

# Boundary value problems for Riemannian symmetric spaces

Toshio OSHIMA

Graduate School of Mathematical Sciences  
University of Tokyo

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## §1. Helgason Conjecture

Poisson integral representation of harmonic functions on the unit disk

$$D := \{z \in \mathbb{C}; |z| < 1\} \simeq SL(2, \mathbb{R})/SO(2):$$

$$(\mathcal{P}f)(z) = \int_0^{2\pi} \left( \frac{1 - |z|^2}{|z - e^{i\theta}|^2} \right) f(e^{i\theta}) \frac{d\theta}{2\pi}$$

$$\mathcal{C}(\partial D) \xrightarrow{\sim} \{u \in C^\infty(D); \Delta u = 0\} \cap \mathcal{C}(\overline{D})$$

$$\Delta := \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

$\mathcal{C}$  : can be replaced by  $\mathcal{A}$ ,  $C^\infty$ ,  $C^m$ ,  $\mathcal{D}'$ ,  $\mathcal{B} = \mathcal{A}'$  etc.

$$\begin{aligned} \mathcal{B}(\partial D) = \mathcal{A}'(\partial D) &\simeq \{u \in C^\infty(D); \Delta u = 0\} \cap \mathcal{B}(\overline{D}) \\ &\simeq \{u \in C^\infty(D); \Delta u = 0\} \end{aligned}$$

$L^p$  : Hardy space ( $1 \leq p \leq \infty$ )

# §1. Helgason Conjecture

Poisson integral representation of **eigenfunctions** on the unit disk

$$D := \{z \in \mathbb{C}; |z| < 1\} \simeq SL(2, \mathbb{R})/SO(2):$$

$$(\mathcal{P}_\lambda f)(z) = \int_0^{2\pi} \left( \frac{1 - |z|^2}{|z - e^{i\theta}|^2} \right)^{\lambda+1} f(e^{i\theta}) \frac{d\theta}{2\pi}$$

$$\mathcal{B}(\partial D) \xrightarrow{\sim} \{u \in C^\infty(D); \Delta u = \frac{1}{4}\lambda(\lambda + 1)u\}$$

$$\Delta := \frac{1}{4}(1 - |z|^2)^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$$

if  $\lambda \notin \{-1, -2, -3, \dots\}$  (Helgason **1970**, 1974). ( $\lambda \leftrightarrow -1 - \lambda$ )

$\mathcal{B}$  : replaced by  $\mathcal{F} = \mathcal{A}, C^\infty, C^m, \mathcal{D}', SO(2)$ -finite etc., then

$\mathcal{D}'$  :  $\exists C, m > 0$  such that  $|u(z)| \leq C(1 - |z|)^m$

Suppose  $\Re\lambda > -\frac{1}{2}$  or  $\lambda = -\frac{1}{2}$ .

$$\mathcal{F}(\partial D) \xrightarrow{\sim} \{u \in C^\infty(D); \Delta u = \frac{1}{4}\lambda(\lambda+1)u, \exists \lim_{r \rightarrow 1-0} \phi_\lambda(re^{i\theta})^{-1}u(re^{i\theta})\}$$

$L^p$  : (weighted) Hardy space

$G = KAN$ : Iwasawa decomp.  $\supset MAN$ : minimal parabolic subgr.

$G/K$ : Riemannian symmetric space of the non-compact type

$\lambda : A \rightarrow \mathbb{C}, a \mapsto a^{-\lambda}$  (a character,  $\lambda \in \mathfrak{a}_{\mathbb{C}}^*$ )

$\gamma : \mathbb{D}(G/K) \xrightarrow{\sim} S(\mathfrak{a})^W$  (Harish-Chandra isomorphism)

$\mathcal{B}(G/P; L_\lambda) := \{f \in \mathcal{B}(G); f(gman) = a^\lambda f(g) \text{ (} man \in MAN)\}$

$\mathcal{A}(G/K; \mathcal{M}_\lambda) := \{u \in \mathcal{A}(G/K); \Delta u = \chi_\lambda(D)u \text{ (} D \in \mathbb{D}(G/K)\}$

