

Vertex operator algebras and category theory in conformal field theory

Ingo Runkel (Univ. of Hamburg)

Outline

① Some motivation from lattice models

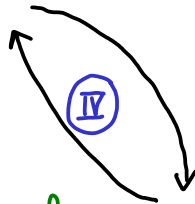
conformal field theory

②

vertex operator algebra

③

braided tensor cat. of
VOA-modules



commutative algebra
in (two copies of)
cat. of VOA-modules

(arrows need extra conditions to work)

Part I

Conformal field theory

"A conformal field theory is a quantum field theory that is covariant under conformal transformations"

here : two-dimensional

Euclidean

(as opposed to Minkowski)

oriented

genus - zero

discrete spectrum

Motivation from lattice models

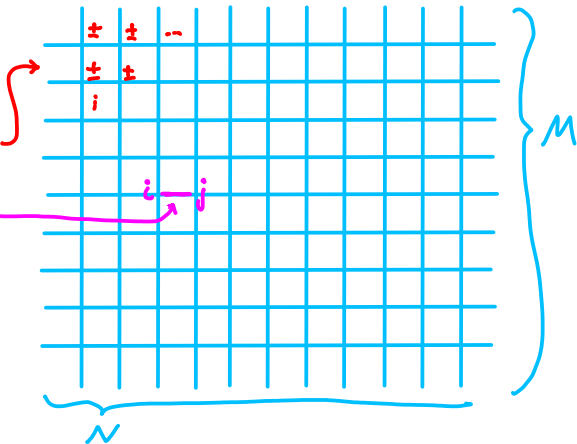
E.g. 2d Ising model

Λ : lattice points

$\sigma: \Lambda \rightarrow \{\pm 1\}$ spin config.

$$E[\sigma] = - \sum_{\langle ij \rangle} \sigma_i \sigma_j$$

equal spins $\hat{=}$ low energy



partition function

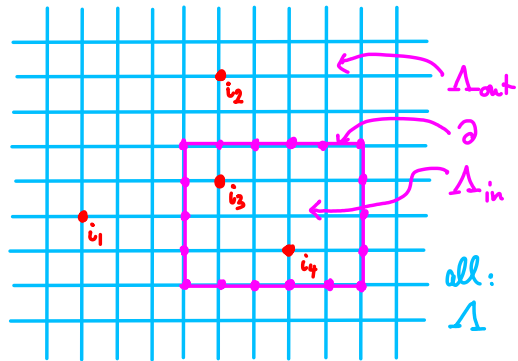
$$Z_{MN} = \sum_{\sigma} e^{-\beta E[\sigma]} \quad \beta > 0$$

correlation function

$$\langle \sigma_i \sigma_j \dots \rangle_{MN} = \frac{1}{Z_{MN}} \sum_{\sigma} (\sigma_i \sigma_j \dots) e^{-\beta E[\sigma]}$$

... motivation from lattice models

correlation function $\langle \sigma_i \sigma_j \dots \rangle_{MN} = \frac{1}{Z_{MN}} \sum_{\sigma} (\sigma_i \sigma_j \dots) e^{-\beta E[\sigma]}$



$$\langle \sigma_{i_1} \dots \sigma_{i_4} \rangle_{MN}$$

$$= \frac{1}{Z_1} \sum_{\sigma, \partial \rightarrow \{\pm 1\}} Z_{out}(\sigma) \cdot Z_{in}(\sigma)$$

$$Z_{in}(\sigma) = \sum_{\substack{\sigma: \Lambda_{in} \rightarrow \{\pm 1\} \\ \sigma|_{\partial} = \delta}} \sigma_{i_3} \sigma_{i_4} e^{-\beta E[\sigma]}$$

$$Z_{out}(\sigma) = \sum_{\substack{\sigma: \Lambda_{out} \rightarrow \{\pm 1\} \\ \sigma|_{\partial} = \delta}} \sigma_{i_1} \sigma_{i_2} e^{-\beta E[\sigma]}$$

(for $E[\sigma]$ in Z_{out} , links in ∂ are not summed over to avoid overcounting)

... motivation from lattice models

partition function $Z_{MN} = \sum_{\zeta} e^{-\beta E[\zeta]} \quad \beta > 0$

correlation function $\langle \zeta_i \zeta_j \dots \rangle_{MN} = \frac{1}{Z_{MN}} \sum_{\zeta} (\zeta_i \zeta_j \dots) e^{-\beta E[\zeta]}$

$$Z_{n,N} \xrightarrow{N \rightarrow \infty} \infty$$

but

$$\langle \zeta_i \zeta_j \dots \rangle := \lim_{N, N \rightarrow \infty} \langle \zeta_i \zeta_j \dots \rangle_{MN} \text{ exists}$$

... motivation from lattice models

fun facts about 2pt. correlator

set $s := \sinh(2\beta)$

($s=1 \Leftrightarrow \beta = \ln \sqrt{1+\sqrt{2}}$)

$$\langle \sigma_{0,0} \sigma_{n,n} \rangle = \begin{cases} s < 1 : & (\text{const}) \, n^{-1/2} \boxed{s^{2n}} (1 + O(n^{-1})) \\ s > 1 : & (\text{const}) (1 + O(n^{-2} \boxed{s^{-4n}})) \\ s = 1 : & (\text{const}) \boxed{n^{-1/4}} (1 + O(n^{-2})) \end{cases}$$

 exponential decay

 long range interaction

... motivation from lattice models

Continuum model of "long range behaviour at critical point":

for $x_1, \dots, x_k \in \mathbb{C}$:

$$\langle \sigma(x_1) \dots \sigma(x_k) \rangle_{\text{cont}} := \lim_{\lambda \rightarrow \infty} \lambda^{k\Delta} \langle \sigma[\lambda x_1] \dots \sigma[\lambda x_k] \rangle$$

at $\sinh(2\beta) = 1$

↑
nearest lattice point

where here

$$\Delta = \frac{1}{8}$$

... motivation from lattice models

$$\langle \sigma(x_1) \cdots \sigma(x_k) \rangle_{\text{cont}} := \lim_{\lambda \rightarrow \infty} \lambda^{k\Delta} \langle \sigma_{[\lambda x_1]} \cdots \sigma_{[\lambda x_k]} \rangle$$

where here $\Delta = \frac{1}{8}$

Scale covariance : for $\mu > 0$

$$\langle \sigma(\mu x_1) \cdots \sigma(\mu x_k) \rangle_{\text{cont}} = \lim_{\lambda \rightarrow \infty} \lambda^{k\Delta} \langle \sigma_{[\lambda \mu x_1]} \cdots \rangle$$

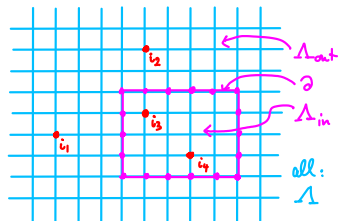
$$\lambda' = \lambda \mu \rightarrow \lim_{\lambda' \rightarrow \infty} \mu^{-k\Delta} \lambda'^{k\Delta} \langle \sigma_{[\lambda' x_1]} \cdots \rangle$$

$$= \mu^{-k\Delta} \langle \sigma(x_1) \cdots \sigma(x_k) \rangle_{\text{cont}}$$

... motivation from lattice models

Two observations we want to model

1) Cutting computation of correlators into smaller pieces



2) Scale covariance

$$\begin{aligned} & \langle \sigma(\mu x_1) \dots \sigma(\mu x_k) \rangle_{\text{cont}} \\ &= \mu^{-k\Delta} \langle \sigma(x_1) \dots \sigma(x_k) \rangle_{\text{cont}} \end{aligned}$$

Full conformal symmetry later

Part II

Scale covariant field theory

Data : F , a $\mathbb{C}$ -v.sp. "space of fields"
(part 1)

$\Delta \in \text{End } F$ "generator of scale transformations"

$1 \in F$ "vacuum vector"

Proposition • $F = \bigoplus_{\delta \in \mathbb{R}} F_\delta$ ← could use $\mathbb{C}$ instead
(part 1)

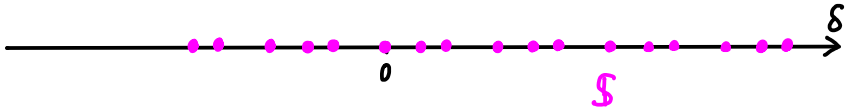
where F_δ is eigenspace of Δ of eigenvalue δ .
← could use generalised eigenspace

... scale covariant field theory

- all F_S are finite dimensional,

the set $S = \{ \delta \in \mathbb{R} \mid F_\delta \neq 0 \}$ (the spectrum)
is bounded below,

and there is $\varepsilon > 0$ s.th. $\delta, \delta' \in S, \delta \neq \delta' \Rightarrow |\delta - \delta'| \geq \varepsilon$.



In particular, $F_{\leq d} := \bigoplus_{\delta \leq d} F_\delta$ is finite dim.
for all $d \in \mathbb{R}$

... scale covariant field theory

Write

$$\overline{F} = \prod_{s \in \mathbb{R}} F_s \quad (\text{the direct product completion of } F = \bigoplus F_s)$$

$$P_{\leq d} : \overline{F} \longrightarrow F_{\leq d} \quad \text{projection} \\ (\text{also use for } F \longrightarrow F_{\leq d})$$

$$\mathcal{M}_n = \{ (z_1, \dots, z_n) \in \mathbb{C}^n \mid z_i \neq z_j \ (i \neq j) \} \\ (\text{configuration space of } n \text{ ordered points in } \mathbb{C})$$

... scale covariant field theory

Data : For $n = 1, 2, \dots$ a function ("n-pt. correlator")
(part 2)

$$\mu_n : \mathcal{M}_n \times F^{\otimes n} \longrightarrow \overline{F}$$

s.t.

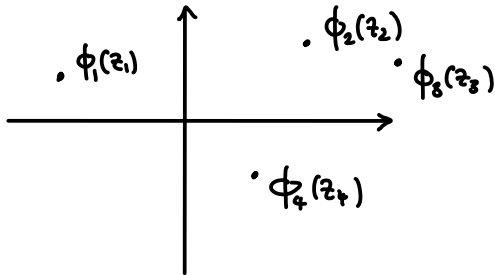
- linear in $F^{\otimes n}$
- $P_{\leq d} \circ \mu_n$ is smooth in $\mathcal{M}_n$ for all d .

... scale covariant field theory

Notation : $\vec{z} \in \mathcal{M}_n$, $\phi_i \in \mathcal{F}$, $\alpha \in (\mathcal{F}_{\text{sd}})^*$

$$\alpha \circ \mu_n((z_1, \dots, z_n), \phi_1 \otimes \dots \otimes \phi_n)$$

$$=: \langle \underset{\substack{\text{field} \nearrow}}{\phi_1(z_1)} \cdots \underset{\substack{\text{position} \nearrow}}{\phi_n(z_n)} \rangle_{\underset{\substack{\text{out-state} \nearrow}}{\alpha}}$$



... scale covariant field theory

Properties
(part 2)

(permutation
invariance)

$$\begin{aligned} \mu_n(z_1, \dots, z_n, \phi_1 \otimes \dots \otimes \phi_n) \\ = \mu_n(z_{\sigma_1}, \dots, z_{\sigma_n}, \phi_{\sigma_1} \otimes \dots \otimes \phi_{\sigma_n}) \end{aligned}$$

for all $\sigma \in S_n$.

