

## *Continuity of Topological Entropy of One Dimensional Maps with Degenerate Critical Points*

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**Abstract.** One dimensional maps with degenerate critical points are studied and we give a larger class of  $\mathcal{C}^r$  functions whose topological entropy is continuous.

### §Introduction

There are many studies of topological entropy of one dimensional maps. M. Misiurewicz and W. Szlenk [7] showed that topological entropy is lower semi-continuous for piecewise monotone  $\mathcal{C}^0$  maps with  $\mathcal{C}^0$  topology. Moreover, if none of the critical points of a  $\mathcal{C}^1$  map are degenerate, its entropy is continuous with  $\mathcal{C}^1$  topology [4] [7]. But when critical points are degenerate, there is a counter example of  $\mathcal{C}^r$  ( $r \geq 1$ ) functions whose topological entropy is not continuous [7].

Our aim in this paper is to show the continuity of topological entropy for maps with finitely degenerate critical points. In this paper  $I$  means an interval  $[0, 1]$ .

**THEOREM.** *Let  $r \geq 2$  and  $\mathcal{F}^r$  be a set of  $\mathcal{C}^r$  functions which have non zero  $k$ -th derivative and  $k$  is smaller than or equal to  $r$  at every point in  $I$ , i.e.*

$$\mathcal{F}^r = \{f \in \mathcal{C}^r(I, I); \forall x \in I, 1 < \exists k \leq r \text{ such that } f^{(k)}(x) \neq 0\}.$$

*Then the topological entropy is continuous in  $\mathcal{F}^r$ .*

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Considering the counter example by Misiurewicz and Szlenk [7],  $\mathcal{F}^r$  is the widest class whose topological entropy is continuous.

To study topological entropy of one dimensional maps, the kneading theory due to J. Milnor and W. Thurston [4] is useful. But when degeneration occurs, we can not apply this theory directly because the lap number changes. There are essentially two types of degeneration and every degeneration is written by a combination of these two types. One is a degeneration of critical points into one critical point, and the other is that into a saddle. We improve the kneading theory to be applied to the functions with degenerate critical points and show that the topological entropy is continuous in  $\mathcal{F}^r$ .

## §0. Preliminaries

Here we give fundamental theorems and definitions about the kneading theory. See [3] or [4] for proofs and details.

In this paper,  $I$  denotes the closed interval  $[0, 1]$ . We name the critical points of  $f$   $c_1, \dots, c_{l-1}$  and  $c_0 = 0, c_l = 1$ .

**DEFINITION 0.1.** Let  $f : I \rightarrow I$  be continuous, piecewise monotone. A **lap** of  $f$  is a maximal interval on which  $f$  is monotonous, the **lap number**  $l$  of  $f$  is the number of laps of  $f$ , i.e.,

$$l(f) = \#\{J \subset I; J \text{ is a maximal interval on which } f \text{ is monotonous}\}$$

and the **growth rate** of  $f$  is defined by

$$s(f) = \lim_{N \rightarrow \infty} l^{\frac{1}{N}}(f^N)$$

where  $f^N = f \circ f^{N-1}$  and  $f^0 = \text{id}$ , the identity map.

When the lap number of  $f$  is  $l$ , we use  $I_1, \dots, I_l$  to denote laps of  $f$ .

**THEOREM 0.2** (Misiurewicz and Szlenk [7]). *The topological entropy  $h(f)$  of  $f$  is equal to  $\log(s(f))$ .*

DEFINITION 0.3. Let  $\epsilon_0(x) = 1$  and  $\epsilon_n(x) = \epsilon(x) \times \cdots \times \epsilon(f^{n-1}(x))$  where  $\epsilon(x)$  is the sign of  $x$ , i.e.,

$$\epsilon(x) = \begin{cases} 1 & \text{if } x \in I_i \text{ and } f \text{ is increasing on } I_i \\ -1 & \text{if } x \in I_i \text{ and } f \text{ is decreasing on } I_i \\ 0 & \text{if } x \in \{c_1, \dots, c_{l-1}\}. \end{cases}$$

The **invariant coordinate** of  $x \in I$  is a formal power series

$$\theta^i(x; t) = a_0 + a_1 t + a_2 t^2 + \cdots$$

and  $a_k$  is defined as the sign

$$a_k = \begin{cases} 1 & f^k(x) \in \text{Int}I_i \text{ and } \epsilon_k(x) = 1 \\ -1 & f^k(x) \in \text{Int}I_i \text{ and } \epsilon_k(x) = -1 \\ \frac{1}{2} & f^k(x) = c_{i-1} \text{ or } c_i \text{ and } \epsilon_k(x) = 1 \\ -\frac{1}{2} & f^k(x) = c_{i-1} \text{ or } c_i \text{ and } \epsilon_k(x) = -1 \\ 0 & \text{otherwise} \end{cases}$$

and

$$\theta(x; t) = (\theta^1(x; t), \dots, \theta^l(x; t)).$$

Thus in particular,  $\theta(c_i) = (0, \dots, 0, \frac{1}{2}, \frac{1}{2}, 0, \dots, 0)$ .

DEFINITION 0.4. The **kneading matrix**  $[N_i^j(t; f)]_{\substack{1 \leq i \leq l-1 \\ 1 \leq j \leq l}}$  of  $f$  is defined by

$$N_i^j(t; f) = \theta^j(c_i^+; t) - \theta^j(c_i^-; t)$$

where

$$\theta^j(c_i^+; t) = \lim_{x \rightarrow c_i^+} \theta^j(x; t)$$

$$\theta^j(c_i^-; t) = \lim_{x \rightarrow c_i^-} \theta^j(x; t) \text{ with formal power series topology.}$$

From the previous definition, every coefficient of  $N_i^j(t)$ 's is in  $\{0, \pm 1, \pm 2\}$ , and we define the **kneading increment**  $\nu_i$  by

$$\nu_i = N_i^1 I_1 + \cdots + N_i^l I_l \quad i = 1, 2, \dots, l-1.$$

We also use  $l$ -dimensional vector  $N_i$  as

$$N_i = (N_i^1, \dots, N_i^l).$$

LEMMA 0.5.

$$\begin{aligned}\theta^j(c_i^+; t) &= \theta^j(c_i; t) + \frac{1}{2}N_i^j(t) \\ \theta^j(c_i^-; t) &= \theta^j(c_i; t) - \frac{1}{2}N_i^j(t).\end{aligned}$$

LEMMA 0.6 [3, Chp. II, Lemma 8.1]. *For every  $x$  in  $I$  we have*

$$\sum_{k=1}^{l+1} (1 - \epsilon(I_k)t)\theta^k(x; t) = 1$$

where  $\epsilon(I_k) = 1$  when  $f|_{I_k}$  is increasing and where  $\epsilon(I_k) = -1$  when  $f|_{I_k}$  is decreasing.

