

## *The Maximal Number of Singular Points on Log del Pezzo Surfaces*

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**Abstract.** We prove that a del Pezzo surface with Picard number one has at most four singular points.

### 1. Introduction

A *log del Pezzo surface* is a projective algebraic surface  $X$  with only quotient singularities and ample anticanonical divisor  $-K_X$ .

Del Pezzo surfaces naturally appear in the log minimal model program (see, e. g., [7]). The most interesting class of del Pezzo surfaces is the class of surfaces with Picard number 1. It is known that a log del Pezzo surface of Picard number one has at most five singular points (see [8]). In [1] the author proved there is no log del Pezzo surfaces of Picard number one with five singular points. In this paper we give another, simpler proof.

**THEOREM 1.1.** *Let  $X$  be a log del Pezzo surface and Picard number is 1. Then  $X$  has at most four singular points.*

Recall that a normal complex projective surface is called a *rational homology projective plane* if it has the same Betti numbers as the projective plane  $\mathbb{P}^2$ . J. Kollár [9] posed the problem to classify rational homology  $\mathbb{P}^2$ 's with quotient singularities having five singular points. In [4] this problem is solved for the case of numerically effective  $K_X$ . Our main theorem solves Kollár's problem in the case where  $-K_X$  is ample.

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## 2. Preliminary Results

We work over complex number field  $\mathbb{C}$ . We employ the following notation:

- $(-n)$ -curve is a smooth rational curve with self intersection number  $-n$ .
- $K_X$ : the canonical divisor on  $X$ .
- $\rho(X)$ : the Picard number of  $X$ .

**THEOREM 2.1** (see [8, Corollary 9.2]). *Let  $X$  be a rational surface with log terminal singularities and  $\rho(X) = 1$ . Then*

$$(*) \quad \sum_{P \in X} \frac{m_P - 1}{m_P} \leq 3,$$

where  $m_P$  is the order of the local fundamental group  $\pi_1(U_P - \{P\})$  ( $U_P$  is a sufficiently small neighborhood of  $P$ ).

So, every rational surface  $X$  with log terminal singularities and Picard number one has at most six singular points. Assume that  $X$  has exactly six singular points. Then by (\*) all singularities are Du Val. This contradicts the classification of del Pezzo surfaces with Du Val singularities (see, e. g., [3], [10]).

**2.2.** Thus to prove Theorem 1.1 it is sufficient to show that there is no log del Pezzo surfaces with five singular points and Picard number one. Assume the contrary: there is log del Pezzo surfaces with five singular points and Picard number one. Let  $P_1, \dots, P_5 \in X$  be singular points and  $U_{P_i} \ni P_i$  small analytic neighborhood. By Theorem 2.1 the collection of orders of groups  $\pi_1(U_{P_1} - P_1), \dots, \pi_1(U_{P_5} - P_5)$  up to permutations is one of the following:

**2.2.1.**  $(2, 2, 3, 3, 3), (2, 2, 2, 4, 4), (2, 2, 2, 3, n'), n' = 3, 4, 5, 6,$

**2.2.2.**  $(2, 2, 2, 2, n'), n' \geq 2.$

REMARK 2.3. According to the classification of del Pezzo surfaces with Du Val singularities we may assume that there is a non-Du Val singular point. The case 2.2.1 is discussed in [4, Remark 4.2 and Section 6]. Thus it is sufficient to consider case 2.2.2.

**2.4. Notation and assumptions.** Let  $X$  be a del Pezzo surface with log terminal singularities and Picard number  $\rho(X) = 1$ . We assume that we are in case 2.2.2, i. e. the singular locus of  $X$  consists of four points  $P_1, P_2, P_3, P_4$  of type  $A_1$  and one non Du Val singular point  $P_5$  with  $|\pi_1(U_{P_5} - P_5)| = n' \geq 3$ . Let  $\pi: \bar{X} \rightarrow X$  be the minimal resolution and let  $D = \sum_{i=1}^n D_i$  be the reduced exceptional divisor, where the  $D_i$  are irreducible components. Then there exists a uniquely defined effective  $\mathbb{Q}$ -divisor  $D^\sharp = \sum_{i=1}^n \alpha_i D_i$  such that  $\pi^*(K_X) \equiv D^\sharp + K_{\bar{X}}$ .

LEMMA 2.5 (see, e. g., [13, Lemma 1.5]). *Under the condition of 2.4, let  $\Phi: \bar{X} \rightarrow \mathbb{P}^1$  be a generically  $\mathbb{P}^1$ -fibration. Let  $m$  be the number of irreducible components of  $D$  not contained in any fiber of  $\Phi$  and let  $d_f$  be the number of  $(-1)$ -curves contained in a fiber  $f$ . Then*

- (1)  $m = 1 + \sum_f (d_f - 1)$ , where  $f$  run only over the fibres with  $d_f \geq 1$ .
- (2) If  $d_f = 1$  and  $E$  is the only  $(-1)$ -curve in  $f$ , then its coefficient in  $D$  is at least two.

