

# Global Geometry and Analysis on Locally Symmetric Spaces

*beyond the Riemannian case*

*Differential Equations and Symmetric Spaces*

*Conference in honor of Toshio Oshima's 60th birthday*

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Toshiyuki Kobayashi

(the University of Tokyo)

<http://www.ms.u-tokyo.ac.jp/~toshi/>

# Compact-like actions

compact groups  
(very nice behaviors)

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Non-compact Lie groups

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$L \curvearrowright \mathcal{H}$

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$L$ : compact  $\implies$  unitarizable

Unitarizability might be interpreted as one of “compact-like properties”.

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$L \curvearrowright M$       proper actions

i.e.  $L \times M \rightarrow M \times M, \quad (g, x) \mapsto (x, g \cdot x)$  is proper

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$\mathcal{H} = L^2(G/H), L^2(G/\Gamma)$  : Hilbert space

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# Decomposition into irreducible reps

Two important cases

$$G' \subset G$$

subgroup

1) Induction

2) Restriction

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1) Induction:  $G' \uparrow G$

Plancherel Formula

(e.g. Analysis on homo. space  $G/G'$ )

2) Restriction:  $G \downarrow G'$

Branching Law

(e.g. Tensor product, ...)

# Special restrictions $\implies L^2(G/H)$

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Many other restrictions  $\pi|_G$  cannot be reduced to  $L^2(G/H)$

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discrete decomposability  $\dots$  compact-like actions



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Say the restriction  $\pi|_{G'}$  is  $G'$ -admissible if both are fulfilled.

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Define two closed cones in  $\sqrt{-1}\mathfrak{t}^*$ :

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$$AS_K(\pi)$$

asymptotic  $K$ -support  
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	$\cap$	
	$\sqrt{-1}\mathfrak{t}^*$	
	$\cup$	
$G \supset G' \rightsquigarrow$	$\mu(T^*(K/K'))$	momentum image
$\cup \quad \cup$		$\mu : T^*(K/K') \rightarrow \sqrt{-1}\mathfrak{t}^*$
$K \supset K'$		

# Criterion of admissible restriction

Theorem A (Criterion) (K– [Ann Math '98](#), [Progr Math '05](#))

Let  $G' \subset G$  and  $\pi \in \hat{G}$ . If  
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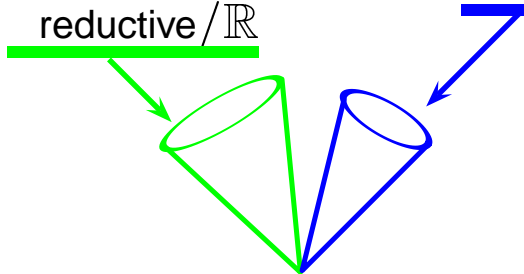
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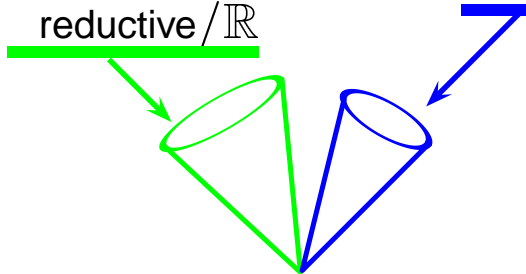
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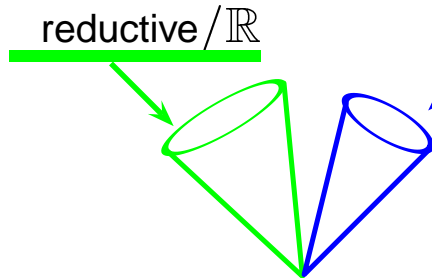
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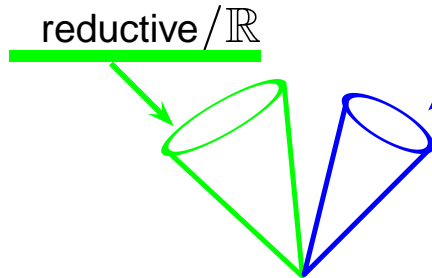
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... compact-like linear actions

# Special cases of Thm A

Ex.1  $\mu(T^*(K/K')) = \{0\} \iff K = K' \iff G' \supset K$   
 $\implies$  Harish-Chandra's admissibility thm

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Ex.5  $\pi = A_{\mathfrak{q}}(\lambda)$  (e.g. discrete series)  
 $\implies AS_K(\pi) \subset \mathbb{R}_+$ -span of  $\Delta(\mathfrak{u} \cap \mathfrak{p}, \mathfrak{t})$   
 $(\mathfrak{q} = \mathfrak{l} + \mathfrak{u}, \mathfrak{g} = \mathfrak{k} + \mathfrak{p})$

# Criterion for compact-like actions

Some further developments in this framework  
(compact-like branching laws)

by D. Gross–N. Wallach, S.-T. Lee–H. Loke,  
M. Duflo–J. Vargas, B. Ørsted–B. Speh,  
J. S. Huang–D. Vogan, K–T. Oda, ...

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$\cup$   $\cup$

$G'$   $\supset K'$

$\mu : T^*(K/K') \rightarrow \sqrt{-1}\mathfrak{k}^*$  momentum map

Thm A  $\pi \in \widehat{G}$

$$\mu(T^*(K/K')) \cap AS_K(\pi) = \{0\}$$

$\implies \pi|_{G'}$  is discrete decomposable.

$$L \subset G \supset H$$

$\nu : G \rightarrow \mathfrak{a}$  (Cartan projection)

Thm B (proper action)

$$L \pitchfork H \text{ in } G \iff \nu(L) \pitchfork \nu(H) \text{ in } \mathfrak{a}$$

# Proper action

$L$   $\overset{\text{action}}{\curvearrowright}$   $X$   
top. gp                      top. sp (locally compact)

$X$                        $L$   
subset  $\cup$   $\rightsquigarrow$   $\cup$   
 $S$                        $L_S := \{\gamma \in L : \gamma S \cap S \neq \emptyset\}$

$S = \{p\} \implies L_S = \text{stabilizer of } p$

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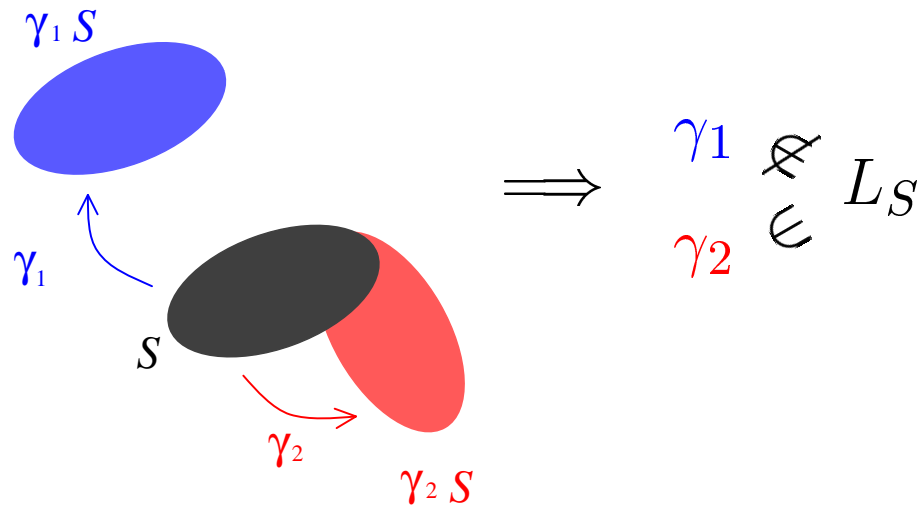
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$X \cup S \rightsquigarrow L \cup L_S$   
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Def.  $L \curvearrowright X$  is proper  $\iff L_S$  is compact  
 $(\forall S: \text{compact})$

$L \curvearrowright X$  is free  $\iff \#L_{\{p\}} = 1 \ (\forall p \in X)$

# Delicate examples

$$L \curvearrowright X$$

(A) free action  $\stackrel{?}{\implies}$  proper action

(B) all orbits are closed  $\stackrel{?}{\implies}$   $L \backslash X$  Hausdorff

# Delicate examples

$$L \curvearrowright X$$

- (A) free action  $\not\Rightarrow$  proper action
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Counterexamples to (A) & (B) even for

$$L \simeq \mathbb{R}^k, X = G/H \quad \text{where} \quad L \subset \underset{\text{Lie groups}}{G} \supset H$$

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Ex. ( $G = 1\text{-conn. nilpotent Lie gp}$ )

$$L = \mathbb{R}^2 \curvearrowright X = \mathbb{R}^5 \quad (\text{nilmanifolds})$$

(Yoshino 2004, counterexample to Lipsman's conjecture)

**proper + discrete = properly discontinuous.**

properly discontinuous action

||

proper action

+

group is discrete

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action

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# Criterion for discontinuous groups

## Setting

$L \subset G \supset H$   
discrete subgp                      closed subgp

## General Problem

Find effective methods to determine whether  
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Idea: forget even that  $L$  and  $H$  are group

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Def. (K- )

1)  $L \pitchfork H \iff \overline{L \cap SHS}$  is compact  
for  $\forall$  compact  $S \subset G$

2)  $L \sim H \iff \exists$  compact  $S \subset G$   
s.t.  $L \subset SHS$  and  $H \subset SLS$ .