LEMMA 0.7. *Let  $D_j(t)$  be the determinant of the matrix obtained from the kneading matrix deleting the  $j$ -th column, and  $\epsilon_j = \epsilon(I_j)$ . Then  $D_f(t) = (-1)^{j+1} \frac{D_j(t)}{1 - \epsilon_j t}$   $j = 1, \dots, l$  is independent of  $j$ . Furthermore,  $D_f(t)$  satisfies the following conditions:*

- 1)  $D_f(t)$  is holomorphic on the unit disk in  $\mathbb{C}$ ,
- 2)  $D_f(0) = 1$ .

We call  $D_f$  in Lemma 0.7 the **kneading determinant of  $f$** .

LEMMA 0.8. *Let  $s$  be the growth rate of  $f$ . Then  $D_f(t) \neq 0$  for every  $t$  satisfying  $|t| < \frac{1}{s}$ , and  $t = \frac{1}{s}$  is a zero of  $D_f(t)$ .*

Now let us define the degeneration of critical points.

DEFINITION 0.9. Let  $f$  be a  $\mathcal{C}^r$  ( $r \geq 2$ ) function on  $I$ . We say that a point  $x$  on  $I$  is a  **$k$ -degenerate point** if there is an integer  $k$  larger than 1 and smaller than  $r$  such that  $x$  satisfies

$$f'(x) = \dots = f^{(k)}(x) = 0, f^{(k+1)}(x) \neq 0$$

and we call  $x$  a **non-degenerate critical point** if  $k = 1$ .

Our aim is to show the continuity of the topological entropy for maps with  $k$ -degenerate points. To avoid an infinite degeneration of the critical points, we restrict a class of  $\mathcal{C}^r$  maps to those which have  $k$ -degenerate points and  $k$  is smaller than or equal to  $r$ . This class is endowed with  $\mathcal{C}^r$  topology and denoted by  $\mathcal{F}^r$  in this paper. (In particular,  $\mathcal{F}^r$  consists of piecewise monotone maps.)

Note that all the critical points of  $f$  in  $\mathcal{F}^r$  are isolated. Otherwise, there is a sequence  $c_1, \dots, c_n, \dots$  converges to  $c$  such that  $f'(c_i) = 0$  holds for every  $i = 1, 2, \dots, n, \dots$  and  $f'(c) = 0$ . So by the mean value theorem, for every interval  $]c_i, c_{i+1}[$ , there is  $d_i$  satisfying  $f''(d_i) = 0$ . Repeating these operations for  $f^{(k)}$ , we conclude  $f^{(r)}(x) = 0$ , and this is a contradiction.

**PROPOSITION 0.10.** *Every critical point of  $f$  in  $\mathcal{F}^r$  splits at most  $r - 1$  critical points by small  $\mathcal{C}^r$ -perturbation.*

**PROOF.** First we note that if there is an open subinterval  $J$  of  $I$  satisfying that  $f'(x) = 0$  for every  $x$  in  $J$  then  $f^{(k)}(x) = 0$  also holds for every  $x$  in  $J$ . So we assume that every critical point of  $f$  is isolated. Thus it suffice to show that if  $c$  in  $I$ , a neighbourhood  $U$  of  $c$  in  $I$ , and some constant  $A$  satisfy  $f'(c) = \dots = f^{(k)}(c) = 0$ ,  $|f^{(k+1)}(x)| > A \neq 0$  for every  $x$  in  $U$  and  $f'(x) \neq 0$  in  $U$  then there is a neighbourhood  $N$  of  $f$  in  $\mathcal{F}^r$  such that every  $g$  in  $N$  has at most  $k$  critical points on  $U$ . We show this by induction.

Let  $n$  be an positive integer smaller than  $k$ . Suppose  $g^{(k-n)}(x)$  has  $n$  zeros in  $U$ . If  $g^{(k-n-1)}(x)$  has  $(n + 2)$  zeros in  $U$ , say  $c_1, c_2, \dots, c_{n+2}$  in this order, then by the mean value theorem we conclude that there are  $d_i$  in  $]c_i, c_{i+1}[$ ,  $i = 1, 2, \dots, n + 1$ , satisfying  $g^{(k-n)}(d_i) = 0$ . Since  $c_i$ 's are in  $U$ ,  $d_i$ 's are also in  $U$ . This contradicts to the supposition.

Next we will show that  $g^{(k-1)}(x)$  has at most one critical point in  $U$ . Suppose that  $g^{(k-1)}(x)$  has two zeros, say  $c_1$  and  $c_2$  in this order, in  $U$ . Then by the mean value theorem there is  $d$  in  $]c_1, c_2[$  such that  $g^{(k)}(d) = 0$ . But since  $g$  is  $\varepsilon$  close to  $f$  by  $\mathcal{C}^r$  topology,  $|g^{(k)}(x)| > A - \varepsilon$  holds and this is contradiction.  $\square$

From now on, a function  $f$  is supposed to be in  $\mathcal{F}^r$ .

## §1. Degenerate Systems with No Saddles

We consider the case three critical points are degenerate into one critical point.

DEFINITION 1.1. Suppose  $f$  is a piecewise monotone map on  $I$ . We call a point  $x_0$  a  $n$ -saddle of  $f$  if and only if  $x_0$  is  $2n$ -degenerate point.

