

The Goldman-Turaev Lie bialgebra and
the Johnson homomorphisms
(joint work with Nariya Kawazumi)

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§ 1 Introduction

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$\Sigma_{g,1}$: surface of genus g with 1 boundary component

$\mathcal{M}_{g,1}$: the mapping class group of $\Sigma_{g,1}$

∇
 $\mathcal{M}_{g,1}(k)$: the k -th Johnson subgroup ($\mathcal{M}_{g,1}(1) = \mathcal{I}_{g,1}$, $\mathcal{M}_{g,1}(2) = \mathcal{K}_{g,1}$)

$$\text{gr}^k(\mathcal{I}_{g,1}) := \mathcal{M}_{g,1}(k) / \mathcal{M}_{g,1}(k+1)$$

$\bigoplus_{k=1}^{\infty} \text{gr}^k(\mathcal{I}_{g,1})$: graded Lie algebra ($[\cdot, \cdot]$: commutator product)

$\mathcal{T}_k: \mathcal{M}_{g,1}(k) \longrightarrow H \otimes \mathcal{L}_{\mathbb{Z}}(k+1)$ the k -th Johnson homom

($H = H_1(\Sigma_{g,1}; \mathbb{Z})$
 $\mathcal{L}_{\mathbb{Z}}(i)$: deg i part of the free Lie alg gen by H)

$$\mathcal{L}_{g,1}^{\mathbb{Z}}(k) = \text{Ker}([\cdot, \cdot]: H \otimes \mathcal{L}_{\mathbb{Z}}(k+1) \longrightarrow \mathcal{L}_{\mathbb{Z}}(k+2))$$

$\bigoplus_{k=1}^{\infty} \mathcal{L}_{g,1}^{\mathbb{Z}}(k)$: graded Lie alg (the Lie alg of symplectic derivations of $\mathcal{L}_{\mathbb{Z}}$)

Thm (Morita)

① $\text{Im } \tau_k \subset \mathfrak{h}_{g,1}^{\mathbb{Z}}(k)$, and so $\tau_k: \mathcal{M}_{g,1}(k) \rightarrow \mathfrak{h}_{g,1}^{\mathbb{Z}}(k)$

② $\tau = \{\tau_k\}_k: \bigoplus_{k=1}^{\infty} \mathfrak{g}^{rk}(\mathcal{I}_{g,1}) \rightarrow \bigoplus_{k=1}^{\infty} \mathfrak{h}_{g,1}^{\mathbb{Z}}(k)$ is an inj. graded Lie alg homom

③ τ is not surjective

Problem Determine $\text{Im } \tau$ ($\otimes \mathbb{Q}$)

Goal ① construct an extension of $\{\tau_k\}_k$ to $\mathcal{I}_{g,1}$ in a geometric context
(equiv. to Massuyeau's total Johnson map)

② generalization to compact surfaces with non-empty boundary

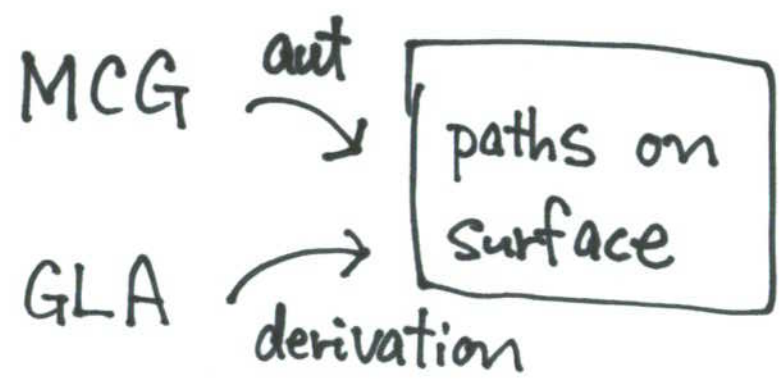
③ geometric constraint for $\text{Im } \tau$ (Turaev cobracket)

④ tensorial descriptions

Plan

§2 The Goldman Lie algebra and its completion

§3 The Dehn-Nielsen embedding and its infinitesimal analogue



§4 Geometric Johnson homomorphism

§5 Tensorial descriptions

§2 The Goldman Lie algebra and its completion

(4)

S : (connected) oriented surface

$\hat{\pi}(S) = [S^1, S]$, $\|\cdot\| : \pi_1(S) \rightarrow \hat{\pi}(S)$ natural projection