---

$H$

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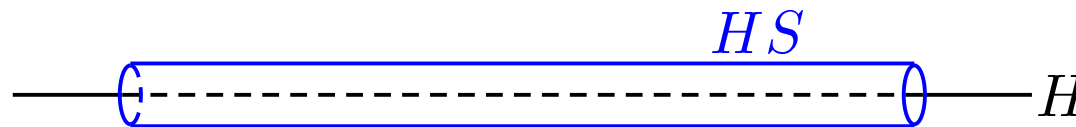
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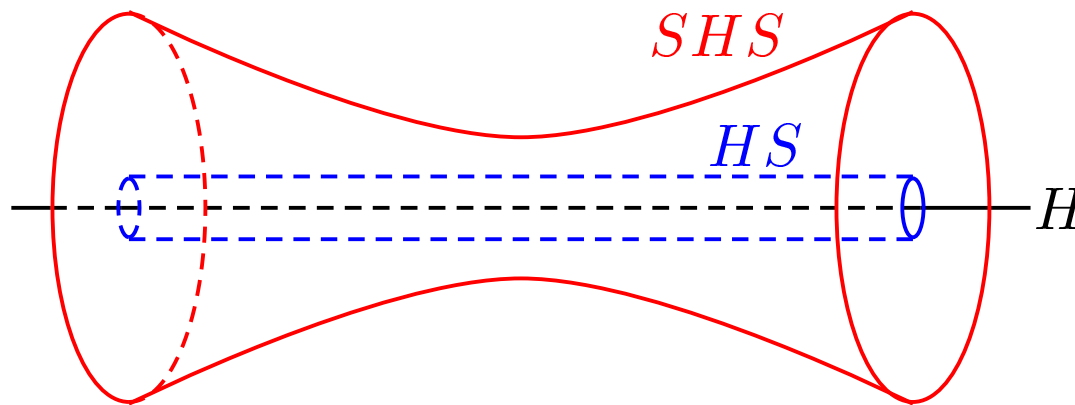
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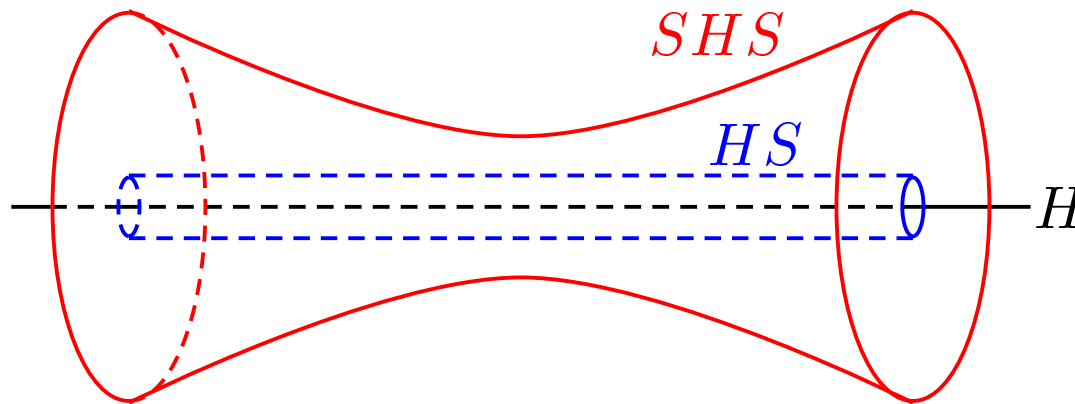
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E.g.  $G = \mathbb{R}^n$ ;  $L, H$  subspaces

$$L \pitchfork H \iff L \cap H = \{0\}.$$

$$L \sim H \iff L = H.$$

# $\curvearrowright$ and $\sim$

$$L \subset G \supset H$$

Forget even that  $L$  and  $H$  are group

- 1)  $L \curvearrowright H \iff$  generalization of proper actions
- 2)  $L \sim H \iff$  economy in considering

Meaning of  $\curvearrowright$ :

$$L \curvearrowright H \iff L \curvearrowright G/H \text{ proper action}$$

for closed subgroups  $L$  and  $H$

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$$H \sim H' \implies H \curvearrowright L \iff H' \curvearrowright L$$



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# Criterion for $\uparrow$ and $\sim$

$G$ : real reductive Lie group

$G = K \exp(\mathfrak{a}) K$ : Cartan decomposition

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E.g.  $\nu: GL(n, \mathbb{R}) \rightarrow \mathbb{R}^n$

$$g \mapsto \frac{1}{2}(\log \lambda_1, \dots, \log \lambda_n)$$

Here,  $\lambda_1 \geq \dots \geq \lambda_n (> 0)$  are the eigenvalues of  ${}^t g g$ .

# Criterion for $\pitchfork$ and $\sim$

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Special cases include

(1)'s  $\Rightarrow$  : Uniform bounds on errors in eigenvalues when a matrix is perturbed.

(2)'s  $\Leftrightarrow$  : Criterion for properly discontin. actions.

# Criterion for compact-like actions

$G$  : reductive Lie group  $\supset K$

$\cup$   $\cup$

$G'$   $\supset K'$

$\mu : T^*(K/K') \rightarrow \sqrt{-1}\mathfrak{k}^*$  momentum map

Thm A  $\pi \in \widehat{G}, G' \subset G$

$$\mu(T^*(K/K')) \cap AS_K(\pi) = \{0\}$$

$\implies \pi|_{G'}$  is discrete decomposable.

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Thm B (proper action)

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# Compact-like linear/non-linear actions

$\mathcal{H}$ : Hilbert space

$L \curvearrowright \mathcal{H}$      discrete decomposability

...  $L$  behaves nicely in  $U(\mathcal{H})$  (unitary operators)  
as if it were a compact group

$M$ : topological space

$L \curvearrowright M$      proper actions

...  $L$  behaves nicely in  $\text{Homeo}(M)$   
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# Compact-like linear/non-linear actions

$\mathcal{H} = L^2(G/H), L^2(G/\Gamma)$  : Hilbert space

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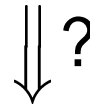
'nice behavior' (topological action)

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$$\begin{cases} H \curvearrowright L^2(G/L) & \text{(Margulis, Oh)} \\ \bar{L} \curvearrowright L^2(G/H) & \text{(K-)} \end{cases}$$

'nice behavior' (representation theory)

# Interacting example

Ex. (K– 1988)  $(G, L) = (SO(4, 2), SO(4, 1))$

$\pi$ : discrete series of  $G$  with GK-dim 5

(quaternionic discrete series)

$\implies \pi|_L$  is  $L$ -admissible

Idea: Tessellation of pseudo-Riemannian mfd  $X$

$$X = SO(4, 2)/U(2, 1) \quad \left( \underset{\text{open}}{\subset} \mathbb{P}^3 \mathbb{C} \right)$$

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$$\begin{array}{c} \Gamma \\ \text{lattice} \cap \\ L \end{array} \subset \subset G \xrightarrow{\sim} X$$

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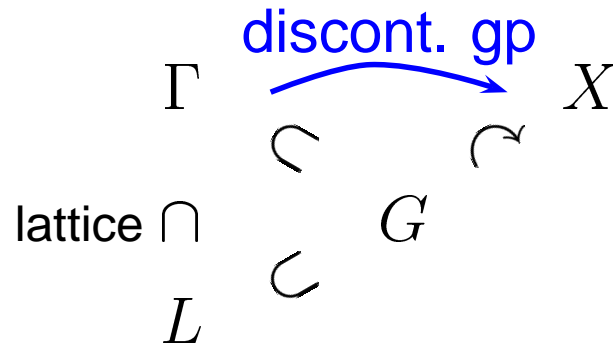
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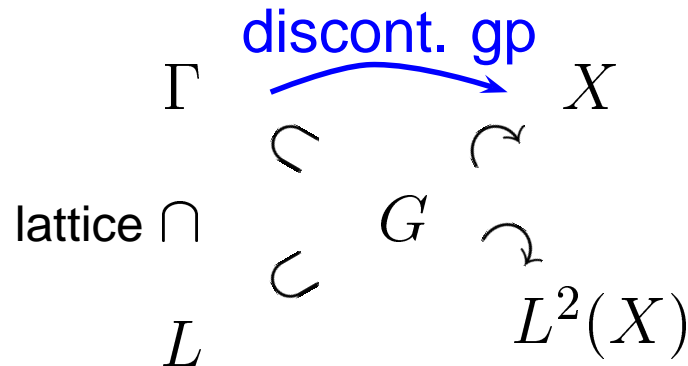
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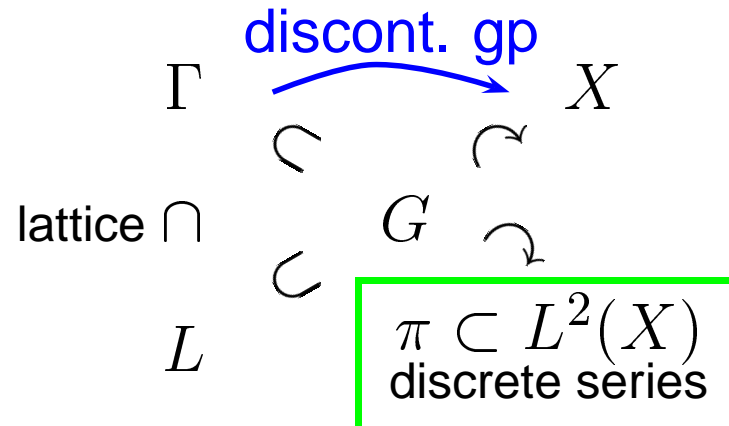
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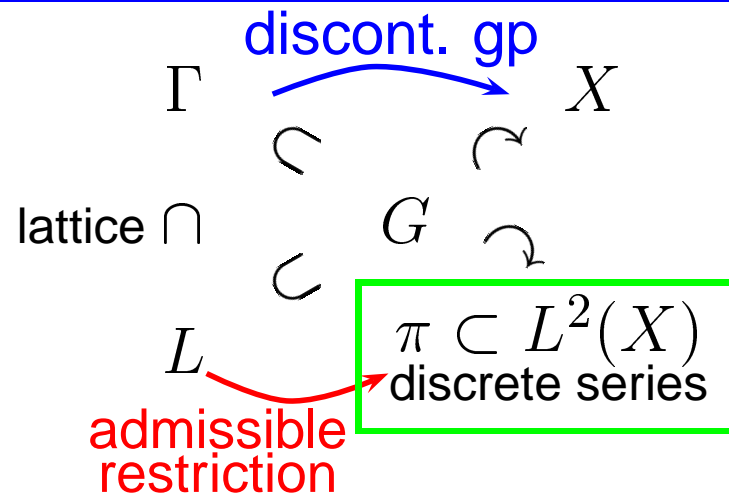
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# Interacting examples

Pseudo-Riemannian manifold  $X$

$$X = G/H = SO(4, 2)/U(2, 1) \quad \left( \underset{\text{open}}{\subset} \mathbb{P}^3 \mathbb{C} \right)$$

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Pseudo-Riemannian manifold  $X$

$$X = G/H = SO(4, 2)/U(2, 1) \quad \left( \underset{\text{open}}{\subset} \mathbb{P}^3 \mathbb{C} \right)$$

- Cocompact discontinuous group for  $X = G/H$

Thm  $G/H$  admits a cocompact, discontinuous gp  $\Gamma$ .