(unit)

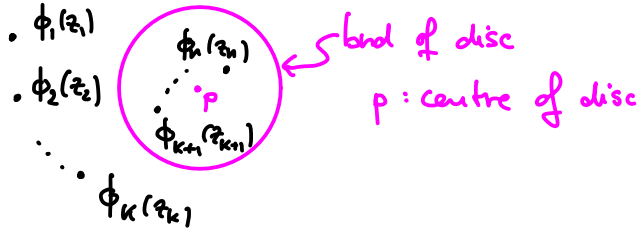
$$\begin{aligned} \mu_{n+1}(z_1, \dots, z_n, z_{n+1}, \phi_1 \otimes \dots \otimes \phi_n \otimes 1) \\ = \mu_n(z_1, \dots, z_n, \phi_1 \otimes \dots \otimes \phi_n) \text{ for } n \geq 1, \end{aligned}$$

$$\text{and } \mu_1(0, \phi) = \phi$$

(but $\mu_1(z, \phi) \neq \phi$ in general)

... scale covariant field theory

(factorisation) For each disc w/o any z_i on its bnd.



$$\mu_n((z_1, \dots, z_n), \phi_1 \otimes \dots \otimes \phi_n)$$

$$= \lim_{d \rightarrow \infty} \mu_{k+1}((z_1, \dots, z_k, p), \phi_1 \otimes \dots \otimes \phi_k \otimes P_{\leq d} \psi)$$

$$\text{where } \psi = \mu_{n-k}((z_{k+1}-p, \dots, z_n-p), \phi_{k+1} \otimes \dots \otimes \phi_n) \in \overline{\mathcal{F}}$$

... scale covariant field theory

(scale covariance) For $\lambda > 0$

$$\begin{aligned} & \lambda^\Delta \mu_n(z_1, \dots, z_n), \phi_1 \otimes \dots \otimes \phi_n \\ &= \mu_n(\lambda z_1, \dots, \lambda z_n), \lambda^\Delta \phi_1 \otimes \dots \otimes \lambda^\Delta \phi_n \end{aligned}$$

eg for $\phi_i \in F_{\delta_i}$, $\alpha \in (F_\delta)^*$ get

$$\langle \phi_1(\lambda z_1) \dots \phi_n(\lambda z_n) \rangle_\alpha = \lambda^{\delta - \delta_1 - \dots - \delta_n} \langle \phi_1(z_1) \dots \phi_n(z_n) \rangle_\alpha$$

(aside: if Δ is not diagonalisable, get $\log \lambda$ terms $\leadsto \log$ CFT)

... scale covariant field theory

Some consequences:

- $\exists! \partial, \bar{\partial} \in \text{End } \mathcal{F}$ s.t. $\partial, \bar{\partial} : \mathcal{F}_s \longrightarrow \mathcal{F}_{s+1}$ and

$$\begin{aligned} & \frac{d}{dz} \mu_n(z, w_1, \dots), \phi \otimes \gamma_1 \otimes \dots \\ &= \mu_n(z, w_1, \dots), \partial \phi \otimes \gamma_1 \otimes \dots \end{aligned}$$

dito $\frac{d}{d\bar{z}} \dots = \dots \bar{\partial} \phi \dots$

Sketch of proof:

- use fact. to reduce to $\frac{d}{dz} \mu_1(z, \phi)|_{z=0} =: \partial \phi \in \overline{\mathcal{F}}$
(! subtlety: need to show $\frac{d}{dz}$ and $\lim_{\lambda \rightarrow \infty}$ commute.)
- use scale cov. and $\phi \in \mathcal{F}_s$ to compare
$$\lambda^\Delta \frac{d}{dz} \mu_1(z, \phi)|_{z=0} = \frac{d}{dz} \mu_1(\lambda z, \lambda^\delta \phi)$$

Proof: Show for $\frac{d}{dx}$ and $D_x: \mathbb{F} \rightarrow \mathbb{F}$.

1) Determine D_x :

$$D_x \phi := \left. \frac{d}{dx} \mu_1(x+i0, \phi) \right|_{x=0} \in \overline{\mathbb{F}}$$

Action of Δ : Let $\phi \in \mathbb{F}_S$

$$\begin{aligned} \lambda^\Delta D_x \phi &= \lambda^\Delta \frac{d}{dx} \mu_1(x, \phi) = \frac{d}{dx} \mu_1(\lambda x, \lambda^\delta \phi) \\ &= \lambda^\delta \lambda \frac{d}{dx} \mu_1(x, \phi) = \lambda^{\delta+1} D_x \phi \end{aligned}$$

Thus $D_x \phi \in \mathbb{F}_{S+1}$.

2) Reduce general case to μ_1 by factorisation:

$$\begin{aligned} \text{Have } \mu_n(z+\varepsilon, w_1, \dots), \phi \otimes \psi_1 \otimes \dots \\ = \lim_{d \rightarrow \infty} \mu_n(z, w_1, \dots), P_{\leq d} \mu_1(\varepsilon, \phi) \otimes \psi_1 \dots \end{aligned}$$

Need to show that we can take $\frac{\partial}{\partial \varepsilon}$ past $\lim_{d \rightarrow \infty}$.

use aside:

Let $P_{=s}$ be projection $P_{=s} : F \rightarrow F_s$. Factorisation is equiv. to, for all $\alpha \in (F_{\leq r})^*$

$$\alpha \circ \mu_n(\vec{z}, u \otimes v) = \lim_{d \rightarrow \infty} \sum_{s \leq d} a_s$$

$$a_s = \alpha \circ \mu_k(\vec{z}_{[1 \dots k]}^{\vec{p}}, u \otimes P_{=s} \mu(\vec{z}_{[k+1 \dots n]}^{-\vec{p}}, v)$$

Lemma $\sum_s a_s$ converges absolutely

$$\begin{aligned} \text{pf: Write } a_s(\lambda) &= P_{=s} \lambda^\Delta \mu(z_{k+1}, \dots, z_n), \phi_{k+1} \otimes \dots \phi_n \\ &= P_{=s} \mu(\lambda z_{k+1}, \dots, \lambda^\Delta \phi_{k+1} \otimes \dots) \end{aligned}$$

But also $a_s(\lambda) = \lambda^\delta a_s$. There exists $\Delta > 1$ s.th.

$\Lambda z_{k+1}, \dots, \Lambda z_n$ are still inside the same disc. Thus also

$$\lim_{d \rightarrow \infty} \sum_{\delta \leq d} a_\delta \Lambda^\delta$$

exists. Hence there is $S > 0$ s.th. $|a_\delta \Lambda^\delta| < S$ for all δ .
Then

$$\sum_\delta |a_\delta| = \sum_\delta |a_\delta \Lambda^\delta| \cdot \Lambda^{-\delta} \leq S \sum_\delta \Lambda^{-\delta}$$

and the last sum converges by the spectral gap condition. $\square$

$$\begin{aligned} \text{Now for } \phi \in \mathcal{F}_\kappa : \quad P_{=\delta} \mu_1(\varepsilon, \phi) &= P_{=\delta} \varepsilon^{\Delta-\kappa} \mu_1(1, \phi) \\ &= \varepsilon^{\delta-\kappa} P_{=\delta} \mu_1(1, \phi) \end{aligned}$$

If $\delta > \kappa$, this holds for $\varepsilon=0$, too. (Take $\lim_{\varepsilon \rightarrow 0}$ on both sides.)

Let $\alpha \in (P_{\leq r})^k$ and

$$a_\delta(\varepsilon) = \alpha \circ \mu_n((z, w_1, \dots), P_{\leq \delta} \mu_1(\varepsilon, \phi) \otimes \psi_1 \dots)$$

Then $\sum_\delta a_\delta(\varepsilon_0)$ converges absolutely for some $\varepsilon_0 > 0$.

Since for all ε with $0 \leq \varepsilon \leq \varepsilon_0$ and $\delta > \kappa$

$$|a_\delta(\varepsilon)| = \left(\frac{\varepsilon}{\varepsilon_0}\right)^{\delta-\kappa} \cdot |a_\delta(\varepsilon_0)| \leq |a_\delta(\varepsilon_0)|.$$

Thus $\sum_\delta a_\delta(\varepsilon)$ splits into a finite sum ($\delta < \kappa$)

and a sum which converges normally on $0 < \varepsilon \leq \varepsilon_0$ ($\delta \geq \kappa$).

For normally convergent sums, $\sum_\delta$ and $\frac{d}{d\varepsilon}$ commute.

□

... scale covariant field theory

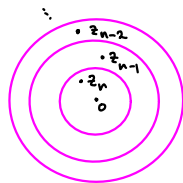
- Let $m : \mathbb{C}^* \times \overline{F}^{\otimes 2} \longrightarrow \overline{F}$
 $(z, \phi \otimes \psi) \mapsto \mu_2((z, 0), \phi \otimes \psi)$

Then m determines μ_n for all n

Sketch of proof:

- For $|z_1| > \dots > |z_n|$ use factorisation

$$\begin{aligned} & \mu_{n+1}((z_1, \dots, z_n, 0), \phi_1 \otimes \dots \otimes \phi_n \otimes \psi) \\ &= \lim_{d \rightarrow \infty} \mu_n((z_1, \dots, z_{n-1}, 0), \\ & \quad \phi_1 \otimes \dots \otimes \phi_{n-1} \otimes p_{\leq d} m(z_n, \phi_n \otimes \psi)) \end{aligned}$$



- For general z_i use continuity.

... scale covariant field theory

- $\Delta 1 = 0, \quad \partial 1 = 0 = \bar{\partial} 1$

Sketch of proof:

- $\mu_1(z, 1) = \mu_2((z, 0), 1 \otimes 1) = \mu_1(0, 1) = 1$

implies derivative

- Write $1 = \sum_{\delta \in I} a_\delta$, where I finite and $a_\delta \in \mathbb{F}_\delta$.