DEFINITION 1.2. Let us choose a 3-degenerate critical point  $c_i$ , and make formal critical points  $d_1$  and  $d_2$  on  $c_i$ , and formal interval  $J_i$  and  $J_{i+1}$  such that  $J_i = [d_1, c_i]$  and  $J_{i+1} = [c_i, d_2]$ . Then  $\text{length}(J_i) = \text{length}(J_{i+1}) = 0$  holds. We define **the  $i$ -divided kneading matrix**  $[\tilde{N}_i^j(t)] = [\tilde{\nu}_i]$  by

$$\begin{aligned}\tilde{\nu}_k(t) &= \nu_k(t) && \text{if } k \neq i \\ \nu_{d_1}(t) &= -2\theta(d_1^-) + J_i + I_i \\ \nu_{\tilde{c}_i}(t) &= 2\theta(\tilde{c}_i^+) - J_i - J_{i+1} \\ \nu_{d_2}(t) &= 2\theta(d_2^+) - I_{i+1} - J_{i+1}\end{aligned}$$

and

$$(1.2.1) \quad \theta(d_1^-) + \theta(d_2^+) = I_{i+1} + I_i.$$

Moreover, since  $d_1, d_2, \tilde{c}_i$  and  $c_i$  are the same point,

$$\begin{aligned}\theta(d_1^-) &= \theta(c_i^-) = -\theta(c_i^+) + I_i + I_{i+1} \\ \theta(\tilde{c}_i^+) &= -\theta(c_i^+) + J_{i+1} + I_{i+1} \\ \theta(d_2^+) &= \theta(c_i^+).\end{aligned}$$

Especially when  $c_i$  is periodic with period  $p$  and no other critical points are contained in this orbit, then one of  $\theta(d_1^-)$  or  $\theta(d_2^+)$  is periodic with period  $p$  and the other two be fallen in this periodic orbit.

LEMMA 1.3. Let  $D_j(t)$  be the determinant of  $(l+1) \times (l+1)$  matrix obtained from the renumbered  $i$ -divided kneading matrix by deleting the  $j$ -th column. Then

$$\tilde{D}_f(t) = (-1)^{j+1} \frac{D_j(t)}{1 - \epsilon(I_j)t}$$

is independent of  $j$ . Furthermore  $\tilde{D}_f(t)$  satisfies the following conditions:

- 1)  $\tilde{D}_f$  is holomorphic on the unit disk in  $\mathbb{C}$ ,
- 2)  $\tilde{D}_f(0) = 1$ .

PROOF. We will go back to the definition of the kneading matrix. First we claim

CLAIM. Let every lap and critical point be renumbered. For  $i$ -divided kneading matrix,

$$\sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) N_j^k = 0$$

for every  $j = 1, 2, \dots, l+1$  holds.

PROOF OF CLAIM. From Lemma 0.6, we have

$$\sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) \theta^k(x; t) = 1$$

for every  $x$  in  $I$ . So we get

$$\begin{aligned} & \sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) \theta^k(c_p^+; t) - \sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) \theta^k(c_p^-; t) \\ &= \sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) (\theta^k(c_p^+; t) - \theta^k(c_p^-; t)) \\ &= \sum_{k=1}^{l+2} (1 - \epsilon(I_k)t) N_p^k \\ &= 0. \quad \square \end{aligned}$$

Now from the Claim, we have

$$(1 - \epsilon(I_r)t) N_j^r = - \sum_{k \neq r} (1 - \epsilon(I_k)t) N_j^k$$

for every  $r = 1, 2, \dots, l+2$ .

Let  $[N^i]$  denote  $[N_j^i]_{j=1, \dots, l+3}$  then we have

$$\begin{aligned}
& (-1)^{l+3} \frac{D_{l+2}(t)}{1 - \epsilon(I_{l+2})t} \\
&= \frac{(-1)^{l+3}}{1 - \epsilon(I_{l+2})t} \det[N^1, \dots, N^j, \dots, N^{l+1}, \hat{N}^{l+2}] \\
&= \frac{(-1)^{l+3}}{1 - \epsilon(I_{l+2})t} \frac{1}{1 - \epsilon(I_r)t} \det[N^1, \dots, - \sum_{k \neq r} (1 - \epsilon(I_k)t)N^k, \dots, N^{l+1}, \hat{N}^{l+2}] \\
&= \frac{(-1)^r}{1 - \epsilon(I_{l+2})t} \frac{1}{1 - \epsilon(I_r)t} \det[N^1, \dots, \hat{N}^r, \dots, N^{l+1}, - \sum_{k \neq r} (1 - \epsilon(I_k)t)N^k] \\
&= \frac{(-1)^{r+1}}{1 - \epsilon(I_{l+2})t} \frac{1}{1 - \epsilon(I_r)t} \det[N^1, \dots, \hat{N}^r, \dots, N^{l+1}, \sum_{k \neq r} (1 - \epsilon(I_k)t)N^k] \\
&= \frac{(-1)^{r+1}}{1 - \epsilon(I_{l+2})t} \frac{1}{1 - \epsilon(I_r)t} \det[N^1, \dots, \hat{N}^r, \dots, N^{l+1}, (1 - \epsilon(I_{l+2})t)N^{l+2}] \\
&= \frac{(-1)^{r+1}}{1 - \epsilon(I_r)t} \det[N^1, N^2, \dots, \hat{N}^r, \dots, N^{l+2}]
\end{aligned}$$

and we are done.

Next, we show that this determinant satisfies the rest properties of the kneading matrix, that is:

- 1)  $\tilde{D}_f$  is holomorphic on the unit disk in  $\mathbb{C}$ ,
- 2)  $\tilde{D}_f(0) = 1$ .

1) is clearly holds. 2) is also true because  $[N^j(0)]$  is lower triangular with a 1 in each term in the diagonal.  $\square$

LEMMA 1.4. *Dividing never changes the kneading determinant.*

PROOF. From the definition of the kneading increments of  $d_1$ ,  $\tilde{c}_i$  and



$d_2$ , we can write the  $i$ -divided kneading matrix explicitly as

$$[\tilde{N}_i^j] = \begin{bmatrix} N_1^1 & N_1^2 & \cdots & N_1^i & 0 & 0 & N_1^{i+1} & \cdots & N_1^l \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_i^1 & N_i^2 & \cdots & N_i^i + 1 & -1 & 0 & N_i^{i+1} & \cdots & N_i^l \\ -N_i^1 & -N_i^2 & \cdots & -N_i^i & 1 & -1 & -N_i^{i+1} & \cdots & -N_i^l \\ N_i^1 & N_i^2 & \cdots & N_i^i & 0 & 1 & N_i^{i+1} - 1 & \cdots & N_i^l \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & N_{l-1}^i & 0 & 0 & N_{l-1}^{i+1} & \cdots & N_{l-1}^l \end{bmatrix}.$$

