

Connective C^* -algebras

Marius Dadarlat and Ulrich Pennig

Purdue University and Cardiff University

in celebration of Professor Sakai's seminal work

K-homology: $K^0(\mathbb{T}^2) = \mathbb{Z} \oplus \mathbb{Z}$ is generated by $\iota : C(\mathbb{T}^2) \rightarrow \mathbb{C}$ and by a “discrete asymptotic morphism” $\varphi_n : C(\mathbb{T}^2) \rightarrow M_n(\mathbb{C})$,
 $\varphi_n(z_1) = u_n$ and $\varphi_n(z_2) = v_n$

Voiculescu's ('83) almost commuting unitaries

$$\|v_n u_n - u_n v_n\| = |e^{2\pi i/n} - 1| \rightarrow 0.$$

$$u_n = \begin{pmatrix} 0 & 0 & 0 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & & 0 \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix} \quad v_n = \begin{pmatrix} \lambda & 0 & 0 & 0 & 0 \\ 0 & \lambda^2 & 0 & 0 & 0 \\ 0 & 0 & \lambda^3 & 0 & 0 \\ 0 & 0 & 0 & \cdot & 0 \\ 0 & 0 & 0 & \cdots & \lambda^n \end{pmatrix} \quad \lambda = e^{2\pi i/n}$$

$$K^0(A) = KK(A, \mathbb{C}) \rightarrow \text{Hom}(K_0(A), \mathbb{Z})$$

$$(\varphi_n)_\# : K_0(C(\mathbb{T}^2)) = \mathbb{Z} \oplus \mathbb{Z}\beta \rightarrow \mathbb{Z}$$

$$(\varphi_n)_\#(\beta) \equiv 1$$

A separable C^* -algebra.

A is **residually finite dimensional** (RFD) if \exists $*$ -mono $A \hookrightarrow \prod_{n=1}^{\infty} M_{k(n)}$.

A is **quasidiagonal** if \exists $*$ -mono

$$A \hookrightarrow \frac{\prod_{n=1}^{\infty} M_{k(n)}}{\bigoplus_{n=1}^{\infty} M_{k(n)}}$$

liftable to cpc map $A \rightarrow \prod_{n=1}^{\infty} M_{k(n)}$.

Equivalently, $\exists \{\varphi_n : A \rightarrow M_{k(n)}\}_n$ cpc maps

such that $\|\varphi_n(ab) - \varphi_n(a)\varphi_n(b)\| \rightarrow 0$ and $\|\varphi_n(a)\| \rightarrow \|a\|$.

QD algebras more abundant, better permanence properties than RFD.

Ozawa-Rørdam-Sato: G elementary amenable group $\Rightarrow C^*(G)$ is **QD**.

Tikuisis-White-Winter: separable and nuclear C^* -algebras in the UCT class with faithful trace are **QD**. $C^*(G)$ is QD for all amenable groups.

The cone: $CB(H) = C_0[0, 1) \otimes B(H)$

A is **connective** if \exists $*$ -mono

$$A \hookrightarrow \frac{\prod_{n=1}^{\infty} CB(H_n)}{\bigoplus_{n=1}^{\infty} CB(H_n)}$$

liftable to cpc map $A \rightarrow \prod_{n=1}^{\infty} CB(H_n)$.

Connectivity of a separable C^* -algebra has three consequences:

- absence of nonzero projections ([Cohen](#), [Choi](#))
- quasidiagonality ([Voiculescu](#))
- allows de-suspension in E-theory for nuclear algebras ([D-Pennig](#))

First examples

- If $\exists \pi : A \hookrightarrow B(H)$ null homotopic then A is connective.
Indeed any $A \subset C_0(0, 1] \otimes B(H) =: CB(H)$ is connective.

If G discrete countable group, let $I(G)$ be the augmentation ideal:

$$0 \rightarrow I(G) \rightarrow C^*(G) \xrightarrow{\iota} \mathbb{C} \rightarrow 0$$

Any representation of \mathbb{F}_n ([Choi](#)) or $\mathbb{F}_n \times \mathbb{F}_m$ ([Brown-Ozawa](#)) is homotopic to a multiple of the trivial representation ι . Thus:

- $I(\mathbb{F}_n)$ and $I(\mathbb{F}_n \times \mathbb{F}_m)$ are connective.

[Hahn-Mazurkiewicz](#) theorem: $\forall X$ connected, locally connected, compact metrizable space is a quotient of $[0, 1]$, i.e. X is a Peano space.