$\mathcal{P}_\lambda : \mathcal{B}(G/P; L_\lambda) \rightarrow \mathcal{A}(G/K; \mathcal{M}_\lambda)$  ( $\Leftarrow \xrightarrow{\sim}$  : Helgason Conjecture)

$$f \mapsto (\mathcal{P}_\lambda f)(g) := \int_K f(gk)dk = \int_K P_\lambda(k^{-1}g)f(k)dk$$

$P_\lambda(nak) = a^{\lambda+2\rho}$  : Poisson kernel for  $G/K$

**Theorem.**  $\mathcal{P}_\lambda$  is a topological  $G$ -isomorphism iff  $e(\lambda + \rho) \neq 0$

$\Leftarrow \Re\langle \lambda + \rho, \alpha \rangle \geq 0$  ( $\alpha > 0$ ). ( $e(\lambda + \rho)$ : denominator of  $c(\lambda + \rho)$ )

Injectivity &  $K$ -finite case: [Helgason 1970, 1976: "A duality ... I, II"]

rank 1 with more conditions: [HaMiOk, Mi, Helgason; 1973–75]

This theorem: solved in 1974, [KO 1977] + [KKOoMT 1978]

**Key of the proof:** Construction of **boundary value map**  $\beta_\lambda (\Rightarrow \mathcal{P}_\lambda^{-1})$   
 $K$ -finite and  $\Re\lambda + \rho \in \mathcal{C}_+$  (= positive Weyl Chamber):

$$(\beta_\lambda u)(x) = \lim_{G/K \ni z \mapsto x \in G/P} \phi_\lambda(z)^{-1} u(z) = \lim_{r \rightarrow 1-0} (1-r)^\lambda u(re^{i\theta})$$

**General case** (upper half plane  $\simeq$  unit disk) and  $\lambda \notin \{-\frac{3}{2}, -\frac{5}{2}, -\frac{7}{2}, \dots\}$

$$\exists_1 \tilde{u}_\lambda \in \mathcal{B}(\mathbb{C}) \text{ such that } yQ_\lambda \tilde{u}_\lambda = 0 \text{ and } \tilde{u}_\lambda(z) = \begin{cases} y^\lambda u(z) & (y > 0) \\ 0 & (y < 0) \end{cases}$$

$$\begin{aligned} yQ_\lambda &:= y^\lambda \left( y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - \frac{\lambda(\lambda+1)}{4} \right) \circ y^{-\lambda} \\ &= y \left( \frac{\partial}{\partial y} \left( y \frac{\partial}{\partial y} - 2\lambda - 1 \right) + y \frac{\partial^2}{\partial x^2} \right) \end{aligned} \Rightarrow Q_\lambda \tilde{u}_\lambda = ((\beta_\lambda u)(x)) \delta(y)$$

$$\beta_\lambda \circ \mathcal{P}_\lambda = C_\lambda \cdot id = (2\lambda + 1)c(\lambda + \rho)id$$

**Injectivity** of  $\beta_\lambda$ : May assume  $u(e) = 1 \Rightarrow \int_K u(kg)dk = \phi_\lambda(g)$  and  
 $\beta_\lambda \phi_\lambda \neq 0$  if  $c(\lambda + \rho) \neq 0 \Rightarrow \beta_\lambda u \neq 0$ .

## §2. Related Problems

Other function spaces: 1977 [OS 1980] → [Ben Said-O-Shimeno 2003]; [Lewis 1978], [van den Ban-Schlichtkrull 1987],...

Compactification of  $G/K$ : 1976 [O 1978,...], [OS 1980] ; [Satake 1960], [Fulstenberg 1963] ; [Sato 1980], [De Contini-Processi 1983]

Other (compact) boundaries: 1976 → [O 1996, 2005], [Oda-O 2006] ; [Johnson 1984]

Shilov boundaries of Hermitian symmetric spaces: Hua, Korányi, Sekiguchi, Johnson, [Berline-Vergne 1981], Shimeno etc.,

Line bundles over  $G/K$  : 1978 ; Schlichtkrull, Shimeno,...

Semisimple symmetric spaces: 1976 [OS 1980],... ;

+ Flensted-Jensen's duality  $\Rightarrow$  discrete series,  $c$ -function,...

