

Cohen-Macaulay representations,

Singularity categories and

Cluster categories

(joint work with Norihiko Hanihara)

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R : comm. Noetherian ring, $\text{mod } R$: category of fin. gen. R -mod. ①

① Assume R is local

$$X \in \text{mod } R \Rightarrow \text{depth } X \leq \dim X \leq \dim R$$

$$X: (\text{max.}) \text{ Cohen-Macaulay (CM)} \stackrel{\text{def}}{\iff} \text{depth } X = \dim R$$

② For general R , or $X = 0$

$$X: \text{CM} \stackrel{\text{def}}{\iff} \forall m: \text{max. ideal of } R, X_m \in \text{mod } R_m \text{ is CM}$$

Study the category $\text{CM } R$ of CM R -modules

③ Assume R is G -graded for an abelian group G

$$\text{CM}^G R = \{ X \in \text{mod}^G R \mid X \in \text{mod } R \text{ is CM} \}$$

Ex R : regular $\Rightarrow \text{CM } R = \text{proj } R$: cat. of fin. gen. proj. R -mod.

Throughout, assume R is Gorenstein

$$\Rightarrow \textcircled{1} \text{CMR} = \{ X \in \text{mod } R \mid \text{Ext}_R^{>0}(X, R) = 0 \}$$

② CMR is a Frobenius category

(closed under extensions, $\forall X \in \text{CMR}, \exists Y, Z \in \text{CMR}, \exists P, Q \in \text{proj } R$
 \exists exact seq. $0 \rightarrow Y \rightarrow P \rightarrow X \rightarrow 0, 0 \rightarrow X \rightarrow Q \rightarrow Z \rightarrow 0$)

③ stable category $\underline{\text{CMR}}$ is a triangulated category

object : same as CMR

morph. : $\text{Hom}_R(X, Y) / \{ X \rightarrow P \rightarrow Y \mid P \in \text{proj } R \}$

\Rightarrow One can apply various methods in representation theory to study CMR

④ $K^b(\text{proj } R)$: bounded homotopy category of $\text{proj } R$

$D^b(\text{mod } R)$: bounded derived category of $\text{mod } R$

$D_{\text{sg}}(R) := D^b(\text{mod } R) / K^b(\text{proj } R)$: singularity category

$\Rightarrow \underline{\text{CM}} R \simeq D_{\text{sg}}(R)$ [Buchweitz, Orlov]

Part I : Study $\underline{\text{CM}}^{\mathbb{Z}} R$ by constructing equivalences with derived categories (known results)

Part II : Study $\underline{\text{CM}} R$ by constructing equivalences with cluster categories
(ongoing joint work with Hanihara)

Part I Derived categories and $CM^G R$

\mathcal{T} : algebraic triangulated category . $[1]$: suspension functor

Ex $\mathcal{T} = \underline{CM} R$, $[1] = \bar{\Omega}$: cosyzygy functor

Def $U \in \mathcal{T}$: **tilting object** $\stackrel{\text{def}}{\iff}$

① $\text{Hom}_{\mathcal{T}}(U, U[i]) = 0 \quad 0 \neq i \in \mathbb{Z}$

② $\mathcal{T} = \text{thick } U$ (:= the smallest full subcat. of \mathcal{T}

containing U and closed under cones, $[\pm 1]$, direct summands)

Ex $K^b(\text{proj } A) \ni A = [\dots \rightarrow 0 \rightarrow \overset{0}{A} \rightarrow 0 \rightarrow \dots]$ is a tilt. obj.

