that the theorem of Graber-Harris-Starr [GHS03] is also true in Kähler category.

**3.A. Remarks on adjunction**

Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Let  $S \subset X$  be a prime divisor, i.e. an irreducible and reduced compact surface. Let  $m \in \mathbb{N}$  be the smallest positive integer such that both  $mK_X$  and  $mS$  are Cartier divisors on  $X$ . Then the canonical class  $K_S \in \text{Pic}(S) \otimes \mathbb{Q}$  is defined by

$$K_S := \frac{1}{m}(mK_X + mS)|_S.$$

Since  $X$  is smooth in codimension two, there exist at most finitely many points  $\{p_1, \dots, p_q\}$  where  $K_X$  and  $S$  are not Cartier. Thus by the adjunction formula  $K_S$  is isomorphic to the dualising sheaf  $\omega_S$  on  $S \setminus \{p_1, \dots, p_q\}$ .

Let now  $\nu : \tilde{S} \rightarrow S$  be the normalisation. Then we have

$$(2) \quad K_{\tilde{S}} \sim_{\mathbb{Q}} \nu^* K_S - N,$$

where  $N$  is an effective Weil divisor defined by the conductor ideal. Indeed this formula holds by [Rei94] for the dualising sheaves. Since  $\mathcal{O}_{\tilde{S}}(\nu^* K_S)$  is isomorphic to  $\nu^* \omega_S$  on the complement of  $\nu^{-1}(p_1, \dots, p_q)$ , the formula holds for the canonical classes.

Let  $\mu : \hat{S} \rightarrow \tilde{S}$  be the minimal resolution of the normal surface  $\tilde{S}$ , then we have

$$K_{\hat{S}} \sim_{\mathbb{Q}} \mu^* K_{\tilde{S}} - N',$$

where  $N'$  is an effective  $\mu$ -exceptional  $\mathbb{Q}$ -divisor [Sak84, 4.1]. Thus if  $\pi : \hat{S} \rightarrow S$  is the composition  $\nu \circ \mu$ , there exists an effective, canonically defined  $\mathbb{Q}$ -divisor  $E \subset \hat{S}$  such that

$$(3) \quad K_{\hat{S}} \sim_{\mathbb{Q}} \pi^* K_S - E.$$

Let  $C \subset S$  be a curve such that  $C \not\subset S_{\text{sing}}$ . Then the morphism  $\pi$  is an isomorphism at the general point of  $C$ , and we can define the strict transform  $\hat{C} \subset \hat{S}$  as the closure of  $C \setminus S_{\text{sing}}$ . Since  $\hat{C}$  is an (irreducible) curve that is not contained in the divisor  $N$  defined by the conductor, we have  $\hat{C} \not\subset E$ . By the projection formula and (3) we obtain

$$(4) \quad K_{\hat{S}} \cdot \hat{C} \leq K_S \cdot C.$$

### 3.B. Divisorial Zariski decomposition for $K_X + \omega$

The starting point of our investigation is the following observation:

3.3. LEMMA. *Under the Assumption 3.1 the adjoint class  $K_X + \omega$  is pseudoeffective.*

PROOF. Being pseudoeffective is a closed property in  $N^1(X)$ , so it is sufficient to prove that for every  $\varepsilon > 0$ , the class  $K_X + (1 + \varepsilon)\omega$  is pseudoeffective. Let  $\mu : X' \rightarrow X$  be a bimeromorphic morphism from a smooth Kähler threefold  $X'$  such that the MRC-fibration is a morphism  $\varphi' : X' \rightarrow Z'$  onto a smooth surface  $Z'$ . The projection formula yields

$$\mu_*(K_{X'} + (1 + \varepsilon)\mu^*\omega) = K_X + (1 + \varepsilon)\omega,$$

so it is sufficient to prove that  $K_{X'} + (1 + \varepsilon)\mu^*\omega$  is pseudoeffective. However by a recent result of Păun [Pău12, Thm.1.1], the class  $K_{X'/Z'} + (1 + \varepsilon)\mu^*\omega$  is pseudoeffective. Since the surface  $Z'$  is not uniruled (cf. Remark 3.2) and Kähler by [Var86, Thm.3], the canonical class  $K_{Z'}$  is pseudoeffective. Thus  $K_{X'} + (1 + \varepsilon)\mu^*\omega$  is pseudoeffective.  $\square$

Since  $K_X + \omega$  is pseudoeffective, we may apply [Bou04, Thm.3.12] to obtain a divisorial Zariski decomposition<sup>3</sup>

$$(5) \quad K_X + \omega = \sum_{j=1}^r \lambda_j S_j + P_\omega,$$

where the  $S_j$  are integral surfaces in  $X$ , the coefficients  $\lambda_j \in \mathbb{R}^+$  and  $P_\omega$  is a pseudoeffective class which is nef in codimension one [Bou04, Prop.2.4], that is for every surface  $S \subset X$  the restriction  $P_\omega|_S$  is pseudoeffective.

3.4. LEMMA. *Under the Assumption 3.1, let  $S$  be a surface such that  $(K_X + \omega)|_S$  is not pseudoeffective. Then  $S$  is one of the surfaces  $S_j$  in*

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<sup>3</sup>The statements in [Bou04] are for complex compact manifolds, but generalise immediately to our situation: take  $\mu : X' \rightarrow X$  a desingularisation, and let  $m \in \mathbb{N}$  be the Cartier index of  $K_X$ . Then  $\mu^*(m(K_X + \omega))$  is a pseudoeffective class with divisorial Zariski decomposition  $\mu^*(m(K_X + \omega)) = \sum \eta_j S'_j + P'_\omega$ . The decomposition of  $K_X + \omega$  is defined by the push-forwards  $\mu_*(\frac{1}{m} \sum \eta_j S'_j)$  and  $\mu_*(\frac{1}{m} P'_\omega)$ . Since a prime divisor  $D \subset X$  is not contained in the singular locus of  $X$ , the decomposition has the stated properties.



the divisorial Zariski decomposition (5) of  $K_X + \omega$ . Moreover  $S = S_j$  is Moishezon and any desingularisation  $\hat{S}_j$  is a uniruled projective surface.

