# Local Saito-Kurokawa A-packets and $\ell$ -adic cohomology of Rapoport-Zink tower for GSp(4): announcement

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## 1 Introduction

This is an announcement of a recent joint work of Tetsushi Ito and the author on the  $\ell$ -adic cohomology of the Rapoport-Zink tower for  $\mathrm{GSp}_4$ . The Rapoport-Zink tower for  $\mathrm{GSp}_4$  is a p-adic local counterpart of the Siegel threefold. Its  $\ell$ -adic cohomology  $H^i_{\mathrm{RZ}}$  is naturally equipped with actions of three groups; the Weil group of  $\mathbb{Q}_p$ ,  $\mathrm{GSp}_4(\mathbb{Q}_p)$  and a non-trivial inner form  $J(\mathbb{Q}_p)$  of  $\mathrm{GSp}_4(\mathbb{Q}_p)$ . These actions are expected to be strongly related with the local Langlands correspondence, but they are not fully understood yet. In this work, we focus on a certain class of non-tempered local A-packets of  $J(\mathbb{Q}_p)$ , called the local Saito-Kurokawa A-packets. We determine how these A-packets and the associated L-packets contribute to the  $\mathrm{GSp}_4(\mathbb{Q}_p)$ -supercuspidal part of  $H^i_{\mathrm{RZ}}$ . See Theorem 3.1 for the precise statement.

The outline of this article is as follows. In Section 2, we give a brief review of the local Langlands correspondence. We also recall the Lubin-Tate tower, which is essential to prove the local Langlands correspondence for  $GL_n$ . In Section 3, we introduce the Rapoport-Zink tower for  $GSp_4$ , which is a  $GSp_4$ -version of the Lubin-Tate tower. After that, we state our main theorem and explain the ideas of the proof.

## 2 Local Langlands correspondence

Throughout this article, we fix a prime number p. In this section, we briefly recall the local Langlands correspondence. Let G be a connected reductive group over  $\mathbb{Q}_p$ . We assume that G is an inner form of a split group for simplicity. We write  $\Pi(G)$  for the set of the isomorphism classes of irreducible smooth representations (over  $\mathbb{C}$ ) of  $G(\mathbb{Q}_p)$ , and  $\Phi(G)$  for the set of the  $\widehat{G}$ -conjugacy classes of L-parameters  $W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C}) \to \widehat{G}$ . Here  $W_{\mathbb{Q}_p}$  denotes the Weil group of  $\mathbb{Q}_p$ , and  $\widehat{G}$  denotes the dual group of G over  $\mathbb{C}$ . The local Langlands correspondence for G is a conjectural map LLC:  $\Pi(G) \to \Phi(G)$  with finite fibers. The fiber  $\Pi_{\phi}^G$  of  $\phi \in \Phi(G)$  is called the L-packet of  $\phi$ . The map LLC is expected to be surjective when G is split.

If  $G = \mathrm{GL}_n$ , then  $\widehat{G}$  equals  $\mathrm{GL}_n(\mathbb{C})$ , and an L-parameter  $W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C}) \to \widehat{G}$  is identified with an n-dimensional semisimple representation of  $W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C})$ . The

local Langlands correspondence for  $GL_n$  has been proved by Harris-Taylor [HT01] (see also [Hen00] and [Sch13]). In this case, every L-packet is a singleton; in other words, the map  $LLC: \Pi(GL_n) \to \Phi(GL_n)$  is bijective. Let us briefly recall the construction of  $LLC(\pi)$  for a supercuspidal  $\pi \in \Pi(GL_n)$ . It is given by using the Lubin-Tate tower  $\{M_K\}_{K\subset GL_n(\mathbb{Z}_p)}$ , which is a projective system of rigid spaces over  $\widehat{\mathbb{Q}}_p^{ur}$  indexed by compact open subgroups of  $GL_n(\mathbb{Z}_p)$ . Here are basic geometric properties of the Lubin-Tate tower:

- $-M_{\mathrm{GL}_n(\mathbb{Z}_p)} = \coprod_{\mathbb{Z}} ((n-1)\text{-dimensional open unit disk over }\widehat{\mathbb{Q}}_p^{\mathrm{ur}}).$
- $-M_K/M_{\mathrm{GL}_n(\mathbb{Z}_p)}$  is a finite étale covering. In particular, each  $M_K$  is an (n-1)-dimensional smooth rigid space over  $\widehat{\mathbb{Q}}_p^{\mathrm{ur}}$ . If K is an open normal subgroup of  $\mathrm{GL}_n(\mathbb{Z}_p)$ , then  $M_K/M_{\mathrm{GL}_n(\mathbb{Z}_p)}$  is a Galois covering with Galois group  $\mathrm{GL}_n(\mathbb{Z}_p)/K$ .