[Rickard, Keller] $U \in \mathcal{T}$: tilt. obj

$\Rightarrow \exists$ equiv. $\mathcal{T} \simeq K^b(\text{proj } \text{End}_{\mathcal{T}}(U))$ up to direct summands

(I) dimension 0

$R = \bigoplus_{i \geq 0} R_i$: \mathbb{Z} -graded Artinian Gorenstein ring

$R_0 = k$: field. $a = \text{degree of soc } R$

Thm (special case of [Yamaura 13])

$U := \bigoplus_{i=1}^a R(i)_{\geq 0} \in \underline{\text{CM}}^{\mathbb{Z}} R$ is a tilt. obj

$$\underline{\text{CM}}^{\mathbb{Z}} R \simeq K^b(\text{proj } A)$$

for $A := \text{End}_{\underline{\text{CM}}^{\mathbb{Z}} R}(U) = \begin{bmatrix} R_0 & & & 0 \\ R_1 R_0 & & & \\ & \ddots & & \\ R_{a-1} R_{a-2} \cdots & R_0 & & \end{bmatrix}$

Ex ① $R = \mathbb{k}[x]/(x^n)$ $\deg x = 1$

$$\Rightarrow A = \begin{bmatrix} \mathbb{k} & 0 \\ & \mathbb{k} \end{bmatrix} = \mathbb{k}Q \quad Q = [\overset{1}{\cdot} \rightarrow \overset{2}{\cdot} \rightarrow \overset{3}{\cdot} \dots \cdot \rightarrow \overset{n}{\cdot}]$$

$$\underline{\text{CM}}^{\mathbb{Z}} R \simeq \mathbb{k}^b(\text{proj } \mathbb{k}Q)$$

② $R = \mathbb{k}[x_1, \dots, x_n]/(x_i x_j, x_i^2 - x_j^2 \mid 1 \leq i < j \leq n)$

$$\deg x_i = 1$$

$$\Rightarrow A = \mathbb{k}Q \quad Q = [\overset{n}{\cdot} \xrightarrow{\quad} \overset{n-1}{\cdot} \xrightarrow{\quad} \dots \xrightarrow{\quad} \overset{1}{\cdot}]$$

$$\underline{\text{CM}}^{\mathbb{Z}} R \simeq \mathbb{k}^b(\text{proj } \mathbb{k}Q)$$

R is CM-finite	if	$n = 1$
	tame	$n = 2$
	wild	$n \geq 3$

(II) dimension 1

$R = \bigoplus_{i \geq 0} R_i$: \mathbb{Z} -graded reduced Gorenstein ring of dim 1

$R_0 = k$: field. a : a -invariant of R

$K = R[\{\text{homog. non-zero-divisors}\}^{-1}]$

$\exists p \geq 1$ s.t. $K(p) \simeq K$

Thm [Buchweitz - I - Yamaura 20] Assume $a \geq 0$

$U := \bigoplus_{i=1}^{a+p} R(i)_{\geq 0} \in \underline{CM}^{\mathbb{Z}} R$ is a tilt. obj

$\underline{CM}^{\mathbb{Z}} R \simeq K^b(\text{proj } A)$ for

$A := \text{End}_{\underline{CM}^{\mathbb{Z}} R}(U) \simeq$



simple singularity $R = \mathbb{K} \llbracket x, y, z_1, \dots, z_d \rrbracket / (f)$

$A_n \quad x^{n+1} + y^2 + z_2^2 + \dots + z_d^2$

$E_7 \quad x^3 y + y^3 + z_2^2 + \dots + z_d^2$

$D_n \quad x^{n-1} + xy^2 + z_2^2 + \dots + z_d^2$

$E_8 \quad x^5 + y^3 + z_2^2 + \dots + z_d^2$

$E_6 \quad x^4 + y^3 + z_2^2 + \dots + z_d^2$

Thm (R, m) : complete local Gorenstein, containing $R/m =: \mathbb{k} = \overline{\mathbb{k}}$
 simple singularity \iff CM-finite char $\mathbb{k} = 0$

$\implies d=1$ Drozd-Roiter, Jacobinski, Grevel-Knörrer

$d=2$ Herzog, Auslander

$d \geq 3$ Knörrer

\Leftarrow Buchweitz-Grevel-Schreyer

Ex $d=1$ (or odd) $\Rightarrow \underline{CM}^{\mathbb{Z}} R \simeq K^b(\text{proj } \mathbb{k}Q)$