Olafsson, van den Ban-Schlichtkrull, Delorme,

### §3. Other Boundaries

$\Sigma(\mathfrak{g}, \mathfrak{a}) \supset \Sigma^+ \supset \Psi$ : fundamental system of the restricted root system

$P_\Theta = M_\Theta A_\Theta N_\Theta$ : a parabolic subgr.  $\supset P = MAN$  ( $\Psi \supset \Theta \leftrightarrow M_\Theta$ )

$\mathcal{B}(G/P_\Theta; L_{\Theta, \lambda}) := \{f \in \mathcal{B}(G); f(gm_\Theta a_\Theta n_\Theta) = a_\Theta^\lambda f(g)\}$

$$\subset \mathcal{B}(G/P; L_\lambda) \quad (\lambda \in \mathfrak{a}_{\Theta, \mathbb{C}}^* \subset \mathfrak{a}_{\mathbb{C}}^*)$$

$\mathcal{P}_{\Theta, \lambda} : \mathcal{B}(G/P_\Theta; L_{\Theta, \lambda}) \rightarrow \mathcal{A}(G/K; \mathcal{M}_\lambda), f \mapsto \int_K f(gk) dk$

**Lemma.** i)  $\text{Im } \mathcal{P}_{\Theta, \lambda} = \text{Im } \mathcal{P}_{\lambda - 2\rho_\Theta}$  ( $\rho_\Theta \leftrightarrow M_\Theta$ ).

ii)  $\text{Im } \mathcal{P}_\mu = \{u \in \mathcal{A}(G/K); R_D u = u \quad (D \in I_\mu)\}$  ( $\forall \mu \in \mathfrak{a}_{\mathbb{C}}^*$ )

$$I_\mu := \{D \in U(\mathfrak{g}); \bar{\gamma}(\text{Ad}(k)D)(\mu) = 0 \quad (k \in K)\},$$

$$\bar{\gamma}(D) \in U(\mathfrak{a}) \quad \text{with} \quad D - \bar{\gamma}(D) \in \mathfrak{n}U(\mathfrak{g}) + U(\mathfrak{g})\mathfrak{k}$$

$M_{\Theta, \lambda} := U(\mathfrak{g}) / \sum_{X \in \mathfrak{p}_\Theta} U(\mathfrak{g})(X - \lambda(X))$  (generalized Verma module)

**Lemma.**  $I_{\lambda - 2\rho_\Theta} = U(\mathfrak{g})\mathfrak{k} + \text{Ann}_{U(\mathfrak{g})}(M_{\Theta, \lambda})$  if

$$e(\lambda + \rho) \neq 0 \quad \text{and} \quad 2 \frac{\langle \lambda + \rho_j, \alpha \rangle}{\langle \alpha, \alpha \rangle} \notin \{-1, -2, \dots\} \quad (\alpha \in \Sigma(\mathfrak{g}, \mathfrak{j})^+)$$

$\mathfrak{a} \subset \mathfrak{j}$  : Cartan subalgebra of  $\mathfrak{g}$

## §4. Minimal Polynomials.

$\pi : \mathfrak{g} \subset \mathfrak{gl}(N) = M(N, \mathbb{C})$ : faithful representation

$\mathbb{E} := (p(E_{ij})) \in M(N, \mathfrak{g}) \subset M(N, U(\mathfrak{g}))$  with the projection  $p$  w.r.t. trace  $XY$  for  $X, Y \in M(N, \mathbb{C})$ .

**Definition.** The **minimal polynomial**  $p_{\pi, \Theta, \lambda}(t)$  for  $M_{\Theta, \lambda}$  is the monic polynomial with the smallest degree such that

$$p_{\pi, \Theta, \lambda}(\mathbb{E})_{ij} M_{\Theta, \lambda} = 0 \quad (1 \leq i \leq N, 1 \leq j \leq N).$$

**Theorem.**  $p_{\pi, \Theta, \lambda}(t)$  is **explicitly calculated** by [Oda-O 2006] and can be used to construct a generator system of  $\text{Ann}_{U(\mathfrak{g})}(M_{\Theta, \lambda})$ .