Insert in

$$\begin{aligned} \lambda^\Delta \mu_2((x, 0), 1 \otimes 1) &= \mu_2((\lambda x, 0), \lambda^\Delta 1 \otimes \lambda^\Delta 1) \\ &= \sum_{\delta \in I} \lambda^\delta a_\delta \end{aligned}$$

and compare x -dependence

Boring example

A : finite dim. comm. assoc. algebra / $\mathbb{C}$

Then take

$$\overline{F} = \overline{F}_0 = A$$

$$\Delta = 0$$

$$1 \in A \quad \text{unit}$$

$$\mu_n((z_1, \dots, z_n), a_1 \otimes \dots \otimes a_n) = a_1 \cdot \dots \cdot a_n$$

Slightly less boring example

A : comm. assoc. algebra $/\mathbb{C}$

$\mathbb{Z}$ -graded & bounded below

D : derivation on A of deg 1

Take

$$\Gamma = A \quad (\mathbb{Z}\text{-graded}) \quad 1 \in A$$

$$\Delta = \deg$$

$$\mu_n((z_1, \dots, z_n), a_1 \otimes \dots \otimes a_n) = \prod_{i=1}^n (e^{z_i D})(a_i)$$

$$\text{E.g. } A = \mathbb{C}[x^{-1}] \simeq \mathbb{C}[x^{-1}] / \langle x^{-1} \rangle, \quad D = \frac{d}{dx}, \quad \Delta = -\deg$$

Holomorphic integral subtheory

$$\begin{aligned}\text{Let } V &:= \text{span } \{ \phi \in T_\delta \text{ for } \delta \in \mathbb{Z} \mid \bar{\partial}\phi = 0 \} \\ &= \ker \bar{\partial} \cap \bigoplus_{\delta \in \mathbb{Z}} T_\delta\end{aligned}$$

For $\phi_1, \dots, \phi_n \in V$ have

$$\frac{d}{d\bar{z}_i} \mu_n(z_1, \dots, z_n, \phi_1 \otimes \dots \otimes \phi_n) = 0$$

Lemma $\mu_n(z_1, \dots, \phi_1 \otimes \dots) \in \overline{V}$

... holomorphic integral subtheory

Sketch of proof:

- $\mu_n \in \ker \bar{\partial}$:

$$\begin{aligned}
 0 &= \frac{d}{d\varepsilon} \mu_n(z_1 + \varepsilon, \dots, z_n + \varepsilon, \phi_1 \otimes \dots) \big|_{\varepsilon=0} \\
 &= \frac{d}{d\varepsilon} \lim_{d \rightarrow \infty} \mu_1(\varepsilon, P_{\leq d} \mu_n(z_1, \dots, z_n, \phi_1 \otimes \dots)) \big|_{\varepsilon=0}
 \end{aligned}$$

└── show ──┐
└── becomes $\bar{\partial}$ ──┐

- $\mu_n \in \prod_{s \in \mathbb{Z}} F_s$: For $\lambda > 0$, $\phi_i \in V_{s_i}$

$$P_{=s} \lambda^\Delta \mu_n(z_1, \dots), \phi_1 \otimes \dots$$

$$\lambda^\delta P_{=s} \mu_n(\dots)$$

↖ hol. in $\lambda \in \mathbb{C}^*$ iff $s \in \mathbb{Z}$

$$\lambda^{\underbrace{s_1 + \dots + s_n}_{\in \mathbb{Z}}} \mu_n(\lambda z_1, \dots, \lambda z_n, \phi_1 \otimes \dots)$$

↖ hol. in $\lambda \in \mathbb{C}^*$

$\mathbb{Z}$ -graded vertex algebras

Notation: V : $\mathbb{C}$ -v.sp. x : formal variable

$V[[x^{\pm 1}]]$: formal Laurent series $\sum_{m \in \mathbb{Z}} v_m x^m$, $v_m \in V$

Definition A $\mathbb{Z}$ -graded vertex algebra consists of

- a $\mathbb{Z}$ -graded $\mathbb{C}$ -v.sp $V = \bigoplus_{m \in \mathbb{Z}} V_m$ s.th.

$\dim V_m < \infty$, $V_m = 0$ for $m \ll 0$.

- a linear map $Y: V \longrightarrow (\text{End } V)[[x^{\pm 1}]]$
 $u \longmapsto Y(u, x)$

- a linear map $D: V \rightarrow V$ of degree 1

- $1 \in V_0$

... $\mathbb{Z}$ -graded vertex algebras

subject to the conditions

- $Y(1, x) = \text{id}_V$, $Y(u, x) 1 = u + O(x)$

- $[D, Y(u, x)] = \frac{d}{dx} Y(u, x)$

- For $u \in V_k$, $u_m \in \text{End } V$ defined as

$$Y(u, x) = \sum_{m \in \mathbb{Z}} u_m x^{-m-k},$$

has degree $-m$.

- For all $u, v \in V$:

$Y(u, x)v$ has only finitely many neg. powers of x

$$\exists M > 0 : (x-y)^M [Y(u, x), Y(v, y)] = 0$$

... $\mathbb{Z}$ -graded vertex algebras

$$(x-y)^M [Y(u, x), Y(v, y)] = 0$$

Fun with formal series

$$\delta(x/y) := \sum_{m \in \mathbb{Z}} x^m y^{-m} \in \mathbb{C}[[x^{\pm 1}, y^{\pm 1}]]$$

$$\begin{aligned} (x-y) \delta(x/y) &= \underbrace{\sum_{m \in \mathbb{Z}} x^{m+1} y^{-m}}_{= \sum_{n \in \mathbb{Z}} x^n y^{-n+1}} - \sum_{m \in \mathbb{Z}} x^m y^{-m+1} \\ &= 0. \end{aligned}$$

Thus $(x-y) \cdot - \hookrightarrow \mathbb{C}[[x^{\pm 1}, y^{\pm 1}]]$ is not injective.

Relation between the two

$\mathcal{F}, 1, \mu_n$

holomorphic integral
scale cov. field th.

$V, 1, Y, D$

$\mathbb{Z}$ -graded vertex algebra



$$\begin{aligned}\mathcal{F} &= V \\ 1 &= 1\end{aligned}$$

$$m(z, \phi \otimes \psi) = "Y(x, \phi) \psi \text{ with formal } x \text{ replaced by } z \in \mathbb{C}^*" "$$

Theorem. The assignment $\uparrow$ defines a one-to-one correspondence.

Example : Heisenberg vertex algebra (aka U(1)-current algebra)

Lie algebra H with generators

$$a_m \quad (m \in \mathbb{Z}) \quad , \quad K$$

and bracket

$$[a_m, a_n] = m \cdot \delta_{m+n} K \quad \text{and } K \text{ central}$$

$$\text{Let } H_{\geq 0} = \text{span} \{ K, a_m \mid m \geq 0 \}$$

$\mathbb{C}_0$: $H_{\geq 0}$ -module s.t.h.

K acts as 1 , a_m acts as 0 ($m \geq 0$)

Set

$$V_H := U(H) \otimes_{H_{\geq 0}} \mathbb{C}_0 \quad (\text{induced module})$$

... Heisenberg vertex algebra

$$V_H := U(H) \underset{H \otimes \mathbb{C}_0}{\otimes} \mathbb{C}_0$$

V_H has basis (set $1 := 1 \otimes 1 \in V_H$)

$$a_{-m_1} \cdots a_{-m_K} 1 \quad m_1 \geq m_2 \geq \cdots \geq m_K > 0$$

and is $\mathbb{Z}$ -graded by $-(\text{total degree})$ of $\curvearrowright$.

Set

$$Y(a_{-1}1, x) := \sum_{m \in \mathbb{Z}} a_m x^{-m-1} \in \text{End}(V_H)[[x^{\pm 1}]]$$

Theorem. The data $V_H, 1, Y$ extends uniquely to a $\mathbb{Z}$ -graded vertex algebra on V_H .

... Heisenberg vertex algebra

$$(x-y)^M [\gamma(u, x), \gamma(v, y)] = 0$$

Abbrev. $j(x) := \gamma(a_{-1}, x) = \sum_{m \in \mathbb{Z}} a_m x^{-m-1}$

Lemma $(x-y)^2 [j(x), j(y)] = 0$

pf.: $[j(x), j(y)] = \sum_{m, n \in \mathbb{Z}} \underbrace{[a_m, a_n]}_{= m \cdot \delta_{m+n, 0} K} x^{-m-1} y^{-n-1}$ $\leftarrow \text{acts as id}$

$$= \sum_{m \in \mathbb{Z}} m x^{-m-1} y^{m-1} = x^{-1} \frac{\partial}{\partial y} \sum_{m \in \mathbb{Z}} x^{-m} y^m$$

$$= x^{-1} \frac{\partial}{\partial y} \delta\left(\frac{y}{x}\right)$$

and

$$0 = \frac{\partial}{\partial y} \left((x-y)^2 \delta\left(\frac{y}{x}\right) \right) = (x-y)^2 \frac{\partial}{\partial y} \delta\left(\frac{y}{x}\right) . \quad \square$$

Conformal field theory

Definition A conformal field theory is a scale cov. field theory $T, \bar{T}, \mu_n$ together with

$$T, \bar{T} \in F_2$$

(the holom. and antihol. components of the stress tensor)

s.t.

- $\bar{\partial} T = 0 = \partial \bar{T}$

- For appropriate $c, \bar{c} \in \mathbb{C}$ (hol./antihol. central charge)

$$m(z, T \otimes T) = \frac{c}{2} 1 \cdot z^{-4} + 2T \cdot z^{-2} + \partial T \cdot z^{-1} + \text{reg}$$

$$m(z, \bar{T} \otimes \bar{T}) = \frac{\bar{c}}{2} 1 \cdot \bar{z}^{-4} + 2\bar{T} \cdot \bar{z}^{-2} + \partial \bar{T} \cdot \bar{z}^{-1} + \text{reg}$$

... conformal field theory

Notation: Define $L_m, \bar{L}_m : \mathcal{F} \rightarrow \mathcal{F}$ via Laurent expansion

$$m(z, T \otimes \phi) = \sum_{m \in \mathbb{Z}} L_m(\phi) z^{-m-2}$$

$$m(z, \bar{T} \otimes \phi) = \sum_{m \in \mathbb{Z}} \bar{L}_m(\phi) \bar{z}^{-m-2}$$

Lemma $L_m, \bar{L}_m : \mathcal{F}_s \longrightarrow \mathcal{F}_{s-m}$

Pf via scale covariance

$$\bullet \quad \partial = L_{-1} \quad , \quad \bar{\partial} = \bar{L}_{-1} \quad , \quad \Delta = L_0 + \bar{L}_0$$

... conformal field theory

Definition The Virasoro algebra is the Lie algebra with basis $\{C, L_m \ (m \in \mathbb{Z})\}$ and bracket

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{1}{12} (m^3 - m) \delta_{m+n,0} C$$

with C central.

... conformal field theory

Proposition T carries a repⁿ of $\text{Vir} \oplus \text{Vir}$ via

$$(c, 0) \mapsto c \cdot \text{id} \qquad (0, c) \mapsto \bar{c} \cdot \text{id}$$

$$(L_m, 0) \mapsto L_m \qquad (0, L_m) \mapsto \bar{L}_m$$

Sketch of proof:

- Write $L_m \phi = \int_{\odot} z^{m+1} m(z, T \otimes \phi) \frac{dz}{2\pi i}$

and check $L_m L_n \phi - L_n L_m \phi = \dots$

using $m(z, T \otimes T) = \frac{c}{2} z^{-4} + \dots$

- Dito $\bar{L}_m \phi$

- Lemma. $m(z, T \otimes \bar{T})$ is regular in z

Conclude that $[L_m, \bar{L}_n] = 0$.