R	A_{2n-1}	A_{2n}	D_{2n}	D_{2n+1}	E_6	E_7	E_8
$(\text{deg } x, \text{deg } y)$	$(1, n)$	$(2, 2n+1)$	$(1, n-1)$	$(2, 2n-1)$	$(3, 4)$	$(2, 3)$	$(3, 5)$
Q	D_{n+1}	A_{2n}	D_{2n}	A_{4n-1}	E_6	E_7	E_8

$\Rightarrow \widehat{R}$ is CM-finite (by Gabriel's Thm. for quivers)

• $A_{2n} \quad R \simeq \mathbb{k}[t^2, t^{2n+1}] \subset \mathbb{k}[t]$

$$A = \text{End}(U) = \mathbb{k} \left[\begin{array}{ccccccc} R(1)_{z_0} & \xrightarrow{t^2} & R(3)_{z_0} & \xrightarrow{t^2} & \dots & \xrightarrow{t^2} & R(2n-1)_{z_0} \\ & & & & & & \downarrow t \\ R(2)_{z_0} & \xrightarrow{t^2} & R(4)_{z_0} & \xrightarrow{t^2} & \dots & \xrightarrow{t^2} & R(2n)_{z_0} \end{array} \right]$$

(III) quotient singularity k : field

$SL_d(k) \supset G$: finite subgroup s.t. $\#G \neq 0$ in k

$G \curvearrowright S := k[x_1, \dots, x_d]$
 $R := S^G \quad a = -d$

} \mathbb{Z} -graded by $\deg x_i = 1$

Thm [I-Takahashi 13]

$U := \bigoplus_{i=1}^d$ (max. CM summand of $\Omega_S^i(k)$) $\in \underline{CM}^{\mathbb{Z}} R$ is tilt.

$\underline{CM}^{\mathbb{Z}} R \simeq K^b(\text{proj } A)$ for $A := \text{End}_{\underline{CM}^{\mathbb{Z}} R}(U)$

\equiv explicit description of A in terms of McKay quiver of G

Ex $d=2 \Rightarrow R$: simple singularity

$A = kQ \times kQ^{\text{op}}$ (Q : Dynkin quiver with alternating orientation)

$\Rightarrow \hat{R}$ is CM-finite (by Gabriel's Thm. for quivers)

Ex $d=3$, $G = \frac{1}{3}(1,1,1)$ $R = S^{(3)}$

$A = (kQ)^3$ for $Q = [\cdot \rightrightarrows \cdot]$

(IV) weighted proj. lines, Geigle-Lenzing compl. intersect.

$S := \mathbb{K}[t_1, \dots, t_d] \ni l_1, \dots, l_n$: linear forms, as linear independent as possible
 $\mathbb{Z}_{\geq 1} \ni p_1, \dots, p_n$

$$R := S[x_1, \dots, x_n] / (\chi_i^{p_i} - l_i \mid 1 \leq i \leq n)$$

$$\mathbb{L} := \bigoplus_{i=1}^n \mathbb{Z} \vec{\chi}_i \oplus \mathbb{Z} \vec{c} / (p_i \vec{\chi}_i - \vec{c} \mid 1 \leq i \leq n)$$

R is \mathbb{L} -graded by $\deg x_i = \vec{\chi}_i$, $\deg t_j = \vec{c}$

Ex $n = d+1$

$$R = \mathbb{K}[x_1, \dots, x_{d+1}] / (x_1^{p_1} + x_2^{p_2} + \dots + x_{d+1}^{p_{d+1}})$$

Thm [Herschend-I-Minamoto-Oppermann)

($n = d+1$: Kussin-Lenzing-Meltzer, Futaki-Ueda)

$\exists U \in \underline{\text{CM}}^{\mathbb{L}} R$: tilt. obj.