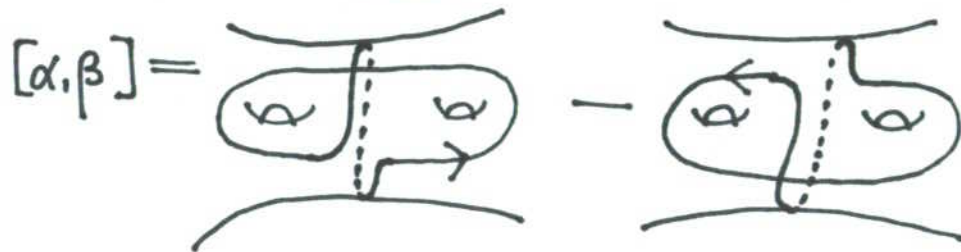
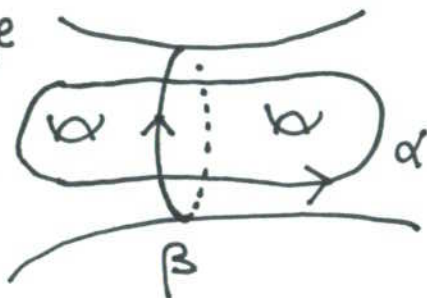
Goldman bracket

$\alpha, \beta : S^1 \rightarrow S$ free loops in general position

For $p \in \alpha \cap \beta$, $\begin{cases} \varepsilon(p: \alpha, \beta) \in \{\pm 1\}$: the local intersection number \\ $\alpha_p \beta_p \in \pi_1(S) = \pi_1(S, p)$: the conjunction \end{cases}

$$[\alpha, \beta] := \sum_{p \in \alpha \cap \beta} \varepsilon(p: \alpha, \beta) |\alpha_p \beta_p| \in \mathbb{Z} \hat{\pi}(S)$$

Example



Thm (Goldman)

$(\mathbb{Z} \hat{\pi}(S), [,])$ is a Lie algebra

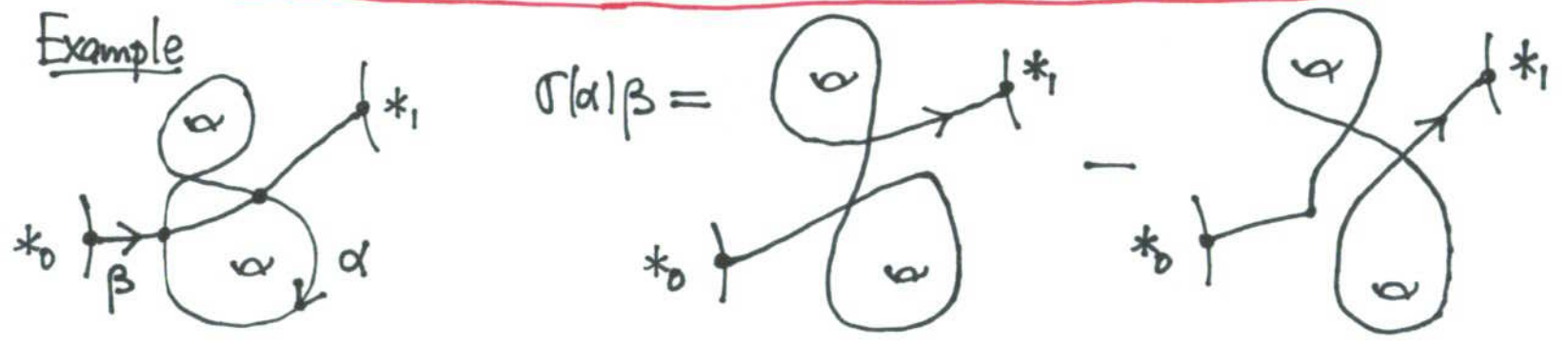
Action on based paths

For $*_0, *_1 \in \partial S$, $\pi S(*_0, *_1) := [([0,1], 0, 1), (S, *_0, *_1)]$

$\left\{ \begin{array}{l} \alpha: S^1 \rightarrow S \text{ free loop} \\ \beta: ([0,1], 0, 1) \rightarrow (S, *_0, *_1) \end{array} \right.$
in general position

$\sigma(\alpha)\beta := \sum_{p \in \alpha \cap \beta} \varepsilon(p; \alpha, \beta) \beta_{*_0 p} \cdot \alpha_p \cdot \beta_{p *_1} \in \mathbb{Z} \pi S(*_0, *_1)$