PROOF. The proof that  $S = S_j$  for some  $j$  is analogous to the proof in [HP13, Lemma 4.1], thus (up to renumbering) we may suppose that  $S = S_1$ . We have

$$S = S_1 = \frac{1}{\lambda_1}(K_X + \omega) - \frac{1}{\lambda_1}\left(\sum_{j=2}^r \lambda_j S_j + P_\omega\right),$$

so by adjunction

$$K_S = (K_X + S)|_S = \left(\frac{\lambda_1 + 1}{\lambda_1}K_X|_S + \frac{1}{\lambda_1}\omega|_S\right) - \frac{1}{\lambda_1}\left(\sum_{j=2}^r \lambda_j(S_j \cap S) + P_\omega|_S\right).$$

Note now that  $\frac{\lambda_1 + 1}{\lambda_1}K_X|_S + \frac{1}{\lambda_1}\omega|_S$  is not pseudoeffective: otherwise

$$\left(\frac{\lambda_1 + 1}{\lambda_1}K_X|_S + \frac{1}{\lambda_1}\omega|_S\right) + \omega|_S = \frac{\lambda_1 + 1}{\lambda_1}(K_X + \omega)|_S$$

would be pseudoeffective, in contradiction to our assumption. Since

$$\frac{1}{\lambda_1}\left(\sum_{j=2}^r \lambda_j(S_j \cap S) + P_\omega|_S\right)$$

is pseudoeffective, the class  $K_S$  cannot be pseudoeffective.

Let now  $\pi : \hat{S} \rightarrow S$  be the composition of the normalisation and the minimal resolution of the surface  $S$ , then by (3) there exists an effective divisor  $E$  such that

$$K_{\hat{S}} \sim_{\mathbb{Q}} \pi^* K_S - E.$$

Thus  $K_{\hat{S}}$  is not pseudoeffective, in particular  $\kappa(\hat{S}) = -\infty$ . It follows from the Castelnuovo-Kodaira classification that  $\hat{S}$  is covered by rational curves, in particular  $\hat{S}$  is a projective surface [BHPVdV04]. Thus  $S$  is Moishezon.  $\square$

3.5. COROLLARY. *Under the Assumption 3.1, the adjoint class  $K_X + \omega$  is nef if and only if*

$$(K_X + \omega) \cdot C \geq 0$$

for every curve  $C \subset X$ .

PROOF. We prove the non-trivial implication by contradiction, so suppose that  $K_X + \omega$  is not nef, but  $(K_X + \omega) \cdot C \geq 0$  for all curves  $C \subset X$ . Since  $K_X + \omega$  is pseudoeffective by Lemma 3.3 and the restriction to every curve is nef, there exists by [Pău98], [Bou04, Prop.3.4] an irreducible surface  $S \subset X$  such that  $(K_X + \omega)|_S$  is not pseudoeffective. Fix a desingularisation  $\pi : \hat{S} \rightarrow S$  of the surface  $S$ . By Lemma 3.4 the surface  $\hat{S}$  is projective and uniruled. The class  $\pi^*(K_X + \omega)|_S$  is not pseudoeffective and, since  $H^2(\hat{S}, \mathcal{O}_{\hat{S}}) = 0$ , the class is represented by an  $\mathbb{R}$ -divisor. Thus there exists a covering family of curves  $C_t \subset S$  such that

$$(K_X + \omega) \cdot C_t = \pi^*(K_X + \omega)|_S \cdot \hat{C}_t < 0,$$

where  $\hat{C}_t$  denotes the strict transform of  $C_t$  in  $\hat{S}$ . This contradicts our assumption that  $(K_X + \omega) \cdot C \geq 0$  for all curves  $C \subset X$ .  $\square$

### 3.C. The adjoint cone theorem

The goal of this subsection is to prove a cone theorem for the adjoint class  $K_X + \omega$ :

3.6. THEOREM. *Under the Assumption 3.1 there exists a countable family  $(\Gamma_i)_{i \in I}$  of rational curves on  $X$  such that*

$$0 < -(K_X + \omega) \cdot \Gamma_i \leq 4$$

and

$$\overline{NA}(X) = \overline{NA}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I} \mathbb{R}^+[\Gamma_i]$$

The proof of Theorem 3.6 is quite similar to the proof of [HP13, Thm.1.2.]; for sakes of completeness we explain the main steps:

3.7. LEMMA. *Under the Assumption 3.1, let  $C \subset X$  be a curve such that  $(K_X + \omega) \cdot C < 0$  and  $\dim_C \text{Chow}(X) > 0$ .*

*Then there exists a unique surface  $S_j$  from the divisorial Zariski decomposition (5) such that  $C$  and its deformations are contained in the surface  $S_j$ . Moreover we have*

$$(6) \quad K_{S_j} \cdot C < K_X \cdot C.$$

PROOF. Identical to the proof of [HP13, Lemma 5.4], simply replace  $K_X$  by  $K_X + \omega$ .  $\square$

3.8. LEMMA. *Under the Assumption 3.1, let  $S_1, \dots, S_r$  be the surfaces appearing in the divisorial Zariski decomposition (5). Set*

$$b := \max\{1, -(K_X + \omega) \cdot Z \mid Z \text{ a curve s.t. } Z \subset S_{j,\text{sing}} \text{ or } Z \subset S_j \cap S_{j'}, j \neq j'\}.$$

*If  $C \subset X$  is a curve such that*

$$-(K_X + \omega) \cdot C > b,$$

*then we have  $\dim_C \text{Chow}(X) > 0$ .*

In the proof we will use the following deformation property:

3.9. DEFINITION. [HP13, Defn.4.3] Let  $X$  be a normal  $\mathbb{Q}$ -factorial Kähler threefold with at most terminal singularities. We say that a curve  $C$  is very rigid if

$$\dim_{mC} \text{Chow}(X) = 0$$

for all  $m > 0$ .