... conformal field theory

Examples from scale cov. field theories

- boring example : $T = T_0 = A$ (f.dim. comm. alg.)

$$T = \bar{T} = 0$$

- slightly less boring example :

$$F = \mathbb{C}[x^{-1}], \quad D = \frac{d}{dx}, \quad \Delta = -\deg$$

cannot be made into a CFT

Pf.: $T_2 = \mathbb{C}x^{-2}$, so $T = \alpha x^{-2}$ for some $\alpha \in \mathbb{C}$. But

$$m(z, x^{-1} \otimes x^{-1}) = (e^z \frac{d}{dx} x^{-1}) x^{-1} = x^{-2} \sum_{m=0}^{\infty} (-1)^m z^m x^{-m}$$

contains no negative powers of z . Hence $T=0$, $\hookrightarrow$ to $D=L_{-1}$

Local conformal symmetry

Proposition. For $m \geq -1$, $\vec{z} = (z_1, \dots, z_k) \in \mathcal{M}_k$,

$$L_m \mu_k(\vec{z}, \phi_1 \otimes \dots \otimes \phi_k)$$

$$= \sum_{i=1}^k z_i^{m+1} \frac{\partial}{\partial z_i} \mu_n(\vec{z}, \phi_1 \otimes \dots)$$

$$+ \sum_{i=1}^k \underbrace{\sum_{l=0}^{\infty} \binom{m+1}{l+1} z_i^{m-l}}_{\text{sum is finite as } l \gg 0 \text{ as spectrum bounded below}} \mu_n(\vec{z}, \phi_1 \otimes \dots \underbrace{L_{l+1} \phi_i}_{\text{will vanish for } l \gg 0} \otimes \dots \otimes \phi_k)$$

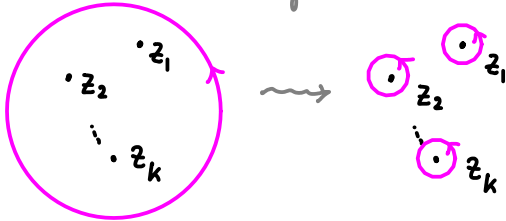
and similar for $\bar{L}_m$.

Note: $z^{m+1} \frac{\partial}{\partial z}$ ($m \geq -1$) are globally defined holom. vector fields

... local conformal symmetry

Sketch of proof:

- Write L_m action as contour integral and deform contour



- Use Laurent expansion of T around z_i and the axiom that $L_{-1}\phi_i = \partial\phi_i \rightsquigarrow \frac{\partial}{\partial z_i} \mu_n(\dots)$.

Vertex operator algebras

Definition A vertex operator algebra is a $\mathbb{Z}$ -graded vertex algebra $(V, 1, Y)$ together with

$$T \in V_2 \quad (\text{Virasoro element})$$

s.t.

- for $Y(T, x) = \sum_{m \in \mathbb{Z}} L_m x^{-m-2}$ and an appropriate $c \in \mathbb{C}$:

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{c}{12}(m^3-m) \delta_{m+n,0}$$

- $L_0|_{V_m} = m \cdot \text{id}_{V_m}$ and $L_{-1} = D$

Relation between the two

$F, 1, \mu, T$

holomorphic integral
conformal field th.

$V, 1, Y, T$

vertex operator algebra



$$F = V$$

$$1 = 1$$

$$T = T$$

$$m(z, \phi \otimes \psi) = "Y(x, \phi) \psi \text{ with formal } x \text{ replaced by } z \in \mathbb{C}^*" "$$

Theorem. The assignment $\uparrow$ defines a one-to-one correspondence.

Example: Heisenberg vertex operator algebra

$$\text{Lie alg } H : [a_m, a_n] = m \cdot \delta_{m+n} K$$

$$\text{Basis of } V_H : a_{-m_1} \cdots a_{-m_K} 1, \quad m_1 \geq m_2 \geq \cdots \geq m_K > 0$$

$$(V_H)_2 = \mathbb{C} a_{-1} a_{-1} 1 \oplus \mathbb{C} a_{-2} 1$$

Prop For each $\beta \in \mathbb{C}$, $T = \frac{1}{2} a_{-1} a_{-1} 1 + \beta a_{-2} 1$ defines VOA structure on $\mathbb{Z}$ -gr. vertex algebra V_H of central charge

$$c = 1 - 12\beta^2$$

These are all Virasoro elements for V_H .

Part III

① Some motivation from lattice models

conformal field theory

②

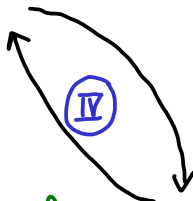


vertex operator algebra

③



braided tensor cat. of
VOA-modules



commutative algebra
in (two copies of)
cat. of VOA-modules

Modules over a CFT

CFT : n -fold position dependant products

$$\mu_n : \mathcal{M}_n \times F^{\otimes n} \longrightarrow \overline{F}$$

CFT-module : n -fold position dependant action

$$\rho_n : \mathcal{M}_{n+1} \times F^{\otimes n} \otimes M \longrightarrow \overline{M}$$

and "obvious axioms" s.th. F is a module over itself, or, indeed, **over any sub-CFT of F**

In more detail ...

... modules over a CFT

Data: $M = \bigoplus_{S \in R} M_S$ $\mathbb{R}$ -graded $\mathbb{C}$ -v.sp.

$$\Delta : M \rightarrow M, \quad \Delta|_{M_S} = S \cdot \text{id}_{M_S}$$

$$p_n : M_{n+1} \times V^{\otimes n} \otimes M \rightarrow \bar{M}$$

smooth in $\nearrow$, linear in $\nwarrow$

Properties:

- $\dim M_S < \infty$, $M_S = 0$ for $S \ll 0$,
there is a minimal gap ($\exists \varepsilon > 0 : S \neq S' \text{ and } M_S \neq 0, M_{S'} \neq 0 \Rightarrow |S - S'| \geq \varepsilon$)

... modules over a CFT

- (permutation invariance) for all $\sigma \in S_n$

$$\begin{aligned} \rho_n(z_1, \dots, z_n, w), \phi_1 \otimes \dots \otimes \phi_n \otimes \psi \\ = \rho_n(z_{\sigma_1}, \dots, z_{\sigma_n}, w), \phi_{\sigma_1} \otimes \dots \otimes \phi_{\sigma_n} \otimes \psi \end{aligned}$$

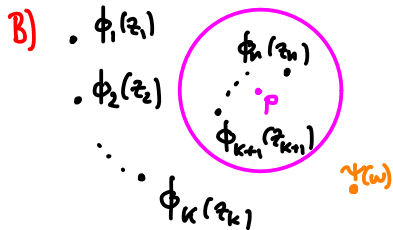
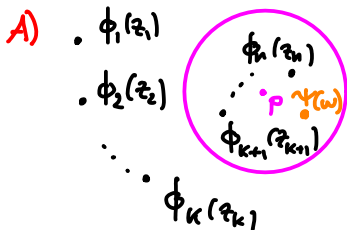
- (unit)

$$\begin{aligned} \rho_{n+1}(z_1, \dots, z_{n+1}, w), \dots \otimes \phi_n \otimes 1 \otimes \psi \\ = \rho_n(z_1, \dots, z_n, w), \dots \phi_n \otimes \psi \end{aligned}$$

$$\rho_0(0, \psi) = \psi$$

... modules over a CFT

- (factorisation)



$$\rho_n(\vec{z}, w), \phi_1 \otimes \dots \otimes \psi$$

$$= \begin{cases} \text{A: } \lim_{d \rightarrow \infty} \rho_k((z_1, \dots, z_k, p), \phi_1 \otimes \dots \otimes \phi_k \otimes P_{\leq d} \xi_A) \\ \quad \xi_A = \rho_{n-k}((z_{k+1}-p, \dots, w-p), \phi_{k+1} \otimes \dots \otimes \phi_n \otimes \psi) \\ \text{B: } \lim_{d \rightarrow \infty} \rho_{k+1}((z_1, \dots, z_k, p, w), \phi_1 \otimes \dots \otimes \phi_k \otimes P_{\leq d} \xi_B \otimes \psi) \\ \quad \xi_B = \mu_{n-k}((z_{k+1}-p, \dots, z_n-p), \phi_{k+1} \otimes \dots \otimes \phi_n) \end{cases}$$

... modules over a CFT

- (scale covariance) For $\lambda > 0$

$$\begin{aligned} \lambda^\Delta \rho_n((z_1, \dots, w), \phi_1 \otimes \dots \otimes \psi) \\ = \rho_n((\lambda z_1, \dots, \lambda w), \lambda^\Delta \phi_1 \otimes \dots \otimes \lambda^\Delta \psi) \end{aligned}$$

- Axioms so far imply (see below): $\text{Vir} \oplus \text{Vir}$ acts on M .
Demand:

$$\Delta = L_0 + \bar{L}_0$$

$$\begin{aligned} \frac{\partial}{\partial w} \rho_n((\vec{z}, w), \phi_1 \otimes \dots \otimes \phi_n \otimes \psi) \\ = \rho_n((\vec{z}, w), \phi_1 \otimes \dots \otimes \phi_n \otimes L_{-1} \psi) \end{aligned}$$

$$\frac{\partial}{\partial \bar{w}} \dots = \dots \bar{L}_{-1} \dots$$

... modules over a CFT

$$\text{let } \rho : \mathbb{C}^* \times T \otimes M \rightarrow M$$

$$(z, \phi \otimes \psi) \mapsto \rho_2((z, 0), \phi \otimes \psi)$$

Lemma $\rho(z, T \otimes \psi)$ is analytic on $\mathbb{C}^*$ and the L_m in

$$\rho(z, T \otimes \psi) = \sum_{m \in \mathbb{Z}} L_m z^{-m-2}$$

are degree $-m$ operators on M and define a repⁿ of Vir of central charge c (same as for T)

... modules over a CFT

For boring example ($\mathcal{T} = \mathcal{T}_0 = A$ f.dim. comm. alg.
 $\mathcal{T} = 0 = \bar{\mathcal{T}}$):

$$\mathcal{T}\text{-module} \cong \text{f.dim. } A\text{-module}$$

Let $E \subset \mathcal{T}$ be a sub-CFT. Then $\mathcal{T}$ is an E -module.

In particular, $E = \langle V_{\text{hol, int.}}, V_{\text{antihol, int.}} \rangle$

... modules over a CFT

M, N : F -modules

$$\mathrm{Hom}_F(M, N) = \left\{ \begin{array}{l} \text{grading pres. lin. maps } M \xrightarrow{f} N, \\ f \circ \rho_M(\dots \otimes \psi) = \rho_N(\dots \otimes f\psi) \end{array} \right\}$$

$\leadsto$ category $F\text{-mod}$

$F\text{-mod}$ is a $\mathbb{C}$ -linear abelian category.

Modules over a VOA

Definition (with omissions). $V : \text{VOA}$

A V -module is a $\mathbb{C}$ -graded v.sp. M (fin. dim. components, grading bounded below) and a lin. map

$$\begin{aligned} Y_M : V &\longrightarrow (\text{End } M)[[x^{\pm 1}]] \\ v &\longmapsto Y_M(v, x) \end{aligned}$$

s.th.

"truncation, vacuum, grading by L_0 , Jacobi-identity"

V -modules form a $\mathbb{C}$ -linear abelian category $V\text{-mod}$.