Example



Extending \mathbb{Z} -bilinearly, we obtain

$\sigma = \sigma_{*_0, *_1}: \mathbb{Z} \hat{\pi}(S) \otimes \mathbb{Z} \pi S(*_0, *_1) \rightarrow \mathbb{Z} \pi S(*_0, *_1)$

$$\sigma = \sigma_{*0, *1} : \mathbb{Z}\hat{\pi}(S) \otimes \mathbb{Z}\pi S(*_0, *1) \rightarrow \mathbb{Z}\pi S(*_0, *1)$$

$$\alpha \otimes \beta \mapsto \sigma(\alpha)\beta$$

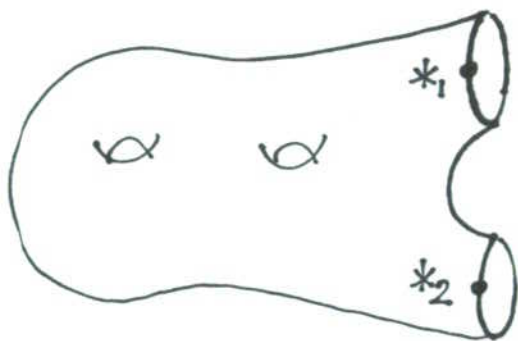
[6]

Thm (Kawazumi-K)

① $\sigma([\alpha_1, \alpha_2])\beta = \sigma(\alpha_1)(\sigma(\alpha_2)\beta) - \sigma(\alpha_2)(\sigma(\alpha_1)\beta)$, i.e. $\mathbb{Z}\pi S(*_0, *1)$ is a $\mathbb{Z}\hat{\pi}(S)$ -module.

② For $\beta_1 \in \mathbb{Z}\pi S(*_0, *1)$, $\beta_2 \in \mathbb{Z}\pi S(*_1, *2)$, $\sigma(\alpha)(\beta_1 \cdot \beta_2) = (\sigma(\alpha)\beta_1) \cdot \beta_2 + \beta_1(\sigma(\alpha)\beta_2)$

Setting S : compact, $\partial S \neq \emptyset$, $E = \{*_i\}_i \subset \partial S$, $E \xrightarrow{\cong} \pi_0(\partial S)$



Small category $\mathbb{Z}\pi S|_E$

Ob: E

Mor: $\mathbb{Z}\pi S(*_i, *_j)$

$\text{Der}(\mathbb{Z}\pi S|_E) := \{D = \{D_{i,j}\}_{i,j} \mid D_{i,j} \in \text{End}(\mathbb{Z}\pi S(*_i, *_j)), \text{ "derivation" }\}$

\rightsquigarrow a Lie alg homom $\sigma : \mathbb{Z}\hat{\pi}(S) \rightarrow \text{Der}(\mathbb{Z}\pi S|_E)$

$$\alpha \mapsto \{\sigma_{*_i, *_j}(\alpha)\}_{i,j}$$

Hereafter we consider $/\mathbb{Q}$ instead of $/\mathbb{Z}$, ($\widehat{\mathbb{Z}\hat{\pi}(S)} \leadsto \widehat{\mathbb{Q}\hat{\pi}(S)}$)

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Since we relate derivations and automorphisms by log and exp

Completion

$I\pi_i(S) = \ker(\mathbb{Q}\pi_i(S) \rightarrow \mathbb{Q}, \pi_i(S) \ni x \mapsto 1)$: the augmentation ideal

$\mathbb{Q}\pi_i(S)$ is filtered by $(I\pi_i(S))^n, n \geq 0$

$|| : \mathbb{Q}\pi_i(S) \rightarrow \mathbb{Q}\hat{\pi}(S)$ \mathbb{Q} -linear extension of $|| : \pi_i(S) \rightarrow \hat{\pi}(S)$

Def For $n \geq 0$, $\mathbb{Q}\hat{\pi}(S)(n) := \left| \mathbb{Q} \cdot \mathbb{1} + (I\pi_i(S))^n \right|$

($\mathbb{1}$: the class of a constant loop. Rem $\sigma(\mathbb{1})\beta = 0 \quad \forall \beta$)

Lem $[\mathbb{Q}\hat{\pi}(S)(m), \mathbb{Q}\hat{\pi}(S)(n)] \subset \mathbb{Q}\hat{\pi}(S)(m+n-2)$