PROOF OF LEMMA 3.8. Since  $\omega$  is nef, we have  $-K_X \cdot C > b$ . The condition  $b \geq 1$  implies that the curve  $C$  is not very rigid (cf. [HP13, Thm.4.5]). We can now argue exactly as in [HP13, Lemma 5.6] to deduce

$$P_\omega \cdot C \geq 0.$$

Since  $(K_X + \omega) \cdot C < 0$ , the divisorial Zariski decomposition implies that there exists a number  $j \in \{1, \dots, r\}$  such that  $S_j \cdot C < 0$ . In particular we have  $C \subset S_j$ . The class  $\omega$  being nef, we thus obtain

$$K_{S_j} \cdot C < K_X \cdot C < -b.$$

By definition of  $b$ , the curve  $C$  is not contained in the singular locus of  $S_j$ . Let  $\pi_j : \hat{S}_j \rightarrow S_j$  be the composition of normalisation and minimal resolution (cf. Subsection 3.A). Then the strict transform  $\hat{C}$  of  $C$  is well-defined and from (4) we deduce

$$K_{\hat{S}_j} \cdot \hat{C} \leq K_{S_j} \cdot C < -b.$$

Since  $b \geq 1$ , [Kol96, Thm.1.15] yields

$$\dim_{\hat{C}} \text{Chow}(\hat{S}) > 0,$$

so  $\hat{C}$  deforms. Thus its push-forward  $\pi_*\hat{C} = C$  deforms.  $\square$

**3.10. COROLLARY.** *Under the Assumption 3.1, let  $b$  be the constant from Lemma 3.8 and set*

$$d := \max\{3, b\}.$$

*If  $C \subset X$  is a curve such that  $-(K_X + \omega) \cdot C > d$ , we have*

$$[C] = [C_1] + [C_2]$$

*with  $C_1$  and  $C_2$  effective 1-cycles (with integer coefficients) on  $X$ .*

**PROOF.** Since  $\omega$  is nef, we have  $-K_X \cdot C > d$ . Using the Lemmas 3.7 and 3.8, the proof of [HP13, Cor.5.7] applies without changes.  $\square$

**3.11. LEMMA.** *Under the Assumption 3.1, let  $\mathbb{R}^+[\Gamma_i]$  be a  $(K_X + \omega)$ -negative extremal ray in  $\overline{NE}(X)$ , where  $\Gamma_i$  is a curve that is not very rigid (cf. Definition 3.9). Then the following holds:*

- a) *There exists a curve  $C \subset X$  such that  $[C] \in \mathbb{R}^+[\Gamma_i]$  and  $\dim_C \text{Chow}(X) > 0$ .*
- b) *There exists a rational curve  $C \subset X$  such that  $[C] \in \mathbb{R}^+[\Gamma_i]$ .*

**PROOF.** This is completely analogous to [HP13, Lemma 5.8] since the existence of the rational curve  $C \subset X$  such that  $[C] \in \mathbb{R}^+[\Gamma_i]$  is a consequence of [HP13, Lemma 5.5 a)] which contains no assumption on  $K_X$ .  $\square$

Following the strategy of [HP13, Thm.6.2] we first establish the cone theorem for the Mori cone.

**3.12. THEOREM.** *Under the Assumption 3.1, there exists a number  $d \in \mathbb{N}$  and a countable family  $(\Gamma_i)_{i \in I}$  of curves on  $X$  such that*

$$0 < -(K_X + \omega) \cdot \Gamma_i \leq d$$

and

$$\overline{NE}(X) = \overline{NE}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I} \mathbb{R}^+[\Gamma_i].$$

If the ray  $\mathbb{R}^+[\Gamma_i]$  is extremal in  $\overline{NE}(X)$ , there exists a rational curve  $C_i$  on  $X$  such that  $[C_i] \in \mathbb{R}^+[\Gamma_i]$ .

PROOF. Let  $d \in \mathbb{N}$  be the bound from Corollary 3.10. There are only countably many curve classes  $[C] \in \overline{NE}(X)$ , such that

$$0 < -(K_X + \omega) \cdot C \leq d.$$

We choose a representative  $\Gamma_i$  for each such class  $[C]$  and set

$$V := \overline{NE}(X)_{(K_X + \omega) \geq 0} + \sum_{0 < -(K_X + \omega) \cdot \Gamma_i \leq d} \mathbb{R}^+[\Gamma_i].$$

Fix a Kähler class  $\eta$  on  $X$  such that  $\eta \cdot C \geq 1$  for every curve  $C \subset X$

*Step 1* We have  $\overline{NE}(X) = V$ . By [HP13, Lemma 6.1] it is sufficient to prove that  $\overline{NE}(X) = \overline{V}$ , i.e. the class  $[C]$  of every irreducible curve  $C \subset X$  is contained in  $V$ . We will prove the statement by induction on the degree  $l := \eta \cdot C$ . The start of the induction for  $l = 0$  is trivial. Suppose now that we have shown the statement for all curves of degree at most  $l - 1$  and let  $C$  be a curve such that  $l - 1 < \eta \cdot C \leq l$ . If  $-(K_X + \omega) \cdot C \leq d$  we have  $[C] \in V$  by definition. Otherwise there exists by Corollary 3.10 a decomposition

$$[C] = [C_1] + [C_2]$$

with  $C_1$  and  $C_2$  effective 1-cycles (with integer coefficients) on  $X$ . Since  $\eta \cdot C_i \geq 1$  for  $i = 1, 2$  we have  $\eta \cdot C_i \leq l - 1$  for  $i = 1, 2$ . By induction both classes are in  $V$ , so  $[C]$  is in  $V$ .

*Step 2* Every extremal ray contains the class of a rational curve. If the ray  $\mathbb{R}^+[\Gamma_i]$  is extremal in  $\overline{NE}(X)$ , we know by [HP13, Thm.4.5] and Lemma 3.11 that there exists a rational curve  $C_i$  such that  $[C_i]$  is in the extremal ray.  $\square$

We next pass from  $\overline{NE}(X)$  to  $\overline{NA}(X)$  :

3.13. THEOREM. *Under the Assumption 3.1 there exists a number  $d \in \mathbb{N}$  and a countable family  $(\Gamma_i)_{i \in I}$  of curves on  $X$  such that*

$$0 < -(K_X + \omega) \cdot \Gamma_i \leq d$$

and

$$\overline{NA}(X) = \overline{NA}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I} \mathbb{R}^+[\Gamma_i].$$

*If the ray  $\mathbb{R}^+[\Gamma_i]$  is extremal in  $\overline{NA}(X)$ , there exists a rational curve  $C_i$  on  $X$  such that  $[C_i] \in \mathbb{R}^+[\Gamma_i]$ .*

Theorem 3.13 is a consequence of Theorem 3.12 and the following proposition.