Let us calculate the kneading determinant. Deleting the  $(l+2)$ -th column and we have

$$(1 - \epsilon(I_l)t)(-1)^{l+3}\tilde{D}_f(t) = \begin{vmatrix} N_1^1 & N_1^2 & \cdots & N_1^i & 0 & 0 & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_i^1 & N_i^2 & \cdots & N_i^i & 1 & 0 & N_i^{i+1} - 1 & \cdots & N_i^{l-1} \\ 0 & 0 & \cdots & 1 & 0 & -1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & -1 & 1 & 1 & -1 & \cdots & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & N_{l-1}^i & 0 & 0 & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \\ N_1^1 & N_1^2 & \cdots & N_1^i & 0 & 0 & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_i^1 & N_i^2 & \cdots & N_i^i & 1 & 0 & N_i^{i+1} - 1 & \cdots & N_i^{l-1} \\ 0 & 0 & \cdots & 1 & 0 & -1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & 1 & 0 & -1 & \cdots & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & N_{l-1}^i & 0 & 0 & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \\ N_1^1 & N_1^2 & \cdots & N_1^i & 0 & 0 & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_i^1 & N_i^2 & \cdots & N_i^i & 0 & 0 & N_i^{i+1} & \cdots & N_i^{l-1} \\ 0 & 0 & \cdots & 1 & 0 & -1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & 1 & 0 & -1 & \cdots & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & N_{l-1}^i & 0 & 0 & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \end{vmatrix}$$

$$=(1 - \epsilon(I_i)t)(-1)^{l+1}D_f(t)$$

and we are done.  $\square$

## §2. Degenerate Systems with Saddles

In Definition 1.2, we define the  $i$ -divided kneading matrix which is useful for degenerate systems. Here we will expand the definition of the  $i$ -divided kneading matrix for the systems with saddles. For simplicity, we call a 1-saddle of  $f$  a “saddle”.

**DEFINITION 2.1.** Let  $f$  be in  $\mathcal{F}^r$  and with saddles. We choose one lap  $I_i$  with a saddle and divide  $I_i$  into two laps  $I_{iL}$  and  $I_{iR}$  at the saddle. Then make two formal critical points  $d_1$  and  $d_2$  on the saddle, and define null interval  $J = [d_1, d_2]$ , that is,  $\text{length}(J) = 0$ . We define **the lap-divided kneading matrix**  $[\tilde{N}_i^j(t)] = [\tilde{\nu}_i]$  by

$$\begin{aligned}\tilde{\nu}_k(t) &= \nu_k(t) && \text{( if we identify } I_{iR} \text{ and } I_{iL} \text{ with } I_i) \\ \tilde{\nu}_{d_1}(t) &= -2\theta(d_1^-) + J + I_{iL} \\ \tilde{\nu}_{d_2}(t) &= 2\theta(d_2^+) - J - I_{iR}\end{aligned}$$

and

$$\theta(d_2^+) = \theta(d_1^-) - I_{iL} + I_{iR}.$$

Note that when the orbit of some turning point  $c_j$  contains this saddle, since we take the limit of invariant coordinate, the new kneading increment of  $c_j$  is equal to the original when we identify  $I_{iL}$  and  $I_{iR}$  with  $I_i$ .

**LEMMA 2.2.** Let  $D_j(t)$  be a determinant of the  $l \times l$  matrix obtained from the renumbered lap-divided kneading matrix by deleting the  $j$ -th column. Then

$$\tilde{D}_f(t) = (-1)^{j+1} \frac{D_j(t)}{1 - \epsilon(I_j)t}$$

is independent of  $j$ . Further more,  $\tilde{D}_f(t)$  satisfies the following conditions:

- 1)  $\tilde{D}_f$  is holomorphic on the unit disk in  $\mathbb{C}$ ,
- 2)  $\tilde{D}_f(0) = 1$ .

PROOF. The proof is just the same as Lemma 1.3, so we omit to show here.  $\square$

LEMMA 2.3. *Lap-division never changes zeros of the kneading determinant.*

PROOF. Let  $I_i$  be a lap with a saddle. For simplification, we suppose that  $f$  is monotone increasing on  $I_i$ . We divide  $I_i$  into two laps  $I_{iL}$  and  $I_{iR}$ , and define  $M_{iL}^j$  and  $M_{iR}^j$  as coefficients of  $I_{iL}$  and  $I_{iR}$  of  $\tilde{\nu}_j$  respectively. Then  $M_k^{iL} + M_k^{iR} = N_k^i$  for every  $k = 1, 2, \dots, l-1$ . From Definition 2.1, we can write the kneading matrix of the lap-divided system as

$$[\tilde{N}_i^j] = \begin{bmatrix} N_1^1 & N_1^2 & \cdots & M_1^{iL} & 0 & M_1^{iR} & N_1^{i+1} & \cdots & N_1^l \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{i-1}^1 & N_{i-1}^2 & \cdots & M_{i-1}^{iL} & 0 & M_{i-1}^{iR} & N_{i-1}^{i+1} & \cdots & N_{i-1}^l \\ N_d^1 & N_d^2 & \cdots & N_d^{iL} + 1 & -1 & N_d^{iR} & N_d^{i+1} & \cdots & N_d^l \\ -N_d^1 & -N_d^2 & \cdots & -N_d^{iL} & 1 & -N_d^{iR} - 1 & -N_d^{i+1} & \cdots & -N_d^l \\ N_i^1 & N_i^2 & \cdots & M_i^{iL} & 0 & M_i^{iR} & N_i^{i+1} & \cdots & N_i^l \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & M_{l-1}^{iL} & 0 & M_{l-1}^{iR} & N_{l-1}^{i+1} & \cdots & N_{l-1}^l \end{bmatrix}.$$

If we delete the  $I_{l+2}$  column, we have the kneading determinant as

$$\begin{aligned} & (-1)^{l+3} (1 - \epsilon(I_l)t) \tilde{D}_f \\ & = \begin{vmatrix} N_1^1 & N_1^2 & \cdots & M_1^{iL} & 0 & M_1^{iR} & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{i-1}^1 & N_{i-1}^2 & \cdots & M_{i-1}^{iL} & 0 & M_{i-1}^{iR} & N_{i-1}^{i+1} & \cdots & N_{i-1}^{l-1} \\ N_d^1 & N_d^2 & \cdots & N_d^{iL} & -1 & N_d^{iR} & N_d^{i+1} & \cdots & N_d^{l-1} \\ 0 & 0 & \cdots & 1 & 0 & -1 & 0 & \cdots & 0 \\ N_i^1 & N_i^2 & \cdots & M_i^{iL} & 0 & M_i^{iR} & N_i^{i+1} & \cdots & N_i^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & M_{l-1}^{iL} & 0 & M_{l-1}^{iR} & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \end{vmatrix} \end{aligned}$$