$\underline{\text{CM}}^{\mathbb{L}} R \simeq K^b(\text{proj } A)$ for $A := \text{End } \underline{\text{CM}}^{\mathbb{L}} R(U)$

Ex $n = d+1 \implies A = \bigotimes_{i=1}^n \mathbb{k}[\overset{1}{\bullet} \rightarrow \overset{2}{\bullet} \rightarrow \dots \rightarrow \overset{p_i-1}{\bullet}]$

Part II Cluster categories and CMR

[Auslander] R : compl. local Gorenstein isolated singularity

① CMR has Auslander-Reiten sequences

② triang. cat. CMR is $(d-1)$ -Calabi-Yau

$$\text{Hom}(X, Y) \simeq D \text{Hom}(Y, X[d-1]) \quad (\forall X, Y \in \underline{\text{CMR}})$$

• Cluster category $\mathcal{C}_n(A)$ of a fin. dim. \mathbb{k} -alg.

(Buan-Marsh-Reineke-Reiten-Todorov for $A = \mathbb{k}Q$
Amiot, Guo, Keller for general A)

Idea Replace

$$(1) \text{ } \underset{\text{deg. shift}}{\mathbb{G}} \text{ CM}^{\mathbb{Z}} R \longrightarrow \text{CMR}$$

forget

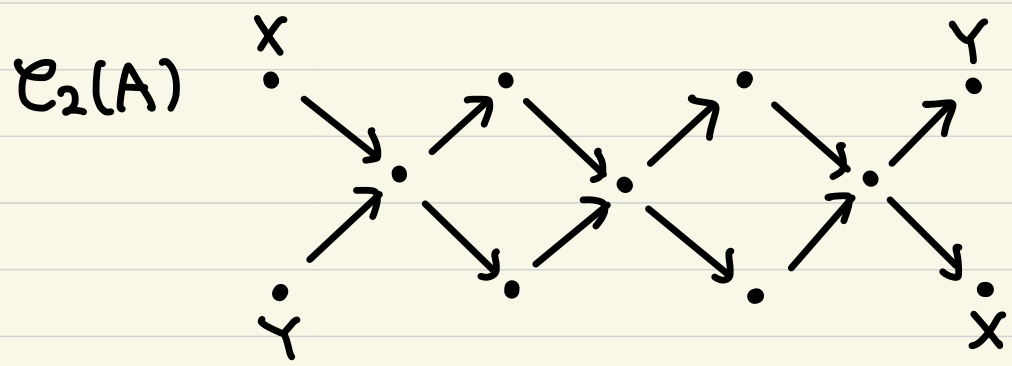
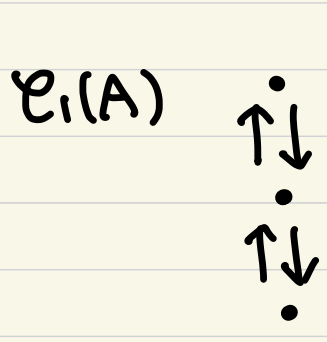
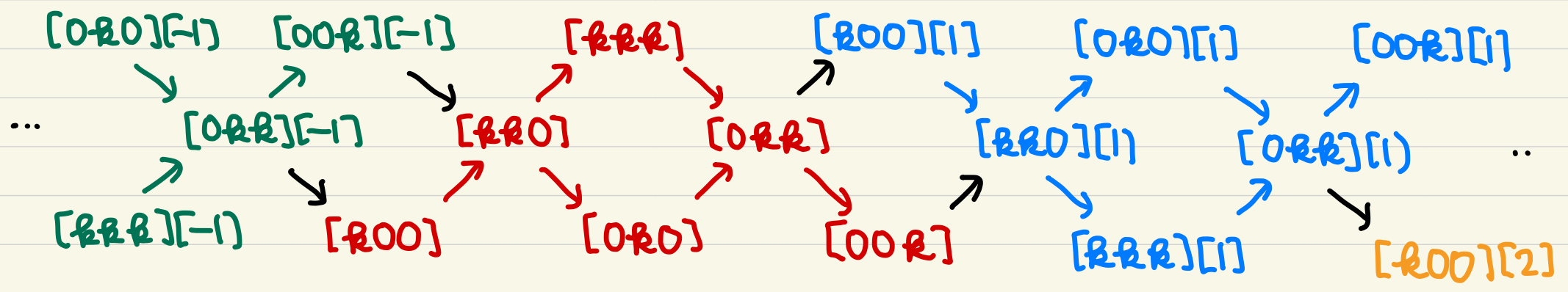
$$\text{Hom}_R(X, Y) = \bigoplus_{i \in \mathbb{Z}} \text{Hom}_{\text{CM}^{\mathbb{Z}} R}(X, Y(i))$$