3.14. PROPOSITION. *Under the Assumption 3.1, suppose that there exists a  $d \in \mathbb{N}$  and a countable family  $(\Gamma_i)_{i \in I}$  of curves on  $X$  such that*

$$0 < -(K_X + \omega) \cdot \Gamma_i \leq d$$

and

$$\overline{NE}(X) = \overline{NE}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I} \mathbb{R}^+[\Gamma_i].$$

*Then we have*

$$\overline{NA}(X) = \overline{NA}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I} \mathbb{R}^+[\Gamma_i].$$

PROOF. Identical to the proof of [HP13, Prop.6.4]: simply replace  $K_X$  by  $K_X + \omega$  and note that the uniruledness of a surface  $S \subset X$  such that  $(K_X + \omega)|_S$  is not pseudoeffective is proven in Lemma 3.4.  $\square$

Finally, Theorem 3.6 follows from Theorem 3.13 in the same way as [HP13, Thm.1.2] is deduced from [HP13, Thm.6.3].

**3.D. The adjoint contraction theorem**

In this subsection we prove the contraction theorem:

3.15. THEOREM. *Under the Assumption 3.1, let  $\mathbb{R}^+[\Gamma_i]$  be a  $(K_X + \omega)$ -negative extremal ray in  $\overline{NA}(X)$ . Then the contraction of  $\mathbb{R}^+[\Gamma_i]$  exists in the Kähler category.*

For the rest of this subsection we fix  $R := \mathbb{R}^+[\Gamma_{i_0}]$  a  $(K_X + \omega)$ -negative extremal ray in  $\overline{NA}(X)$ .

3.16. DEFINITION. We say that the  $(K_X + \omega)$ -negative extremal ray  $R$  is small if every curve  $C \subset X$  with  $[C] \in R$  is very rigid in the sense of Definition 3.9. Otherwise we say that the extremal ray  $R$  is divisorial.

3.17. REMARK. Notice that, due to Assumption 3.1 and Lemma 3.3, through a general point  $x \in X$  there is no curve  $C$  belonging to  $R$ . Hence the curves belonging to  $R$  cover at most a divisor.

If the extremal ray  $R$  is small, standard arguments show that there are only finitely many curves  $C \subset X$  such that  $[C] \in R$  (cf. [HP13, Rem.7.2]).

If the extremal ray  $R$  is divisorial, we can argue as in [HP13, Lemma 7.5] that there exists a unique surface  $S \subset X$  such that

$$S \cdot R < 0.$$

In particular any curve  $C \subset X$  with  $[C] \in R$  is contained in  $S$ .

The following proposition is a well-known consequence of the cone theorem 3.13, cf. [HP13, Prop.7.3] for details:

3.18. PROPOSITION. *There exists a nef class  $\alpha \in N^1(X)$  such that*

$$R = \{z \in \overline{NA}(X) \mid \alpha \cdot z = 0\},$$

and such that, using the notation of Theorem 3.13, the class  $\alpha$  is strictly positive on

$$\left( \overline{NA}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I, i \neq i_0} \mathbb{R}^+[\Gamma_i] \right) \setminus \{0\}.$$

We call  $\alpha$  a nef supporting class for the extremal ray  $R = \mathbb{R}^+[\Gamma_{i_0}]$ .

In what follows we will use at several places the following theorem, stated in [BCE<sup>+</sup>02, Thm.2.6] for projective manifolds:

**3.19. THEOREM.** *Let  $X$  be a normal compact Kähler space, and let  $\alpha$  be a nef cohomology class on  $X$ . Then there exists an almost holomorphic, dominant meromorphic map  $f : X \dashrightarrow Y$  with connected fibers, such that*

- a)  $\alpha$  is numerically trivial on all compact fibers  $F$  of  $f$  with  $\dim F = \dim X - \dim Y$
- b) for every general point  $x \in X$  and every irreducible curve  $C$  passing through  $x$  with  $\dim f(C) > 0$ , we have  $\alpha \cdot C > 0$ .

*In particular, if two general points of  $X$  can be joined by a chain  $C$  of curves such that  $\alpha \cdot C = 0$ , then  $\alpha \equiv 0$ .*

For the convenience of the reader we sketch how to adapt the proof from [BCE<sup>+</sup>02] to this setting.

**PROOF.** We define that two points  $x, y \in X$  are equivalent if they can be joined by a connected curve  $C$  such that  $\alpha \cdot C = 0$ . By [Cam04, Thm.1.1] there exists an almost holomorphic map  $f : X \dashrightarrow Y$  with connected fibers to a normal compact Kähler space  $Y$  such that two general points  $x$  and  $y$  are equivalent if and only if  $f(x) = f(y)$ . By construction a general  $f$ -fibre  $F_0$  is a normal compact Kähler space such that two general points can be connected by a curve, thus  $F_0$  is algebraic [Cam81, p.212, Cor.]. Hence we can apply [BCE<sup>+</sup>02, Thm.2.4] to see that  $\alpha|_{F_0} = 0$ . In particular for any Kähler form  $\omega$  on  $X$  we have  $\alpha \cdot \omega^{d-1} \cdot F_0 = 0$  where  $d := \dim X - \dim Y$ . Since any compact  $f$ -fibre  $F$  of dimension  $d$  is homologous to some multiple of  $F_0$  and  $\alpha$  is nef we see that  $\alpha|_F = 0$ .  $\square$