The following lemma is a consequence of the Cone Theorem.

LEMMA 2.6 (see, e. g., [13, Lemma 1.3]). *Under the condition of 2.4, every curve on  $\bar{X}$  with negative selfintersection number is either  $(-1)$ -curve or a component of  $D$ .*

DEFINITION 2.7. Let  $(Y, D)$  be a projective log surface.  $(Y, D)$  is called the *weak log del Pezzo surface* if the pair  $(Y, D)$  is klt and the divisor  $-(K_Y + D)$  is nef and big.

For example, in the above notation,  $(\bar{X}, D^\sharp)$  is a weak del Pezzo surface. Note that if  $(Y, D)$  is a weak log del Pezzo surface with  $\rho(Y) = 1$  then divisor  $-(K_Y + D) = A$  is ample and  $Y$  has only log terminal singularities. Hence,  $Y$  is a log del Pezzo surface.

LEMMA 2.8 (see, e. g., [1, Lemma 2.9]). *Suppose  $(Y, D)$  is a weak log del Pezzo surface. Let  $f: Y \rightarrow Y'$  be a birational contraction. Then  $(Y', D' = f_*D)$  is also a weak log del Pezzo surface.*

### 3. Proof of the Main Theorem: The Case where $X$ has Cyclic Quotient Singularities

In this section we assume that  $X$  has only cyclic quotient singularities.

The following lemma is very similar to that in [5]. For the convenience of the reader we give a complete proof.

LEMMA 3.1. *Under the condition of 2.4, suppose that  $P_5$  is a cyclic quotient singularity. Then there exists a generically  $\mathbb{P}^1$ -fibration  $\Phi: \tilde{X} \rightarrow \mathbb{P}^1$  such that  $f \cdot D \leq 2$ , where  $f$  is a fiber of  $\Phi$ .*

PROOF. Let  $\nu: \hat{X} \rightarrow X$  be the minimal resolution of the non Du Val singularity and let  $E = \sum E_i$  be the exceptional divisor. By [12, Corollary 1.3] or [8, Lemma 10.4] we have  $|-K_X| \neq \emptyset$ . Take  $B \in |-K_X|$ . Then we can write

$$K_{\hat{X}} + \hat{B} = \nu^*(K_X + B) \sim 0,$$

where  $\hat{B}$  is an effective integral divisor. We obviously have  $\hat{B} \geq E$ .

Run the MMP on  $\hat{X}$ . We obtain a birational morphism  $\phi: \hat{X} \rightarrow \tilde{X}$  such that  $\tilde{X}$  has only Du Val singularities and either  $\rho(\tilde{X}) = 2$  and there is a generically  $\mathbb{P}^1$ -fibration  $\psi: \tilde{X} \rightarrow \mathbb{P}^1$  or  $\rho(\tilde{X}) = 1$ . Moreover,  $\phi$  is a composition

$$\hat{X} = X_1 \xrightarrow{\phi_1} X_2 \xrightarrow{\phi_2} \dots \xrightarrow{\phi_n} X_{n+1} = \tilde{X},$$

where  $\phi_i$  is a weighted blowup of a smooth point of  $X_{i+1}$  with weights  $(1, n_i)$  (see [11]).

Assume that  $\rho(\tilde{X}) = 1$ , then every singular point on  $\tilde{X}$  is of type  $A_1$ . By the classification of del Pezzo surfaces with Du Val singularities and Picard number one (see, e. g., [3], [10]) we have  $\tilde{X} = \mathbb{P}^2$  or  $\tilde{X} = \mathbb{P}(1, 1, 2)$ .

Assume that  $\rho(\tilde{X}) = 1$  and  $\tilde{X} = \mathbb{P}(1, 1, 2)$ . Note that  $\phi$  contracts  $\rho(\hat{X}) - 1 = \#E$  curves, where  $\#E$  number of irreducible component of  $E$ . Since  $\phi_*(\hat{B})$  has at most two components and  $\hat{B} \geq E$ , we see that  $\phi$  contracts at most two curves  $K_1$  and  $K_2$  that are not components of  $E$ .

Since  $X$  has four singular points of type  $A_1$ , we see that  $\tilde{X}$  has at least two singular points, a contradiction.

Assume that  $\rho(\tilde{X}) = 1$  and  $\tilde{X} = \mathbb{P}^2$ . Since  $\phi_*(\hat{B})$  has at most three components, as above, we see that  $\phi$  contracts at most three curves  $K_1, K_2$  and  $K_3$  that are not components of  $E$ . Since  $X$  has four singular points of type  $A_1$ , we see that  $\tilde{X}$  has at least one singular point, a contradiction.