by $V \circ [-n] \subset K^b(\text{proj } A) \rightarrow \mathcal{C}_n(A)$

$V = -\bigotimes_A^L DA : \text{Nakayama functor}$

Ex $A = \mathbb{R}[\cdot \rightarrow \cdot \rightarrow \cdot] = \begin{bmatrix} \mathbb{R} & 0 & 0 \\ \mathbb{R} & \mathbb{R} & 0 \\ \mathbb{R} & \mathbb{R} & \mathbb{R} \end{bmatrix}$

$K^b(\text{proj } A) = D^b(\text{mod } A)$



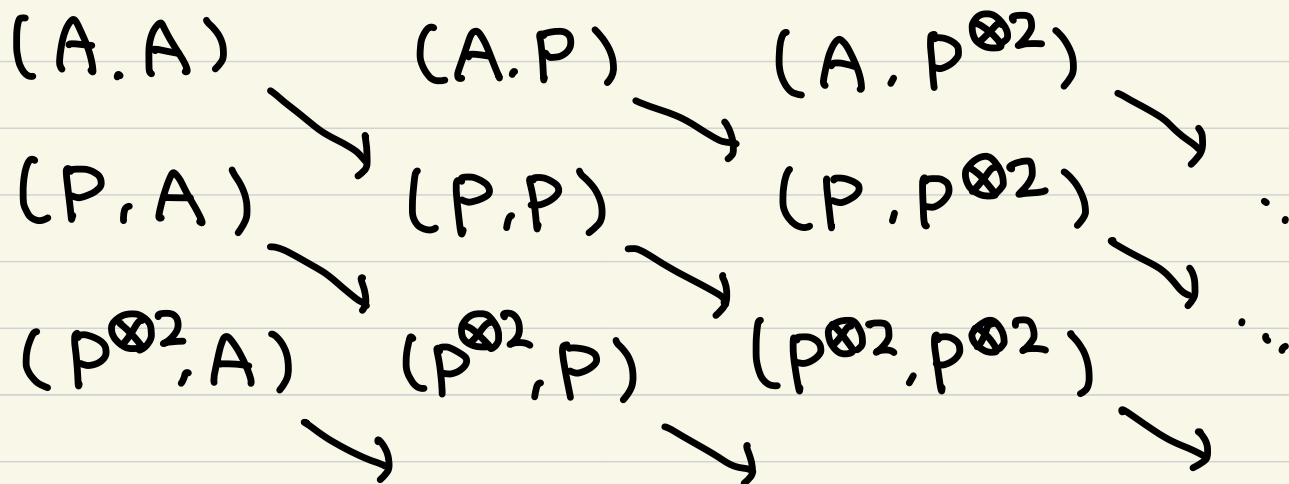
For general A , we need dg enhancement

A : fin. dim k -alg. $A^e := A \otimes_k A^{op}$

$n \in \mathbb{Z}$ $P \in D(\text{Mod } A^e)$: K -projective

$\Gamma(A, P) := \coprod_{i \in \mathbb{Z}} \text{colim}_{j \gg 0} \text{Hom}_A (P^{\otimes_A i+j}, P^{\otimes_A j})$

dg algebra with canonical differential



Def ① $P := \left(\text{proj. resol. of } \underbrace{DA[-n]} \right)$ $\left(\begin{array}{l} DA[-n] \text{ の inverse} \\ \text{RHom}_A(DA, A)[n] \\ \text{と異なり} \end{array} \right)$ ①

gives $\mathcal{V}_0[-n]$

$\mathcal{C}_n(A) := \text{thick } \Gamma(A, P) \subset D(\Gamma(A, P))$
n-cluster category

$$\mathcal{V}_0[-n] \hookrightarrow K^b(\text{proj } A) \longrightarrow \mathcal{C}_n(A)$$

② More generally, when

$P^{\otimes a} \simeq DA[-n]$ in $D(A^e)$ for $0 \neq a \in \mathbb{Z}$.