Proof. Take  $\Gamma \underset{\text{cocompact}}{\subset} L = SO(4, 1)$ . ■

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Proof. Take  $\Gamma \underset{\text{cocompact}}{\subset} L = SO(4, 1)$ . ■

- Function space on  $X = G/H$

Thm If  $\pi \in \widehat{G}$  is realized in  $L^2(G/H)$ ,  
then  $\pi|_L$  decomposes discretely.



# Compact-like linear/non-linear actions

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$L \curvearrowright \mathcal{H}$  discrete decomposability

...  $L$  behaves nicely in  $U(\mathcal{H})$  (unitary operators)  
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$M = G/H$ : topological space

$L \curvearrowright M$  proper actions

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# proper + discrete = properly discontin.

action

action

properly discontin. action

||

proper action

+

group is discrete

# Local to global

$$\Gamma \subset G \supset H$$

Knowledge of discrete subgp  $\Gamma$

$\Downarrow \Leftarrow$  criterion of  $\rho$  (Thm B)

Knowledge of  $\Gamma$ -actions on  $G/H$

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E.g. existence problem of cocompact discontin. gp  
rigidity / deformation

...

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$$\underbrace{G/H}_{\text{local geometric structure}} \rightarrow \underbrace{\Gamma \backslash G/H}_{\text{global}}$$

# Rigidity/deformation

- Positivity of 'metric' is crucial?

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$$\Gamma \subset L$$

lattice



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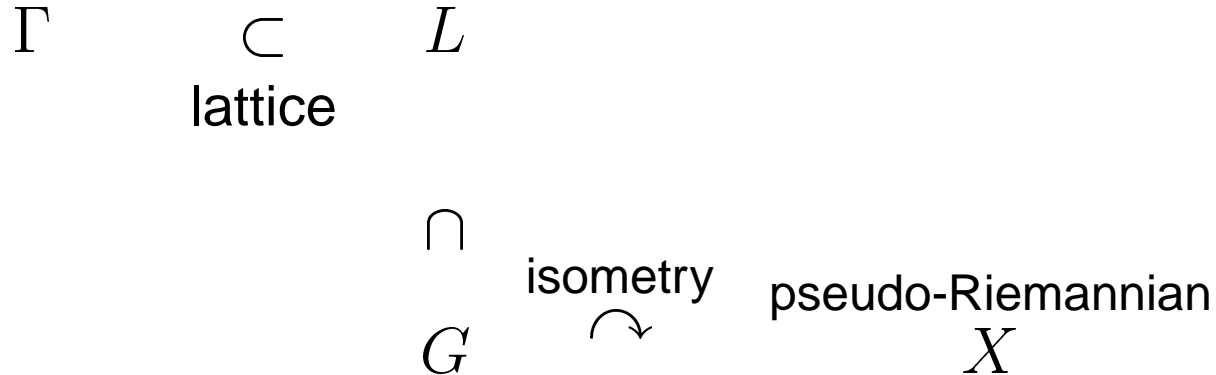
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lattice

$$\cap$$
$$G$$

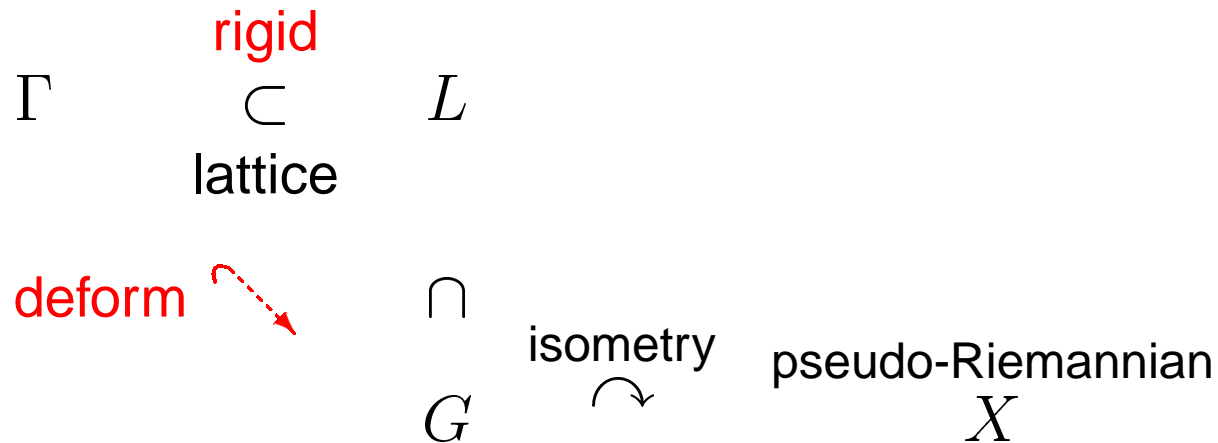
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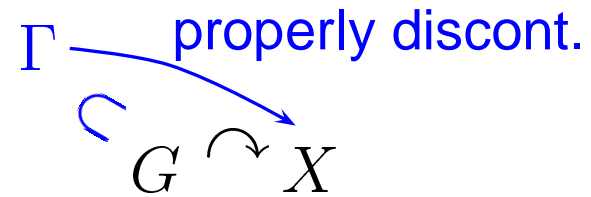




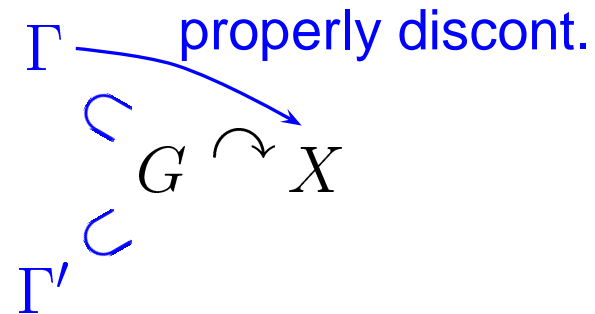
# Rigidity, stability, and deformation

$$G \curvearrowright X$$

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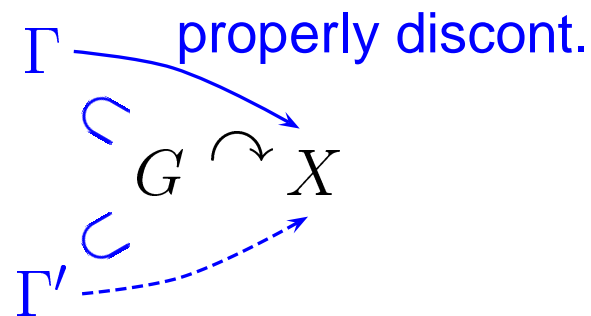


# Rigidity, stability, and deformation



Suppose  $\Gamma'$  is 'close to'  $\Gamma$

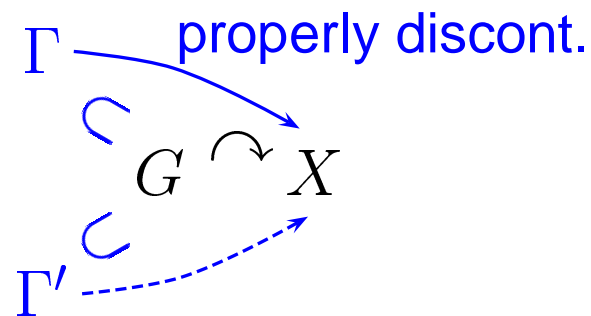
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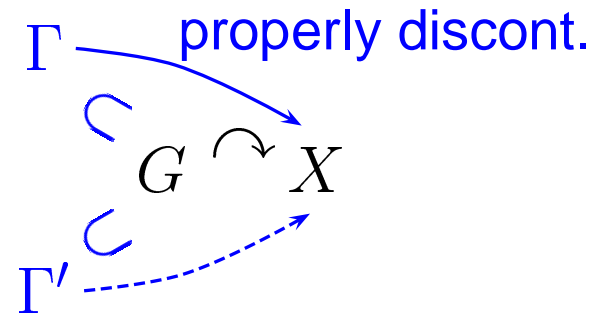
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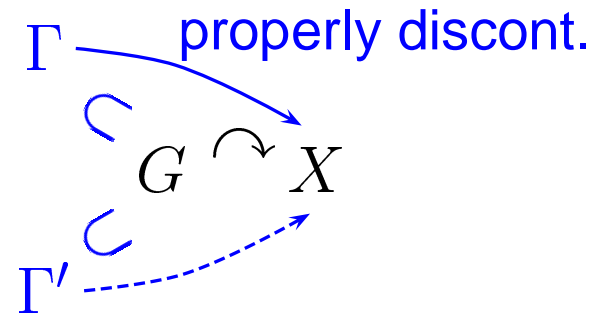


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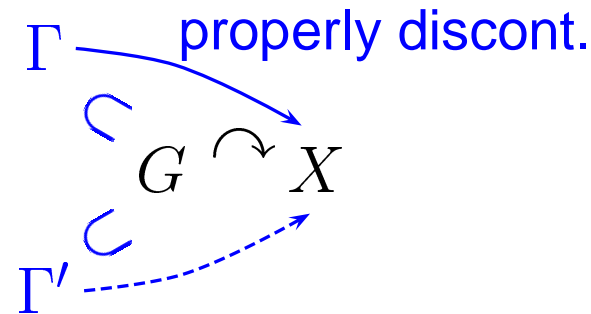


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|----------------------|---|
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In general,

# Rigidity, stability, and deformation



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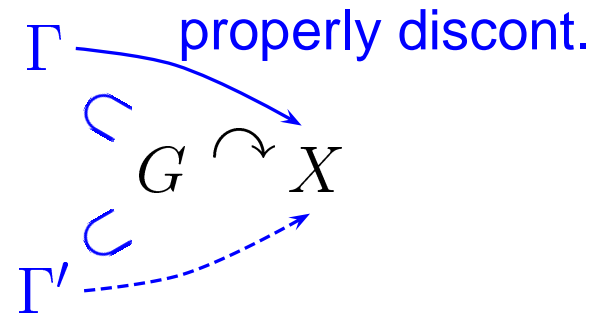
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In general,

- (R)  $\Rightarrow$  (S).
- (S) may fail (so does (R)).

# Local rigidity and deformation

$\Gamma \subset G \curvearrowright X = G/H$  cocompact, discontinuous gp

## General Problem

1. When does local rigidity (R) fail?
2. Does stability (S) still hold?

# Local rigidity and deformation

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## General Problem

1. When does local rigidity (R) fail?
2. Does stability (S) still hold?

Point: for non-compact  $H$

1. (good aspect) There may be large room for deformation of  $\Gamma$  in  $G$ .
2. (bad aspect) Properly discontinuity may fail under deformation.