- X Peano space $\Rightarrow C_0(X \setminus x_0) \subset C_0(0, 1]$ is connective

Remark

- A connective $\Leftrightarrow \exists$ a sequence $\{\varphi_n : A \rightarrow CB(H_n)\}_{n \in \mathbb{N}}$ of cpc maps :

$$\|\varphi_n(a)\varphi_n(a) - \varphi_n(ab)\| \rightarrow 0, \quad \|\varphi_n(a)\| \rightarrow \|a\|$$

- May arrange all H_n finite dimensional using
contractibility \Rightarrow quasidiagonality

Non Peano spaces

X Hausdorff compact and $x_0 \in X$,

$C_0(X \setminus x_0)$ connective $\Leftrightarrow X$ connected

$\Leftrightarrow X \setminus x_0$ has no compact open subsets $\neq \emptyset$.

Proof: For any $x \in X$ find discrete path x_1, x_2, \dots, x_n joining x with x_0
then linearly interpolate ev_{x_i} .

E-theory and deformations

An asymptotic morphism $(\varphi_t)_{t \in [0, \infty)}$ is a family of maps $\varphi_t: A \rightarrow B$ parametrized by $t \in [0, \infty)$ such that $t \mapsto \varphi_t$ is pointwise continuous and the axioms for $*$ -homomorphisms are satisfied *asymptotically* for $t \rightarrow \infty$.

Homotopy classes of asymptotic morphisms from the **suspension of A** to the **stabilization** of the **suspension of B** provide a model for **E-theory**:

Connes-Higson ('90): $E(A, B) = [[SA, SB \otimes \mathcal{K}]]$.

H. Larsen-Thomsen: $KK(A, B) \cong [[SA, SB \otimes \mathcal{K}]]^{\text{cpc}}$.

The suspensions and the stabilization of B are necessary to obtain a natural abelian group structure on $E(A, B)$.

Equally important: SA becomes **quasidiagonal**, hence \exists a large supply of almost multiplicative maps $SA \rightarrow \mathcal{K}$.

A deformation $\varphi_t: A \rightarrow B \otimes \mathcal{K}$ contains in principle more geometric information. We are confronted with the dilemma of understanding $[[A, B \otimes \mathcal{K}]]$, while only $E(A, B) = [[SA, SB \otimes \mathcal{K}]]$ is computable.

Best case scenario: the monoid homomorphism $[[A, B \otimes \mathcal{K}]] \rightarrow E(A, B)$ induced by the suspension map is an **isomorphism**.

Unsuspending in E-theory

Theorem (D-Loring 94) TFAE

- $[[A, B \otimes \mathcal{K}]] \rightarrow E(A, B)$ is an isomorphism $\forall B$
- $[[A, A \otimes \mathcal{K}]]$ is a group
- A is **homotopy symmetric**, i.e. $[[\text{id}_A]] \in [[A, A \otimes \mathcal{K}]]$ invertible.

Fact: X Hausdorff compact and connected and $x_0 \in X \Rightarrow C_0(X \setminus x_0)$ is homotopy symmetric (Dad 94). This explains Voiculescu's example.

Theorem (D-Pennig)

A nuclear is **homotopy symmetric** $\Leftrightarrow A$ is **connective**.

Corollary

A nuclear connective $\Rightarrow [[A, B \otimes \mathcal{K}]] \cong KK(A, B)$

$[[A, B]]_{\mathbb{N}}$ = homotopy classes of discrete asymptotic homs.

We showed $[[A, SB \otimes \mathcal{K}]]_{\mathbb{N}}$ and $[[A, B \otimes \mathcal{K}]]_{\mathbb{N}}$ are **groups** if A is **nuclear & connective**. If B unital the arguments are reminiscent of $Ext(A, B)$ is a **group** for A nuclear. Extend to nonunital case using Puppe-sequences.

Thomsen: \exists exact sequence of pointed sets

$$[[A, SB \otimes \mathcal{K}]]_{\mathbb{N}} \xrightarrow{\alpha} [[A, B \otimes \mathcal{K}]] \xrightarrow{\beta} [[A, B \otimes \mathcal{K}]]_{\mathbb{N}} \xrightarrow{1-\sigma} [[A, B \otimes \mathcal{K}]]_{\mathbb{N}}.$$

σ is the shift map $\sigma[[\psi_n]] = [[\psi_{n+1}]]$, β is the natural restriction map and α is defined by stringing together the components of a discrete asymptotic morphism $\{\varphi_n : A \rightarrow C_0(0, 1) \otimes B \otimes \mathcal{K}\}_n$ to form a continuous asymptotic morphism $\{\Phi_t : A \rightarrow B \otimes \mathcal{K}\}_{t \in [0, \infty)}$.