$\mathcal{C}_n^{(1/a)}(A) := \text{thick } \Gamma(A, P) \subset D(\Gamma(A, P))$

Setting • $R = \bigoplus_{i \geq 0} R_i$: \mathbb{Z} -graded Gorenstein isolated sing.

$\dim d$, $R_0 = \mathbb{k}$: field. a -invariant $a \neq 0$

(i.e. $\text{Ext}_R^d(\mathbb{k}, R(a)) \simeq \mathbb{k}$ in $\text{mod } \mathbb{Z}R$)

• A : fin. dim. \mathbb{k} -alg

Main Thm [I-Hanahara]

If $\underline{\text{CM}}^{\mathbb{Z}} R \simeq K^b(\text{proj } A)$, then

① $\underline{\text{CM}}^{\mathbb{Z}/a\mathbb{Z}} R \simeq \mathcal{E}_{d-1}(A)$

② $\underline{\text{CM}} R \simeq \mathcal{E}_{d-1}^{(1/a)}(A)$

再掲

(I) dimension 0

$$R = \bigoplus_{i \geq 0} R_i : \mathbb{Z}\text{-graded Artinian Gorenstein ring}$$

$$R_0 = k : \text{field. } a = \text{degree of soc } R$$

Thm (special case of [Yamaura 13])

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$$\text{for } A := \text{End}_{\underline{\text{CM}}^{\mathbb{Z}} R}(U) = \begin{bmatrix} R_0 & & & 0 \\ R_1 R_0 & & & \\ & \ddots & & \\ R_{a-1} R_{a-2} \cdots & R_0 & & \end{bmatrix}$$

By Main Thm

$$\underline{CM}^{\mathbb{Z}/a\mathbb{Z}} R \simeq \mathcal{E}_{-1}(A)$$

$$\underline{CM} R \simeq \mathcal{E}_{-1}^{(1/a)}(A)$$

[Yamaura 13]

再掲

(II) dimension 1

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$R_0 = \mathbb{k}$: field, a : a -invariant of R

$K = R[\{\text{homog. non-zero-divisors}\}^{-1}]$

$\exists p \geq 1$ s.t. $K(p) \simeq K$

Thm [Buchweitz - I - Yamaura 20] Assume $a \geq 0$

$U := \bigoplus_{i=1}^{a+p} R(i)_{\geq 0} \in \underline{\text{CM}}^{\mathbb{Z}} R$ is a tilt. obj

$\underline{\text{CM}}^{\mathbb{Z}} R \simeq \text{K}^b(\text{proj } A)$ for

$A := \text{End}_{\underline{\text{CM}}^{\mathbb{Z}} R}(U) \simeq$

R_0	\dots	0	\dots	0
\vdots	\ddots			
R_{a-1}	\dots	R_0		
K_a	\dots	K_1	K_0	\dots
\vdots		\vdots	\vdots	\ddots
K_{a+p-1}	\dots	K_p	K_{p-1}	\dots
				K_0

By Main Thm

$$\underline{\text{CM}}^{\mathbb{Z}/a\mathbb{Z}} R \simeq \mathcal{E}_0(A)$$
$$\underline{\text{CM}} R \simeq \mathcal{E}_0^{(1/a)}(A)$$

再掲 (III) quotient singularity k : field

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\equiv explicit description of A in terms of McKay quiver of G

By Main Thm

$$\underline{\text{CM}}^{\mathbb{Z}/d\mathbb{Z}} R \simeq \mathcal{E}_{d-1}(A)$$

$$\underline{\text{CM}} R \simeq \mathcal{E}_{d-1}^{(1/d)}(A)$$

再掲 (IV) weighted proj. lines, Geigle-Lenzing compl. intersect.