... modules over a VOA

Relation:

$V : \text{hol. integral CFT} \xleftrightarrow{1:1} V : \text{VOA}$

$M : \text{CFT-module for } V \xleftrightarrow{1:1} M : \mathbb{R}\text{-graded VOA-module for } V \text{ s.t. spectrum of weights has a minimal gap}$

$V\text{-mod}_{(\text{CFT})} \cong V\text{-mod}'_{(\text{VOA})} \xleftarrow{\text{subcat. satisfying}} \text{ (arrow pointing to } V\text{-mod}'_{(\text{VOA})} \text{)}$

- restriction to $\mathbb{R}$ -grading: lazyness
- minimal gap: automatic for irreducible VOA-modules (and thus also for VOA-modules with finite composition series)

Example : Heisenberg VOA

$$\text{Lie alg } H : [a_m, a_n] = m \cdot \delta_{m+n} K$$

$$\text{Basis of } V_H : a_{-m_1} \cdots a_{-m_K} 1, \quad m_1 \geq m_2 \geq \cdots \geq m_K > 0$$

$$V_H = U(H) \otimes_{H_{\geq 0}} \mathbb{C}_0$$

$$T = \frac{1}{2} a_{-1} a_1 1 + \beta a_{-2} 1 \quad (\beta \in \mathbb{C})$$

let $q \in \mathbb{C}$ and let

$$\mathbb{C}_q : 1\text{-dim. } H_{\geq 0}\text{-module s.t.h.}$$

- K acts as id
- a_m as 0 ($m > 0$)
- a_0 as $q \cdot \text{id}$

Define

$$M_q := U(H) \otimes_{H_{\geq 0}} \mathbb{C}_q$$

... example : Heisenberg VOA

$$M_q := U(H) \bigotimes_{H \geq 0} \mathbb{C}_q$$

- $V_H = M_0$ as H -module
- Write $1_q := 1 \otimes 1 \in M_q$. M_q has basis
 $a_{-m_1} \dots a_{-m_K} 1$, $m_1 \geq m_2 \geq \dots \geq m_K > 0$
- a_0 acts on M_q as $q \cdot \text{id}_{M_q}$

Proposition There is a unique V_H -module structure on M_q s.th. for $\psi \in M_q$:

$$Y_{M_q}(a_{-1} 1) \psi = \sum_{m \in \mathbb{Z}} x^{-m-1} a_m \psi$$

... example: Heisenberg VOA

- L_m acts on M_q as $(T = \frac{1}{2} a_{-1} a_{-1} 1 + \beta a_{-2} 1)$

$$L_m = \frac{1}{2} \sum_{k \in \mathbb{Z}} : a_k a_{m-k} : - \beta(m+1) a_m$$

normal ordering $: a_k a_\ell :$ $:= \begin{cases} a_k a_\ell & k \leq \ell \\ a_\ell a_k & k > \ell \end{cases}$

$$L_0 1_q = \left(\frac{1}{2} a_0^2 - \beta a_0 \right) 1_q = \frac{1}{2} q(q-2\beta) 1_q$$

- Thus grading on M_q is $\mathbb{Z}_{\geq 0} + \frac{1}{2} q(q-2\beta)$

... example: Heisenberg VOA

For a $\mathbb{C}$ -graded v.s.p. W , let $H_{\geq 0}$ act as

K as id_W ,
 a_n ($n > 0$) as 0
 a_0 as grading

define

$$\hat{W} := U(H) \otimes_{H_{\geq 0}} W$$

Check: $\hat{W}$ has f-dim. homog. comp. & grading bnd. below

$\Leftrightarrow$

W has f-dim. components and $\text{Re}(q+\beta)^2$ bnd. below for all q s.t. $W_q \neq 0$.

Call these $\uparrow$ $\text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}}$

... example : Heisenberg VOA

Get a fully faithful functor

$$\hat{(\)} : \text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}} \longrightarrow V_H\text{-mod}$$

of $\mathbb{C}$ -linear categories.

(Its image consists of all V_H -modules on which a_0 acts diagonalisably.)

Conformal blocks

So far : CFT : μ_n

all insertions from $\mathcal{F}$

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \phi_3(z_3) \cdot \phi_4(z_4)$$

CFT module : μ_n

one insertion from $\mathcal{M}$

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \phi_3(z_3) \cdot \psi(w)$$

conformal block :

insertions from several modules $\mathcal{M}, \mathcal{N}, \dots$

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \psi(w_1) \cdot \xi(w_2)$$

... conformal blocks

conformal block :

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \psi(w_1) \cdot \xi(w_2)$$

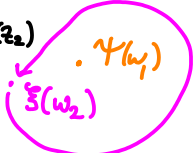
Complication

single valued in z_1, z_2

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \psi(w_1) \cdot \xi(w_2)$$


but

typically **not** single
valued as fn of w_1, w_2

$$\phi_1(z_1) \cdot \phi_2(z_2) \cdot \psi(w_1) \cdot \xi(w_2)$$


... conformal blocks

Replace configuration space $\mathcal{M}_n$.

$\mathcal{M}_{n,k} \sim$ "arguments for functions with n single valued variables and k multivalued variables"

$\begin{array}{c} \tilde{\mathcal{M}}_k \\ \pi \downarrow \\ \mathcal{M}_k \end{array} : \text{universal cover of } \mathcal{M}_k$

$$\mathcal{M}_{n,k} := \{ (\bar{z}, \tilde{w}) \in \mathcal{M}_n \times \tilde{\mathcal{M}}_k \mid (\bar{z}, \pi(\omega)) \in \mathcal{M}_{n+k} \}$$

e.g. $\mathcal{M}_{n,1} = \mathcal{M}_{n+1}$, but $\mathcal{M}_{n,2} \neq \mathcal{M}_{n+2}$

... conformal blocks

F : CFT

$M_1, \dots, M_k, N$: F -modules

A conformal block for F with insertions $M_1, \dots, M_k$ taking values in $\bar{N}$ is a collection $(\gamma_{n,k})_{n \geq 0}$ of functions

$$\gamma_{n,k} : \mathcal{M}_{n,k} \times F^{\otimes n} \otimes M_1 \otimes \dots \otimes M_k \longrightarrow \bar{N}$$

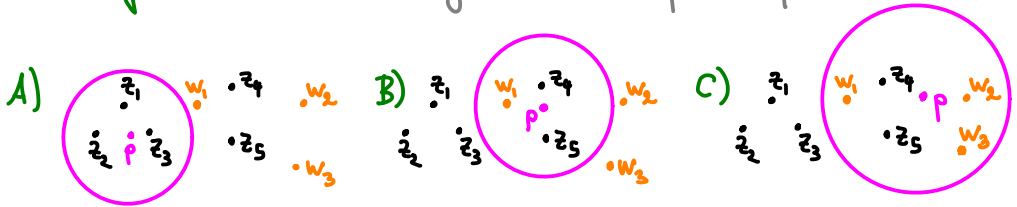
$\uparrow$ smooth $\uparrow$ linear

s.th.

- permutation invariance in first n arguments
- unit condition " "

... conformal blocks

- scale covariance in all $n+k$ arguments
- factorisation: (only ≤ 1 or all points from $w_1, \dots, w_k$)



$$\gamma_{n,k} = \begin{cases} A: \lim_{d \rightarrow \infty} \gamma_{\ell,k} (\dots, \dots P_{\leq d} / \mu_{n-\ell} \dots) \\ B: \lim_{d \rightarrow \infty} \gamma_{\ell,k} (\dots, \dots P_{\leq d} p_{n-\ell+1} \dots) \\ C: \lim_{d \rightarrow \infty} p_{\ell} (\dots, \dots P_{\leq d} \gamma_{n-\ell,k}) \end{cases}$$

... conformal blocks

Let

$$B(M_1, \dots, M_k; N)$$

be the space of conformal blocks of this type

Observations

- $B(M_1, \dots, M_k; N)$ is a $\mathbb{C}$ -vector space via

$$\begin{aligned} & \lambda (\gamma_{n,k})_{n \in \mathbb{Z}_{\geq 0}} + \mu (\gamma'_{n,k})_{n \in \mathbb{Z}_{\geq 0}} \\ &= (\lambda \gamma_{n,k} + \mu \gamma'_{n,k})_{n \in \mathbb{Z}_{\geq 0}} \quad (\lambda, \mu \in \mathbb{C}) \end{aligned}$$

as all conditions are linear in γ .

... conformal blocks

- $B(\underbrace{-, \dots, -}_{k \text{ arguments}}; -)$ defines a functor
 $\underbrace{F\text{-mod}^{\text{op}} \times \dots \times F\text{-mod}^{\text{op}} \times F\text{-mod}}_{k \text{ factors}} \rightarrow \text{Vect}_{\mathbb{C}}$
- $\gamma_{n,k} \in B(M_1, \dots, M_k; N)$ is uniquely determined by $\gamma_{0,k}$.
- $B(M; N) \longrightarrow \text{Hom}_{\mathbb{F}}(M, N)$
 $(\gamma_{n,1})_n \longmapsto \gamma_{0,1}(w=0, -)$
 is well-defined and a $\mathbb{C}$ -linear isomorphism
 (natural in M, N)

... conformal blocks

Sketch of proof of last point:

- use scale covariance to see $\psi \in M_s \Rightarrow \gamma_{0,1}(0, \psi) \in N_s$
(thus $\gamma_{0,1}(0, -)$ maps to N , not only $\bar{N}$)
- use factorisations



to check that in $\text{Hom}_{\mathbb{Z}}$

- given f , check that $(f \circ p_n)_n \in B(M, N)$

... conformal blocks

- The unit map provides a natural isomorphism

$$\begin{aligned} B(M_1, \dots, F, \dots, M_k, N) &\xrightarrow{\sim} B(M_1, \dots, M_k, N) \\ (\gamma_{n, k+1})_n &\longmapsto (\gamma_{n, k+1}(\dots \underset{\substack{\uparrow \\ \text{argument for } F}}{1} \dots))_n \end{aligned}$$

- Relation to VOA-formalism:

Given VOA-modules M, N, K , one can define intertwining operators from M, N to K .

For $\gamma \in B(M, N; K)$, $\gamma_{0,2}(w, 0, - \ominus -)$ is such an operator (upon replacing w by formal var.)

... conformal blocks

- Let $E \subset \mathbb{F}$ be a sub-VFT. Then

$$(\mu_{n+k})_n \in \mathcal{B}_E(\mathbb{F}, \dots, \mathbb{F}; \mathbb{F})$$

via

$$\mathcal{M}_{n,k} \times E^{\otimes n} \otimes \mathbb{F}^{\otimes k} \longrightarrow \overline{\mathbb{F}}$$

$$((\tilde{z}, \tilde{\omega}), e_1 \otimes \dots \otimes e_n \otimes \phi_1 \otimes \dots \otimes \phi_k) \mapsto \mu_{n+k}((\tilde{z}, \pi(\tilde{\omega})), e_1 \otimes \dots \otimes \phi_k)$$

Horizontal sections

$$\begin{array}{ccc} \text{Trivial bundle} & \mathcal{M}_{n,k} \times \text{Lin}(F^{\otimes n} \otimes M_1 \otimes \dots \otimes M_k, \bar{N}) \\ E := & \downarrow \\ & \mathcal{M}_{n,k} \end{array}$$

$$(\text{so } \gamma_{n,k} \in \Gamma(E))$$

Connection $\nabla = d + A$ on E with, for $f \in \Gamma(E)$,

$$A(f) = - \sum_{i=1}^{n+k} \left(f_{z_i, w} (- \otimes \dots \otimes L_{-1} - \otimes \dots) dz_i \right. \\ \left. + f_{\bar{z}_i, \bar{w}} (- \otimes \dots \otimes \bar{L}_{-1} - \otimes \dots) d\bar{z}_i \right)$$

$$\text{Flat on } E : [\nabla_\alpha, \nabla_\beta] = 0 \quad \alpha, \beta = \frac{\partial}{\partial z_i}, \frac{\partial}{\partial \bar{z}_j}$$

... horizontal sections

Lemma. $\gamma \in \mathcal{B}(M_1, \dots, M_k, N)$

$$\begin{aligned} \frac{\partial}{\partial z_i} \gamma_{n,k} \left((z_1, \dots, z_n), (w_1, \dots, w_k), \dots \otimes \phi_i \otimes \dots \right) \\ = \gamma_{n,k} \left((z_1, \dots, z_n), (w_1, \dots, w_k), \dots \otimes \mathbb{L}_1 \phi_i \otimes \dots \right) \end{aligned}$$

and similarly for $\frac{\partial}{\partial z_i}$, $\frac{\partial}{\partial w_j}$, $\frac{\partial}{\partial \bar{w}_j}$.