The group  $\mathrm{GL}_n(\mathbb{Q}_p)$  acts on the projective system  $\{M_K\}_{K\subset\mathrm{GL}_n(\mathbb{Z}_p)}$ ; it is a local analogue of the Hecke action. The group  $D^\times$  also acts on the tower, where D is the central division algebra over  $\mathbb{Q}_p$  with invariant 1/n. Now we fix a prime number  $\ell$  and an isomorphism  $\overline{\mathbb{Q}}_{\ell} \cong \mathbb{C}$ . We put  $H^i_{\mathrm{LT}} = \varinjlim_K H^i_c(M_K \otimes_{\widehat{\mathbb{Q}}_p^{\mathrm{ur}}} \mathbb{C}_p, \overline{\mathbb{Q}}_{\ell})$ . It is equipped with an action of  $\mathrm{GL}_n(\mathbb{Q}_p) \times D^\times \times W_{\mathbb{Q}_p}$ . Roughly speaking, the L-parameter  $\mathrm{LLC}(\pi)$  for a supercuspidal  $\pi \in \Pi(\mathrm{GL}_n)$  is constructed by using the irreducible decomposition of  $H^{n-1}_{\mathrm{LT}}$ .

Theorem 2.1 ([Car86], [HT01], [Boy09]) Let  $\pi$  be an irreducible supercuspidal representation of  $GL_n(\mathbb{Q}_p)$ . We put  $\rho = JL(\pi)$ , where JL denotes the Jacquet-Langlands correspondence between  $GL_n(\mathbb{Q}_p)$  and  $D^{\times}$ . Then  $LLC(\pi)$  is a unique irreducible n-dimensional representation of  $W_{\mathbb{Q}_p}$  (which is regarded as a representation of  $W_{\mathbb{Q}_p} \times SL_2(\mathbb{C})$  by the first projection) satisfying the following:

$$\operatorname{Hom}_{D^{\times}}(H^{n-1}_{\operatorname{LT}},\rho)^{\operatorname{sm}} \cong \pi \boxtimes \operatorname{LLC}(\pi)\Big(\frac{n-1}{2}\Big).$$

Here  $(-)^{sm}$  denotes the smooth part with respect to the  $GL_n(\mathbb{Q}_p)$ -action, and  $(\frac{n-1}{2})$  denotes the Tate twist.

**Remark 2.2** If  $i \neq n-1$ , we have  $\operatorname{Hom}_{D^{\times}}(H^{i}_{\operatorname{LT}}, \rho)^{\operatorname{sm}} = 0$ . See [Boy09].

The key of the proof of Theorem 2.1 is to relate  $\{M_K\}_{K\subset GL_n(\mathbb{Z}_p)}$  to a certain Shimura variety. Let us explain it in the case n=2. In the following we write  $\mathbb{A}$  for the ring of adeles of  $\mathbb{Q}$ . For a compact open subgroup  $K'\subset GL_2(\mathbb{A}^\infty)$ , let  $Sh_{K'}$  denote the modular curve over  $\mathbb{Q}$  with level K'. We write  $Sh_{K',\widehat{\mathbb{Q}}_p^{ur}}^{an}$  for the rigid space over  $\widehat{\mathbb{Q}}_p^{ur}$  associated with  $Sh_{K',\widehat{\mathbb{Q}}_p^{ur}} = Sh_{K'} \otimes_{\mathbb{Q}} \widehat{\mathbb{Q}}_p^{ur}$ . We fix a sufficiently small compact open subgroup  $K^p$  of  $GL_2(\mathbb{A}^\infty,p)$ . We write  $Sh_{GL_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Z}}_p^{ur}}^{ur}$  for the integral modular curve over  $\widehat{\mathbb{Z}}_p^{ur}$  with level  $GL_2(\mathbb{Z}_p)K^p$ . The supersingular locus of its mod p fiber  $Sh_{GL_2(\mathbb{Z}_p)K^p,\overline{\mathbb{F}}_p}^{ur}$  is denoted by  $Sh_{GL_2(\mathbb{Z}_p)K^p,\overline{\mathbb{F}}_p}^{ss}$ . We have the specialization map  $Sh_{GL_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Q}}_p^{ur}}^{an} \to Sh_{GL_2(\mathbb{Z}_p)K^p,\overline{\mathbb{F}}_p}^{ss}$ . Let  $Sh_{GL_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Q}}_p^{ur}}^{ss}$  be the rigid analytic open