$S := \mathbb{k}[t_1, \dots, t_d] \ni l_1, \dots, l_n$: linear forms, as linear independent as possible
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R is \mathbb{L} -graded by $\deg x_i = \vec{\chi}_i$, $\deg t_j = \vec{c}$

Ex $n = d+1$

$$R = \mathbb{k}[x_1, \dots, x_{d+1}] / (x_1^{p_1} + x_2^{p_2} + \dots + x_{d+1}^{p_{d+1}})$$

Thm [Herschend-I-Minamoto-Oppermann]

($n = d+1$: Kussin-Lenzing-Meltzer, Futaki-Ueda)

$\exists U \in \underline{\text{CM}}^{\mathbb{L}} R$: tilt. obj.

$\underline{\text{CM}}^{\mathbb{L}} R \simeq K^b(\text{proj } A)$ for $A := \text{End } \underline{\text{CM}}^{\mathbb{L}} R(U)$

Ex $n = d+1 \Rightarrow A = \bigotimes_{i=1}^n k[\overset{1}{\bullet} \rightarrow \overset{2}{\bullet} \rightarrow \dots \rightarrow \overset{P_i-1}{\bullet}]$

By \mathbb{L} -graded version of Main Thm

$$\underline{\text{CM}}^{\mathbb{L}/(\vec{w})} R \simeq \mathcal{E}_{d-1}(A)$$

$\vec{w} \in \mathbb{L}$: α -invariant

First point of proof of Main Thm

Key Prop (enhanced AR duality)

$R = \bigoplus_{i \geq 0} R_i$: \mathbb{Z} -graded Gorenstein isolated sing. dim d

$R_0 = \mathbb{k}$: field, a -invariant a , $(\)^* = \text{Hom}_R(-, R)$

$\mathcal{E} := C_{\text{dg}}^{\text{acy}}(\text{proj } \mathbb{Z}R)$ (dg enhancement of $\underline{\text{CM}}^{\mathbb{Z}}R$)

$\Rightarrow \exists$ iso. $\left. \begin{array}{l} \mathcal{E}^* \simeq \mathcal{E}[-1] \\ D\mathcal{E} \simeq \mathcal{E}(a)[d-1] \end{array} \right\}$ in $D^{\mathbb{Z}}(\mathcal{E}^e)$

Taking H^0 , we recover classical AR duality

$$\underline{\text{Hom}}_{\mathbb{Z}R}^{\mathbb{Z}}(X, Y) \simeq D \underline{\text{Hom}}_{\mathbb{Z}R}^{\mathbb{Z}}(Y, X(a)[d-1])$$

Second point of proof of Main Thm

(24)

- $\mathcal{C} = \mathcal{C}_{dg}^{acy}(\text{proj}^{\mathbb{Z}} R)$ is a dg category with additional \mathbb{Z} -grading $\mathcal{C} = \coprod_{i \in \mathbb{Z}} \mathcal{C}_i$
- \mathcal{C}_0 is a dg category with $H^0(\mathcal{C}_0) \simeq \underline{CM}^{\mathbb{Z}} R \simeq K^b(\text{proj} A)$
- \mathcal{C}_a is a dg \mathcal{C}_0^e -module s.t. $\mathcal{C}_a \simeq (D\mathcal{C}_0)[1-d]$ (AR duality)
which gives $\nu_0[1-d] \hookrightarrow K^b(\text{proj} A)$
- \mathcal{C}_1 is a dg \mathcal{C}_0^e -module s.t. $\mathcal{C}_1^{\otimes_{\mathcal{C}_0^e} a} \simeq \mathcal{C}_a$

One can recover \mathcal{C} from \mathcal{C}_0 and \mathcal{C}_1

More details can be found in slide and video in

<https://www.lancaster.ac.uk/maths/geometric-and-homological-methods/>