$\widehat{\mathbb{Q}\hat{\pi}(S)} := \varprojlim_m \mathbb{Q}\hat{\pi}(S) / \mathbb{Q}\hat{\pi}(S)(m)$: the completed Goldman Lie algebra

Similarly one can construct a completion $\widehat{\mathbb{Q}\pi(S)}|_E$, and has a Lie alg homom

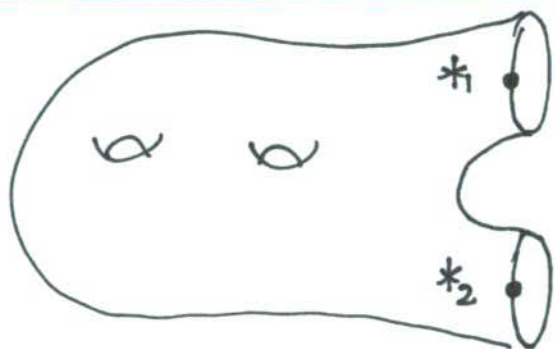
$$\sigma : \widehat{\mathbb{Q}\hat{\pi}(S)} \longrightarrow \text{Der}(\widehat{\mathbb{Q}\pi(S)}|_E)$$

§3 The Dehn-Nielsen embedding and its infinitesimal analogue

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Setting S : compact surface, $\partial S \neq \emptyset$, $E = \{x_i\}_i \subset \partial S$, $E \xrightarrow{\cong} \pi_0(\partial S)$

$\mathcal{M}(S) := \{ \varphi: S \rightarrow S \text{ diffeo} \mid \varphi|_{\partial S} = \text{id}_{\partial S} \} / \text{isotopy rel } \partial S$ the mapping class group



Small category $\pi S|_E$

Ob: E

Mor: $\pi S(*_i, *_j)$

$\mathcal{M}(S) \curvearrowright \pi S|_E$

[Thm (Dehn-Nielsen embedding)
 $\text{DN}: \mathcal{M}(S) \rightarrow \text{Aut}(\pi S|_E)$ is injective]

DN induces $\widehat{\text{DN}}: \mathcal{M}(S) \hookrightarrow \text{Aut}(\widehat{\pi S|_E})$

Recall $\sigma: \widehat{\mathcal{Q}\hat{\pi}}(S) \rightarrow \text{Der}(\widehat{\mathcal{Q}\hat{\pi}}S|_E)$

$$\text{Der}_2(\widehat{\mathcal{Q}\hat{\pi}}S|_E) := \left\{ D \in \text{Der}(\widehat{\mathcal{Q}\hat{\pi}}S|_E) \mid D(\nu_{\text{boundary of } S}) = 0 \right\}$$

$$\text{Im } \sigma \subset \text{Der}_2(\widehat{\mathcal{Q}\hat{\pi}}S|_E)$$

[Thm (Kawazumi-K, the infinitesimal Dehn-Nielsen theorem)]
 $\sigma: \widehat{\mathcal{Q}\hat{\pi}}(S) \rightarrow \text{Der}_2(\widehat{\mathcal{Q}\hat{\pi}}S|_E)$ is an isomorphism

$$\begin{array}{ccc} \mathcal{M}(S) & \xrightarrow{\widehat{\text{DN}}} & \text{Aut}(\widehat{\mathcal{Q}\hat{\pi}}S|_E) \supset \boxed{\text{diagonal lines}} \\ & & \log \downarrow \uparrow \text{exp} \\ \widehat{\mathcal{Q}\hat{\pi}}(S) & \xrightarrow[\cong]{\sigma} & \text{Der}_2(\widehat{\mathcal{Q}\hat{\pi}}S|_E) \supset \boxed{\text{diagonal lines}} \end{array}$$

§4 Geometric Johnson homomorphism

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Setting S : compact surface, $\partial S \neq \emptyset$, $E = \{*_i\}_i \subset \partial S$, $E \xrightarrow{\cong} \pi_0(\partial S)$