$$\begin{aligned}
& \begin{pmatrix} N_1^1 & N_1^2 & \cdots & M_1^{iL} + M_1^{iR} & 0 & M_1^{iR} & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{i-1}^1 & N_{i-1}^2 & \cdots & M_{i-1}^{iL} + M_{i-1}^{iR} & 0 & M_{i-1}^{iR} & N_{i-1}^{i+1} & \cdots & N_{i-1}^{l-1} \\ N_d^1 & N_d^2 & \cdots & N_d^{iL} + N_d^{iR} & -1 & N_d^{iR} & N_d^{i+1} & \cdots & N_d^{l-1} \\ 0 & 0 & \cdots & 0 & 0 & -1 & 0 & \cdots & 0 \\ N_i^1 & N_i^2 & \cdots & M_i^{iL} + M_i^{iR} & 0 & M_i^{iR} & N_i^{i+1} & \cdots & N_i^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & M_{l-1}^{iL} + M_{l-1}^{iR} & 0 & M_{l-1}^{iR} & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \\ N_1^1 & N_1^2 & \cdots & M_1^{iL} + M_1^{iR} & 0 & M_1^{iR} & N_1^{i+1} & \cdots & N_1^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{i-1}^1 & N_{i-1}^2 & \cdots & M_{i-1}^{iL} + M_{i-1}^{iR} & 0 & M_{i-1}^{iR} & N_{i-1}^{i+1} & \cdots & N_{i-1}^{l-1} \\ 0 & 0 & \cdots & 0 & -1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 & 0 & -1 & 0 & \cdots & 0 \\ N_i^1 & N_i^2 & \cdots & M_i^{iL} + M_i^{iR} & 0 & M_i^{iR} & N_i^{i+1} & \cdots & N_i^{l-1} \\ \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ N_{l-1}^1 & N_{l-1}^2 & \cdots & M_{l-1}^{iL} + M_{l-1}^{iR} & 0 & M_{l-1}^{iR} & N_{l-1}^{i+1} & \cdots & N_{l-1}^{l-1} \end{pmatrix} \\
& = (-1)^{l+1} (1 - \epsilon(I_l)t) D_f
\end{aligned}$$

Thus we are done.  $\square$

### §3. Continuity of Entropy

In this section, we use the same discussion as J. Milnor and W. Thurston [12][13] although their discussion is restricted to the  $\mathcal{C}^1$  functions with fixed critical points. For every  $f$  in  $\mathcal{F}^r$ , we name the critical points and laps of  $f$  as  $c_1, \dots, c_{l-1}$  and  $c_0 = 0$ ,  $c_l = 1$ , and  $I_1, \dots, I_l$ , where  $l$  is the lap number of  $f$ . We use  $\tilde{f}$  for divided system of  $f$  and  $\tilde{c}_i$  or  $d_j$  for formal non-degenerate critical points of  $\tilde{f}$ . We also use  $c'_i$  and  $\tilde{I}'_i$  for  $g$  in  $\mathcal{F}^r$ . For simplification, the critical point  $c_i$  of  $f$  is supposed to be a 3-degenerate critical point.

LEMMA 3.1. *If  $f^n(c_i) \notin \{c_1, \dots, c_{l-1}\}$  for every  $n \geq 1$  and every  $i = 1, \dots, l-1$  then the  $ij$ -element of the kneading matrix  $N_i^j(t; \tilde{f})$  is continuous at  $\tilde{f}$  for every  $j = 1, 2, \dots, l$ . So the kneading determinant  $D_{\tilde{f}}(t)$  of  $\tilde{f}$  is also continuous at  $\tilde{f}$ .*

PROOF. First we note that  $N_i^j(t; \tilde{f})$  is a holomorphic function on the unit disc  $\mathbb{D} = \{z \in \mathbb{C}; |z| < 1\}$ .

For any  $\varepsilon > 0$  and every compact set  $K$ , we can choose  $\rho$  and  $N$  such that  $|t| < \rho$  for every  $t \in K$  and  $4\frac{|\rho|^{N+1}}{1-|\rho|} < \varepsilon$  holds. Choose  $\mathcal{N}$  as a  $\mathcal{C}^r$  neighbourhood of  $\tilde{f}$  satisfying that for every  $g \in \mathcal{N}$ , the lap number of  $g$  is equal to that of  $\tilde{f}$  and itineraries of  $c_k$  and  $c'_k$  coincide up to the order  $N$  for every  $k = 1, 2, \dots, l-1$ . Considering all the coefficients of the power series  $N_i^j(t; \tilde{f})$  are in  $\{0, \pm 1, \pm 2\}$ , we have

$$|N_i^j(t; \tilde{f}) - N_i^j(t; g)| \leq \sum_{k=N+1}^{\infty} 4|t|^k = 4\frac{|t|^{N+1}}{1-|t|} < \varepsilon$$

for every  $t \in K$ .  $\square$

LEMMA 3.2. *If  $c_i$  is not periodic or pre-periodic, the kneading determinant  $D_{\tilde{f}}(t)$  is continuous at  $\tilde{f}$ .*

PROOF. For simplification, we suppose that  $f^p(c_j) = c_i$  and there is no other turning point in the forward orbit of  $c_k$  ( $k \neq j$ ). Moreover, we suppose that  $f^p(c_j^+) > c_i$ . Other cases are just the same. When  $g$  has the same number of turning points as  $\tilde{f}$ , we claim

CLAIM. For every  $\varepsilon > 0$ , take a compact set  $K$  as in Lemma 3.1. Then there is  $\delta > 0$  such that following conditions are satisfied for  $g$  satisfying  $d(\tilde{f}, g) < \delta$  and every  $t \in K$ :

- 1)  $|N_k^m(t; \tilde{f}) - N_k^m(t; g)| < \varepsilon$  for every  $k \neq i$  and every  $m = 1, 2, \dots, l$ ,
- 2) For every  $m = 1, \dots, l$ , one of the following holds.

$$|N_j^m(t; \tilde{f}) - N_j^m(t; g)| < \varepsilon$$

$$\text{or } |N_j^m(t; \tilde{f}) - 2t^p N_{d_2}^m(t; \tilde{f}) - N_j^m(t; g)| < \varepsilon$$

$$\text{or } |N_j^m(t; \tilde{f}) - 2t^p (N_{d_2}^m(t; \tilde{f}) + N_{\tilde{c}_i}^m(t; \tilde{f})) - N_j^m(t; g)| < \varepsilon$$

$$\text{or } |N_j^m(t; \tilde{f}) - 2t^p (N_{d_2}^m(t; \tilde{f}) + N_{\tilde{c}_i}^m(t; \tilde{f}) + N_{d_1}^m(t; \tilde{f})) - N_j^m(t; g)| < \varepsilon$$