subset of  $\operatorname{Sh}^{\operatorname{an}}_{\operatorname{GL}_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}$  obtained as the inverse image of  $\operatorname{Sh}^{\operatorname{ss}}_{\operatorname{GL}_2(\mathbb{Z}_p)K^p,\overline{\mathbb{F}}_p}$  (strictly speaking, we are in fact working in the framework of adic spaces, so we need to take the interior of the inverse image). The open subset  $\operatorname{Sh}^{\operatorname{ss-red}}_{\operatorname{GL}_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}$  is called the supersingular reduction locus, since its classical point corresponds to an elliptic curve with good supersingular reduction. Finally, for a compact open subgroup K of  $\operatorname{GL}_2(\mathbb{Z}_p)$ , let  $\operatorname{Sh}^{\operatorname{ss-red}}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}$  be the inverse image of  $\operatorname{Sh}^{\operatorname{ss-red}}_{\operatorname{GL}_2(\mathbb{Z}_p)K^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}$  in  $\operatorname{Sh}^{\operatorname{an}}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}$ . Then the following holds:

Proposition 2.3 (p-adic uniformization) We have an isomorphism

$$\operatorname{Sh}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}^{\operatorname{ss-red}} \cong \widetilde{D}^{\times} \backslash (M_K \times \operatorname{GL}_2(\mathbb{A}^{\infty,p})/K^p),$$

where  $\widetilde{D}$  is the quaternion division algebra over  $\mathbb{Q}$  which ramifies exactly at  $\infty$  and p.

In this work, we use the local Langlands correspondence for  $G = \operatorname{GSp}_4$  and its non-trivial inner form J. Both of the dual groups  $\widehat{G}$  and  $\widehat{J}$  are equal to  $\operatorname{GSp}_4(\mathbb{C})$ . The local Langlands correspondence for G and J are due to Gan-Takeda [GT11] and Gan-Tantono [GT14], respectively. Unlike the  $\operatorname{GL}_n$ -case, no geometry is needed in the proofs of them. They used the local theta lifting to reduce the local Langlands correspondence for G and J to that for  $\operatorname{GL}_2$  and  $\operatorname{GL}_4$ . However, the author is still interested in how the local Langlands correspondence for these groups interacts with geometry.

Let  $\phi: W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C}) \to \mathrm{GSp}_4(\mathbb{C})$  be an element of  $\Phi(G) = \Phi(J)$ . The corresponding L-packets  $\Pi_{\phi}^G$  and  $\Pi_{\phi}^J$  are not necessarily singletons. We are particularly interested in the case where  $\Pi_{\phi}^G$  contains a supercuspidal representation. Such L-parameters are classified as follows:

**Proposition 2.4** Let  $r: \mathrm{GSp}_4(\mathbb{C}) \hookrightarrow \mathrm{GL}_4(\mathbb{C})$  denote the natural embedding. If  $\Pi_{\phi}^G$  contains a supercuspidal representation, then one of the following holds:

- (i) There exists a 4-dimensional irreducible representation  $\phi_0$  of  $W_{\mathbb{Q}_p}$  such that  $r \circ \phi = \phi_0 \boxtimes \mathbf{1}$ , where  $\mathbf{1}$  denotes the trivial representation of  $\mathrm{SL}_2(\mathbb{C})$ . In this case, each of  $\Pi_{\phi}^G$  and  $\Pi_{\phi}^J$  consists of one supercuspidal representation.
- (ii) There exist distinct 2-dimensional irreducible representations  $\phi_0$  and  $\phi_1$  of  $W_{\mathbb{Q}_p}$  such that  $r \circ \phi = (\phi_0 \boxtimes \mathbf{1}) \oplus (\phi_1 \boxtimes \mathbf{1})$ . In this case, each of  $\Pi_{\phi}^G$  and  $\Pi_{\phi}^J$  consists of two supercuspidal representations.
- (iii) There exist a 2-dimensional irreducible representation  $\phi_0$  of  $W_{\mathbb{Q}_p}$  and a character  $\chi$  of  $W_{\mathbb{Q}_p}$  such that  $r \circ \phi = (\phi_0 \boxtimes \mathbf{1}) \oplus (\chi \boxtimes \mathbf{Std})$ , where  $\mathbf{Std}$  denotes the standard representation of  $\mathrm{SL}_2(\mathbb{C})$ . In this case, each of  $\Pi_{\phi}^G$  and  $\Pi_{\phi}^J$  consists of one supercuspidal representation and one non-supercuspidal discrete series representation.
- (iv) There exist distinct characters  $\chi_0$ ,  $\chi_1$  of  $W_{\mathbb{Q}_p}$  such that  $r \circ \phi = (\chi_0 \boxtimes \mathbf{Std}) \oplus (\chi_1 \boxtimes \mathbf{Std})$ . In this case,  $\Pi_{\phi}^G$  consists of one supercuspidal representation and one non-supercuspidal discrete series representation, and  $\Pi_{\phi}^J$  consists of two non-supercuspidal discrete series representations.