# Rigidity Theorem

$$\textcircled{1} \quad G/\{e\} \simeq (G \times G)/\Delta G \quad \textcircled{2}$$

$\Gamma \subset G$  simple Lie gp



# Rigidity Theorem

$$\textcircled{1} \quad \Gamma \overset{\curvearrowright}{\sim} G/\{e\} \iff (\Gamma \times 1) \overset{\curvearrowright}{\sim} (G \times G)/\Delta G \quad \textcircled{2}$$

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Fact (Selberg–Weil’s local rigidity, 1964)

$\exists$  uniform lattice  $\Gamma$  admitting continuous deformations for  $\textcircled{1}$   
 $\iff G \approx SL(2, \mathbb{R})$  (loc. isom).

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$\exists$  uniform lattice  $\Gamma$  admitting continuous deformations for  $\textcircled{2}$   
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$\iff$  trivial representation is not isolated in the unitary dual  
(not having Kazhdan’s property (T))

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Local rigidity (R) may fail for pseudo-Riemannian symm. sp.  
even for **high** and **irreducible** case!

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Method: use the criterion of  $\natural$

( $\Rightarrow$  criterion for properly discontinuous actions)

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... Solution to Goldman’s stability conjecture (1985), 3-dim case

# Existence problem of compact quotients

$$G \supset H$$

# Existence problem of compact quotients

$$(\Gamma \subset) G \supset H$$

- General Problem For which pair  $(G, H)$  does there exist a discrete subgroup  $\Gamma$  s.t.
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$$\Gamma \backslash G/H \simeq \langle \smile \smile \dots \smile \rangle (g \geq 2)$$

Consider the case when  $H$  is non-compact.

# Space forms (definition)

$(M, g)$  : pseudo-Riemannian mfd,  
geodesically complete

Def.  $(M, g)$  is a space form  
 $\iff$  sectional curvature  $\kappa$  is constant

# Space forms (examples)

Space form ...  $\begin{cases} \text{Signature } (p, q) \text{ of pseudo-Riemannian metric } g \\ \text{Curvature } \kappa \in \{+, 0, -\} \end{cases}$

E.g.  $q = 0$  (Riemannian mfd)

sphere  $S^n$

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E.g.  $q = 1$  (Lorentz mfd)

de Sitter sp

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Minkowski sp

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anti-de Sitter sp

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# Space form problem

Space form problem for pseudo-Riemannian mfd's

Local Assumption

signature  $(p, q)$ , curvature  $\kappa \in \{+, 0, -\}$



Global Results

- Do compact quotients exist?
- What groups can arise as their fundamental groups?

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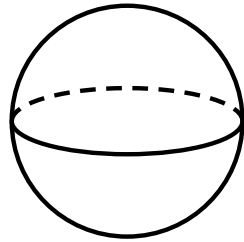
- Do compact quotients exist?

Is the universe closed?

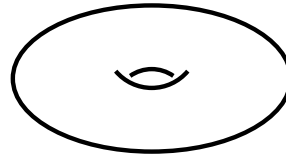
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# 2-dim'l compact space forms

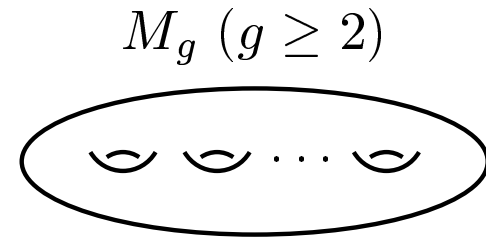
Riemannian case ( $\iff$  signature  $(2, 0)$ )



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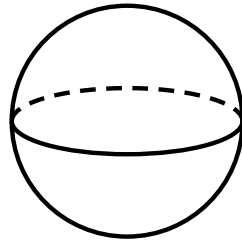


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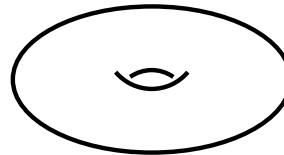
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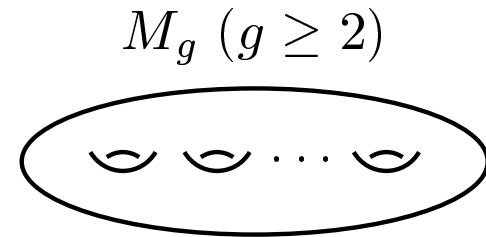


curvature

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Lorentz case ( $\iff$  signature  $(1, 1)$ )

compact forms do NOT exist

for  $\kappa > 0$  and  $\kappa < 0$

# Compact space forms ( $\kappa < 0$ )

Geometry  $\iff$  Group theoretic formulation

Compact space forms exist

for  $\kappa < 0$  and signature  $(p, q)$

$\iff$  Cocompact discontin. gps exist

for symmetric sp  $O(p, q + 1)/O(p, q)$

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- Riemannian case ... hyperbolic space

Compact quotients

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Exist by  $\underbrace{\text{Siegel, Borel}}_{\text{arithmetic}}, \underbrace{\text{Vinberg, Gromov–Piatetski-Shapiro}}_{\text{non-arithmetic}} \dots$

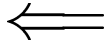


# Space form conjecture $\kappa < 0$

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$(\Leftrightarrow \kappa > 0)$

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$\Leftarrow$  True (Proved (1950–2005))

①② (Riemmanian)

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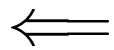
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$\Rightarrow$  Partial answers:

$q = 1$ ,  $p \leq q$ , or  $pq$  is odd

Hirzebruch's proportionality principle (K–Ono)

# Methods

Understanding proper actions  $(\rho, \sim)$ ,  
cohomology of discrete groups





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## Construction of lattice

- Find a connected subgp  $L$  that acts on  $G/H$  properly and cocompactly.
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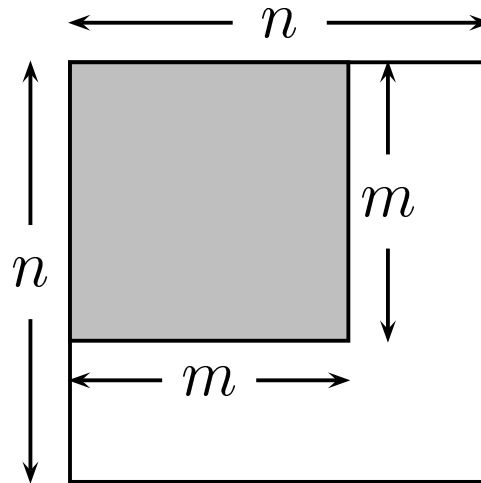
- Characteristic classes
- Comparison theorem:  $\Gamma \curvearrowright G/H \iff \Gamma \curvearrowright G/H'$

# Compact quotients for $SL(n)/SL(m)$

Problem: Does there exist compact Hausdorff quotients of

$$SL(n, \mathbb{F})/SL(m, \mathbb{F}) \quad (n > m, \mathbb{F} = \mathbb{R}, \mathbb{C}, \mathbb{H})$$

by discrete subgps of  $SL(n, \mathbb{F})$ ?