PROOF. 1) is obvious from the last lemma. 2) is shown from a direct calculation. Because  $N_j^m(t; \tilde{f})$  and  $N_j^m(t; g)$  coincide at least up to order  $p$ ,

one of  $g^p(c'_j) = c'_j$ ,  $g^p(c'_j) \in I'_i$ ,  $g^p(c'_j) \in J'_i$ ,  $g^p(c'_j) \in J'_{i+1}$  or  $g^p(c'_j) \in I'_{i+1}$  holds. In the first and the last cases, since  $f(c'_j) > c_i$ ,

$$N_j^m(t; \tilde{f}) \equiv N_j^m(t; g) \pmod{t^N}$$

holds. In the second case, since every term of  $N_j^m(t; g)$  higher than  $p$  has the other sign of that of  $N_j^m(t; \tilde{f})$ , we add the term  $2t^p N_{d'_2}^m(t; \tilde{f})$  and the effect of ‘anti-sign’ can be canceled. The third case can be shown by using the method of the second case twice. The other cases can be got by the same reason.  $\square$

Now noting that elementary row operations of the matrix never change the value of its determinant, the continuity of the kneading determinant at  $f$  is easily shown from this Claim.  $\square$

LEMMA 3.3. *If  $c_i$  is periodic with period  $p$  then for every  $g$  in the neighbourhood of  $\tilde{f}$ ,  $g^{np}(c'_i) - c'_i$  have the same sign for every  $n \geq 1$ , and also  $g^{np}(d'_j) - d'_j$  have the same sign for every  $n \geq 1$  and for every  $j = 1, 2$ .*

PROOF. Because the differential coefficient of  $f^p(x)$  at  $c_i$  is 0, we can suppose

$$\left| \frac{d}{dx} g^p(x) \right| < 1$$

for every  $x$  in a neighbourhood of  $c'_i$ . So there exists an attractive fixed point  $x_0$  in this neighbourhood such that  $g^{np}(c'_i)$  converges to  $x_0$  monotonically as  $n$  goes to infinity. So  $g^{np}(c'_i) - c'_i$  has the same sign for every  $n \geq 1$ . The same discussions hold for  $d'_j$ .  $\square$

LEMMA 3.4. *Let  $c_i$  be a periodic point of period  $p$  of  $f$  and suppose that the orbit of  $c_i$  contains no other turning points. Then:*

1. *the  $i$ -th kneading vector  $N_i(t; f)$  is of the form  $\frac{1}{1-t^p}P(t)$  where  $P: \mathbb{C} \rightarrow \mathbb{C}^l$  is a polynomial map of degree  $p$ ;*
2. *if  $g$  is close enough to  $\tilde{f}$  then the  $i$ -th kneading vector  $N_i(t; g)$  of  $g$  is equal to*

$$N_i(t; \tilde{f}) \text{ or to } \frac{1-t^p}{1+t^p} N_i(t; \tilde{f}).$$

PROOF. As we have seen before,

$$N_i^j(t) = \theta^j(c_i^+; t) - \theta^j(c_i^-; t) = 2\theta^j(c_i^+; t)$$

for  $j \geq 1$ . Moreover, since  $f^p(c_i) = c_i$  we get from the previous lemma that the coefficients  $\theta^j(c_i^\pm)$ ,  $j = 1, 2, \dots$  have period  $p$  for  $x$  close to  $c_i$ . More precisely, we have that

$$\begin{aligned} N_{d_1}(t; \tilde{f}) &= \left(0, \dots, -1 - \frac{2t^p}{1-t^p}, 1, 0, 0, \dots, 0\right) + \frac{t}{1-t^p} \tilde{Q}(t) \\ N_{c_i}(t; \tilde{f}) &= \left(0, \dots, \frac{2t^p}{1-t^p}, -1, 1, 0, \dots, 0\right) - \frac{t}{1-t^p} \tilde{Q}(t) \\ N_{d_2}(t; \tilde{f}) &= \left(0, \dots, -\frac{2t^p}{1-t^p}, 0, -1, 1, \dots, 0\right) + \frac{t}{1-t^p} \tilde{Q}(t) \end{aligned}$$

when  $f^p$  has a local maximum at  $c_i$  and

$$\begin{aligned} N_{d_1}(t; \tilde{f}) &= \left(0, \dots, -1, 1, 0, -\frac{2t^p}{1-t^p}, 0, \dots, 0\right) + \frac{t}{1-t^p} \tilde{Q}(t) \\ N_{c_i}(t; \tilde{f}) &= \left(0, \dots, 0, -1, 1, \frac{2t^p}{1-t^p}, 0, \dots, 0\right) - \frac{t}{1-t^p} \tilde{Q}(t) \\ N_{d_2}(t; \tilde{f}) &= \left(0, \dots, 0, 0, -1, 1 + \frac{2t^p}{1-t^p}, 0, \dots, 0\right) + \frac{t}{1-t^p} \tilde{Q}(t) \end{aligned}$$

when  $f^p$  has a local minimum at  $c_i$ , where  $\tilde{Q}(t)$  is a  $\mathcal{C}^{l+1}$  valued polynomial of degree  $p-2$ . If  $f^p$  has a local maximum at  $c_i$  then for each  $g$  sufficiently close to  $\tilde{f}$ ,

$$\begin{aligned} N_{d_1}(t; g) &= N_{d_1}(t; \tilde{f}) \\ N_{c_i}(t; g) &= N_{c_i}(t; \tilde{f}) \\ N_{d_2}(t; g) &= N_{d_2}(t; \tilde{f}) \end{aligned}$$

when  $g^p(d_1^+) \in \text{Int}I_i$ , or

$$\begin{aligned} N_{d_1}(t; g) &= \left(0, \dots, 0, -1, 1 - \frac{2t^p}{1+t^p}, 0, 0, \dots, 0\right) \\ &+ \frac{t}{1+t^p} \tilde{Q}(t) \end{aligned}$$

$$\begin{aligned}
N_{c_i}(t; g) &= \left( 0, \dots, 0, \frac{2t^p(1-t^{np})}{1-t}, -1 + \frac{2t^{(n+1)p}}{1+t^p}, 1, 0, \dots, 0 \right) \\
&\quad - \frac{t}{1+t^p} \tilde{Q}(t) \\
N_{d_2}(t; g) &= \left( 0, \dots, 0, 0, -\frac{2t^p}{1+t^p}, -1, 1, \dots, 0 \right) \\
&\quad + \frac{t}{1+t^p} \tilde{Q}(t)
\end{aligned}$$