In this article we focus on the case (iii). We write  $\pi_{\rm sc}$  (resp.  $\pi_{\rm disc}$ ) for the supercuspidal (resp. non-supercuspidal) representation belonging to  $\Pi_{\phi}^{G}$ . Similarly, we write  $\rho_{\rm sc}$  (resp.  $\rho_{\rm disc}$ ) for the supercuspidal (resp. non-supercuspidal) representation belonging to  $\Pi_{\phi}^{J}$ .

We also need to consider the A-parameter  $\psi$  obtained as the composite of

$$W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C}) \times \mathrm{SL}_2(\mathbb{C}) \xrightarrow{\mathrm{swap } \mathrm{SL}_2 \text{ factors}} W_{\mathbb{Q}_p} \times \mathrm{SL}_2(\mathbb{C}) \times \mathrm{SL}_2(\mathbb{C}) \xrightarrow{\phi \boxtimes \mathbf{1}} \mathrm{GSp}_4(\mathbb{C}).$$

Let  $\Pi_{\psi}^{G}$  (resp.  $\Pi_{\psi}^{J}$ ) be the local A-packet attached to  $\psi$ . We should clarify what  $\Pi_{\psi}^{G}$  and  $\Pi_{\psi}^{J}$  mean, since local A-packets for J has not been fully constructed yet (see [GT19] for the construction of local A-packets for G). Recall that our  $\phi$  satisfies  $r \circ \phi = (\phi_0 \boxtimes 1) \oplus (\chi \boxtimes \mathbf{Std})$ . This implies that  $\det \phi_0 = \chi^2$ . Therefore, the A-parameter  $\psi' = \psi \otimes \chi^{-1}$  factors through  $\mathrm{Sp}_4(\mathbb{C}) \subset \mathrm{GSp}_4(\mathbb{C})$ . Since  $\mathrm{Sp}_4(\mathbb{C}) = \widehat{\mathrm{SO}}_5$ ,  $\psi'$  can be regarded as an A-parameter for both  $G^{\mathrm{ad}} = \mathrm{SO}_5(\mathbb{Q}_p)$  and  $J^{\mathrm{ad}}$ . Local A-packets for  $\mathrm{SO}_5(\mathbb{Q}_p)$  was fully constructed by Arthur [Art13]. In particular we have the local A-packet  $\Pi_{\psi'}^{\mathrm{SO}_5}$ , which can be regarded as a subset of  $\Pi(G)$ . We put  $\Pi_{\psi}^{G} = \{\pi' \otimes (\chi \circ \sin) \mid \pi' \in \Pi_{\psi'}^{\mathrm{SO}_5}\}$ , where  $\mathrm{sim}: G(\mathbb{Q}_p) \to \mathbb{Q}_p^{\times}$  denotes the similitude character and  $\chi$  is regarded as a character  $\mathbb{Q}_p^{\times} \to \mathbb{C}^{\times}$  by the local class field theory  $W_{\mathbb{Q}_p}^{\mathrm{ab}} \cong \mathbb{Q}_p^{\times}$ . As for  $J^{\mathrm{ad}}$ , the local A-packet  $\Pi_{\psi'}^{J^{\mathrm{ad}}}$  for the particular A-parameter  $\psi'$  was constructed in [Gan08]. Therefore we get the local A-packet  $\Pi_{\psi}^{J}$  in the same way as above.

We call  $\Pi_{\psi}^{G}$  and  $\Pi_{\psi}^{J}$  the local Saito-Kurokawa A-packets. The structure of them are as follows:

- $\Pi_{\psi}^{G}$  consists of  $\pi_{\rm sc}$  and a non-tempered representation  $\pi_{\rm nt}$ .
- $-\Pi_{\psi}^{J}$  consists of a supercuspidal representation  $\rho_{sc}'$  and a non-tempered representation  $\rho_{nt}$ . As a consequence of our main theorem,  $\rho_{sc}'$  turns out to be equal to  $\rho_{sc}$  (see Remark 3.2 (ii)).

## 3 Main Theorem

We continue to write G for  $\mathrm{GSp}_4$  and J for its unique non-trivial inner form over  $\mathbb{Q}_p$ . To state our main theorem, we introduce the (basic) Rapoport-Zink tower for  $\mathrm{GSp}_4$ , which is the  $\mathrm{GSp}_4$ -version of the Lubin-Tate tower. It is a projective system of rigid spaces over  $\widehat{\mathbb{Q}}_p^{\mathrm{ur}}$  indexed by compact open subgroups of  $G(\mathbb{Z}_p)$ . Here are basic geometric properties of the Rapoport-Zink tower for  $\mathrm{GSp}_4$ :

- $-M_{G(\mathbb{Z}_p)}$  is a 3-dimensional smooth rigid space over  $\widehat{\mathbb{Q}}_p^{\mathrm{ur}}$  (unlike the Lubin-Tate case, we do not have an elementary expression of it).
- $-M_K/M_{G(\mathbb{Z}_p)}$  is a finite étale covering. In particular, each  $M_K$  is a 3-dimensional smooth rigid space over  $\widehat{\mathbb{Q}}_p^{\mathrm{ur}}$ . If K is an open normal subgroup of  $G(\mathbb{Z}_p)$ , then  $M_K/M_{G(\mathbb{Z}_p)}$  is a Galois covering with Galois group  $G(\mathbb{Z}_p)/K$ .