Torelli group

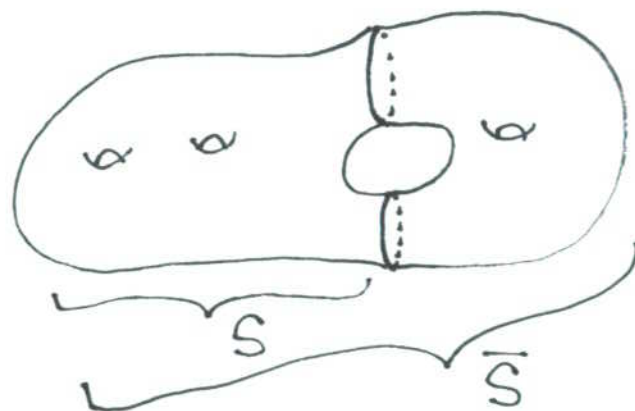
If ∂S is not connected, there are natural choices for the Torelli group (Putman)

① The "smallest" Torelli group

$$\mathcal{I}(S) := \text{Ker}(\mathcal{M}(S) \rightarrow \text{Aut}(H_1(S, E)))$$

$$\nu: S \rightarrow \bar{S}$$

$$\mathcal{I}(S) = \nu_*^{-1}(\mathcal{I}(\bar{S}))$$



② The "largest" Torelli group

$$\mathcal{I}^L(S) := \text{Ker}\left(\mathcal{M}(S) \rightarrow \text{Aut}\left(\frac{H_1(S)}{\text{Im}(H_1(\partial S) \rightarrow H_1(S))}\right)\right)$$

$$\nu: S \rightarrow \tilde{S}$$

$$\mathcal{I}^L(S) = \nu_*^{-1}(\mathcal{I}(\tilde{S}))$$



Our result: Johnson homom for $\mathcal{I}(S)$ and $\mathcal{I}^+(S)$

(Question: How to generalize to other kinds of Putman's Torelli groups?)



Construction for $\mathcal{I}(S)$

Coproduct of $\pi S|_E$: For $*_0, *_1 \in E$, define

$$\Delta = \Delta_{*_0, *_1} : \mathbb{Q}\pi S(*_0, *_1) \longrightarrow \mathbb{Q}\pi S(*_0, *_1) \otimes \mathbb{Q}\pi S(*_0, *_1)$$
$$\pi S(*_0, *_1) \ni x \longmapsto x \otimes x$$

Δ extends naturally to completions

$$D \in \text{Der}(\widehat{\mathbb{Q}\pi S|_E}) \quad D \text{ stabilizes } \Delta \stackrel{\text{def}}{\iff} (D \hat{\otimes} 1 + 1 \hat{\otimes} D) \Delta = \Delta \circ D$$

$$\left[\text{Def} \quad L^+(S, E) := \left\{ u \in \widehat{\mathbb{Q}\hat{\pi}(S)} \mid \begin{array}{l} u \in \text{Ker}(\widehat{\mathbb{Q}\hat{\pi}(S)} \rightarrow \widehat{\mathbb{Q}\hat{\pi}(S)} / \widehat{\mathbb{Q}\hat{\pi}(S)}(3)) \\ \sigma(u) \text{ stabilizes } \Delta \end{array} \right\} \right]$$

- pro-nilpotent Lie algebra
- It is a group w.r.t. BCH series

$$u \cdot v = \log(e^u \cdot e^v) = u + v + \frac{1}{2}[u, v] + \frac{1}{12}[u - v, [u, v]] + \dots$$

For $\varphi \in \mathcal{I}(S)$, $\log(\widehat{DN}(\varphi)) := \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} (\widehat{DN}(\varphi) - \text{id})^n \in \text{Der}(\widehat{\mathcal{O}}_{\text{TS}}|_E)$ exists. \square

Since φ preserves $\left\{ \begin{array}{l} \text{boundary of } S \\ \text{the coproduct } \Delta \end{array} \right.$, we have $\sigma^{-1}(\log(\widehat{DN}(\varphi))) \in L^+(S, E)$

$\tau: \mathcal{I}(S) \rightarrow L^+(S, E)$ the geometric Johnson homomorphism

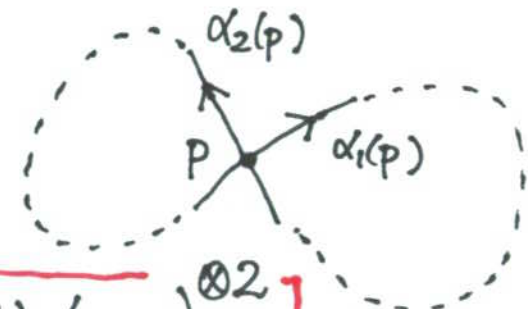
Rem The largest case

- The existence of $\log(\widehat{DN}(\varphi))$ is straightforward
- The target is more complicated