Sketch of proof:

Use factorisation and the fact that the corresp. properties hold for μ_n , ρ_n .

Corollary The $\gamma_{n,k}$ are horizontal sections of E .

Monodromies

Fix basepoint $p_0 := (1, 2, \dots, k) \in \mathcal{M}_k$

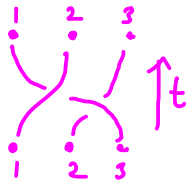
$\tilde{w} \in \tilde{\mathcal{M}}_k$ is a pair $\tilde{w} = (\vec{w}, [\beta])$,

$\vec{w} \in \mathcal{M}_k$ homotopy class of paths
 $p_0 \rightarrow \vec{w}$ in $\mathcal{M}_k$

$\sigma \in S_k$: a permutation

$\alpha : [0, 1] \rightarrow \mathcal{M}_k$: a path $p_0 \rightarrow (\sigma 1, \dots, \sigma k)$

Diffeom. $\alpha^* : \tilde{\mathcal{M}}_k \rightarrow \tilde{\mathcal{M}}_k$
 $(w, [\beta]) \mapsto (w, [\beta \circ \alpha])$



... Monodromies

For a conf. block $\gamma_{n,k}$ write

$$\begin{aligned} & (\alpha^* \gamma_{n,k})(\tilde{z}, \tilde{w}), \phi_1 \otimes \dots \phi_n \otimes \psi_1 \dots \psi_k) \\ & := \gamma_{n,k}(\tilde{z}, \alpha^* \tilde{w}), \phi_1 \otimes \dots \phi_n \otimes \psi_{\sigma^{-1}1} \dots \psi_{\sigma^{-1}k}) \end{aligned}$$

Lemma $\gamma \in \mathcal{B}(M_1, \dots, M_k; N)$

$$\Rightarrow \alpha^* \gamma \in \mathcal{B}(M_{\sigma 1}, \dots, M_{\sigma k}; N),$$

and $\gamma \mapsto \alpha^* \gamma$ is a linear isomorphism.

$\leadsto$ braid group action on $\mathcal{B}(M, \dots, M; N)$

Example : Heisenberg VOA

Fix $q_1, \dots, q_k, p \in \mathbb{C}$, consider $\mathcal{B}(M_{q_1}, \dots, M_{q_k}, M_p)$

$\phi_1, \dots, \phi_n \in V_H$, $\phi_{n+1} \in M_{q_1}$, $\dots$, $\phi_{n+k} \in M_{q_k}$

By contour integration:

$$\begin{aligned} & a_0 \gamma_{u,k}((\vec{z}, \tilde{\omega}), \phi_1 \otimes \dots \otimes \phi_{n+k}) \\ &= \sum_{i=1}^{n+k} \gamma_{u,k}((\vec{z}, \tilde{\omega}), \phi_1 \dots \otimes a_0 \phi_i \otimes \dots \phi_{n+k}) \end{aligned}$$

$\Rightarrow \gamma_{u,k}$ non-zero only for $p = q_1 + \dots + q_k$

... example : Heisenberg VOA

Lemma $\gamma_{n,k}((\tilde{z}, \tilde{\omega}), \phi_1 \otimes \dots)$ uniquely determined
by $1_p^* \gamma_{0,k}(\tilde{\omega}, 1_{q_1} \otimes \dots 1_{q_k})$

Sketch of proof:

Use contour integration to remove negative modes in
 $\phi_i = a_{-m_1} \dots a_{-m_\ell} 1_{q_i}$ for each i .

Thus :

$$\dim B(M_{q_1}, \dots, M_{q_k}, M_p) = \begin{cases} 0 & ; q_1 + \dots + q_k \neq p \\ \leq 1 & ; \text{---} = p \end{cases}$$

 actually = 1 (w/o proof)

... example : Heisenberg VOA

Proposition. $f = 1_p^* \gamma_{n,k} ((\vec{w}, [\alpha]), 1_{q_1} \otimes \dots \otimes 1_{q_k})$ solves

$$\left(\frac{\partial}{\partial w_i} + \sum_{j \neq i} \frac{q_i q_j}{w_j - w_i} \right) f = 0$$

(Knizhnik - Zamolodchikov equation)

Solution :

$$f = (\text{const}) \prod_{i < j} (w_i - w_j)^{q_i q_j}$$

... example : Heisenberg VOA

Sketch of proof : compute

$$L_{-1} 1_{q_i} = \frac{1}{2} \sum_{m \in \mathbb{Z}} a_m a_{-1-m} 1_{q_i} = a_{-1} a_0 1_{q_i} = q_i a_{-1} 1_{q_i}$$

$$\frac{\partial}{\partial w_i} 1_p^* \gamma_{0,K} ((\vec{w}, [\alpha]) , 1_{q_1} \otimes \dots)$$

$$= q_i 1_p^* \gamma_{0,K} ((\vec{w}, [\alpha]) , \dots \otimes a_{-1} 1_{q_i} \otimes \dots)$$

$$= q_i \int \frac{dz}{2\pi i} (z - w_i)^{-1} 1_p^* \gamma_{1,K} ((\vec{w}, [\alpha]) , z , a_{-1} 1_0 \otimes 1_{q_1} \dots 1_{q_K})$$

(•w_i)

$$= -q_i \sum_{j \neq i} \int \frac{dz}{2\pi i} (\dots \text{same} \dots) + q_i \int \frac{dz}{2\pi i} (\text{same})$$

(•w_j) (•w_i ~ w_K)

$$= -q_i \sum_{j \neq i} q_j (w_j - w_i)^{-1} 1_p^* \gamma_{0,K} ((\vec{w}, [\alpha]) , 1_{q_1} \dots) + 0$$

... example: Heisenberg VOA

Compute action of  on $\mathcal{B}(M_p, M_q; M_{p+q})$
 Ψ basis el.

Abbrev.

$$f((z, w), [\tau]) = 1_{p+q}^* \circ v_{0,2}^{p,q}((z, w), [\tau]), 1_p \otimes 1_q)$$

Choose $v^{p,q}$ (uniquely) s.th.

$$f((1, 2), [\text{const}_{\text{path}}]) = 1$$

Had

$$f((z, w), [\tau]) = (\text{const}) (z-w)^{p,q}$$

↖
determined by anal. cont. along τ

... example: Heisenberg VOA

$$f((z, w), [\tau]) = (\text{const}) (z - w)^{pq}$$

Let $\alpha = \begin{array}{c} 1 \\ \diagdown \quad \diagup 2 \\ 1 \quad 1_2 \end{array}$. Using $\uparrow$ one checks

$$\underbrace{\alpha * \gamma^{p,q}} = e^{\pi i pq} \gamma^{q,p}$$

$$\in \mathcal{B}(M_q, M_p; M_{p+q})$$

Tensor product functor on $\mathcal{F}\text{-mod}$

⚠ Starting from here, we will need lots of extra assumptions. These hold e.g. for

- the Heisenberg VOA
- VOAs that are rational, C_2 -cofinite, self-dual and have $V_0 = \mathbb{C}1$.
(cf tensor product theory by Huang, Lepowiski)

... tensor product functor on $\mathcal{F}\text{-mod}$

Abbreviate $\vec{M} = M_1, \dots, M_k$, $M_i \in \mathcal{F}\text{-mod}$

Assume:

The functors $B(\vec{M}, -)$ are representable for all $\vec{M}$:

There is $T(\vec{M}) \in \mathcal{F}\text{-mod}$ s.t.

$$B(\vec{M}, N) \xrightarrow{\sim_{\vec{M}}} \text{Hom}_{\mathcal{F}}(T(\vec{M}), N) ,$$

naturally in N .

... tensor product functor on $F\text{-mod}$

Since $B(\vec{M}, N)$ is natural also in $\vec{M}$ get functors

$$T : \underbrace{F\text{-mod} \times \dots \times F\text{-mod}}_{k \text{ times}} \longrightarrow F\text{-mod}$$

for each $k \geq 1$.

For $n=1$ (see above) : $T : F\text{-mod} \rightarrow F\text{-mod}$,
 $T = \text{id}$.

... tensor product functor on $\mathcal{F}\text{-mod}$

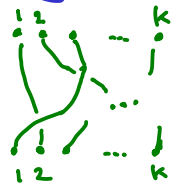
Unit isomorphisms

$$\begin{array}{ccc} \mathcal{B}(\vec{M} \mp \vec{N}; W) & \xrightarrow[\eta_W]{\sim} & \text{Hom}_{\mathcal{F}}(T(\vec{M} \mp \vec{N}), W) \\ \downarrow \cong & & \downarrow \cong \\ \mathcal{B}(\vec{M} \vec{N}; W) & \xrightarrow{\sim} & \text{Hom}_{\mathcal{F}}(T(\vec{M} \vec{N}), W) \end{array}$$

Get natural iso's

$$T(\vec{M} \mp \vec{N}) \xrightarrow[u]{\sim} T(\vec{M} \vec{N})$$

Commutativity isomorphisms

Let $\alpha =$  be an element of the braid group B_k

$\sigma \in S_k$: corresponding permutation.

$$B(M_1, \dots, M_k, N) \xrightarrow[\eta_N]{\sim} \text{Hom}_{\mp}(T(M_1, \dots), N)$$

$$\downarrow \alpha^*$$

$$\downarrow \sigma$$

$$B(M_{\sigma 1}, \dots, M_{\sigma k}, N) \xrightarrow[\eta_N]{\sim} \text{Hom}_{\mp}(T(M_{\sigma 1}, \dots), N)$$

Get nat. iso's $T(M_1, \dots, M_k) \xrightarrow[\mathcal{C}]{\sim} T(M_{\sigma 1}, \dots, M_{\sigma k})$

Example: Heisenberg VOA

$$\text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}} \xrightarrow{(\hat{\cdot})} V_H\text{-mod} \quad \text{fully faithful}$$

Tensor product (2 factors)

$$B(M_p, M_q; W) \xrightarrow{\sim} \text{Hom}_{V_H}(T(M_p, M_q), W)$$

$$B(\hat{\mathbb{C}}_p, \hat{\mathbb{C}}_q; \hat{X}) \xrightarrow{\sim} \text{Hom}_{V_H}(T(\hat{\mathbb{C}}_p, \hat{\mathbb{C}}_q), \hat{X})$$

$$\downarrow$$
$$\text{Homgr}(\mathbb{C}_p \otimes \mathbb{C}_q, X)$$

Thus: can choose

$$T(\hat{\mathbb{C}}_p, \hat{\mathbb{C}}_q) = \widehat{\mathbb{C}_p \otimes \mathbb{C}_q}$$