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Conjecture  $SL(n)/SL(m)$  ( $n > m > 1$ )  
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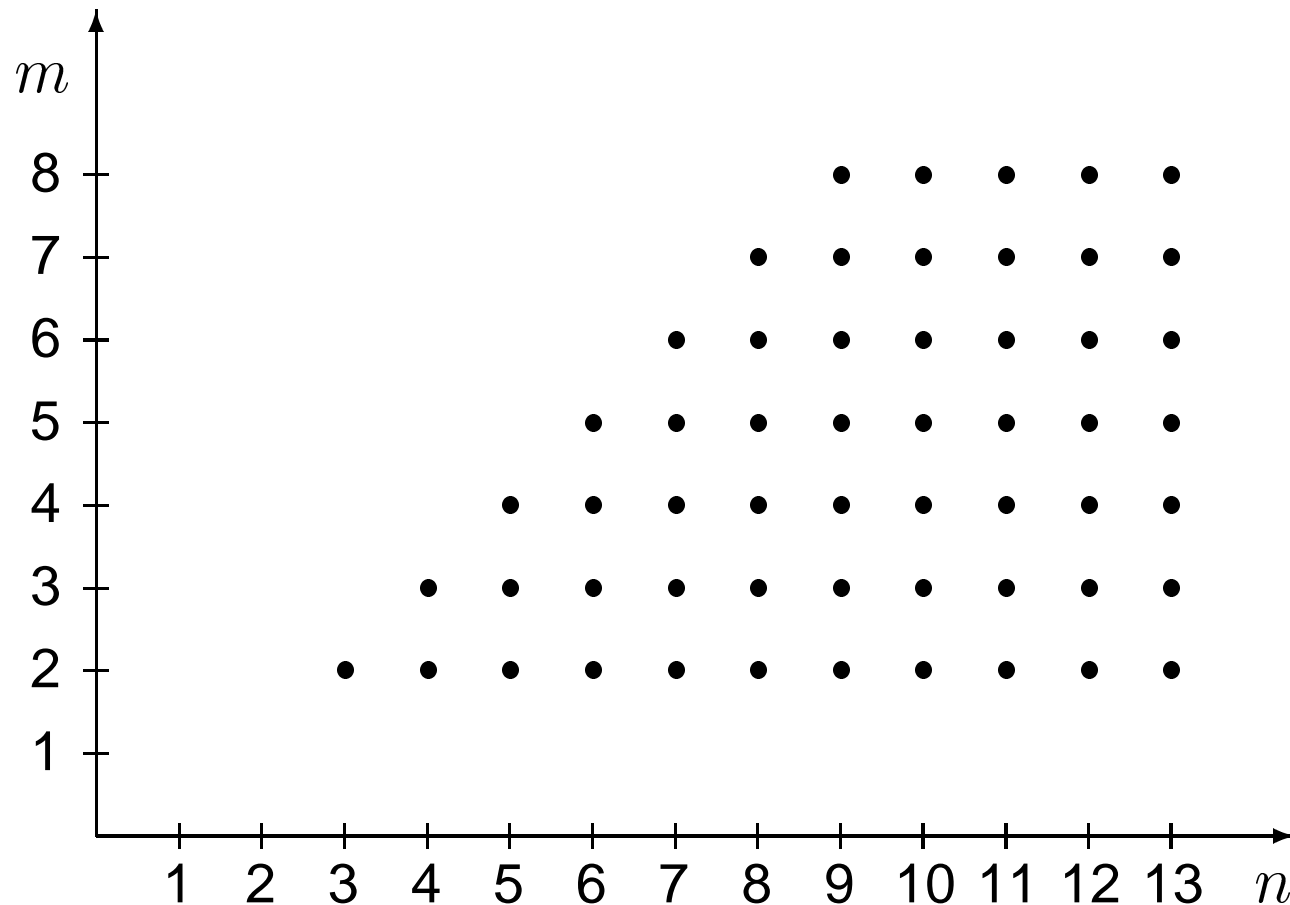
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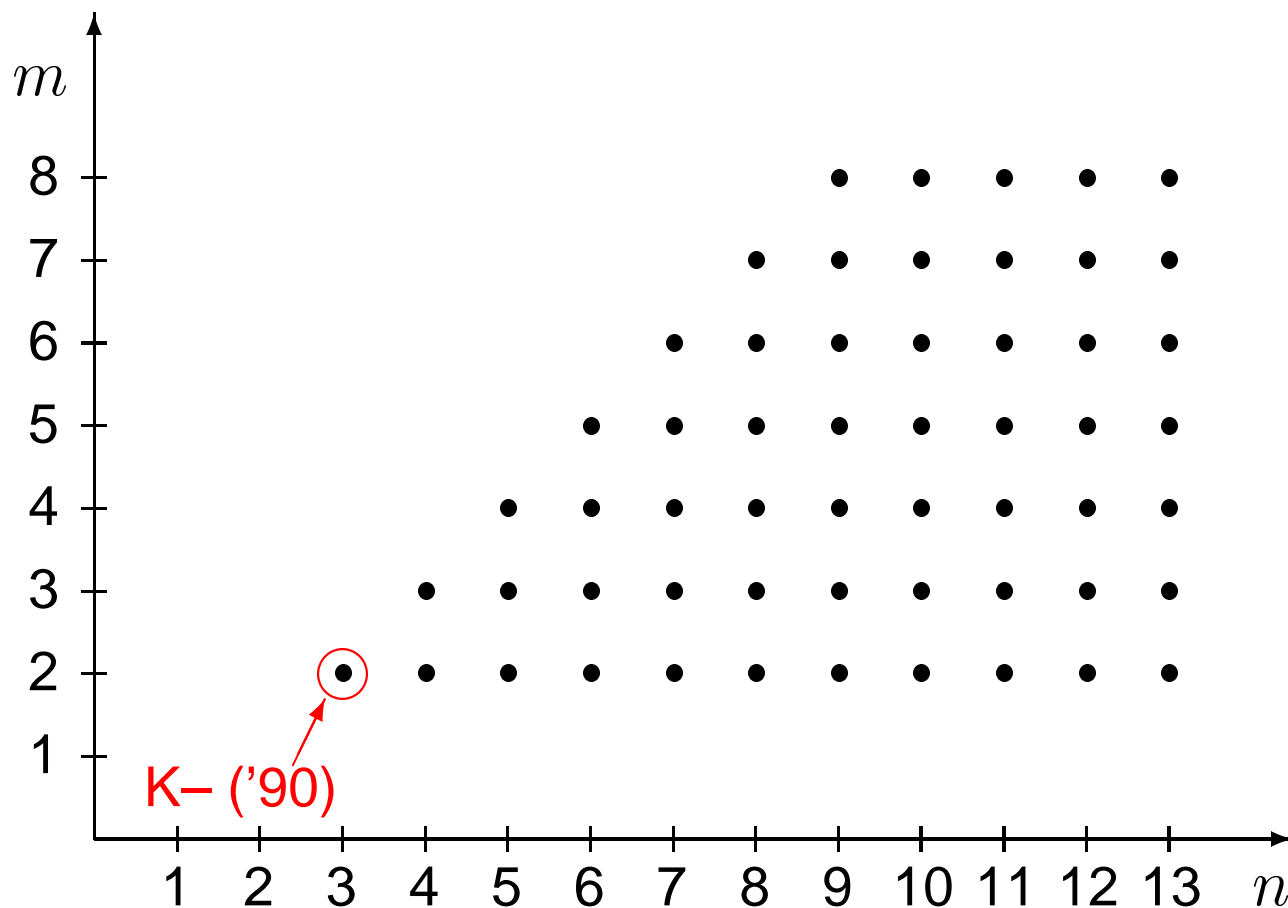
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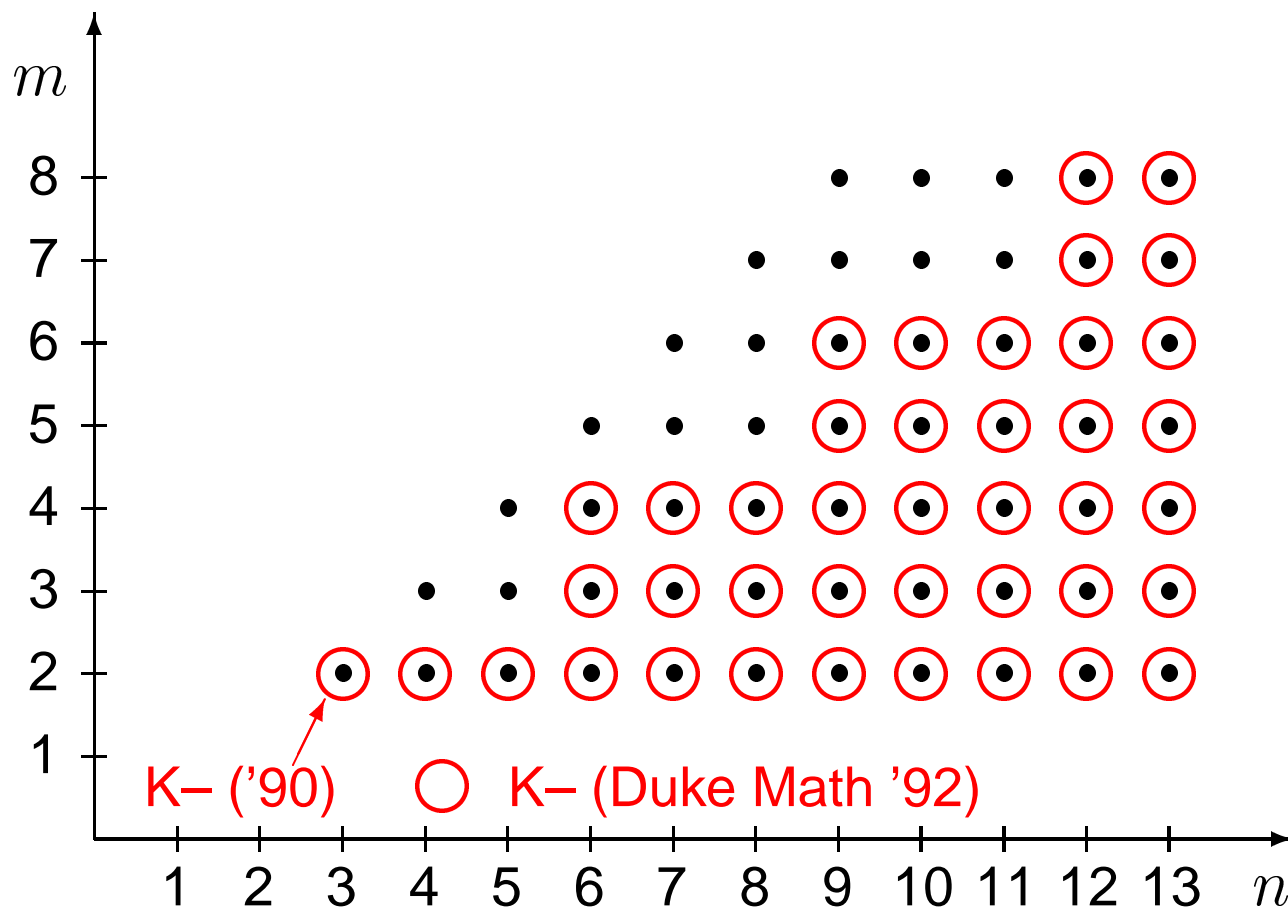
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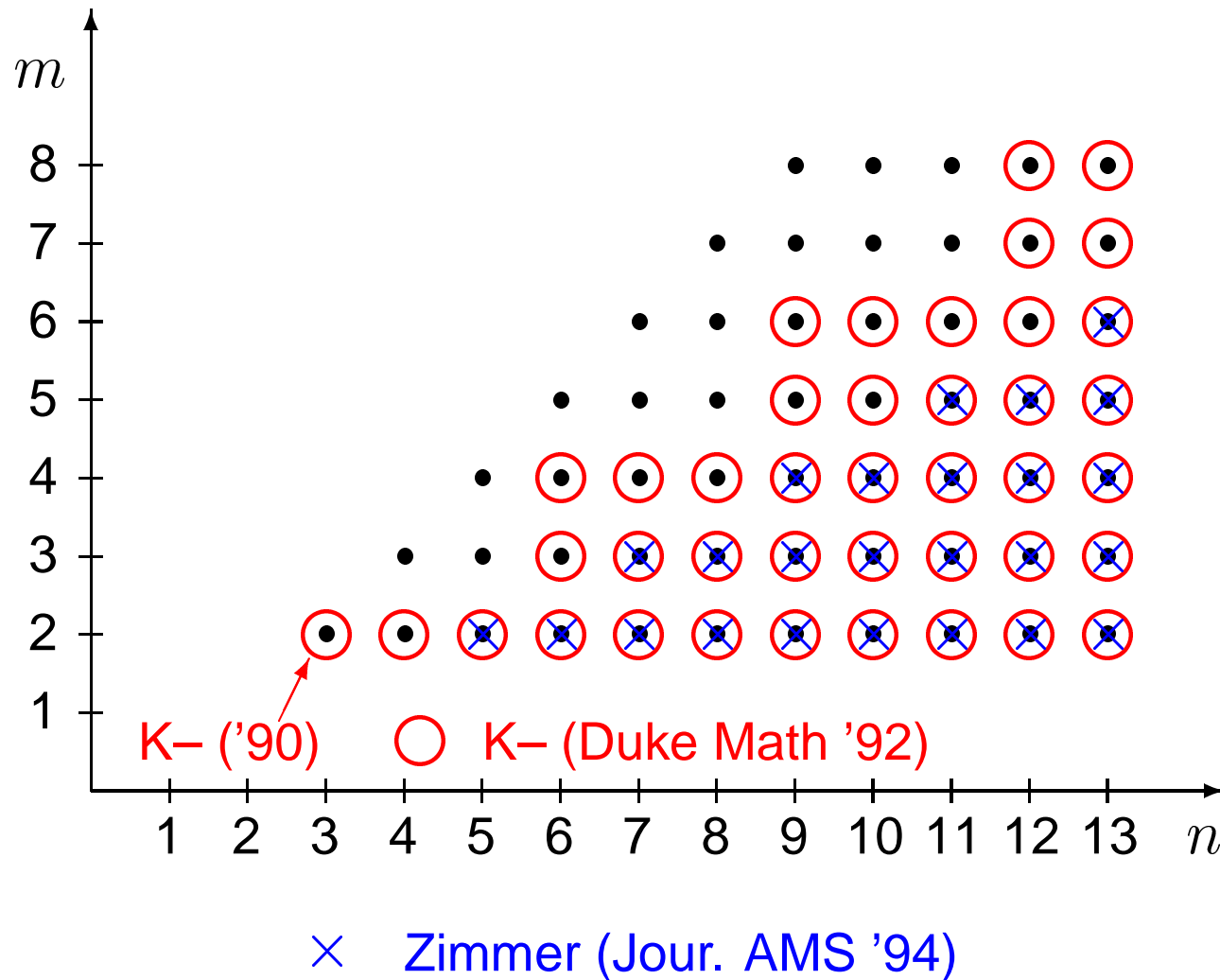