Turaev cobracket

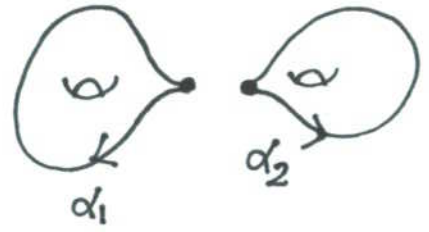
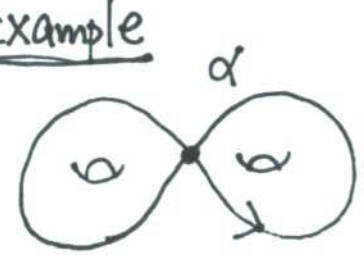
$\alpha: S^1 \rightarrow S$ generic immersion

$D(\alpha) \subset S$: the set of double points of α



$$\delta(\alpha) := \sum_{p \in D(\alpha)} \alpha_1(p) \otimes \alpha_2(p) - \alpha_2(p) \otimes \alpha_1(p) \in \left(\widehat{\mathbb{Z}\hat{\pi}(S)} / \mathbb{Z}\cdot 1 \right)^{\otimes 2}$$

Example



$$\delta(\alpha) = \alpha_1 \otimes \alpha_2 - \alpha_2 \otimes \alpha_1$$

$\widehat{\mathbb{Z}\hat{\pi}(S)} / \mathbb{Z}\cdot 1$: the Goldman-Turaev Lie bialgebra

δ extends naturally to completions

$$\left[\begin{array}{l} \text{Thm (Kawazumi-K)} \\ \delta \circ \tau = 0 : \mathcal{I}(S) \xrightarrow{\tau} L^+(S, E) \xrightarrow{\delta} \left(\widehat{\mathbb{Q}\hat{\pi}(S)} \right)^{\otimes 2} \end{array} \right]$$

(Key fact: Any diffeo preserves the self-intersections of loops on S)

$$\left[\text{Conj } \overline{\tau(\mathcal{I}(S))} = \text{Ker}(\delta|_{L^+(S, E)}) \right]$$

§5 Tensorial descriptions

1. "Coordinates" for π

$$\pi = \pi_1(\Sigma_{g,1}, *) \quad \widehat{\mathbb{Q}\pi} := \varprojlim_m \mathbb{Q}\pi / (I\pi)^m \quad \text{the completed group ring of } \pi$$

$$H = H_1(\Sigma_{g,1}; \mathbb{Q}) \cong \pi^{\text{abel}} \otimes_{\mathbb{Z}} \mathbb{Q}, \quad \widehat{T} := \prod_{k=0}^{\infty} H^{\otimes k}$$

the completed tensor algebra generated by H

Def (Massuyeau)

$$\theta: \pi \rightarrow \widehat{T} \quad \text{symplectic expansion}$$

$$\Leftrightarrow \textcircled{1} \forall x \in \pi \quad \theta(x) = 1 + [x] + (\text{higher})$$

$$\textcircled{2} \forall x, y \in \pi \quad \theta(xy) = \theta(x)\theta(y)$$

$$\textcircled{3} \forall x \in \pi \quad \theta(x) \text{ is group-like}$$

$$\textcircled{4} \theta(\text{boundary}) = \exp(\omega) \quad , \quad \text{where } \omega = \sum_{i=1}^g [A_i, B_i] \in H^{\otimes 2}$$

Fact Symplectic expansion do exist! (Kawazumi/ \mathbb{R} , Massuyeau, K., ...)

θ : fix

$$\begin{array}{ccc} \widehat{\mathbb{Q}\pi} & \xrightarrow[\theta]{\cong} & \widehat{T} \\ \downarrow & & \downarrow \\ \log(\text{boundary}) & \mapsto & \omega \end{array}$$

: isomorphism of complete Hopf algebras

2. Goldman bracket

$$\Omega_{\mathcal{G}} := \{ D: \hat{T} \rightarrow \hat{T} \mid (\text{continuous}) \text{ derivation, } D(w) = 0 \}$$