As in the Lubin-Tate case, the tower  $\{M_K\}_{K\subset G(\mathbb{Z}_p)}$  is equipped with an action of  $G(\mathbb{Q}_p)\times J(\mathbb{Q}_p)$ . We put  $H^i_{\mathrm{RZ}}=\varinjlim_K H^i_c(M_K\otimes_{\widehat{\mathbb{Q}}^{\mathrm{ur}}}\mathbb{C}_p,\overline{\mathbb{Q}}_\ell)$ , which is a representation of

 $G(\mathbb{Q}_p) \times J(\mathbb{Q}_p) \times W_{\mathbb{Q}_p}$ . For an irreducible smooth representation  $\rho$  of  $J(\mathbb{Q}_p)$ , we put  $H^{i,j}_{\mathrm{RZ}}[\rho] := (\mathrm{Ext}^j_{J(\mathbb{Q}_p)}(H^i_{\mathrm{RZ}}, \rho)^{\mathcal{D}_c\text{-sm}})_{\mathrm{sc}}$ , where  $(-)_{\mathrm{sc}}$  denotes the  $G(\mathbb{Q}_p)$ -supercuspidal part. For the definition of  $(-)^{\mathcal{D}_c\text{-sm}}$ , see [Mie14, Notation]. Note that  $H^{i,j}_{\mathrm{RZ}}[\rho]$  is a representation of  $G(\mathbb{Q}_p) \times W_{\mathbb{Q}_p}$ . Since the split semisimple rank of J is 1, we have  $H^{i,j}_{\mathrm{RZ}}[\rho] = 0$  for  $j \geq 2$ .

Let  $\phi \in \Phi(G)$  be an L-parameter satisfying Proposition 2.4 (iii); namely, there exist a 2-dimensional irreducible representation  $\phi_0$  of  $W_{\mathbb{Q}_p}$  and a character  $\chi$  of  $W_{\mathbb{Q}_p}$  such that  $r \circ \phi = (\phi_0 \boxtimes \mathbf{1}) \oplus (\chi \boxtimes \mathbf{Std})$ . We use the same notation as in the previous section. We are interested in how  $\Pi_{\phi}^G$ ,  $\Pi_{\phi}^J$ ,  $\Pi_{\psi}^G$  and  $\Pi_{\psi}^J$  contribute to  $H_{\mathrm{RZ}}^i$ . Now we can state our main theorem:

### Theorem 3.1 (joint work with Tetsushi Ito) We have the following:

$$\begin{array}{l} \text{(i)} \ \ H_{\mathrm{RZ}}^{i,0}[\rho_{\mathrm{sc}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \phi_0(\frac{3}{2}) & i = 3, \\ 0 & i \neq 3, \end{cases} \quad H_{\mathrm{RZ}}^{i,1}[\rho_{\mathrm{sc}}] = 0, \\ H_{\mathrm{RZ}}^{i,0}[\rho'_{\mathrm{sc}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \phi_0(\frac{3}{2}) & i = 3, \\ 0 & i \neq 3, \end{cases} \quad H_{\mathrm{RZ}}^{i,1}[\rho'_{\mathrm{sc}}] = 0. \\ \text{(ii)} \ \ H_{\mathrm{RZ}}^{i,0}[\rho_{\mathrm{disc}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \chi(1) & i = 3, \\ 0 & i \neq 3, \end{cases} \quad H_{\mathrm{RZ}}^{i,1}[\rho_{\mathrm{disc}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \chi(2) & i = 4, \\ 0 & i \neq 4. \end{cases} \\ \text{(iii)} \ \ \ H_{\mathrm{RZ}}^{i,0}[\rho_{\mathrm{nt}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \chi(2) & i = 4, \\ 0 & i \neq 4. \end{cases} \quad H_{\mathrm{RZ}}^{i,1}[\rho_{\mathrm{nt}}] \cong \begin{cases} \pi_{\mathrm{sc}} \boxtimes \chi(1) & i = 3, \\ 0 & i \neq 4. \end{cases}$$

Here are very rough summary of the main theorem:

- A piece of the local Langlands correspondence for G and J appears in  $H_{\rm RZ}^3$ . This is similar to the Kottwitz conjecture (see [Rap95]).
- The non-tempered local A-packet  $\Pi_{\psi}^{J}$  contributes to  $H_{\mathrm{RZ}}^{4}$ .
- There exists a supercuspidal representation of  $G(\mathbb{Q}_p)$  appearing outside the middle degree. In fact, it happens only when its L-parameter has non-trivial  $\mathrm{SL}_2(\mathbb{C})$ -part (see Remark 3.2 (iv)).
- **Remark 3.2** (i) By working in a suitable derived category, we may also consider the derived version  $H^*_{\mathrm{RZ}}[\rho] := (\mathrm{Ext}^*_{J(\mathbb{Q}_p)}(R\Gamma_{\mathrm{RZ}},\rho)^{\mathcal{D}_c\text{-sm}})_{\mathrm{sc}}$  of  $H^{i,j}_{\mathrm{RZ}}[\rho]$ . We can recover  $\phi$  and  $\psi$  from the  $W_{\mathbb{Q}_p}$ -action and the Lefschetz operator on  $H^*_{\mathrm{RZ}}[\rho_{\mathrm{disc}}]$  and  $H^*_{\mathrm{RZ}}[\rho_{\mathrm{nt}}]$ , respectively (cf. [Dat12] in the  $\mathrm{GL}_n$  case).
- (ii) By using Theorem 3.1, we can prove that the semisimple L-parameters attached to  $\pi_{\rm sc}$ ,  $\rho_{\rm sc}$  and  $\rho'_{\rm sc}$  by Fargues-Scholze [FS] are equal to  $\phi|_{W_{\mathbb{Q}_p}}$ . This implies that  $\rho_{\rm sc} \cong \rho'_{\rm sc}$ .
- (iii) By using recent results of Fargues-Scholze [FS], we can improve the theorem above. We will explain it elsewhere.
- (iv) For the L-packets of type (i) and (ii) in Proposition 2.4, we can obtain similar results as Theorem 3.1 (i). On the other hand, up to now we cannot treat the

L-packets of type (iv) in Proposition 2.4. The reason is that the theory of local A-packets for J (or  $J^{\text{ad}}$ ) is not available in this case.

The proof of Theorem 3.1 is given by combination of local and global methods. First we recall some results obtained from local geometry.

Theorem 3.3 ([IM]) Unless  $2 \le i \le 4$ ,  $H_{\text{RZ.sc}}^i = 0$ .

Here 2 (resp. 4) appears in the statement since it is equal to dim  $M_{G(\mathbb{Z}_p)}$  – dim  $\mathcal{M}_{\text{red}}$  (resp. dim  $M_{G(\mathbb{Z}_p)}$  + dim  $\mathcal{M}_{\text{red}}$ ), where  $\mathcal{M}$  is the natural formal model of  $M_{G(\mathbb{Z}_p)}$ . The equality dim  $\mathcal{M}_{\text{red}} = 1$  is related to the fact that the supersingular locus of the Siegel threefold is 1-dimensional. The method of the proof of Theorem 3.3 is similar to the author's proof of  $H^i_{\text{LT,sc}} = 0$  for  $i \neq n-1$  (see [Mie10]), but it is much more complicated, mainly because connected components of  $\mathcal{M}$  are not affine (even not quasi-compact).

**Theorem 3.4** The representation  $H^2_{\mathrm{RZ,sc}}$  of  $J(\mathbb{Q}_p)$  does not contain non-supercuspidal subquotient.

This is a consequence of Theorem 3.3 and the fact that  $H^2_{\mathrm{RZ},G(\mathbb{Q}_p)\text{-sc},J(\mathbb{Q}_p)\text{-non-sc}}$  and  $H^5_{\mathrm{RZ},G(\mathbb{Q}_p)\text{-sc},J(\mathbb{Q}_p)\text{-non-sc}}$  are related by the Zelevinsky involution (see [Mie]).

**Theorem 3.5 ([Mie20])** Assume that the central character of  $\pi_{sc}$  is trivial on  $p^{\mathbb{Z}} \subset \mathrm{GSp}_4(\mathbb{Q}_p)$  (we can always twist  $\pi_{sc}$  by a character so that it satisfies this condition). Then, the representation  $(\varinjlim_K H_c^i((M_K/p^{\mathbb{Z}}) \otimes_{\widehat{\mathbb{Q}}_p^{\mathrm{ur}}} \mathbb{C}_p, \overline{\mathbb{Q}}_\ell))[\pi_{sc}^{\vee}]$  of  $J(\mathbb{Q}_p)$  has finite length.

This was proved by using the duality isomorphism between the Rapoport-Zink tower for G and that for J due to [KW] and [CFS].