**3.20. Notation.** Suppose that the extremal ray  $R = \mathbb{R}^+[\Gamma_{i_0}]$  is divisorial, and let  $S$  be the surface such that  $S \cdot R < 0$  (cf. Remark 3.17). Let  $\nu : \tilde{S} \rightarrow S \subset X$  be the normalisation. By Lemma 3.11(a) there exists a curve  $C \subset X$  such that  $[C] \in R$  and  $\dim_C \text{Chow}(X) > 0$ . Since we have  $S \cdot C < 0$ , the deformations  $(C_t)_{t \in T}$  of  $C$  induce a dominating family  $(\tilde{C}_t)_{t \in T'}$  of  $\tilde{S}$  such that  $\nu^*(\alpha) \cdot \tilde{C}_t = 0$ . The class  $\nu^*(\alpha)$  is a nef class on  $\tilde{S}$  and we may consider the nef reduction

$$\tilde{f} : \tilde{S} \rightarrow \tilde{B}$$



with respect to  $\nu^*(\alpha)$ , cf. Theorem 3.19. By definition of the nef reduction this implies

$$n(\alpha) := \dim \tilde{B} \in \{0, 1\}.$$

3.21. LEMMA.

- a) *Suppose that the extremal ray  $R$  is divisorial and  $n(\alpha) = 0$ . Then the surface  $S$  can be blown down to a point  $p$ : there exists a bimeromorphic morphism  $\varphi : X \rightarrow Y$  to a normal compact threefold  $Y$  with  $\dim \varphi(S) = 0$  such that  $\varphi|_{X \setminus S}$  is an isomorphism onto  $Y \setminus \{p\}$ .*
- b) *Suppose that the extremal ray  $R$  is divisorial and  $n(\alpha) = 1$ . Then there exists a fibration  $f : S \rightarrow B$  onto a curve  $B$  such that a curve  $C \subset S$  is contracted if and only if  $[C] \in R$ . Moreover the surface  $S$  can be contracted onto a curve: there exists a bimeromorphic morphism  $\varphi : X \rightarrow Y$  to a normal compact threefold  $Y$  such that  $\varphi|_S = f$  and  $\varphi|_{X \setminus S}$  is an isomorphism onto  $Y \setminus B$ .*

PROOF. The proof is identical to the proofs of [HP13, Cor.7.7, Lemma 7.8, Cor.7.9] which only use properties of the nef class  $\alpha$  and  $K_X \cdot R < 0$  which holds since  $\omega \cdot R > 0$ .  $\square$

3.22. *Notation.* Suppose that the extremal ray  $R = \mathbb{R}^+[\Gamma_{i_0}]$  is small. Set

$$C := \cup_{C_l \subset X, [C_l] \in R} C_l,$$

then  $C$  is a finite union of curves by Remark 3.17. We say that  $C$  is contractible if there exists a bimeromorphic morphism  $\varphi : X \rightarrow Y$  onto a normal threefold  $Y$  with  $\dim \varphi(C) = 0$  such that  $\varphi|_{X \setminus C}$  is an isomorphism onto  $Y \setminus \varphi(C)$ .

The following statement is a variant of [HP13, Prop.7.11].

3.23. PROPOSITION. *Suppose that the extremal ray  $R = \mathbb{R}^+[\Gamma_i]$  is small. Let  $S \subset X$  be an irreducible surface. Then we have  $\alpha^2 \cdot S > 0$ .*

PROOF. By hypothesis, the cohomology class  $\alpha - (K_X + \omega)$  is positive on the extremal ray  $R$ , moreover we know by Proposition 3.18 that  $\alpha$  is positive on

$$\left( \overline{\text{NA}}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I, i \neq i_0} \mathbb{R}^+[\Gamma_i] \right) \setminus \{0\}.$$

Thus, up to replacing  $\alpha$  by some positive multiple, we may suppose that  $\alpha - (K_X + \omega)$  is positive on  $\overline{\text{NA}}(X) \setminus \{0\}$ . Since  $X$  is a Kähler space, this implies by [HP13, Cor.3.16] that

$$\eta := \alpha - (K_X + \omega)$$

is a Kähler class. Arguing by contradiction we suppose that  $\alpha^2 \cdot S = 0$ .

We first claim that  $(K_X + \omega)|_S$  is not pseudoeffective. If  $\alpha|_S = 0$  this is obvious, so suppose  $\alpha|_S \neq 0$ . Then we have

$$0 = \alpha^2 \cdot S = (K_X + \omega) \cdot \alpha \cdot S + \eta \cdot \alpha \cdot S$$

and

$$\eta \cdot \alpha \cdot S = \eta|_S \cdot \alpha|_S > 0$$

by the Hodge index theorem (note that if  $\pi : S' \rightarrow S$  is a desingularisation, then  $\pi^*(\eta|_S)$  is nef and big and  $\pi^*(\alpha|_S)$  is nef, so the “smooth” Hodge index theorem applies). Thus we have

$$(7) \quad (K_X + \omega) \cdot \alpha \cdot S = (K_X + \omega)|_S \cdot \alpha|_S < 0.$$

In particular  $(K_X + \omega)|_S$  is not pseudoeffective, the class  $\alpha|_S$  being nef.

Since  $(K_X + \omega)|_S$  is not pseudoeffective, we know by Lemma 3.4 that  $S$  is uniruled and one of the surfaces in the Zariski decomposition (5). In particular we cannot have  $\alpha|_S = 0$  since  $S$  contains infinitely many curves (recall that the ray  $R$  is small, hence  $\alpha \cdot C = 0$  can occur only for finitely many curves  $C$ ). Using the decomposition (5) and (7) we obtain  $\alpha \cdot S^2 < 0$ , hence

$$(8) \quad (K_X + \omega + S) \cdot \alpha \cdot S < 0.$$

Let  $\pi : \hat{S} \rightarrow S$  be the composition of the normalisation and the minimal resolution (cf. Subsection 3.A), then (3) and (8) imply that

$$(9) \quad (K_{\hat{S}} + \pi^*\omega|_S) \cdot \pi^*\alpha|_S < 0.$$

Since the surface  $\hat{S}$  is projective, the nef class  $\pi^*\alpha|_S$  is represented by an  $\mathbb{R}$ -divisor. The extremal ray  $R$  contains only the classes of finitely many curves, so  $\pi^*\alpha$  is strictly positive on every movable curve in  $\hat{S}$ .