Therefore,  $\rho(\tilde{X}) = 2$  and there is a generically  $\mathbb{P}^1$ -fibration  $\psi : \tilde{X} \rightarrow \mathbb{P}^1$ . Let  $g : \tilde{X} \rightarrow \hat{X}$  be the minimal resolution of  $\hat{X}$ . Let  $\Phi' = \psi \circ \phi$  and let  $f'$  be a fiber of  $\Phi'$ . Then  $f' \cdot E \leq f' \cdot \hat{B} = -K_{\hat{X}} \cdot f' = 2$ . Set  $\Phi = \Phi' \circ g$ .  $\square$

**3.2.** Let  $f$  be a fiber of  $\Phi$ . By Lemma 3.1 we have the following cases:

**3.2.1.**  $f$  meets exactly one irreducible component  $D_0$  of  $D$  and  $f \cdot D_0 = 1$ .

Let  $L$  be a singular fiber of  $\Phi$ . By Lemma 2.5 (1) the fiber  $L$  contains exactly one  $(-1)$ -curve  $F$ . By Lemma 2.5 (2)  $F$  does not meet  $D_0$ . Then  $F$  meets at most two components of  $D$ . Blowup one of the intersection points of  $F$  and  $D$ . We obtain a surface  $Y$ . Let  $h : Y \rightarrow Y'$  be a contraction of all curves with selfintersection number at most  $-2$ . Note that  $Y'$  has only log terminal singularities but not of type 2.2.2, a contradiction.

**3.2.2.**  $f$  meets exactly two irreducible components  $D_1, D_2$  of  $D$  and  $D_1 \cdot f = D_2 \cdot f = 1$ .

By Lemma 2.5 (1) there exists a unique singular fiber  $L$  such that  $L$  has two  $(-1)$ -curves  $F_1$  and  $F_2$ . Note that one of these curves, say  $F_1$ , meets  $D$  at one or two points. Blowup one the intersection points of  $F_1$  and  $D$ . We obtain a surface  $Y$ . Let  $h : Y \rightarrow Y'$  be a contraction of all curves with selfintersection number at most  $-2$ . Note that  $Y'$  has only log terminal singularities but not of type 2.2.2, a contradiction.

**3.2.3.**  $f$  meets exactly one irreducible component  $D_0$  of  $D$  and  $f \cdot D_0 = 2$ . Let  $A$  be a connected component of  $D$  containing  $D_0$ .

By Lemma 2.5 (1) every singular fiber of  $\Phi$  contains exactly one  $(-1)$ -curve. Note that every singular fiber of  $\Phi$  either contains two connected components of  $A - D_0$  or the coefficient of a unique  $(-1)$ -curve in this fiber is equal to two. If a singular fiber  $L$  contains exactly one  $(-1)$ -curve with coefficient two, then the dual graph of  $L$  is the following:

$$(**) \quad \begin{array}{c} -2 \quad \text{---} \quad -1 \quad \text{---} \quad -2 \\ \circ \quad \quad \quad \circ \quad \quad \quad \circ \end{array}$$

Since  $X$  has five singular points with orders of local fundamental groups  $(2, 2, 2, 2, n)$ , we see that  $\Phi$  has two singular fibers  $L_1, L_2$  of type  $(**)$  and possibly one more singular fiber  $L_3$ . Note that  $L_3$  contains both connected component of  $A - D_0$ . Let  $\mu : \bar{X} \rightarrow \mathbb{F}_n$  be the contraction of all  $(-1)$ -curves in fibers of  $\Phi$ , where  $\mathbb{F}_n$  is the Hirzebruch surface of degree  $n$  (rational ruled surface) and  $n = 0, 1$ . Denote  $\tilde{D}_0 := \mu_* D_0$ . Note that  $\tilde{D}_0 \sim 2M + kf$ , where  $M^2 = -n$  and  $M \cdot f = 1$ . Since we contract at most five curves that meet  $D_0$ , and  $D_0^2 \leq -2$ , we see that  $0 < \tilde{D}_0^2 \leq 3$ . Hence,  $0 < -4n + 4k \leq 3$ . This is impossible, a contradiction.

**4. Proof of the Main Theorem: The Case where  $X$  has a Non-Cyclic Quotient Singularity**

Under the condition of 2.4, assume  $X$  has a non-cyclic singular point, say  $P$ . Then there is a unique component  $D_0$  of  $D$  such that  $D_0 \cdot (D - D_0) = 3$  (see [2]).