when  $g^p(d_1^+) \in \text{Int}J_i$ , or

$$\begin{aligned}
N_{d_1}(t; g) &= \left( 0, \dots, 0, -1, 1, -\frac{2t^p}{1-t^p}, 0, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t) \\
N_{c_i}(t; g) &= \left( 0, \dots, 0, 0, -1, 1 + \frac{2t^p}{1-t^p}, 0, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t) \\
N_{d_2}(t; g) &= \left( 0, \dots, 0, 0, 0, -1 - \frac{2t^p}{1-t^p}, 1, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)
\end{aligned}$$

when  $g^p(d_1^+) \in \text{Int}J_{i+1}$ , or

$$\begin{aligned}
N_{d_1}(t; g) &= \left( 0, \dots, 0, -1, 1, 0, -\frac{2t^p}{1+t^p}, 0, \dots, 0 \right) \\
&\quad + \frac{t}{1+t^p} \tilde{Q}(t) \\
N_{c_i}(t; g) &= \left( 0, \dots, 0, 0, -1, 1 + \frac{2t^p(1-t^{np})}{1-t}, \frac{2t^{(n+1)p}}{1+t^p}, 0, \dots, 0 \right) \\
&\quad - \frac{t}{1+t^p} \tilde{Q}(t) \\
N_{d_2}(t; g) &= \left( 0, \dots, 0, 0, 0, -1, 1 - \frac{2t^p}{1+t^p}, 0, \dots, 0 \right) \\
&\quad + \frac{t}{1+t^p} \tilde{Q}(t)
\end{aligned}$$

when  $g^p(d_1^+) \in \text{Int}I_{i+1}$ . A similar statement holds when  $f^p$  has a local minimum at  $c_i$  and when we apply the elementary deformations of matrices to



these formulae, we can calculate the kneading determinant of  $g$ . Statements 1) and 2) follow immediately from this.  $\square$

From this Lemma, we can show that

$$|D_g(t) - D_{\tilde{f}}(t)| < \varepsilon$$

or

$$\left| D_g(t) - \left( \prod_p \frac{1-t^p}{1+t^p} \right) D_{\tilde{f}}(t) \right| < \varepsilon.$$

Here  $p$  takes all the period of the periodic critical points. Thus from Cauchy integral formula, we can conclude that zeros of  $D_g$  and  $D_{\tilde{f}}$  are close to each other in the unit disk  $\mathbb{D}$ .

LEMMA 3.5. *Let  $c_{i(1)}$  be a periodic point of period  $p$  of  $f$  and suppose that the orbit of  $c_{i(1)}$  contains the turning points  $c_{i(1)}, c_{i(2)}, \dots, c_{i(k)}, c_{i(k+1)} = c_{i(1)}$  (in this order). Then for  $g$  sufficiently close enough to  $f$  one has*

$$\begin{pmatrix} N_{i(0)}(t; g) \\ N_{i(1)}(t; g) \\ \vdots \\ N_{i(k)}(t; g) \end{pmatrix} = B(t) \begin{pmatrix} N_{i(0)}(t; \tilde{f}) \\ N_{i(1)}(t; \tilde{f}) \\ \vdots \\ N_{i(k)}(t; \tilde{f}) \end{pmatrix},$$

where  $B(t)$  is  $k \times k$  regular matrix with rational coefficients.

PROOF. From the same reason as in Lemma 3.2, the  $j$ -th coefficient of the  $i$ -th kneading vector of  $g$  ( $i \neq j$ ) changes as the sign of  $g^{a(j)}(c_{i(j)}) - c_{i(j+1)}$ . So the  $(i, j)$ -th coefficient of  $B(t)$  is equal to  $0, \frac{\pm 2t^{b(i,j)}}{1-t^p}$  or to  $\pm 2t^{b(i,j)}$ , where  $b(i, j) > 0$  is the smallest integer so that  $f^{b(i,j)}(c_i) = c_j$ . Moreover, considering the periodic effect, with the same reason as in Lemma 3.4, the  $(i, i)$ -th coefficient of  $B(t)$  is equal to  $1$  or  $\frac{1+t^p}{1-t^p}$ . When we exchange the role between  $\tilde{f}$  and  $g$ , we have that  $B(t)$  is invertible and has non zero determinant.  $\square$

Thus we conclude that when  $|f - g| < \delta$  and the orbit of a critical point  $c_i$  of  $f$  contains other critical points,

$$|\det B(t)D_{\tilde{f}}(t) - D_g(t)| < \varepsilon$$

and topological entropy of  $g$  is close to that of  $f$ .

LEMMA 3.6. *When  $f$  has a saddle and this saddle is  $p$ -periodic, and suppose that the orbit of this saddle contains no other turning points. Let  $d_1$  and  $d_2$  be formal critical points of  $\tilde{f}$ . Then:*

1. *the kneading vector  $N_{d_i}(t; \tilde{f})$  is of the form  $\frac{1}{1-t^p}P(t)$  where  $P : \mathbb{C} \rightarrow \mathbb{C}^l$  is a polynomial map of degree  $p$ ;*
2. *if  $g$  is close enough to  $f$  then the kneading determinant of  $g$  is also close to that of  $\tilde{f}$ .*

PROOF. For simplification, we suppose that  $f^p(d_2^+) > d_2$ . The other case is shown by just the same method. From the definition of the kneading matrix, we have that

$$N_{d_1}(t; \tilde{f}) = \left( 0, \dots, -1 - \frac{2t^p}{1-t^p}, 1, 0, 0, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; \tilde{f}) = \left( 0, \dots, 0, -1, 1 + \frac{2t^p}{1-t^p}, 0, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t)$$

when  $f^p$  is increasing near the saddle and

$$N_{d_1}(t; \tilde{f}) = \left( 0, \dots, -1 - \frac{2t^{2p}}{1-t^{2p}}, 1, \frac{2t^p}{1-t^{2p}}, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; \tilde{f}) = \left( 0, \dots, -\frac{2t^p}{1-t^{2p}}, -1, 1 + \frac{2t^{2p}}{1-t^{2p}}, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t)$$