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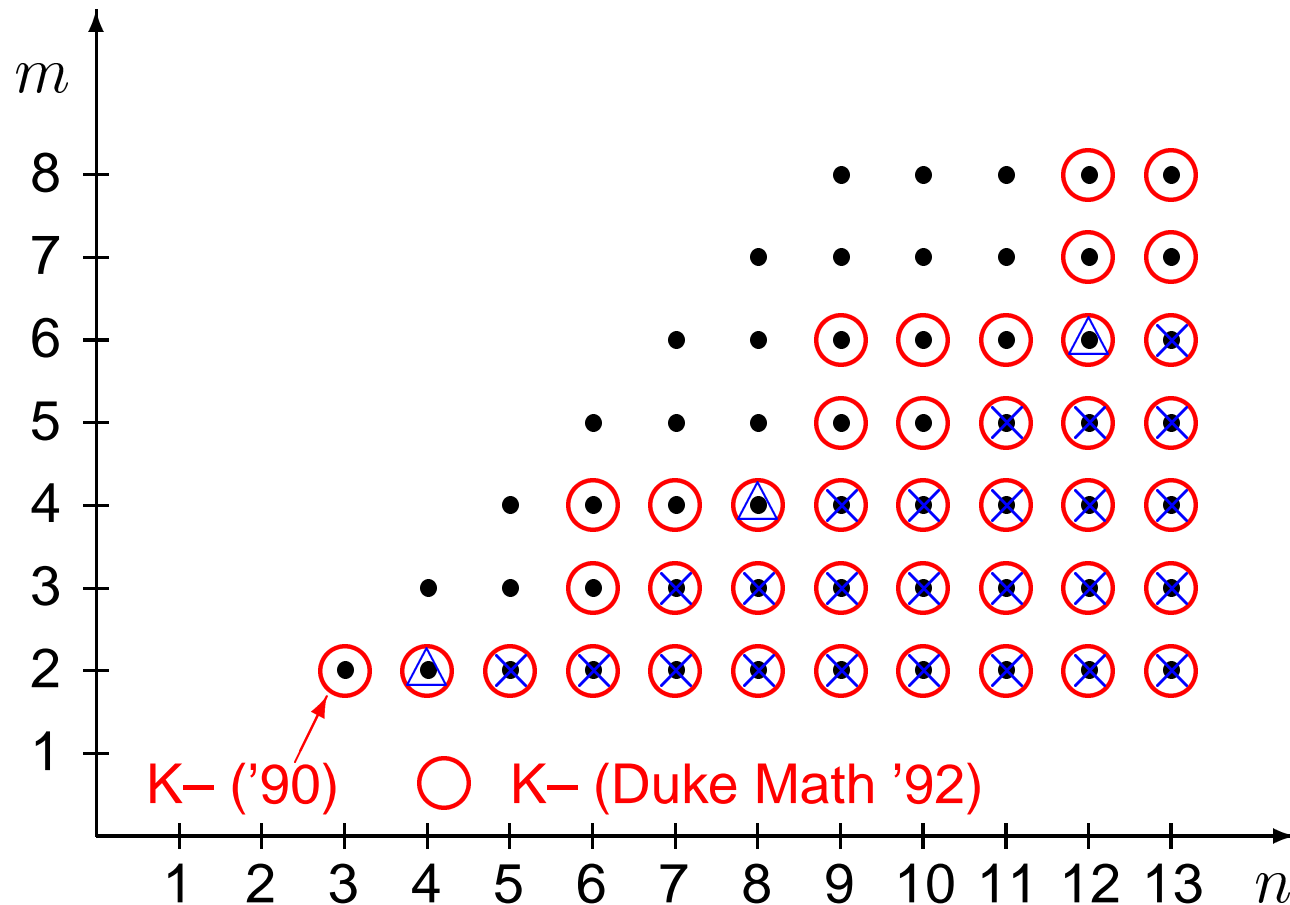
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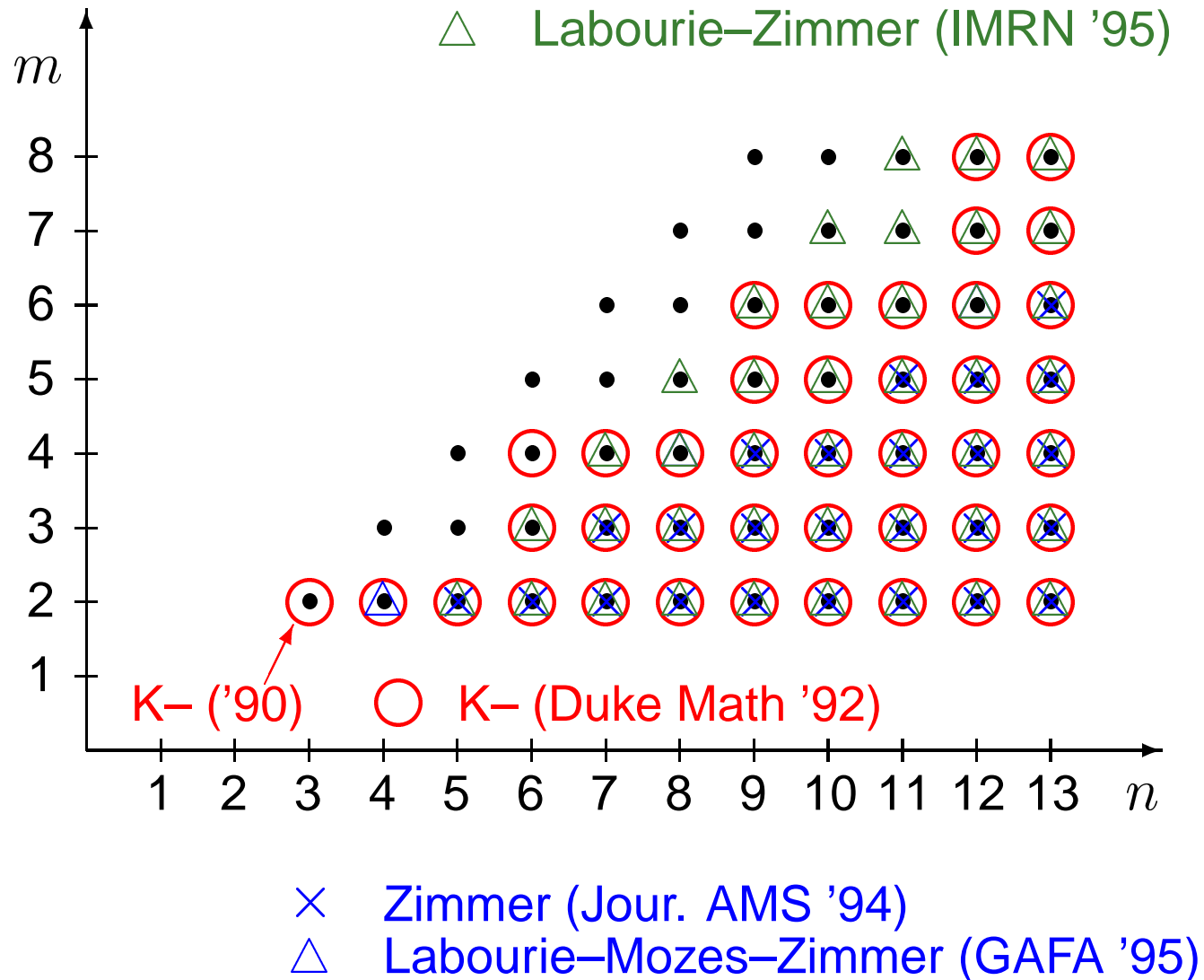
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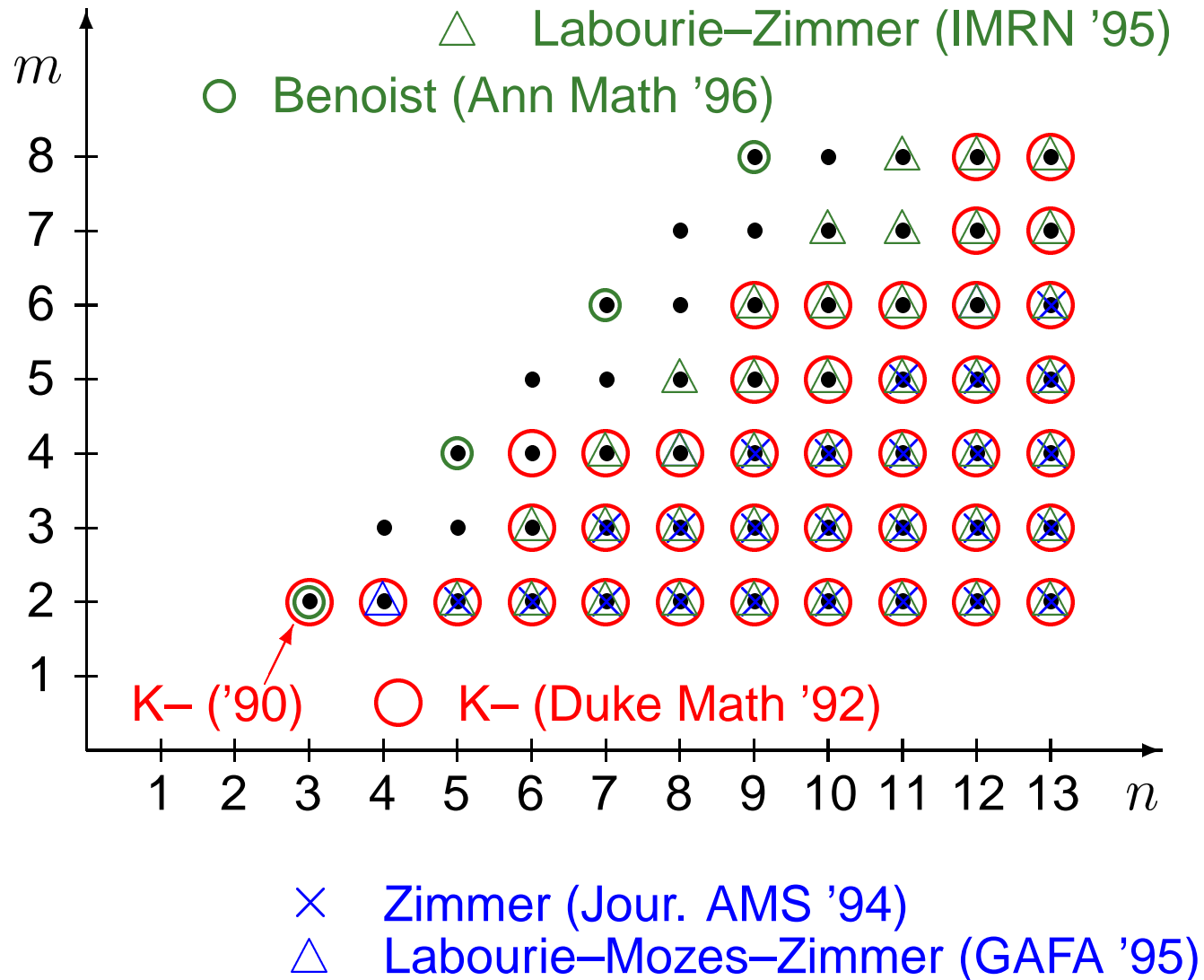
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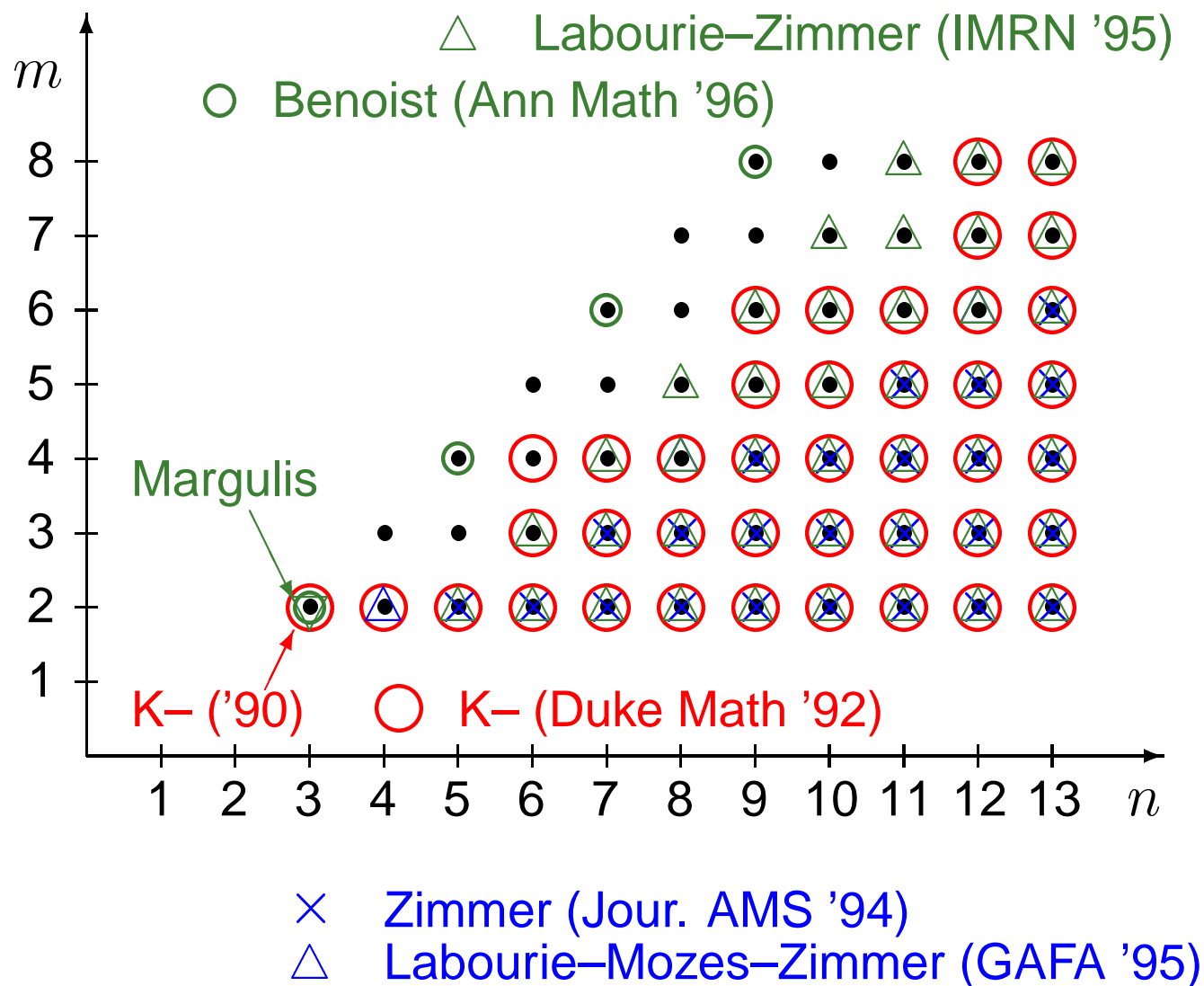
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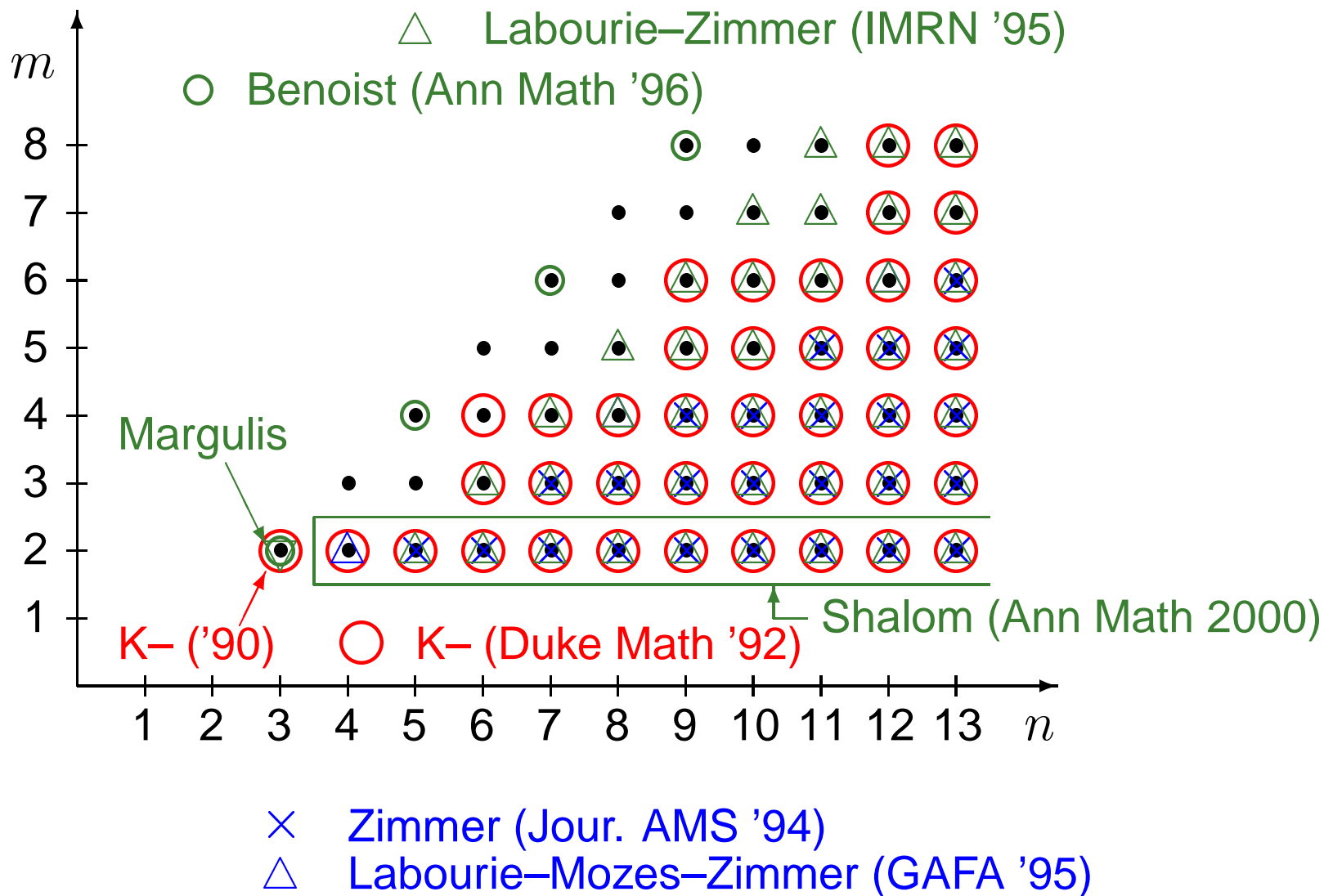
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↓ complexification