(An enhancement of) Kontsevich's "associative"

$$\Omega_{\mathcal{G}} \subset \text{Hom}(H, \hat{T}) \cong H \otimes \hat{T} = \hat{T}_{\geq 1}$$

$$\downarrow \quad \quad \downarrow$$

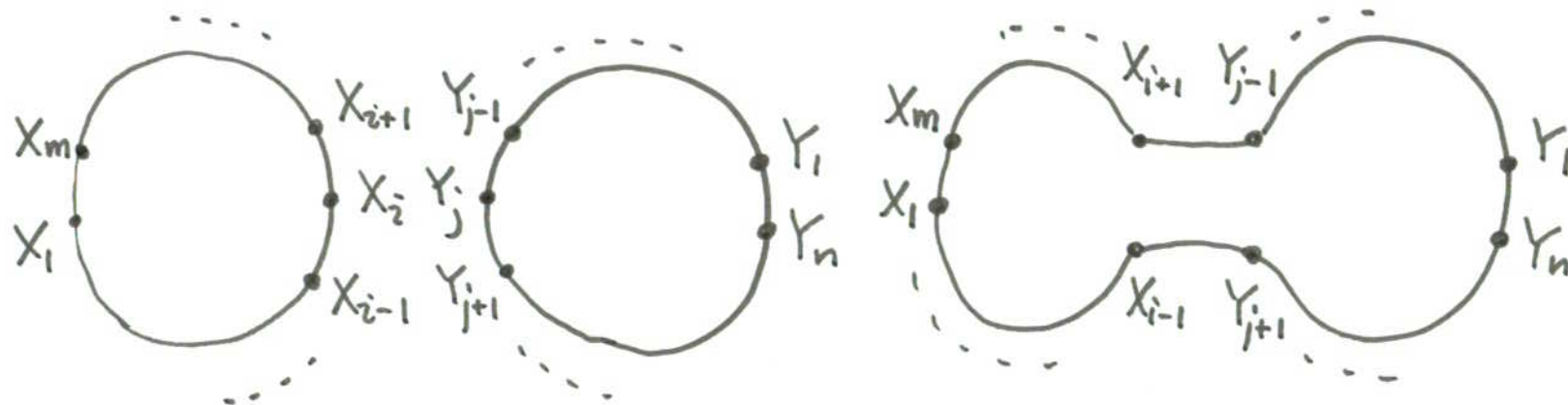
$$D \mapsto D|_H$$

Then $\Omega_{\mathcal{G}} \cong N(\hat{T}_{\geq 1})$, where $N: \hat{T}_{\geq 1} \rightarrow \hat{T}_{\geq 1}$

$$N(X_1 X_2 \cdots X_m) = \sum_{i=1}^m X_i X_{i+1} \cdots X_m X_1 \cdots X_{i-1} \quad (X_j \in H)$$

Lie bracket

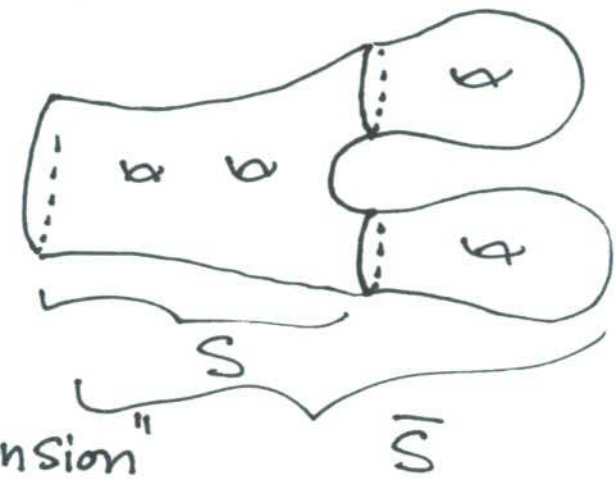
$$[N(X_1 X_2 \cdots X_m), N(Y_1 Y_2 \cdots Y_n)] = - \sum_{i,j} (X_i \cdot Y_j) N(X_{i+1} \cdots X_m X_1 \cdots X_{i-1} Y_{j+1} \cdots Y_n Y_1 \cdots Y_{j-1})$$



Thm (Kawazumi-K)
 Any symplectic expansion θ induces a Lie algebra isom
 $-N\theta : \widehat{\mathbb{Q}\widehat{\pi}(\Sigma_{g,1})} \xrightarrow{\cong} N(\widehat{T}_{z_1}) = \mathcal{O}_{g,1}^- , \widehat{\pi}(\Sigma_{g,1}) \ni |x| \mapsto -N(\theta x) - 1$