Fix an ample divisor  $A$  on  $\hat{S}$ . By [Ara10, Thm.1.3] for every  $\varepsilon > 0$  we have a decomposition

$$\pi^*\alpha|_S = C_\varepsilon + \sum \lambda_{i,\varepsilon} M_{i,\varepsilon}$$

where  $\lambda_{i,\varepsilon} \geq 0$ , the  $M_{i,\varepsilon}$  are movable curves and  $(K_{\hat{S}} + \varepsilon A) \cdot C_\varepsilon \geq 0$ . The class  $\pi^*\alpha|_S$  is strictly positive on every movable curve in  $\hat{S}$ , so we have  $\pi^*\alpha|_S \cdot M_{i,\varepsilon} > 0$ . Since  $(\pi^*\alpha|_S)^2 = 0$  and  $\pi^*\alpha|_S \cdot M_{i,\varepsilon} > 0$  we must have  $\pi^*\alpha|_S = C_\varepsilon$  for all  $\varepsilon > 0$ . Passing to the limit we obtain  $K_{\hat{S}} \cdot \pi^*\alpha|_S \geq 0$ , a contradiction to (9).  $\square$

3.24. THEOREM. *Suppose that the extremal ray  $R$  is small. Then  $C$  is contractible.*

PROOF. Let  $\alpha \in N^1(X)$  be the nef class supporting  $R$  as in Proposition 3.18. We claim that the class  $\alpha$  is big, i.e., if  $\pi : X' \rightarrow X$  is a desingularisation then the pull-back  $\pi^*\alpha$  is a big cohomology class. Once we have shown this property, the proof of [HP13, Thm.7.12] applies.

PROOF OF THE CLAIM. By definition of the class  $\alpha$ , the class  $-(K_X + \omega) + \alpha$  is positive on the extremal ray  $R$ . Since  $\alpha$  is strictly positive on

$$\left( \overline{\text{NA}}(X)_{(K_X + \omega) \geq 0} + \sum_{i \in I, i \neq i_0} \mathbb{R}^+[\Gamma_i] \right) \setminus \{0\},$$

we may suppose, up to replacing  $\alpha$  by some positive multiple, that  $-(K_X + \omega) + \alpha$  is strictly positive on this cone. In total,  $-(K_X + \omega) + \alpha$  is strictly positive on  $\overline{\text{NA}}(X) \setminus \{0\}$ . Thus  $-(K_X + \omega) + \alpha$  is a Kähler class by [HP13, Cor.3.16], i.e., we may write

$$\alpha = (K_X + \omega) + \eta,$$

where  $\eta$  is a Kähler class. We know by Lemma 3.3 that  $K_X + \omega$  is pseudoeffective. Thus  $\pi^*\alpha$  is the sum of the pseudoeffective class  $\pi^*(K_X + \omega)$  and the nef and big class  $\pi^*\eta$ , hence it is big.  $\square$

PROOF OF THEOREM 3.15. The existence of a morphism  $\varphi : X \rightarrow Y$  contracting exactly the curves in the extremal ray is established in Lemma 3.21 and in Theorem 3.24. Since  $\omega$  is nef, the extremal ray  $\mathbb{R}^+[\Gamma_i]$  is  $K_X$ -negative. Therefore, applying [HP13, Cor.8.2], it follows that  $Y$  is a Kähler space.  $\square$

### 3.E. Proof of Theorem 1.3

PROOF. *Step 1* Running the MMP. If  $K_X + \omega$  is nef for every normalised Kähler class  $\omega$ , we are finished. Suppose therefore that  $K_X + \omega$  is not nef. Then there exists by Theorem 3.6 a  $(K_X + \omega)$ -negative extremal ray  $R$  in  $\overline{\text{NA}}(X)$ . By Theorem 3.15 the contraction  $\varphi : X \rightarrow Y$  of  $R$  exists in the Kähler category. Note that since  $\omega$  is nef, the canonical class  $K_X$  is negative on the extremal ray  $R$ .

If  $R$  is divisorial we can continue the MMP with  $Y$  by [HP13, Prop.8.1.c)]. If  $R$  is small, we know by Mori's flip theorem [Mor88, Thm.0.4.1] that the flip  $\varphi^+ : X^+ \rightarrow Y$  exists, and by [HP13, Prop.8.1.d)] we can continue the MMP with  $X^+$  (which is again Kähler).

*Step 2* Termination of the MMP. Recall that for a normal compact threefold  $X$  with at most terminal singularities, the difficulty  $d(X)$  [Sho85] is defined by

$$d(X) := \#\{i \mid a_i < 1\},$$

where  $K_Y = \mu^*K_X + \sum a_i E_i$  and  $\mu : Y \rightarrow X$  is any resolution of singularities. Recall that any contraction in our MMP is a  $K_X$ -negative contraction, so by [KMM87, Lemma 5.1.16]<sup>4</sup>, [Sho85] we have  $d(X) > d(X^+)$ , if  $X^+$  is the flip of a small contraction. Since the Picard number and the difficulty are non-negative integers, any MMP terminates after finitely many steps.  $\square$

## 4. The Base-point Free Theorem

We first prove Theorem 1.4, which is the analogue of the base point free theorem in the non-algebraic case.

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<sup>4</sup>The proof is local in a neighbourhood of the flipping locus, so it holds without change in the analytic setting.

#### 4.A. Proof of Theorem 1.4

PROOF. We will use the nef reduction of  $X$  with respect to the cohomology class  $K_X + \omega$ , cf. Theorem 3.19. We denote by  $n(K_X + \omega)$  the dimension of the base of the nef reduction of  $K_X + \omega$  and claim that

$$n(K_X + \omega) = 2.$$

Notice first that the general fibres of the MRC-fibration provide a dominating family of curves which is  $K_X + \omega$ -trivial, so  $n(K_X + \omega) \leq 2$ .

If  $n(K_X + \omega) = 1$  the nef reduction is a holomorphic fibration  $X \rightarrow C$  (cf. [BCE<sup>+</sup>02, 2.4.3]) and  $K_X + \omega$  is numerically trivial on the general fibre by Theorem 3.19. In particular the general fiber is a smooth Fano surface, hence rationally connected, a contradiction to our assumption on the base of the MRC-fibration.

If  $n(K_X + \omega) = 0$ , then  $K_X + \omega \equiv 0$ , hence  $X$  is Fano and rationally connected, again a contradiction.

Let  $Z$  be a resolution of singularities of the unique irreducible component of  $\text{Chow}(X)$  such that the general point corresponds to the general fibre of the MRC-fibration. Let  $\Gamma$  be the normalisation of the pull-back of the universal family and denote by  $p : \Gamma \rightarrow X$  and  $q : \Gamma \rightarrow Z$  the natural morphisms. Since  $\Gamma$  is in Fujiki's class  $\mathcal{C}$ , the surface  $Z$  is in the class  $\mathcal{C}$  by [Var86, Thm. 3]. A smooth surface in the class  $\mathcal{C}$  is Kähler, so  $Z$  is Kähler.