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$\Leftarrow$  proved by K–Yoshino 05,

$\Rightarrow$  remaining case  $S_{\mathbb{C}}^{4k-1}$ ,  $k \geq 3$  (Benoist, K–)

# Existence of compact locally symm. sp

Theorem Exists a uniform lattice for the following  $G/H$ :  
 Exists a non-uniform lattice for  $G/H$ , too.

	space form	indefinite-Kähler	complex symmetric
	$G/H$		
1		$SU(2, 2n)/Sp(1, n)$	$n = 1, 2, 3, \dots$
2		$SU(2, n)/U(1, n)$	$n = 2, 4, 6, \dots$
3		$SO(2, 2n)/U(1, n)$	$n = 1, 2, 3, \dots$
4		$SO(2, n)/SO(1, n)$	$n = 2, 4, 6, \dots$
5		$SO(4, n)/SO(3, n)$	$n = 4, 8, 12, \dots$
6		$SO(4, 4)/SO(4, 1) \times SO(3)$	
7		$SO(4, 3)/SO(4, 1) \times SO(2)$	
8		$SO(8, 8)/SO(7, 8)$	
9		$SO(8, \mathbb{C})/SO(7, \mathbb{C})$	
10		$SO(8, \mathbb{C})/SO(7, 1)$	
11		$SO^*(8)/U(3, 1)$	
12		$SO^*(8)/SO^*(6) \times SO^*(2)$	

# Global analysis on $\Gamma \backslash G/H$

What can we expect?