**Example [O].** i)  $\mathfrak{g} = \mathfrak{gl}(n)$ ,  $\pi = id$ ,  $\mathfrak{l}_\Theta = \mathfrak{gl}(n_1) \oplus \cdots \oplus \mathfrak{gl}(n_L)$ ,

$$p_{\Theta,\lambda}(t) = \prod_{j=1}^L (t - \lambda_j - n_1 - \cdots - n_{j-1}) \quad (\mathfrak{l}_\Theta = \mathfrak{m}_\Theta + \mathfrak{a}_\Theta, \sum_{j=1}^L n_j = n)$$

ii)  $\mathfrak{g} = \mathfrak{sp}(n) \subset \mathfrak{gl}(2n)$ ,  $\mathfrak{l}_\Theta = \mathfrak{gl}(n_1) \oplus \cdots \oplus \mathfrak{gl}(n_L)$ ,  $\sum_{j=1}^L n_j = n$ ,

$$p_{\Theta,\lambda}(t) = \prod_{j=1}^L (t - \lambda_j - n_1 - \cdots - n_{j-1})(t + \lambda_j - n_{j+1} - \cdots - n_L - n - 1)$$

iii)  $\mathfrak{g} = \mathfrak{sp}(n) \subset \mathfrak{gl}(2n)$ ,  $\mathfrak{l}_\Theta = \mathfrak{gl}(n_1) \oplus \cdots \oplus \mathfrak{gl}(n_{L-1}) \oplus \mathfrak{gsp}(n_L)$ ,

$$p_{\Theta,\lambda}(t) = (t - n_1 - \cdots - n_{L-1}) \prod_{j=1}^{L-1} (t - \lambda_j - n_1 - \cdots - n_{j-1})(t + \lambda_j - n_{j+1} - \cdots - n_L - n - 1)$$

iv)  $p_{\Theta,\lambda}(\mathbb{E})_{ij}$  generate  $\text{Ann}_{U(\mathfrak{g})}(M_{\Theta,\lambda}) \bmod \text{Ann}_{U(\mathfrak{g})}(M_{\Theta,\lambda}) \cap Z(\mathfrak{g})$   
if the infinitesimal character is regular ( $Z(\mathfrak{g})$ : the center of  $U(\mathfrak{g})$ )

§5. **Theorem.** Suppose the above **iv)** is satisfied and  $e(\lambda + \rho) \neq 0$ .

i)  $p_{\Theta, \lambda}(\mathbb{E})_{ij}$  ( $1 \leq i, j \leq N$ ) and  $D - \chi_\lambda(D)$  ( $D \in \mathbb{D}(G/K)$ ) define the system of differential equations characterizing the image of  $\mathcal{P}_{\Theta, \lambda}$ .

ii) Moreover if  $\Re(\lambda + \rho) \in \mathcal{C}_+$  ( $\Rightarrow e(\lambda + \rho) \neq 0$ ),

$$\lim_{G/K \ni z \mapsto x \in G/P_\Theta} \phi_\lambda(z)^{-1} (\mathcal{P}_{\Theta, \lambda} f)(z) = f(x)$$

for  $f \in \mathcal{F}(G/P_{\Theta, \lambda})$  ( $\mathcal{F} = \mathcal{C}^m, \mathcal{A}, \mathcal{D}', \mathcal{B}$  etc.).

In particular

$$\begin{aligned} \mathcal{P}_{\Theta, 0}(\mathcal{C}(G/P_\Theta)) &= \{u \in \mathcal{A}(G/K); Du = \chi_0(D)u \ (D \in \mathbb{D}(G/K))\} \\ &\quad \cap \mathcal{C}(\overline{(G/K)_\Theta}) \\ &\subset \{u \in \mathcal{A}(G/K); p_{\Theta, 0}(\mathbb{E})_{ij}u = 0\} \end{aligned}$$

$\overline{(G/K)_\Theta}$  : Satake compactification of  $G/K$  with the boundary  $G/P_\Theta$ .

## §6. Boundaries for $U(p, q)/U(p) \times U(q)$ ( $p \geq q$ )

### Shilov boundary

$$p_{\Theta, \lambda}(t) = \begin{cases} (t - \lambda)(t - q)(t + \lambda - p) & (p > q) \\ (t - \lambda)(t + \lambda - p) & (p = q) \end{cases}$$

$$\mathbb{E} = \begin{pmatrix} E_{ij} \end{pmatrix} = \begin{pmatrix} K_1 & P \\ Q & K_2 \end{pmatrix} = {}^t(x_{ij}) \left( \frac{\partial}{\partial x_{ij}} \right) \quad (K_1 \in \mathfrak{gl}(p), K_2 \in \mathfrak{gl}(q))$$

$$(\mathbb{E} - \lambda)(\mathbb{E} + \lambda - p) \equiv \begin{pmatrix} PQ & 0 \\ (q - p)Q & QP \end{pmatrix} - \lambda(\lambda - p) \pmod{U(\mathfrak{g})\mathfrak{k}}$$