$(1 - \sigma)$ is a morphism of groups and both α and β are monoid homomorphisms. The exact sequence of pointed monoids

$$[[A, SB \otimes \mathcal{K}]]_{\mathbb{N}} \rightarrow [[A, B \otimes \mathcal{K}]] \rightarrow \ker(1 - \sigma) \rightarrow 0$$

implies that $[[A, B \otimes \mathcal{K}]]$ is a **group**. (H-spaces analogy).

Permanence properties of connectivity yield large classes of homotopic symmetric C^* -algebras

Theorem (D-Pennig)

- (a) *homotopy symmetry passes to nuclear subalgebras.*
- (b) *If $(A_n)_n$ connective and $A \subset \prod_n A_n / \bigoplus_n A_n \Rightarrow A$ is homotopy symmetric.*
- (c) *$(A_n)_n$ nuclear homotopy symmetric $\Rightarrow \text{inj lim}_n A$ homotopy symmetric.*
- (d) *If $0 \rightarrow J \rightarrow A \rightarrow B \rightarrow 0$ exact sequence.
 J, B nuclear, homotopy symmetric $\Rightarrow A$ homotopy symmetric.*
- (e) *The class of homotopy symmetric C^* -algebras is closed under tensor products by separable C^* -algebras and under (asymptotic) homotopy equivalence.*
- (f) *The class of separable nuclear homotopy symmetric C^* -algebras is closed under crossed products by second countable compact groups.*

Corollary

- If A sep. nuclear, then A connective $\Leftrightarrow A \otimes O_2$ connective.
- Let A, B sep. nuclear with $\text{Prim}(A) \cong \text{Prim}(B)$.
Then A connective $\Leftrightarrow B$ connective.

Second part uses [Kirchberg's](#) results.

Corollary

*A sep. nuclear continuous field over compact connected metrizable X .
If some fiber $A(x_0)$ homotopy symmetric $\Rightarrow A$ homotopy symmetric.*

Proof: Embed A in $E := \{f \in C(X, O_2) : f(x_0) \in A(x_0)\}$ ([Blanchard](#))

$$0 \rightarrow C_0(X \setminus x_0) \otimes O_2 \rightarrow E \rightarrow A(x_0) \rightarrow 0$$

Discrete groups G

Recall augmentation ideal $I(G): 0 \rightarrow I(G) \rightarrow C^*(G) \xrightarrow{\iota} \mathbb{C} \rightarrow 0$

A discrete countable group G is **connective** if $I(G)$ is connective.

Corollary

Let $1 \rightarrow N \rightarrow G \rightarrow H \rightarrow 1$ be a central extension of discrete countable amenable groups where N is torsion free. H connective $\Rightarrow G$ connective.

Proof: $C^*(G)$ continuous field over \widehat{N} with one fiber isomorphic to $C^*(H)$.

Theorem (D-Pennig)

If G is a countable torsion free nilpotent group, then G is connective hence $K^0(I(G)) \cong [[I(G), \mathcal{K}]]$.

If $s \in G$, $s^n = 1$, $n > 1$, then G is not connective.

$1 - \frac{1}{n}(1 + s + \cdots + s^{n-1})$ nonzero projection in $I(G)$.

G, H countable discrete groups and let J be a set with a left action of H .
Wreath product: $G \wr H = \left(\bigoplus_J G\right) \rtimes H$.

Theorem (D-Pennig-Schneider)

G, H *connective* $\Rightarrow G \wr H$ *connective*.

Corollary

G, H *connective* and $\alpha: H \rightarrow \text{Aut}(G)$ *periodic* $\Rightarrow G \rtimes_{\alpha} H$ *connective*.

Examples

The free solvable groups $S_{r,n}$ on r generators of derived length n .

- $S_{r,1} \cong \mathbb{Z}^r$
- $S_{r,2}$ is the free metabelian group on r generators.

$S_{r,2}$ has universal property: it maps surjectively onto any other metabelian group with r generators.

Obstructions to connectivity of A

- (1) existence of nonzero projections in $A \otimes B$.
- (2) non-quasidiagonality

Prop. (Pasnicu-Rørdam)

If $\text{Prim}(A)$ has a non-empty compact-open subset $\Rightarrow A \otimes O_\infty$ contains non-zero projections.

Thus, if $\text{Prim}(A)$ has a non-empty compact-open subset $\Rightarrow A$ not connective.

Prop.

If A is nuclear and $\text{Prim}(A)$ is Hausdorff, then A is connective \Leftrightarrow if $\text{Prim}(A)$ does not contain a non-empty compact-open subset.

$\pi \in \widehat{A}$ is **shielded**, if \nexists eventually non-constant sequence in \widehat{A} convergent solely to π .