We claim that there exists a big and nef class  $\alpha$  on  $Z$  such that

$$p^*(K_X + \omega) = q^*\alpha.$$

*Step 1 Construction of the class  $\alpha$ .* Set  $\Gamma_z = q^{-1}(z)$  for  $z \in Z$ . Note first that we have  $R^1q_*(\mathcal{O}_\Gamma) = 0$  (the morphism  $q$  is projective, so we can apply [Kol96, II, 2.8.6.2]). Using the exponential sequence this implies  $R^1q_*(\mathbb{Z}) = 0$  and hence  $R^1q_*(\mathbb{R}) = 0$  by the universal coefficient theorem. Now we apply the Leray spectral sequence for  $q$  and the sheaf  $\mathbb{R}$ . By what precedes we have

$$E_2^{0,1} = H^0(Z, R^1q_*(\mathbb{R})) = 0$$

and

$$E_2^{1,1} = H^1(Z, R^1q_*(\mathbb{R})) = 0.$$

Therefore  $E_2^{2,0} = H^2(Z, \mathbb{R})$  embeds into  $H^2(\Gamma, \mathbb{R})$ , and it suffices to show that the section  $s \in E_2^{0,2} = H^0(Z, R^2q_*(\mathbb{R}))$  which is given by the class

$$s(z) = [p^*(K_X + \omega)|_{\Gamma_z}] \in H^2(\Gamma_z, \mathbb{R}),$$

vanishes for every  $z \in Z$ . By definition of a normalised Kähler class we have  $s(z) = 0$  for  $z \in Z$  general. Since  $p^*(K_X + \omega)$  is nef, this implies that the class  $p^*(K_X + \omega)$  is zero on all the irreducible components of any fibre  $\Gamma_z$ . Thus we have  $s(z) = 0$  for all  $z \in Z$  proving the existence of  $\alpha$ . Note that since  $q^*\alpha$  is nef, the class  $\alpha$  is nef [Pău98, Thm.1].

*Step 2* Intersection numbers. Let  $D \subset \Gamma$  be an irreducible component (possibly of dimension 1) of the  $p$ -exceptional locus. Since  $p$  is finite on the fibres of  $q$ , there exists a curve  $C \subset D$  that is contracted by  $p$  and such that  $q(C)$  is not a point. In particular we have

$$\alpha \cdot q(C) = q^*\alpha \cdot C = p^*(K_X + \omega) \cdot C = 0.$$

Since the meromorphic map  $X \dashrightarrow Z$  is almost holomorphic,  $D$  does not surject onto  $Z$ . Thus we have  $q(D) = q(C)$ , and by what precedes we obtain

$$(q^*\alpha)|_D = 0.$$

Note now that,  $\Gamma$  being a modification of a threefold which has a finite singular locus, the singular locus of  $\Gamma$  is a union of curves which are contained in the  $p$ -exceptional locus and finitely many points. Let  $\mu : \hat{X} \rightarrow \Gamma$  be a desingularisation such the exceptional set of  $\hat{p} := p \circ \mu$  has pure codimension one. Set moreover  $\hat{q} := q \circ \mu$ . By what precedes,

$$(10) \quad \hat{q}^*\alpha \cdot \hat{D} = 0 \text{ in } N_1(\hat{X})$$

for every irreducible component  $\hat{D}$  of the  $\hat{p}$ -exceptional locus.

*Step 3* The class  $\alpha$  is big, i.e. we have  $\alpha^2 > 0$ . Since  $\omega$  is a Kähler class, we know that, up to replacing  $\hat{X}$  by some further blowup, there exists an effective  $\mathbb{Q}$ -divisor  $F$  with support in the  $\hat{p}$ -exceptional locus such that

$$\hat{p}^*\omega - F$$

is a Kähler class. Being a Kähler class is an open property, so there exists a Kähler class  $\eta_Z$  on  $Z$  such that

$$\hat{p}^*\omega - F - \hat{q}^*\eta_Z$$

is a Kähler class. Using Păun's theorem [Pău12, Thm.1.1] as in the proof of Lemma 3.3, we conclude that

$$K_{\hat{X}/Z} + \hat{p}^*\omega - F - \hat{q}^*\eta_Z$$

is pseudoeffective. Since  $X$  has terminal singularities,

$$K_{\hat{X}} = \hat{p}^*K_X + E$$

with  $E$  an effective  $\mathbb{Q}$ -divisor supported on the  $\hat{p}$ -exceptional locus. Consider now the decomposition

$$(11) \quad \hat{p}^*(K_X + \omega) = [K_{\hat{X}/Z} + \hat{p}^*\omega - F - \hat{q}^*\eta_Z] - E + F + \hat{q}^*K_Z + \hat{q}^*\eta_Z.$$

We are going to intersect this equation with  $\hat{q}^*(\alpha)$  in order to compute

$$\hat{q}^*\alpha^2 = \hat{q}^*\alpha \cdot \hat{p}^*(K_X + \omega).$$

Since  $\alpha$  is nef, the intersection product

$$\hat{q}^*\alpha \cdot [K_{\hat{X}/Z} + \hat{p}^*\omega - F - \hat{q}^*\eta_Z]$$

is an element of  $\overline{\text{NA}}(\hat{X})$ . By (10) we have  $\hat{q}^*\alpha \cdot (-E + F) = 0$ . The surface  $Z$  is not uniruled since it is the base of the MRC-fibration (cf. Remark 3.2). Thus  $K_Z$  is pseudoeffective, in particular the intersection product  $\hat{q}^*\alpha \cdot \hat{q}^*K_Z$  is an element of  $\overline{\text{NA}}(\hat{X})$ . Recall now that  $\alpha \neq 0$  since  $K_X + \omega \neq 0$ . Since  $\eta_Z$  is a Kähler class and  $\alpha$  is a non-zero nef class, the Hodge index theorem yields  $\eta_Z \cdot \alpha > 0$ . Thus

$$\hat{q}^*\alpha \cdot \hat{q}^*\eta_Z$$

is a non-zero element of  $\overline{\text{NA}}(\hat{X})$ . In total we obtain that

$$\hat{q}^*\alpha^2 = \hat{q}^*\alpha \cdot \hat{p}^*(K_X + \omega)$$

is a non-zero element of  $\overline{\text{NA}}(\hat{X})$ . Thus we have  $\alpha^2 \neq 0$ .