Next we discuss the global aspect. As in the Lubin-Tate case, we use the relation between the Rapoport-Zink tower  $\{M_K\}_{K\subset G(\mathbb{Z}_p)}$  and the Siegel threefold. For a compact open subgroup  $K'\subset G(\mathbb{A}^\infty)$ , let  $\mathrm{Sh}_{K'}$  denote the Siegel threefold over  $\mathbb{Q}$  with level K'. We put  $H^i_c(\mathrm{Sh})=\varinjlim_{K'}H^i_c(\mathrm{Sh}_{K'}\otimes_{\mathbb{Q}}\overline{\mathbb{Q}},\overline{\mathbb{Q}}_\ell)$ , which is a representation of  $G(\mathbb{A}^\infty)\times\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . This representation is rather understood by using the global Langlands correspondence for  $\mathrm{GSp}_4$  (see [Tay93] and [Wei09]).

Let us fix a sufficiently small compact open subgroup  $K^p \subset G(\mathbb{A}^{\infty,p})$ . As in Section 2, for a compact open subgroup  $K \subset G(\mathbb{Q}_p)$  we can define a rigid analytic open subset  $\operatorname{Sh}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}^{\operatorname{ss-red}}$  of  $\operatorname{Sh}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}^{\operatorname{an}}$ , which is called the supersingular reduction locus. The following is an analogue of Proposition 2.3:

Proposition 3.6 (p-adic uniformization, [RZ96]) We have an isomorphism

$$\operatorname{Sh}_{KK^p,\widehat{\mathbb{Q}}_p^{\mathrm{ur}}}^{\mathrm{ss-red}} \cong \widetilde{J}(\mathbb{Q}) \setminus (M_K \times G(\mathbb{A}^{\infty,p})/K^p),$$

where  $\widetilde{J}$  is a suitable inner form of  $\mathrm{GSp}_4$  over  $\mathbb Q$  such that  $\widetilde{J} \otimes_{\mathbb Q} \mathbb R$  is anisotropic modulo center,  $\widetilde{J} \otimes_{\mathbb Q} \mathbb A^{\infty,p} \cong G \otimes_{\mathbb Q} \mathbb A^{\infty,p}$  and  $\widetilde{J} \otimes_{\mathbb Q} \mathbb Q_p \cong J$ .

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We put  $H^i(\operatorname{Sh}_{\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}^{\operatorname{ss-red}}) = \varinjlim_{K,K^p} H^i(\operatorname{Sh}_{KK^p,\widehat{\mathbb{Q}}_p^{\operatorname{ur}}}^{\operatorname{ss-red}} \otimes_{\widehat{\mathbb{Q}}_p^{\operatorname{ur}}} \mathbb{C}_p, \overline{\mathbb{Q}}_\ell)$ , which is a representation of  $G(\mathbb{Q}_p) \times W_{\mathbb{Q}_p}$ . By Proposition 3.6, we have the Hochschild-Serre spectral sequence

 $E_2^{r,s} = \operatorname{Ext}_{J(\mathbb{Q}_p)}^r(H_{\operatorname{RZ}}^{6-s}(3), \mathcal{A}(\widetilde{J})_{\mathbf{1}})_{\operatorname{sc}} \Rightarrow H^{r+s}(\operatorname{Sh}_{\widehat{\mathbb{Q}}_p}^{\operatorname{ss-red}})_{\operatorname{sc}},$ 

which is due to [Far04]. Here  $\mathcal{A}(\widetilde{J})_1$  is the space of automorphic forms on  $\widetilde{J}(\mathbb{A})$  which are trivial on  $\widetilde{J}(\mathbb{R})$ . By Boyer's trick and a result in [IM20] or [LS18], we have  $H^{r+s}(\operatorname{Sh}_{\widehat{\mathbb{Q}}_n^{\operatorname{ur}}}^{\operatorname{ss-red}})_{\operatorname{sc}} \cong H_c^{r+s}(\operatorname{Sh})_{\operatorname{sc}}$ . Therefore we obtain:

Proposition 3.7 We have a spectral sequence

$$E_2^{r,s} = \operatorname{Ext}_{J(\mathbb{Q}_p)}^r(H^{6-s}_{RZ}(3), \mathcal{A}(\widetilde{J})_1)_{\operatorname{sc}} \Rightarrow H_c^{r+s}(\operatorname{Sh})_{\operatorname{sc}}.$$

Now we are ready to sketch the proof of Theorem 3.1. The point is that we begin with  $H_{\rm RZ}^{i,j}[\rho_{\rm nt}]$ . By using Gan's result [Gan08], we can choose