$G/H$   
covering  $\downarrow$   
 $\Gamma \backslash G/H$

$G$ -invariant diff. op.  $\tilde{D}$   
e.g. Laplacian  
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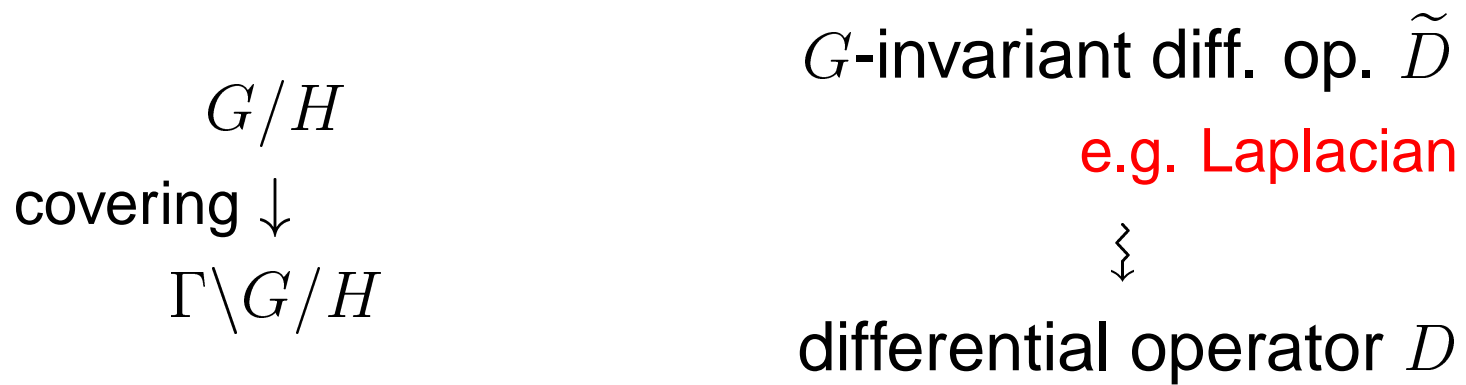
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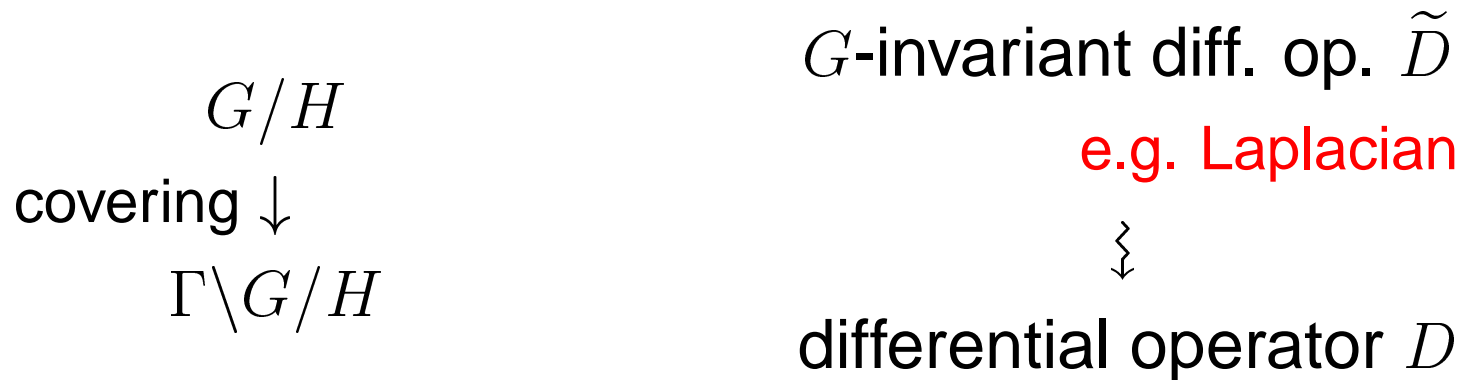
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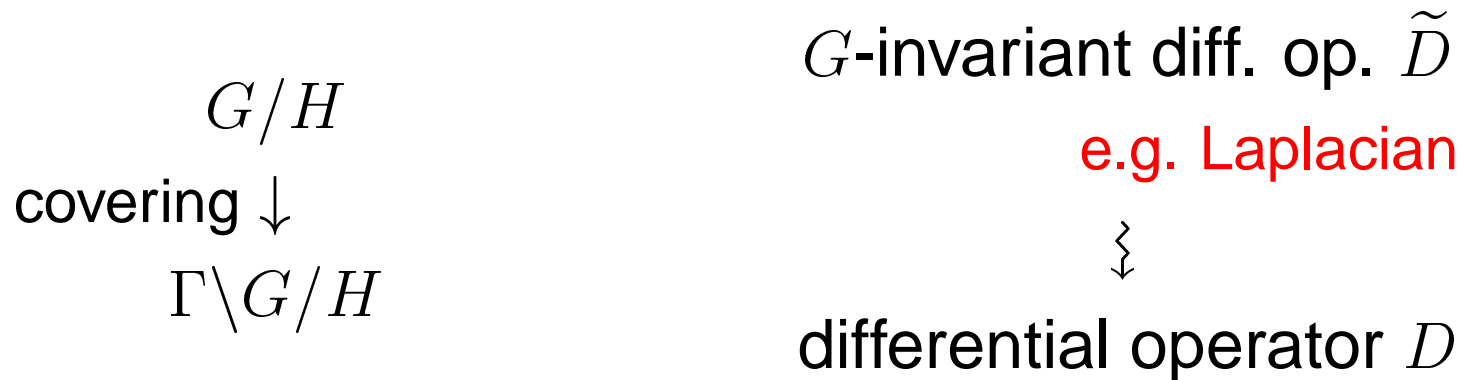


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- 
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Difficulties for the non-compact  $H$  case

- Laplacian is not elliptic
- $\text{volume}(\Gamma \backslash G) = \infty$

# Observation for $\mathbb{R}^{p,q}$

$$\mathbb{R}^{p,q} = (\mathbb{R}^{p+q}, dx_1^2 + \cdots + dx_p^2 - dx_{p+1}^2 - \cdots - dx_{p+q}^2)$$

$$\Delta = \frac{\partial^2}{\partial x_1^2} + \cdots + \frac{\partial^2}{\partial x_p^2} - \frac{\partial^2}{\partial x_{p+1}^2} - \cdots - \frac{\partial^2}{\partial x_{p+q}^2}$$

$\Gamma$  : lattice for  $\mathbb{R}^{p+q}$  ( $\simeq \mathbb{Z}^{p+q}$ )

$X_\Gamma := \Gamma \backslash \mathbb{R}^{p+q}$  ( $\simeq \mathbb{T}^{p+q}$ )

Observation  $\text{Spec}(X_\Gamma, \Delta) \subset \mathbb{R}$

can be  $\begin{cases} \text{discrete} \\ \text{dense (cf. Oppenheim conjecture)} \end{cases}$

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$$\Delta = \frac{\partial^2}{\partial x_1^2} + \cdots + \frac{\partial^2}{\partial x_p^2} - \frac{\partial^2}{\partial x_{p+1}^2} - \cdots - \frac{\partial^2}{\partial x_{p+q}^2}$$

$\Gamma$  : lattice for  $\mathbb{R}^{p+q}$  ( $\simeq \mathbb{Z}^{p+q}$ )

$X_\Gamma := \Gamma \backslash \mathbb{R}^{p+q}$  ( $\simeq \mathbb{T}^{p+q}$ )

Observation  $\text{Spec}(X_\Gamma, \Delta) \subset \mathbb{R}$

can be  $\begin{cases} \text{discrete} \\ \text{dense (cf. Oppenheim conjecture)} \end{cases}$

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because  $L^1$  eigenfunction of Laplacian must be zero!

# Construction of eigenfunction on $\Gamma \backslash G/H$

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**Idea works** for semisimple symmetric sp.  $G/H$  !

under the Flensted-Jensen – Matsuki–Oshima condition

$$\text{rank } G/H = \text{rank } K/H \cap K$$

# Universal $\text{Spec}_\Delta(\Gamma \backslash G/H)$

$$G/H = U(2, 2)/U(1) \times U(1, 2)$$

$$\simeq \{[z_1 : z_2 : z_3 : z_4] \in \mathbb{P}^3 \mathbb{C} : |z_1|^2 + |z_2|^2 > |z_3|^2 + |z_4|^2\}$$

complex 3-dim'l (real 6-dim'l pseudo-Riemannian mfd)

$\Gamma$ : torsion free, cocompact lattice of  $Spin(4, 1)$

Note  $\text{Vol}(\Gamma \backslash G) = \infty$ ,  $\Delta$ : ultrahyperbolic operator

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- 1)  $M_\Gamma := \Gamma \backslash G/H$  is a 6-dim'l compact mfd with indefinite metric of signature  $(4, 2)$ .
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# Idea of proof

$$\Gamma_{\text{lattice}} \subset Spin(4, 1) \subset U(2, 2) \supset U(1) \times U(1, 2)$$

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Flensted-Jensen, Oshima  
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Restriction

Branching problem  $G \downarrow L$

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Geometry of  $\Gamma \backslash G/H$  Thm B

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Happy Birthday to Professor Oshima!