$QP - \lambda(\lambda - p)$  : Hua operator ([Berline-Vergne])

### General boundaries

$$\mathfrak{l}_{\Theta} \simeq \mathfrak{gl}(n_1, \mathbb{C}) \oplus \cdots \oplus \mathfrak{gl}(n_L, \mathbb{C}) \oplus \mathfrak{u}(p - q): \sum n_j = q$$

(1, 2) and (2, 2)-blocks  $\Rightarrow$  order  $2L + 1 \mapsto 2L$ .

Line bundles  $t \mapsto t - \mu - \nu$ ,  $q \mapsto q + \mu - \nu$  ( $\mu \leftrightarrow U(p)$ ,  $\nu \leftrightarrow U(q)$ )

## §6. Boundaries for $U(p, q)/U(p) \times U(q)$ ( $p \geq q$ )

### Shilov boundary

$$p_{\Theta, \lambda}(t) = \begin{cases} (t - \lambda - \mu - \nu)(t - q - 2\mu)(t - p + \lambda - \mu - \nu) & (p > q) \\ (t - \lambda - \mu - \nu)(t - p + \lambda - \mu - \nu) & (p = q) \end{cases}$$

$$\mathbb{E} = \begin{pmatrix} K_1 & P \\ Q & K_2 \end{pmatrix} = {}^t \begin{pmatrix} x_{ij} \\ \frac{\partial}{\partial x_{ij}} \end{pmatrix} \quad (K_1 \in \mathfrak{gl}(p), K_2 \in \mathfrak{gl}(q))$$

$$(\mathbb{E} - \lambda - \mu - \nu)(\mathbb{E} - p + \lambda - \mu - \nu) \equiv \begin{pmatrix} PQ - 2(\mu - \nu)p & 0 \\ (q - p)Q & QP \end{pmatrix} \\ -(\lambda + \mu - \nu)(\lambda - p - \mu + \nu) \pmod{\sum_{X \in \mathfrak{k}} U(\mathfrak{g})(X - \chi_{\mu, \lambda}(X))}$$

$QP - (\lambda + \mu - \nu)(\lambda - p - \mu + \nu)$  : Hua operator

### General boundaries

$$\mathfrak{l}_{\Theta} \simeq \mathfrak{gl}(n_1, \mathbb{C}) \oplus \cdots \oplus \mathfrak{gl}(n_L, \mathbb{C}) \oplus \mathfrak{u}(p - q): \sum n_j = q$$

$$\bar{p}_{\Theta, \lambda}(t) = \prod_{j=1}^L (t - \lambda_j - n_1 - \cdots - n_{j-1} - \mu - \nu)(t + \lambda_j - p - n_{j+1} - \cdots - n_L - \mu - \nu)$$

## §7. Shilov boundary for $Sp(n, \mathbb{R})/U(n)$

$$p_{\Theta, \lambda}(t) = (t - \lambda)(t + \lambda - \frac{n+1}{2})$$

$$\mathbb{E} = \begin{pmatrix} K & P \\ Q & -{}^t K \end{pmatrix} \quad \text{with} \quad \begin{cases} 2K_{ij} = E_{ij} - E_{i+n, j+n} \\ 2P_{ij} = E_{i, j+n} + E_{j, i+n} \\ 2Q_{ij} = E_{n+i, j} + E_{n+j, i} \end{cases}$$

$$\begin{aligned} (\mathbb{E} - \lambda)(\mathbb{E} + \lambda - \frac{n+1}{2}) &\equiv \begin{pmatrix} PQ - (n+1)\nu & 0 \\ 0 & QP \end{pmatrix} \\ &\quad - (\lambda - \nu)(\lambda - \frac{n+1}{2} + \nu) \\ &\quad \text{mod} \sum_{i, j=1}^n U(\mathfrak{g})(K_{ij} - \nu\delta_{ij}) \end{aligned}$$

$$QP - (\lambda - \nu)(\lambda - \frac{n+1}{2} + \nu) : \text{ Hua operator}$$