Hence:

$(\hat{\cdot})$ is a (non-coherent) tensor functor
w.r.t. T with two arguments

... example: Heisenberg VOA

Commutativity isom. (2 factors) , $\alpha =$ 

$$B(\hat{\mathbb{C}}_p, \hat{\mathbb{C}}_q, \hat{\mathbb{C}}_{p+q}) \xrightarrow{\sim} \text{Hom}_{gr}(\mathbb{C}_p \otimes \mathbb{C}_q, \mathbb{C}_{p+q})$$

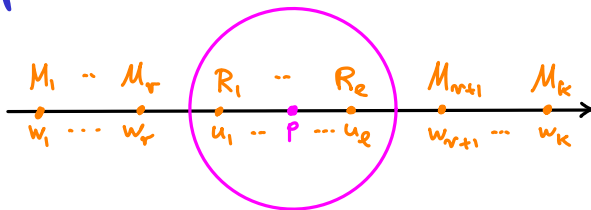
$$\begin{array}{ccc} \downarrow & \downarrow \nu^{pq} & \downarrow \\ B(\hat{\mathbb{C}}_q, \hat{\mathbb{C}}_p, \hat{\mathbb{C}}_{p+q}) & \xrightarrow{\sim} & \text{Hom}_{gr}(\mathbb{C}_q \otimes \mathbb{C}_p, \mathbb{C}_{p+q}) \end{array}$$

$e^{\pi i p q} \cdot \nu^{qp}$

So induced isom. on $\text{Vect}_{\mathbb{C}-gr}^{hd}$ is

$$\begin{aligned} c : \mathbb{C}_p \otimes \mathbb{C}_q &\longrightarrow \mathbb{C}_q \otimes \mathbb{C}_p \\ 1 \otimes 1 &\mapsto e^{\pi i p q} \cdot 1 \otimes 1 \end{aligned}$$

Associativity isomorphisms and coherence



Assume:

Let $\rho \in B(\vec{M} \dot{\cup} \vec{N}, X)$, $\sigma \in B(\vec{R}, w)$

Then ($k = |\vec{M}| + |\vec{N}|$, $l = |\vec{R}|$)

$$\lim_{d \rightarrow \infty} \rho_{n, k+1} (\dots, \phi_1 \otimes \dots \otimes \underbrace{\rho_{\leq d} \sigma_{m, l}(\dots)}_{k+1 \text{ 'st entry}} \otimes \dots \otimes \phi_k)$$

converges to an element of
 $B(\vec{M} \dot{\cup} \vec{R} \dot{\cup} \vec{N}, X)$

... associativity isomorphisms and coherence

Assumption gives

$$\begin{array}{ccc}
 B(\vec{M} \vec{W} \vec{N}, X) \otimes B(\vec{R}, W) & \longrightarrow & B(\vec{M} \vec{R} \vec{N}, X) \\
 \downarrow \eta_X \otimes \eta_W & & \downarrow \eta_X \\
 \text{Hom}_{\mathcal{T}}(T(\vec{M} \vec{W} \vec{N}), X) \otimes \text{Hom}_{\mathcal{T}}(T(\vec{R}), W) & \dashrightarrow & \text{Hom}_{\mathcal{T}}(T(\vec{M} \vec{R} \vec{N}), X)
 \end{array}$$

For $W = T(\vec{R})$:

$$\begin{array}{ccc}
 \text{Hom}_{\mathcal{T}}(T(\vec{M} T(\vec{R}) \vec{N}), X) & & \\
 \downarrow f \mapsto f \otimes \text{id} & & \\
 \text{Hom}_{\mathcal{T}}(T(\vec{M} T(\vec{R}) \vec{N}), X) \otimes \text{Hom}_{\mathcal{T}}(T(\vec{R}), T(\vec{R})) & & \\
 \downarrow & & \\
 \text{Hom}_{\mathcal{T}}(T(\vec{M} \vec{R} \vec{N}), X) & &
 \end{array}$$

Get maps
(a priori not iso's)

$$T(\vec{M} T(\vec{R}) \vec{N}) \xrightarrow{\alpha} T(\vec{M} \vec{R} \vec{N})$$

... associativity isomorphisms and coherence

Theorem. The collection of maps

$$T(\vec{M} \circ (\vec{R} \circ \vec{N})) \xrightarrow{a} T(\vec{M} \circ \vec{R} \circ \vec{N}) \quad (\text{not necc. isom.})$$

$$T(\vec{M} \neq \vec{N}) \xrightarrow[\sim]{u} T(\vec{M} \circ \vec{N})$$

$$T(M_1, \dots, M_K) \xrightarrow[\sim]{c} T(M_{\sigma(1)}, \dots, M_{\sigma(K)})$$

is **coherent**, i.e. any two compositions of a, u, c with same source and target

$$T(\dots T(\dots T(\dots) \dots T(\dots) \dots) \dots) \longrightarrow T(\dots T's \dots)$$

and representing the same element in the braid group are equal

Idea of proof: different compositions $\hat{=}$ reordering of limits.
Write limits as series and use absolute convergence to reorder.

Braided tensor structure on $\mathcal{F}\text{-mod}$

Assumption: The composition

$$\begin{array}{ccc} B(\vec{M} \rightarrow T(\vec{R}) \vec{N}, X) & & \\ \downarrow f \mapsto f \otimes 2 & \swarrow \text{inverse image of id under} & \\ B(\vec{M} \rightarrow T(\vec{R}) \vec{N}, X) \otimes B(\vec{R}, T(\vec{R})) & & B(\vec{R}, T(\vec{R})) \xrightarrow{\sim} \text{Hom}_{\mathcal{F}}(T(\vec{R}), T(\vec{R})) \\ \downarrow \text{compose blocks} & & \\ B(\vec{M} \rightarrow \vec{R} \vec{N}, X) & & \end{array}$$

is an isomorphism.

Consequence: $T(\vec{M} \rightarrow T(\vec{R}) \vec{N}) \xrightarrow{a} T(\vec{M} \rightarrow \vec{R} \vec{N})$ is iso.

... braided tensor structure on $\mathcal{F}\text{-mod}$

Then T, a, u, c determined by

$$T : \mathcal{F}\text{-mod} \times \mathcal{F}\text{-mod} \longrightarrow \mathcal{F}\text{-mod}$$

$$\alpha_{K,L,M} : T(T(K,L), M) \xrightarrow{\sim} T(K, T(L,M))$$

$T(K,L,M)$

$$\lambda_M : T(\mathbb{F}, M) \xrightarrow{\sim} M \quad , \quad \rho_M : T(M, \mathbb{F}) \xrightarrow{\sim} M$$

$$c_{M,N} : T(M,N) \xrightarrow{\sim} T(N,M)$$

Corollary (to coherence) : $\mathcal{F}\text{-mod}$ with $\uparrow$ is a
braided tensor category.

Example: Heisenberg VOA

So far:

$$\text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}} \xrightarrow{(\hat{\cdot})} V_H\text{-mod} \quad , \quad \widehat{R \otimes S} \underset{\text{nat.}}{\simeq} T(\hat{R}, \hat{S})$$

Transported braiding:

$$\begin{aligned} c : C_p \otimes C_q &\longrightarrow C_q \otimes C_p \\ 1 \otimes 1 &\longmapsto e^{\pi i p q} 1 \otimes 1 \end{aligned}$$

Transported associator and unit iso's (w/o proof):

those of $\text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}}$

Part IV

① Some motivation from lattice models

conformal field theory

②

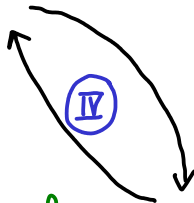


vertex operator algebra

③



braided tensor cat. of
VOA-modules



commutative algebra
in (two copies of)
cat. of VOA-modules

Algebras in tensor categories

$\mathcal{C} = (\mathcal{C}, \otimes, 1, \alpha, \lambda, \rho)$: tensor category

$\alpha_{u,v,w} : (u \otimes v) \otimes w \xrightarrow{\sim} u \otimes (v \otimes w)$ associator

$\lambda_u : 1 \otimes u \xrightarrow{\sim} u$, $\rho_u : u \otimes 1 \rightarrow u$ unit isos

subject to triangle and pentagon identities.

Definition. An algebra (or monoid) in $\mathcal{C}$ is a

triple (A, μ, η) s.th. $A \in \mathcal{C}$, $AA \xrightarrow{\mu} A$, $1 \xrightarrow{\eta} A$

$$\begin{array}{ccccc} A & 1 & \xrightarrow{\eta} & AA & \xleftarrow{\eta^1} & 1A \\ & \searrow \eta & & \downarrow \mu & \swarrow \rho & \\ & & & A & & \end{array}$$

$$\begin{array}{ccccc} (AA)A & \xrightarrow{\mu^1} & AA & \xrightarrow{\mu} & A \\ \downarrow \beta & & \downarrow \mu & \nearrow \mu & \\ A(AA) & \xrightarrow{\mu} & AA & & \end{array}$$

... algebras in tensor categories

E.g.:

- an algebra in Vect_K is a unital assoc. K -algebra
- an algebra in $\text{Vect}_{G\text{-gr}}$: unit and mult. are degree zero maps

Definition. A left A -module in $\mathcal{C}$ is a pair (M, ρ) s.t.h. $M \in \mathcal{C}$, $AM \xrightarrow{\rho} M$,

$$\begin{array}{ccc} 1M & \xrightarrow{\eta^1} & AM \\ & \searrow \eta & \downarrow \rho \\ & & M \end{array}$$

$$\begin{array}{ccccc} (AA)M & \xrightarrow{\mu^1} & AM & \xrightarrow{\rho} & A \\ & \downarrow \mu & & \nearrow \rho & \\ A(AM) & \xrightarrow{1\rho} & AM & & \end{array}$$

... algebras in tensor categories

$\mathcal{C}$: braided tensor cat.,

$c_{u,v} : u \otimes v \xrightarrow{\sim} v \otimes u$ braiding (subject to two hexagon conditions)

Definition. A : algebra in $\mathcal{C}$

A is commutative if

$$\begin{array}{ccc} & AA & \\ & \downarrow c_{A,A} & \searrow \mu \\ & AA & \nearrow \mu \\ & & A \end{array}$$

M : A -module.

M is local if

$$\begin{array}{ccc} & AM & \\ c_{A,M} \downarrow & & \searrow \rho \\ & MA & \\ c_{M,A} \downarrow & & \nearrow \rho \\ & AM & \end{array}$$

... algebras in tensor categories

Are there algebras "which cannot be made bigger"?

Definition $\mathcal{C}$: abelian braided tensor cat.

A commutative algebra A in $\mathcal{C}$ is called Lagrangian if every local A -module is isomorphic (as an A -module) to a direct sum of copies of ${}_A A$

Remarks:

- If A is Lagrangian and $\mathcal{C}$ does not contain infinite inclusions of proper subobjects $\dots \hookrightarrow U \hookrightarrow U \hookrightarrow U \hookrightarrow U$, then ${}_A A$ is simple
(since submodules of local modules are local)

... algebras in tensor categories

- B : comm. alg. in $\mathcal{C}$, $A \hookrightarrow B$ subalgebra, A Lagrangian
Then $B \simeq \bigoplus A$ as A -module.