Lemma

If π is a shielded and closed point of $\widehat{A} \Rightarrow \ker \pi$ not connective.

Proof: $\text{Prim}(\ker \pi)$ is compact-open.

Corollary

Let G be a countable discrete group. If the trivial representation $\iota \in \widehat{G}$ is shielded, then G is not connective.

Proof: $I(G) = \ker \iota \Rightarrow \text{Prim}(I(G))$ is compact-open.

The Hantzsche-Wendt group

There are precisely 10 closed flat 3-dimensional manifolds.

J.H. Conway and J.P. Rossetti call these manifolds **platycosms** (“flat universes”).

The Hantzsche-Wendt manifold (called **didicosm**) is the only platycosm with finite homology. Its fundamental group G :

$$G = \langle x, y : x^2yx^2 = y, \quad y^2xy^2 = x \rangle$$

G is a torsion free 3-dim crystallographic group (**Bieberbach group**).

$$1 \rightarrow \mathbb{Z}^3 \rightarrow G \rightarrow \mathbb{Z}/2 \times \mathbb{Z}/2 \rightarrow 1.$$

D-Pennig

The Hantzsche-Wendt group G is not connective.

Amenable Lie groups

We discuss the connectivity of (reduced) C^* -algebras associated to Lie groups

If G is a solvable Lie group whose center contains a noncompact closed connected subgroup, then $C^*(G)$ is connective.

Let G be a (real or complex) linear connected nilpotent Lie group. Then $C^*(G)$ is connective if and only if G is not compact.

G linear connected reductive group G if

$$G \subset GL_n(\mathbb{R}) \quad \text{or} \quad G \subset GL_n(\mathbb{C})$$

is a selfadjoint closed connected group.

G semisimple if it has finite center.

Reductive Lie groups

(complex case)

If G is a linear connected complex reductive Lie group, then $C_r^*(G)$ is connective if and only if G is not compact.

G complex: $C_r^*(G) \cong C_0(\widehat{G}_r, \mathcal{K})$. (Lipsman, Penington-Plymen)
 \widehat{G}_d = discrete series reps = equivalence classes of square-integrable reps.

(real case)

Let G be a linear connected real reductive Lie group. TFAE:

- (i) $C_r^*(G)$ is connective
- (ii) $\widehat{G}_d = \emptyset$
- (iii) G does not have a compact Cartan subgroup
- (iv) there are no nonzero projections in $C_r^*(G)$.

Harish-Chandra, J. Arthur, A. Wassermann, Higson-Clare-Crisp:

$$C_r^*(G) \hookrightarrow \bigoplus_{\sigma \in \widehat{G}_d} K(H_\sigma) \oplus \bigoplus_{[P, \sigma]} C_0(\widehat{A}_P, K(H_\sigma)),$$

where the second direct sum involves proper parabolic subgroups and hence $\dim(\widehat{A}_P) > 0$ (A_P are real vector groups).

$C_r^*(\mathrm{SL}_n(\mathbb{R}))$ is not connective since $\mathrm{SL}_n(\mathbb{R})$ has discrete series representations, $n \geq 2$.

$C_r^*(\mathrm{SO}(p, q))$ is connective $\Leftrightarrow pq = \text{odd}$.

Let G be a linear connected real reductive Lie group. Then

$\bigcap_{\pi \in \widehat{G}_d} \ker(\pi) \subset C_r^*(G)$ is always a connective C^* -algebra.

Proof: $\bigcap_{\pi \in \widehat{G}_d} \ker(\pi) \subset \bigoplus_{[P, \sigma]} C_0(\widehat{A}_P, K(H_\sigma))$.

Full C^* -algebras of Lie groups

G semisimple, the adjoint group $\text{Ad}(G) = G/Z(G) =$ direct product of simple Lie groups.

Using results of [Valette](#):

Let G be a complex connected semisimple Lie group. The following assertions are equivalent.

- (i) $C^*(G)$ is connective.
- (ii) $C^*(G)$ has no nontrivial projections.
- (iii) The adjoint group $\text{Ad}(G)$ of G has at least one simple factor which is isomorphic to a Lorentz group $\text{PSL}_2(\mathbb{C})$.

$C^*(\text{SL}_2(\mathbb{C}))$ is connective but $C^*(\text{SL}_3(\mathbb{C}))$ is not. However

$$I(\text{SL}_3(\mathbb{C})) = \ker(\iota : C^*(\text{SL}_3(\mathbb{C})) \rightarrow \mathbb{C}) \text{ connective}$$

(Using results of [Fell](#) and [Francois Pierrot](#))