LEMMA 4.1. *There is a generically  $\mathbb{P}^1$ -fibration  $\Phi : \bar{X} \rightarrow \mathbb{P}^1$  such that  $\Phi$  has a unique section  $D_0$  in  $D$  and  $D_0 \cdot f \leq 3$ , where  $f$  is a fiber of  $\Phi$ .*

PROOF. Recall that  $P$  is not Du Val. Let  $h : \bar{X} \rightarrow \hat{X}$  be a contraction of all curves in  $D$  except  $D_0$ . Let  $\hat{D}_0 = h_*(D_0)$  then  $\hat{X}$  has seven singular points,  $\rho(\hat{X}) = 2$  and there is  $\nu : \hat{X} \rightarrow X$  such that  $K_{\hat{X}} + a\hat{D}_0 = \nu^* K_X$ . Note that  $(\hat{X}, a\hat{D}_0)$  is a weak log del Pezzo. Let  $R$  be the extremal rational curve different from  $\hat{D}$ . Let  $\phi : \hat{X} \rightarrow \tilde{X}$  be the contraction of  $R$ .

**4.2.** There are two cases:

**4.2.1.**  $\rho(\tilde{X}) = 1$ . Then, by Lemma 2.8,  $\tilde{X}$  is a del Pezzo surface. If the number of singular points of  $\hat{X}$  on  $R$  is at most two,  $\tilde{X}$  has at least five singular points and all points are cyclic quotients. Thus assume that there is at least three singular points of  $\hat{X}$  on  $R$ , say  $P_1, P_2, P_3$ . Let  $R_1 = \sum_i R_{1i}$ ,  $R_2 = \sum_i R_{2i}$  and  $R_3 = \sum_i R_{3i}$  be the exceptional divisors on  $\bar{X}$  over  $P_1, P_2$  and  $P_3$ , respectively. Let  $\bar{R}$  is the proper transformation of  $R$  on  $\bar{X}$ . Since  $\bar{R}$  is not component of  $D$ , we see that  $\bar{R}^2 \geq -1$ . Indeed, this follows from Lemma 2.6. Note that matrix of intersection of component  $\bar{R} + R_1 + R_2 + R_3$  is not negative definite. Hence,  $\bar{R} + E_1 + E_2 + E_3$  can not be contracted, a contradiction.

**4.2.2.**  $\tilde{X} = \mathbb{P}^1$ . Let  $g : \tilde{X} \rightarrow \hat{X}$  be the resolution of singularities. Then  $\Phi = \phi \circ g : \tilde{X} \rightarrow \mathbb{P}^1$ . Note that there is a unique horizontal curve  $D_0$  in  $D$ . Let  $f$  be a fiber of  $\Phi$ . Denote coefficient of  $D_0$  in  $D^\sharp$  by  $\alpha$ . Then

$$0 > (K_{\tilde{X}} + D^\sharp) \cdot f = -2 + \alpha(D_0 \cdot f).$$

Hence,  $D_0 \cdot f < \frac{2}{\alpha}$ . Since  $P$  is not Du Val, we see that  $\alpha \geq \frac{1}{2}$ . Hence,  $D_0 \cdot f \leq 3$ .  $\square$

By Lemma 2.5 (1) every singular fiber of  $\Phi$  contains exactly one  $(-1)$ -curve. Let  $B$  be the exceptional divisor corresponding to the non-cyclic singular point. Note that  $B$  contains  $D_0$ .

**4.3.** Consider the following three cases.

**4.3.1.**  $D_0 \cdot f = 1$ . Then every singular fiber of  $\Phi$  contains exactly one connected component of  $B - D_0$ . On the other hand,  $B - D_0$  contains three connected components. Hence  $X$  has at most four singular points, a contradiction.

**4.3.2.**  $D_0 \cdot f = 2$ . Let  $F_1, F_2, F_3$  be a connected components of  $B - D_0$ . We may assume  $F_1$  is  $(-2)$ -curve (see [2]). Let  $L_1$  be a singular fiber of  $\Phi$ . Assume that  $L_1$  contains  $F_1$ . Then  $L_1$  is of type  $(**)$  and  $L_1$  contain  $F_2$ . Hence,  $F_2$  is a  $(-2)$ -curve. Let  $L_2$  be a singular fiber of  $\Phi$ . Assume that  $L_2$  contains  $F_3$  and let  $E$  be a unique  $(-1)$ -curve in  $L_2$ . By blowing up the intersection point of  $E$  and  $F_3$ , we obtain a surface  $Y$ . Let  $h : Y \rightarrow Y'$  be a contraction of all curves with selfintersection number at most  $-2$ . Note that  $Y'$  has only log terminal singularities but not of type 2.2.2, a contradiction.

**4.3.3.**  $D_0 \cdot f = 3$ . Since every component of  $D - B$  is a  $(-2)$ -curve, we see that every singular fiber of  $\Phi$  contains a connected component of  $B - D_0$ . Note that  $B - D_0$  contains three connected components. Hence  $X$  has at most four singular points, a contradiction.

This completes the proof of Theorem 1.1.

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