Rem General case (Massuyeau-Turaev, Kawazumi-K)
 quiver theory

- A choice of a section of $H_1(S) \rightarrow H_1(\bar{S})$
 \rightsquigarrow A Lie algebra structure on $N(\widehat{T}(H_1(S))_{z_1})$



- Furthermore, a choice of a "symplectic expansion" for the groupoid $\pi S|_E$

\rightsquigarrow A Lie algebra isom
 $\widehat{\mathbb{Q}\widehat{\pi}(S)} \xrightarrow{\cong} N(\widehat{T}(H_1(S))_{z_1})$

3. Johnson homomorphism

$$\mathcal{L}_g^+ := \{ D \in \mathcal{O}g^- \mid D(H) \subset \widehat{T}_{z_2}, D \text{ stabilizes the coproduct} \}$$

$$= \prod_{k=1}^{\infty} \mathcal{L}_{g,1}^{\mathbb{Z}}(k) \otimes \mathbb{Q}$$

Fact $\mathcal{L}_g^+ = N((\widehat{\mathcal{L}} \otimes \widehat{\mathcal{L}})_{z_3}) \subset N(\widehat{T}_{z_1}) = \mathcal{O}g^-$, where $\widehat{\mathcal{L}} \subset \widehat{T}$ is the set of primitive elements

$\theta = \text{fix}$

For $\varphi \in \mathcal{I}_{g,1}$, $T^\theta(\varphi) := \theta \circ \varphi \circ \theta^{-1} : \widehat{T} \rightarrow \widehat{T}$ (Kawazumi's total Johnson map)

Massuyeau $\tau^\theta(\varphi) := \log T^\theta(\varphi)$ exists and lies in \mathcal{L}_g^+

$\tau^\theta : \mathcal{I}_{g,1} \rightarrow \mathcal{L}_g^+$

Massuyeau's total Johnson map

- $-N\theta : \widehat{\mathbb{Q}}\widehat{\pi}(\Sigma_{g,1}) \xrightarrow{\cong} \mathcal{O}g^-$ restricts to an isom $L^+(\Sigma_{g,1}, \{*\}) \xrightarrow{\cong} \mathcal{L}_g^+$
- $\tau^\theta = (-N\theta) \circ \tau : \mathcal{I}_{g,1} \rightarrow \mathcal{L}_g^+$
- $\text{gr}(\tau^\theta) = \{\tau_k\}_k$

4. Turaev cobracket

$$\widehat{\mathbb{D}\hat{\pi}}(\Sigma_{g,1}) \cong N(\widehat{T}_{z_1}) = \mathcal{O}_g^-$$

$$\delta \leftrightarrow \delta^\theta = ?$$

Thm (Massuyeau-Turaev, Kawazumi-K)

$$\delta^\theta = \delta^{alg} + \delta_{(1)}^\theta + \delta_{(2)}^\theta + \dots, \text{ where}$$

① δ^{alg} : of degree -2, Schedler's cobracket

$$\delta^{alg}(N(x_1, x_2, \dots, x_m)) = - \sum_{i < j} (x_i \cdot x_j) \begin{pmatrix} N(x_{i+1} \dots x_{j-1}) \otimes N(x_{j+1} \dots x_m x_1 \dots x_{i-1}) \\ - N(x_{j+1} \dots x_m x_1 \dots x_{i-1}) \otimes N(x_{i+1} \dots x_{j-1}) \end{pmatrix}$$

② $\delta_{(j)}^\theta$: of degree j (Kawazumi-K: it does depend on θ)

Our proof uses the tensorial description of the homotopy intersection form due to Massuyeau-Turaev. We do not know how does this theorem generalize to other compact surfaces.

Cor $\delta^{alg} \circ \text{Tr}_k = 0 : \mathcal{M}_{g,1}(\mathbb{k}) \longrightarrow \bigoplus_{p+q=k} N(H^{\otimes p}) \otimes N(H^{\otimes q})$

- The Morita trace $\text{Tr}_k : \mathcal{H}_{g,1}^{\mathbb{Z}}(\mathbb{k}) \otimes \mathbb{Q} \rightarrow S^k H$ factors through δ^{alg} .
- The Enomoto-Satoh's "anti-Morita obstruction" does not!

$$[1^k] \quad (k \equiv 1 \pmod{4}, k \geq 5)$$