when  $f^p$  is decreasing near the saddle, where  $\tilde{Q}(t)$  is a  $\mathcal{C}^{l+1}$  valued polynomial of degree  $p-2$ . Then for each  $g$  sufficiently close to  $\tilde{f}$ , when  $\tilde{f}$  is increasing near the saddle,

$$N_{d_1}(t; g) = \left( 0, \dots, 0, -1 - \frac{2t^p}{1-t^p}, 1, 0, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, \frac{2t^p}{1-t^p}, -1, 1, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}I_{iL}$ , or

$$N_{d_1}(t; g) = \left( 0, \dots, 0, -1, 1 - \frac{2t^{(n+1)p}}{1+t^p}, \frac{2t^p(1-t^{np})}{1-t^p}, \dots, 0 \right) + \frac{t}{1+t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, \quad 0, -1 + \frac{2t^p}{1+t^p}, \quad 1, \dots, 0 \right) - \frac{t}{1+t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}J$  and  $g^{kp}(d_1^+) \in \text{Int}I_{iR}$  for  $k = 1, \dots, n$  and  $g^{kp}(d_1^+) \in \text{Int}J$  for  $k > n$ , or

$$N_{d_1}(t; g) = \left( 0, \dots, 0, \quad -1, \quad 1 - \frac{2t^p}{1+t^p}, 0, \dots, 0 \right) + \frac{t}{1+t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, \frac{2t^p(1-t^{np})}{1-t^p}, -1 + \frac{2t^{(n+1)p}}{1+t^p}, 1, \dots, 0 \right) - \frac{t}{1+t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}J$  and  $g^{kp}(d_1^+) \in \text{Int}I_{iL}$  for  $k = 1, \dots, n$  and  $g^{kp}(d_1^+) \in \text{Int}J$  for  $k > n$ , or

$$N_{d_1}(t; g) = \left( 0, \dots, 0, -1, \quad 1, -\frac{2t^p}{1-t^p}, 0, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, 0, -1, 1 + \frac{2t^p}{1-t^p}, 0, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}I_{iR}$ , where  $n \geq 0$  is the number of times the itinerary goes before it comes in the lap  $J$ .

When  $\tilde{f}$  is decreasing near the saddle,

$$N_{d_1}(t; g) = \left( 0, \dots, 0, -1 + \frac{2t^p}{1+t^p}, \quad 1, 0, \dots, 0 \right) + \frac{t}{1+t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, \quad -\frac{2t^p}{1+t^p}, -1, 1, \dots, 0 \right) - \frac{t}{1+t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}I_{iL}$ , or

$$N_{d_1}(t; g) = \left( 0, \dots, 0, -1, \quad 1 + \frac{2t^p}{1-t^p}, 0, \dots, 0 \right) + \frac{t}{1-t^p} \tilde{Q}(t)$$

$$N_{d_2}(t; g) = \left( 0, \dots, 0, \quad 0, -1 - \frac{2t^p}{1-t^p}, 1, \dots, 0 \right) - \frac{t}{1-t^p} \tilde{Q}(t)$$

when  $g^p(d_1^+) \in \text{Int}J$ , or

$$\begin{aligned} N_{d_1}(t; g) &= \left( 0, \dots, 0, -1, 1 + \frac{2t^p(1-t^{np})}{1-t^p}, \frac{2t^{(n+1)p}}{1+t^p}, 0, \dots, 0 \right) \\ &\quad + \frac{t}{1+t^p} \tilde{Q}(t) \\ N_{d_2}(t; g) &= \left( 0, \dots, 0, 0, \dots, -1, 1 - \frac{2t^p}{1+t^p}, \dots, 0 \right) \\ &\quad - \frac{t}{1+t^p} \tilde{Q}(t) \end{aligned}$$

when  $g^p(d_1^+) \in \text{Int}I_{iR}$ . For the every case, each of  $N_{d_1}(t; g)$  and  $N_{d_2}(t; g)$  is expressed by the linear combination of  $N_{d_1}(t; \tilde{f})$  and  $N_{d_2}(t; \tilde{f})$  and from this expression, statements 1) and 2) follows.  $\square$

LEMMA 3.7. *Let  $c_{i(1)}$  be a periodic point of period  $p$  of  $f$  and suppose that the orbit of  $c_{i(1)}$  contains a saddle and all the turning points of  $\tilde{f}$  in this orbit are  $c_{i(1)}, c_{i(2)}, \dots, c_{i(k)}, c_{i(k+1)} = c_{i(1)}$  (in this order). Then for  $g$  sufficiently close enough to  $\tilde{f}$  one has*

$$\begin{pmatrix} N_{i(0)}(t; g) \\ N_{i(1)}(t; g) \\ \vdots \\ N_{i(k)}(t; g) \end{pmatrix} = B(t) \begin{pmatrix} N_{i(0)}(t; \tilde{f}) \\ N_{i(1)}(t; \tilde{f}) \\ \vdots \\ N_{i(k)}(t; \tilde{f}) \end{pmatrix},$$

where  $B(t)$  is  $k \times k$  regular matrix with rational coefficients.

PROOF. With the same discussion as Lemma 3.5 for lemmas 3.2 and 3.6, we have the same conclusion.  $\square$

Now we can prove the following proposition from previous lemmas and Lemma 0.8.

THEOREM 3.8. *The topological entropy is continuous in  $\mathcal{F}^r$ .*

PROOF. Note that every degenerate critical point is written by a finite combination of 3-degenerate critical points and 1-saddles. Suppose  $g$  is the

$C^r$ -perturbation of  $f$  and the number of critical points of  $g$  is more than  $f$  by  $2n$ . Let  $\|\cdot\|_r$  be a  $C^r$  norm and suppose  $\|g - f\|_r < \delta$ . Let us take a sequence of functions  $f_i$  ( $i = 0, 1, \dots, m$ ) which satisfy the following conditions:

$$\begin{cases} f_0 = f \\ 0 \leq \#(\text{critical points of } f_{i+1}) - \#(\text{critical points of } f_i) \leq 2 \\ \|f_{i+1} - f_i\|_r < \delta \\ f_m = g \\ m < C \cdot n \text{ for some constant } C > 0. \end{cases}$$

From the previous lemmas, we can suppose that the difference of the topological entropy of  $f_i$  and  $f_{i+1}$  is less than  $\varepsilon$  for each  $i$ . Then we have

$$|h(g) - h(f)| \leq \sum_{i=0}^m |h(f_{i+1}) - h(f_i)| < m\varepsilon$$

and we have that the topological entropy is continuous between  $f$  and  $g$ .  $\square$

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