If we want a "unique vacuum condition" in the form $\mathcal{C}(1, B) = \mathbb{C} \cdot \eta$ (for $\mathbb{C}$ -linear $\mathcal{C}$), then we cannot extend A .

- In $\text{Vect}(\mathbb{C})$, the only fin. dim. Lag. alg. is $\mathbb{C}$.
(but there are inf. dim. ones, eg function fields)
- In $\text{Vect}_{\mathbb{C}\text{-gr}}(\mathbb{C})$ (with symmetric braiding), there is no Lagrangian algebra with finite or countable basis.
(since $A[\gamma]$ is an A -module for any shift $\gamma \in \mathbb{C}$)

Example : $\text{Vect}_{\mathbb{C}\text{-gr}}$ with non-trivial braiding

Braiding $c_{\mathbb{C}_p, \mathbb{C}_q} = e^{\pi i p q}$

Abbreviate $\mathcal{C} := \text{Vect}_{\mathbb{C}\text{-gr}}$ with c as braiding

Family of algebras in $\mathcal{C}$ ($r \in \mathbb{C}^*$)

$$A_r := \bigoplus_{m \in \mathbb{Z}} \mathbb{C}_{r \cdot m} \quad \mu(1_{m+r} \otimes 1_{-r}) = 1_{(m+r)r}$$

$$\eta: \mathbb{C}_0 \hookrightarrow A$$

$A\text{-mod}$ is semi-simple with simple modules $A_r[q]$
degree shift $\uparrow$

... example : $\text{Vect}_{\mathbb{C}\text{-gr}}$ with non-trivial braiding

- A_r is commutative iff $r^2 \in 2\mathbb{Z}$

$$\left(\text{since } \mu \circ C_{A,A} (1_{mr} \otimes 1_{nr}) = e^{\pi i r^2 mn} 1_{(m+n)r} \right)$$

- Let $r^2 \in 2\mathbb{Z}$

$A_r[q]$ is local iff $q \in \frac{1}{r}\mathbb{Z}$

$$\left(\text{since } \mu \circ C_{A[q],A} \circ C_{A,A[q]} (1_{mr} \otimes 1_{nr+q}) = e^{2\pi i mr(nr+q)} 1_{(m+n)r+q} \right)$$

Thus : A_r is never local.

$$\left(\text{since } \frac{1}{r}\mathbb{Z} \not\subseteq r\mathbb{Z} \text{ for } r^2 \in 2\mathbb{Z} \right)$$

... example : $\text{Vect}_{\mathbb{C}\text{-gr}}$ with non-trivial braiding

Consider $\mathbb{C}^2$ -graded v.sp. with braiding

$$c_{\mathbb{C}_{\vec{p}}, \mathbb{C}_{\vec{q}}} = e^{\pi i (p_1 q_1 - p_2 q_2)}$$

Lattice

$$\Lambda_r = \mathbb{Z} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \cdot r \oplus \mathbb{Z} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \cdot \frac{1}{2r} \quad r \in \mathbb{R}_{>0}$$

$$\mathcal{B}_r := \bigoplus_{\vec{p} \in \Lambda_r} \mathbb{C}_{\vec{p}}$$

$$\text{Write } \vec{p} = p_+ \begin{pmatrix} 1 \\ 1 \end{pmatrix} r + p_- \begin{pmatrix} 1 \\ -1 \end{pmatrix} \frac{1}{2r}$$

$$\mu(1_{\vec{p}} \otimes 1_{\vec{q}}) = e^{\pi i p_+ q_-} 1_{\vec{p} + \vec{q}}$$

... example: $\text{Vect}_{\mathbb{C}\text{-gr}}$ with non-trivial braiding

Lemma Br is a commutative algebra

Pl

$$\begin{aligned} \text{Assoc.: } \mu(\mu(1_{\vec{p}} 1_{\vec{q}}) 1_{\vec{r}}) &= (-1)^{p_+ q_- + (p_+ + q_+) r_-} 1_{\vec{p} + \vec{q} + \vec{r}} \\ \mu(1_{\vec{p}} \mu(1_{\vec{q}} 1_{\vec{r}})) &= (-1)^{q_+ r_- + p_+(q_- + r_-)} 1_{\vec{p} + \vec{q} + \vec{r}} \quad \checkmark \end{aligned}$$

$$\begin{aligned} \text{Comm.: } \mu \circ c_{\lambda\lambda}(1_{\vec{p}} 1_{\vec{q}}) &= e^{\pi i (p_+ q_- + p_- q_+)} e^{\pi i q_+ p_-} 1_{\vec{p} + \vec{q}} \\ &= e^{\pi i p_+ q_-} 1_{\vec{p} + \vec{q}} = \mu(1_{\vec{p}} 1_{\vec{q}}) \quad \checkmark \\ &\quad \square \end{aligned}$$

$\text{Br}\text{-mod}$ is semi simple, simple modules are $\text{Br}[\vec{q}]$

... example : $\text{Vect}_{\mathbb{C}\text{-gr}}$ with non-trivial braiding

Lemma. $\text{Br}[\vec{q}]$ local $\Leftrightarrow \vec{q} \in \Lambda_r$

Pd

$$\begin{aligned} & \rho \circ C_{A[q]A} \circ C_{A A[q]} (1_{\vec{s}} 1_{\vec{t}+\vec{q}}) \quad (\vec{s}, \vec{t} \in \Lambda) \\ &= e^{2\pi i (s_+ (t_- + q_-) + s_- (t_+ + q_+))} \rho (1_{\vec{s}} 1_{\vec{t}+\vec{q}}) \\ & \quad \underbrace{\hspace{10em}} \\ &= e^{2\pi i (s_+ q_- + s_- q_+)} \end{aligned}$$

Thus need $q_{\pm} \in \mathbb{Z}$, i.e. $\vec{q} \in \Lambda$. □

Altogether : Br is local.

Aside : There are more Lag. alg., e.g. $\bigoplus_{x \in \mathbb{R}} \mathbb{C}_{(x,x)}$ with product $\mu(1_{(x,x)} 1_{(y,y)}) = 1_{(x+y, x+y)}$.

CFT and commutative algebras

$(F, \mu, 1) : \text{CFT}$, $E \subset F$: sub-CFT

Thus $F \in E\text{-mod}$

$$(\mu_{n+k})_n \in B_E(F^{\otimes n}; F)$$

[Assume : Assumptions in part III hold for E ,
in particular, $E\text{-mod}$ is braided tensor cat.

$$\begin{array}{ccc} B_E(F, F; F) & \xrightarrow{\sim} & \text{Hom}_E(T(F, F), F) \\ (\mu_{n+2})_n & \mapsto & \tilde{\mu} \end{array}$$

... CFT and commutative algebras

Proposition. $\mathcal{F}$ with mult. $\tilde{\mu}$ and unit $E \hookrightarrow \mathcal{F}$ is a commutative algebra in $E\text{-mod}$.

Sketch of proof:

Assoc.: by factorisation the two compositions

$$\begin{array}{c} B(\mathcal{F}, \mathcal{F}; \mathcal{F}) \otimes B(\mathcal{F}, \mathcal{F}; \mathcal{F}) \rightarrow B(\mathcal{F}, \mathcal{F}, \mathcal{F}; \mathcal{F}) \\ \uparrow \quad \uparrow \quad \quad \quad \downarrow \\ \hline \end{array}$$

map to the same element

Comm.: μ_{n+k} is defined on $\mathcal{M}_{n+k}$, not only on the cover $\mathcal{M}_{n,k}$, hence monodromies α^* act trivially on $\mu \in B(\mathcal{F}^{\otimes k}, \mathcal{F})$

... CFT and commutative algebras

Conversely, let $(F, \tilde{\mu}, \tilde{\eta})$ be a commutative algebra in $E\text{-mod}$.

Define $\mu_n : \mathcal{M}_n \times F^{\otimes n} \rightarrow F$ via

$$\begin{array}{ccc}
 B(F, \dots, F; F) & \xrightarrow{\sim} & \text{Hom}_E(T(F, \dots, F), F) \\
 \downarrow & & \downarrow \\
 (\mu_{n+k})_n & \searrow & \text{Hom}_E(T(F, T(F, \dots, F)), F) \\
 & & \downarrow \\
 & & \tilde{\mu} \circ (\text{id} \otimes \tilde{\mu}) \circ \dots
 \end{array}$$

Then comm. of $\tilde{\mu} \Rightarrow \mu_{n+k}$ descends to $\mathcal{M}_{n+k}$

assoc. of $\tilde{\mu} \Rightarrow$ factorisability of μ_n

Prop. A comm. alg in $E\text{-mod}$ defines a CFT with sub-CFT E .

... CFT and commutative algebras

One strategy to build CFTs :

- 1) fix a CFT E (e.g. a VOA V or $V \otimes \bar{V}$ for $\bar{V}$ an antihol. copy of V)
- 2) try to gain some control over E -mod
- 3) find commutative algebras in E -mod

Example : Heisenberg VOA

1) fix Heisenberg VOA $E = V_H$

2) braided tensor functor $\text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}} \xrightarrow{(\hat{\cdot})} V_H\text{-mod}$

3) Had found algebras A_r ($r^2 \in 2\mathbb{Z}$)

$A_r \in \text{Vect}_{\mathbb{C}\text{-gr}}^{\text{bnd}}$ iff $r \in \mathbb{R}$.

$\leadsto$ hol. CFT $\hat{A}_r = \bigoplus_{m \in \mathbb{Z}} \hat{\mathbb{C}}_{mr}$ (rank 1 lattice VOA)

... example : Heisenberg VOA

- 1) fix $E = V_H \otimes \bar{V}_H$ ↖ anti-hol. copy
- 2) braided tensor functor $\text{Vect}_{\mathbb{C}^2\text{-gr}}^{\text{bnd}} \xrightarrow{\widehat{(\cdot)}} V_H \otimes \bar{V}_H\text{-mod}$
- 3) Had found alg. B_r ($r \in \mathbb{R}_{>0}$)
 $\rightarrow$ (non-hol. CFT) $\hat{B}_r = \bigoplus_{(p,q) \in \Lambda_r} \overbrace{C_p \otimes C_q}^{\text{roof}}$

One observes :

1st example: non-Lag. & partition fn (graded trace of T)
not modular invariant

2nd example: Lagrangian & partition fn mod. inv.
(but not holom. in τ)

Outlook : the rational case

Let V be a rational (& extra conditions) VOA
 $\leadsto V\text{-mod}$ is a modular tensor category

Let $\mathcal{C}$ be a modular tensor cat

Can show :

{ Lagrangian algebras in $\mathcal{C} \boxtimes \bar{\mathcal{C}}$ up to alg. iso. }

$\mathcal{C}$ with inverse braiding
and twist

$\uparrow$

{ indecomposable $\mathcal{C}$ -module categories up to equivalence }

So : Classification of "maximal" CFTs over $V \otimes \bar{V}$
becomes a question in categorified representation theory

① Some motivation from lattice models

conformal field theory

②

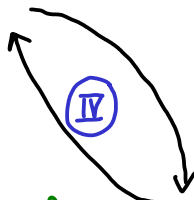


vertex operator algebra

③



braided tensor cat. of
VOA-modules



commutative algebra
in (two copies of)
cat. of VOA-modules