*Step 4* Construction of the fibration  $\varphi$ . Let

$$E := \cup E_j \subset Z$$

be the union of curves  $E_j \subset Z$  such that  $\alpha \cdot E_j = 0$ . Since  $\alpha$  is nef and big, the Hodge index theorem implies that the intersection form on  $E$  is negative definite. In particular  $E$  is a finite set. By Grauert's criterion there exists a bimeromorphic morphism  $\nu : Z \rightarrow S$  such that  $E$  equals the  $\nu$ -exceptional locus. Since  $Z$  is a Kähler surface and  $\nu$  contracts only subvarieties onto points, the surface  $S$  is Kähler. In fact, take any Kähler form  $\omega$  on  $Z$ . Then the class of the Kähler current  $\nu_*(\omega)$  contains a Kähler form by [DP04, Prop.3.3(iii)].

We claim that the fibration  $\nu \circ q : \Gamma \rightarrow S$  factors through the bimeromorphic map  $p$ , i.e., there exists a holomorphic fibration  $\varphi : X \rightarrow S$  such that  $\nu \circ q = \varphi \circ p$ . By the rigidity lemma [BS95, Lemma 4.1.13] it is sufficient to prove that every  $p$ -fibre is contracted by  $\nu \circ q$ . Since  $p$  is a Moishezon morphism, it moreover suffices to show that every curve  $C \subset \Gamma$  such that  $p(C)$  is a point is contracted by  $\nu \circ q$ . Yet for such a curve  $C$  we have

$$q^* \alpha \cdot C = p^*(K_X + \omega) \cdot C = 0.$$

It follows that  $q(C) \subset E$ , hence  $q(C)$  is a point. This shows the existence of the fibration  $\varphi$ ; by construction the class  $K_X + \omega$  is  $\varphi$ -trivial.  $\square$

#### 4.B. MMP for uniruled Kähler threefolds

Recall that in our context a normal Kähler space  $X$  is  $\mathbb{Q}$ -factorial if every Weil divisor  $D \subset X$  is  $\mathbb{Q}$ -Cartier and some reflexive power  $\omega_X^{[m]}$  of the dualising sheaf  $\omega_X$  is locally free.

**4.1. LEMMA.** *Let  $X$  be a normal  $\mathbb{Q}$ -factorial compact Kähler threefold with at most terminal singularities. Let  $\varphi : X \rightarrow S$  be an elementary Mori contraction onto a normal compact surface, i.e.,  $\rho(X/S) = 1$  and  $-K_X$  is  $\varphi$ -ample.*

*Then  $S$  is  $\mathbb{Q}$ -factorial and has at most klt singularities.*

**4.2. REMARK.** In the situation above, the fibration  $\varphi$  is equidimensional since an elementary contraction of fibre type does not contract a divisor. For a point  $s \in S$  denote by  $X_s$  the fibre over  $s$ , and let  $A \subset S$



be the set of all  $s$  such that the fiber  $X_s$  is singular at some point  $x_0$  and such that  $X$  is not smooth at  $x_0$ . Then  $A$  is finite, set  $S_0 = S \setminus A$  and  $X_0 = X \setminus \varphi^{-1}(A)$ . The fiber space  $f_0 : X_0 \rightarrow S_0$  is a conic bundle. The sheaf  $f_*(\omega_{X/S})$  is reflexive, but might have singularities on  $A$ , so that  $f$  might globally not be a conic bundle. However,  $H^1(X_s, \mathcal{O}_{X_s}) = 0$ , in particular, every irreducible component of any fiber  $X_s$  is a smooth rational curve.

PROOF OF LEMMA 4.1. Arguing as in [KMM87, 5-1-5], every Weil divisor  $D \subset S$  is  $\mathbb{Q}$ -Cartier.

In order to see that  $S$  has at most klt singularities we proceed as in the algebraic case. The claim is local on the base  $S$ , so given a point  $0 \in S_{\text{sing}}$  we fix a small analytic neighbourhood  $0 \in U \subset S$ . Since  $X$  is smooth in codimension two and, by Remark 4.2 the projective morphism  $\varphi$  is a conic bundle in the complement of the fibre  $X_0$ , there exists a smooth analytic subvariety  $H \subset \varphi^{-1}(U)$  such that  $H \rightarrow U$  is finite and étale in codimension one. By [KM98, Prop.5.20] the surface  $U$  has at most klt singularities. In particular some reflexive power  $\omega_X^{[m]}$  of the dualising sheaf  $\omega_X$  is locally free.  $\square$

PROOF OF THEOREM 1.1. By Theorem 1.3, there exists a MMP  $X \dashrightarrow X'$  such that  $K_{X'} + \omega'$  is nef for all normalised Kähler classes  $\omega'$  on  $X'$ . Fix such a Kähler class  $\omega'$ . Then apply the base point free theorem 1.4 to the variety  $X'$  to obtain a fibration  $\varphi : X' \rightarrow S'$  onto a surface  $S'$  such that  $-K_{X'}$  is  $\varphi$ -ample. In particular  $\varphi$  is a projective morphism. Thus we can run the MMP of  $X'$  over  $S'$  using the relative version of the cone and contraction theorem as in [Nak87, Sect.4], [KM98, Sect.3.6]. As in the proof of Theorem 1.3 we can use the Picard number  $\rho(X')$  and the difficulty  $d(X')$  to show that the MMP terminates. Since  $K_{X'}$  is not pseudoeffective over  $S'$ , the outcome of the MMP

$$X' \dashrightarrow X''$$

is a Mori fibre space  $X'' \rightarrow S''$  over  $S'$ , with  $S''$  a normal compact complex surface that dominates  $S'$ . Since  $S'$  is Kähler, and the bimeromorphic morphism  $S'' \rightarrow S'$  is projective (we can always find an anti-effective exceptional divisor that is relatively ample), the surface  $S''$  is Kähler. The properties of  $S''$  are proven in Lemma 4.1.  $\square$

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