- a cuspidal automorphic representation  $\Pi$  of  $G(\mathbb{A})$
- and a cuspidal automorphic representation  $\Sigma$  of  $J(\mathbb{A})$ 
  - $\Pi_p \cong \pi_{sc}$  and  $\Pi^{\infty}$  contributes to  $H_c^2(Sh)$  and  $H_c^4(Sh)$ .
  - if  $\Pi'$  is an automorphic representation of  $G(\mathbb{A})$  such that  $\Pi'_v \cong \Pi_v$  for all places  $v \neq p, \infty$  and  $\Pi'_p$  is supercuspidal, then  $\Pi = \Pi'$ . It is a kind of the strong multiplicity one theorem.
  - $-\Sigma_p \cong \rho_{\rm nt} \text{ and } \Sigma_\infty \cong \mathbf{1}.$
  - if  $\Sigma'$  is an automorphic representation of  $\widetilde{J}(\mathbb{A})$  such that  $\Sigma'_v \cong \Sigma_v$  for all places  $v \neq p$ , then  $\Sigma = \Sigma'$ . It is a kind of the strong multiplicity one theorem.
  - $-\Pi^{\infty,p}=\Sigma^{\infty,p}$ ; recall that we have  $G(\mathbb{A}^{\infty,p})=\widetilde{J}(\mathbb{A}^{\infty,p})$ .

By taking the  $\Pi^{\infty,p}$ -isotypic part of the spectral sequence in Proposition 3.7, we get a short exact sequence

$$0 \to H^{i+1,1}_{\mathrm{RZ}}[\rho_{\mathrm{nt}}] \to \pi_{\mathrm{sc}} \boxtimes H^{6-i}_c(\mathrm{Sh})[\Pi^{\infty}](3) \to H^{i,0}_{\mathrm{RZ}}[\rho_{\mathrm{nt}}] \to 0.$$

By assumption,  $H_c^{6-i}(\mathrm{Sh})[\Pi^{\infty}](3) \neq 0$  only if i=2,4. On the other hand, by Theorems 3.3 and 3.4, we have  $H_{\mathrm{RZ}}^{5,1}[\rho_{\mathrm{nt}}] = H_{\mathrm{RZ}}^{2,0}[\rho_{\mathrm{nt}}] = 0$ . Hence we conclude

$$H_{\rm RZ}^{4,0}[\rho_{\rm nt}] \cong \pi_{\rm sc} \boxtimes H_c^2({\operatorname{Sh}})[\Pi^{\infty}](3), \quad H_{\rm RZ}^{3,1}[\rho_{\rm nt}] \cong \pi_{\rm sc} \boxtimes H_c^4({\operatorname{Sh}})[\Pi^{\infty}](3).$$

Next we investigate  $H^{i,j}_{\mathrm{RZ}}[\rho_{\mathrm{disc}}]$ . We choose  $\Pi$  and  $\Sigma$  similarly as above, but so that  $\Pi^{\infty}$  contributes to  $H^3_c(\mathrm{Sh})$ . Then we get a short exact sequence

$$0 \to H^{4,1}_{\mathrm{RZ}}[\rho_{\mathrm{disc}}] \to \pi_{\mathrm{sc}} \boxtimes H^3_c(\mathrm{Sh})[\Pi^{\infty}](3) \to H^{3,0}_{\mathrm{RZ}}[\rho_{\mathrm{disc}}] \to 0.$$

Since  $H_c^3(\operatorname{Sh})[\Pi^{\infty}](3)$  is 2-dimensional indecomposable as a  $W_{\mathbb{Q}_p}$ -representation, it suffices to determine dim  $H_{\mathrm{RZ}}^{i,j}[\rho_{\mathrm{disc}}][\pi_{\mathrm{sc}}]$ . This is done by using the following facts:

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- $-[\rho_{\rm nt}] + [\rho_{\rm disc}] = [{\rm induced}]$  in the Grothendieck group of finite length representations of  $J(\mathbb{Q}_p)$ .
- $-\sum_{i=0}^{\infty}(-1)^{i}\dim\operatorname{Ext}_{J(\mathbb{Q}_{p})/p^{\mathbb{Z}}}^{i}(V,\operatorname{induced})=0\ \text{for every}\ J(\mathbb{Q}_{p})/p^{\mathbb{Z}}\text{-representation}\ V\ \text{of finite length}\ ([\operatorname{SS97}]).$

To apply the second fact, we need the finiteness result in Theorem 3.5.

We can treat  $H_{\rm RZ}^{i,j}[\rho_{\rm sc}]$  and  $H_{\rm RZ}^{i,j}[\rho'_{\rm sc}]$  in the same way. These cases are the simplest because  $H_{\rm RZ}^{i,1}[\rho_{\rm sc}] = H_{\rm RZ}^{i,1}[\rho'_{\rm sc}] = 0$ .

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